



**Homeland
Security**

December 6, 2004

Chairman, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, D. C. 20500

Re: Coast Guard Intelligence Oversight Quarterly Report

Dear Mr. Chairman:

Pursuant to Executive Order 12863, we are forwarding to you the July-September 2004 Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG).

Separately, the Office of Inspector General (OIG) and the Office of General Counsel (OGC) continue to work to refine the E.O. 12333 implementing directive for the DHS Office of Information Analysis (OIA), including U.S. person information handling procedures, internal reporting and investigation processes, and general compliance with E.O. 12333 requirements. During the forthcoming quarter, the OIG and OGC expect to continue efforts on the directive, including eventual submission to the IOB and the Attorney General. However, at this time the OIG is not reporting on the intelligence activities of the OIA and has not conducted a verification of the reports submitted by the USCG. The Office of Inspector General plans to initiate oversight and verification reviews regarding the activities of the USCG and OIA and will provide a status report on these efforts as part of the next quarterly report.

Should you have any questions regarding these matters, feel free to contact the undersigned or the Department of Homeland Security's Deputy Associate General Counsel (Information Analysis), Mr. Matthew Kronisch, who may be reached at (202) [REDACTED]

Very truly yours,

Joe D. Whitley
General Counsel


Clark Kent Ervin
Inspector General

U.S. Department of
Homeland Security

United States
Coast Guard

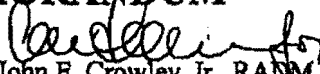



Commandant
United States Coast Guard

2100 Second Street, S.W.
Washington, DC 20593-0001
Staff Symbol: G-CG-2-L
Phone: [REDACTED]
Fax: [REDACTED]
Email: [REDACTED]

3810

MEMORANDUM


From: 
John E. Crowley, Jr., RADM
Judge Advocate General

Reply to: CG-2-L
Attn of: Mr. Bathen


To: General Counsel
Department of Homeland Security

Subj: INTELLIGENCE OVERSIGHT QUARTERLY REPORT

Ref: (a) Executive Orders 12333 and 12863
(b) Coast Guard Intelligence Activities, COMDTINST M3820.12 (series)
(c) Oversight of Coast Guard Intelligence Activities, COMDTINST 3821.14 (series)

1. This intelligence oversight quarterly report for the Coast Guard National Intelligence Element covers the period from July through September 2004 and is submitted in compliance with Executive Order 12333 and 12863. This is the Coast Guard's third quarterly report.
2. No reports of questionable or unlawful intelligence activities were received during the reporting period. There are no indications that Coast Guard intelligence activities have been conducted contrary to law, Executive Order or Presidential Directive.
3. During this quarter, recently assigned Coast Guard intelligence personnel at the Intelligence Coordination Center, Maritime Intelligence Fusion Center Atlantic, and Coast Guard Headquarters received the initial three-hour training block of instruction on Executive Order 12333 and regulatory provisions governing the conduct and oversight of Coast Guard Intelligence Program activities. Personnel who received the formal instruction last year are responsible for completing one-hour of annual refresher training.
4. The Coast Guard is working with the Office of Inspector General and the Office of General Counsel in developing policies and procedures for a department-wide intelligence oversight program covering all Intelligence Community components within the Department of Homeland Security.
5. Please call me at  if you have any questions.

#

Copy: Comdt (CG-2)
DHS Office of Inspector General

Randy
Office of Inspector General

U.S. Department of Homeland Security
Washington, DC 20528



**Homeland
Security**

May 23, 2005

Chairman, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, DC 20500

Re: Coast Guard Intelligence Oversight Quarterly Report

Dear Mr. Chairman:

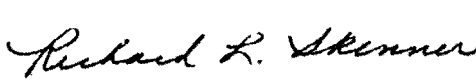
Pursuant to Executive Order 12863, we are forwarding to you the January-March 2005 Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG).

The Office of Inspector General (OIG) and the Office of General Counsel (OGC) continue to work on the directive implementing E.O. 12333 for the Office of Information Analysis, including US person information handling procedures, internal reporting and investigation processes, and general compliance with E.O. 12333 requirements. A draft of this directive is currently undergoing an informal review at the Department of Justice's Office of Intelligence Policy and Review. During the forthcoming quarter, the OIG and OGC will work to finalize this directive, including eventual submission to the Intelligence Oversight Board and the Attorney General. Furthermore, the Office of Information Analysis provided initial training to recently assigned intelligence personnel during this quarter. In addition to this effort, the OIG coordinated with the USCG to conduct an informal inspection of the USCG Intelligence Coordination Center's Intelligence Oversight program during the third quarter of 2005. We will include details of that inspection as part of the next quarterly report.

Should you have any questions regarding these matters, please contact the Department of Homeland Security's Deputy Associate General Counsel (Information Analysis), Matthew Kronisch, who may be reached at (202) [REDACTED] or Robert L. Ashbaugh, Assistant Inspector General for Inspections and Special Reviews, at (202) [REDACTED].

Sincerely,


Jason Klitenic
Acting General Counsel


Richard L. Skinner
Acting Inspector General

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Public Availability to be Determined Under 5 U.S.C. §552

U.S. Department of
Homeland Security

United States
Coast Guard



Commandant
United States Coast Guard

2100 Second Street, S.W.
Washington, DC 20593-0001
Staff Symbol: G-LMI
Phone: [REDACTED]
Fax: [REDACTED]
Email: [REDACTED]

3810

APR 15 2005

MEMORANDUM

From: *John E. Crowley, Jr.*
RDML John E. Crowley, Jr.
Judge Advocate General

Reply to: G-LMI
Attn of: LCDR Clemens
[REDACTED]

To: General Counsel
Department of Homeland Security

Subj: INTELLIGENCE OVERSIGHT QUARTERLY REPORT

Ref: (a) Executive Order 12333 and 12863
(b) Coast Guard Intelligence Activities, COMDTINST M3820.12 (series)
(c) Oversight of Coast Guard Intelligence Activities, COMDTINST 3821.14 (series)

1. This intelligence oversight quarterly report for the Coast Guard National Intelligence Element covers the period from January through March 2005 and is submitted in compliance with Executive Order 12333 and 12863.

2. No reports of questionable or unlawful intelligence activities were received during the reporting period. There are no indications that Coast Guard intelligence activities have been conducted contrary to law, Executive Order, or Presidential Directive.

3. During this quarter, recently assigned Coast Guard intelligence personnel at Headquarters (CG-2) received the initial training as required in reference (c) addressing Executive Order 12333 and the conduct and oversight of Coast Guard Intelligence Program activities. Additionally, annual refresher training was provided at Atlantic Area (A1), Maritime Intelligence Fusion Center Atlantic, and the Intelligence Coordination Center in accordance with reference (c). An informal oversight inspection was conducted by the Intelligence Oversight Officer (IOO) at the Pacific Area Intelligence Division and the IOO at the Maritime Intelligence Fusion Center Atlantic conducted spot checks of files. All informal inspections resulted in satisfactory evaluations of retained records and collection practices.

4. Please call me at [REDACTED] if there are any questions.

#

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DHS Office of Inspector General

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Office of Inspector General

U.S. Department of Homeland Security
Washington, DC 20528



**Homeland
Security**

SEP 28 2005

Chairman, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, DC 20500

Re: Coast Guard and Office of Information Analysis Intelligence Oversight Quarterly Report

Dear Mr. Chairman:

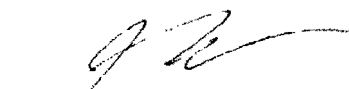
Pursuant to Executive Order 12863, we are forwarding to you the April-June 2005 Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG) and the Office of Information Analysis (IA).

The Office of Inspector General (OIG) and the Office of General Counsel (OGC) continue to work on the directive implementing E.O. 12333 for IA, including U.S. person information handling procedures, internal reporting and investigation processes, and general compliance with E.O. 12333 requirements. This document has been revised as the result of an informal review by the Department of Justice's Office of Intelligence Policy and Review. During the forthcoming quarter, the OIG and OGC will work to finalize this directive, including eventual submission to the Intelligence Oversight Board and the Attorney General. The Office of Information Analysis also provided initial training to recently assigned intelligence personnel during this quarter, and annual refresher training to a number of employees.

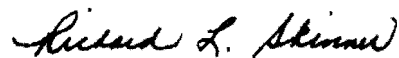
In addition to this effort, the OIG conducted an informal inspection of the USCG Intelligence Coordination Center's (ICC) Intelligence Oversight program on April 7, 2005. Our inspection confirmed that the ICC has a robust training program that provides effective initial and annual refresher Intelligence Oversight training to employees, has implemented safeguards in its operations to prevent violations of the rights of U.S. persons, and has established a systematic inspection plan to ensure adherence to E.O. 12333 and the USCG implementing documents. Random interviews of ICC personnel revealed that employees in each office were familiar with, and sensitive to, Intelligence Oversight issues, and knew where to turn when they had questions about Intelligence Oversight.

Should you have any questions, please contact the Department of Homeland Security's Deputy Associate General Counsel (Information Analysis), Mr. Matthew Kronisch, who may be reached at (202) [REDACTED] or Robert L. Ashbaugh, Assistant Inspector General for Inspections and Special Reviews, at (202) 254-4100.

Sincerely,



Philip J. Perry
General Counsel



Richard L. Skinner
Inspector General

Attachment: USCG Intelligence Oversight Quarterly Report

FOR OFFICIAL USE ONLY

Public Availability to be Determined Under 5 U.S.C. § 552

**U.S. Department of
Homeland Security**

**United States
Coast Guard**



Commandant
United States Coast Guard

2100 Second Street, S.W.
Washington, DC 20593-0001
Staff Symbol: G-LMI
Phone: [REDACTED]
Fax: [REDACTED]
Email: [REDACTED]

3810 JUL 14 2005

MEMORANDUM

From: *John E. Crowley, Jr.*
John E. Crowley, Jr., RDML
Judge Advocate General

Reply to G-LMI
Attn of: LCDR Clemens
[REDACTED]

To: General Counsel
Department of Homeland Security

Subj: INTELLIGENCE OVERSIGHT QUARTERLY REPORT

Ref: (a) Executive Order 12333 and 12836
(b) Coast Guard Intelligence Activities, COMDTINST M3820.12 (series)
(c) Oversight of Coast Guard Intelligence Activities, COMDTINST 3821.14 (series)

1. This intelligence oversight quarterly report for the Coast Guard National Intelligence Element (NIE) covers the period from April through June 2005 and is submitted in compliance with Executive Order 12333 and 12863.
2. One report of questionable activity was received during this period. The report led to a records review and correction of the markings on NIE records. Intelligence briefs were not properly marked as NIE records containing USPers information. All USPers data was properly collected and retained under ref (b). The affected component created a Standard Operational Procedure for watch standers and provided training on the matter to ensure that the errors are not repeated. No unlawful intelligence activities reports were received during this period. There are no indications that Coast Guard intelligence activities have been conducted contrary to law, Executive Order, or Presidential Directive.
3. During this quarter, annual refresher training was provided at Pacific Area (Pi), Maritime Intelligence Fusion Center Pacific, and the Intelligence Coordination Center (ICC) in accordance with reference (c). The Intelligence Oversight Officer at the Maritime Intelligence Fusion Center Atlantic conducted an informal oversight inspection. The informal inspection resulted in satisfactory evaluations of retained records and knowledge of NIE personnel.

Subj: INTELLIGENCE OVERSIGHT QUARTERLY REPORT

3810

JUL 14 2005

4. On 07 April 2005 the Department of Homeland Security Office of the Inspector General (Inspections, Evaluations and Special reviews) responsible for Intelligence Oversight visited the CG ICC for an orientation and overview visit. The briefings were well received.
5. Please call me at (b)(6) if there are any questions.

#

Copy: COMDT (CG-2)
DHS Office of Inspector General

Office of Inspector General

U.S. Department of Homeland Security
Washington, DC 20528



**Homeland
Security**

Chairman, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, DC 20500

Re: DHS Intelligence Oversight Quarterly Report

Dear Mr. Chairman:

Pursuant to Executive Order 12863, we are forwarding to you the October-December 2005 Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG) and the Office of Intelligence and Analysis (I&A).

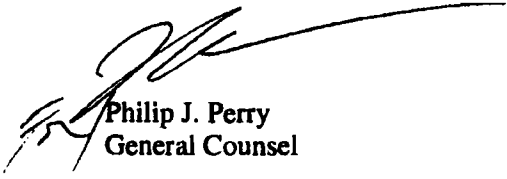
The Office of Inspector General (OIG) and the Office of General Counsel (OGC) continue to work on the directive implementing Executive Order 12333 for I&A, including U.S. Person information handling procedures, internal reporting and investigation processes, and general compliance with E.O. 12333 requirements. Although not in the reporting period, the directive was signed by the Secretary and submitted to the Attorney General this month. I&A also continues to provide initial training to recently assigned intelligence personnel, and annual refresher training to current employees.

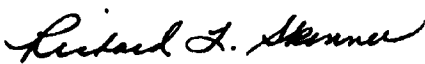
(b)(7)(A), (b)(7)(C)

With respect to the USCG, no reports of questionable activity were received from the USCG Intelligence Program. Recently assigned USCG intelligence personnel at headquarters received initial training as required to address Executive Order 12333 and the conduct and oversight of USCG Intelligence Program activities. Additionally, informal oversight inspections by the USCG were conducted at Pacific Area (Pi), Atlantic Area (Ai), and Maritime Intelligence Fusion Center, Pacific.

Should you have any questions regarding these matters, please contact the Department of Homeland Security's Associate General Counsel (Intelligence and Analysis), Mr. Matthew Kronisch, who may be reached at (U)(U) or Robert L. Ashbaugh, Assistant Inspector General for Inspections and Special Reviews at (202) 254-4100.

Sincerely,


Philip J. Perry
General Counsel


Richard L. Skinner
Inspector General

Attachment:
USCG Intelligence Oversight Quarterly Report

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U.S. Department of
Homeland Security

United States
Coast Guard



Public Availability to be Determined Under 5 U.S.C. § 552

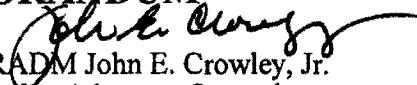
Commandant
United States Coast Guard

2100 Second Street, S.W.
Washington, DC 20593-0001
Staff Symbol: G-LMI
Phone: [REDACTED]
Fax: [REDACTED]
Email: [REDACTED]

3810

JAN 15 2006

MEMORANDUM


From: 
RADM John E. Crowley, Jr.
Judge Advocate General

Reply to: G-LMI
Attn of: LCDR Clemens


To: General Counsel
Department of Homeland Security

Subj: INTELLIGENCE OVERSIGHT QUARTERLY REPORT

Ref: (a) Executive Order 12333 and 12836
(b) Coast Guard Intelligence Activities, COMDTINST M3820.12 (series)
(c) Oversight of Coast Guard Intelligence Activities, COMDTINST 3821.14 (series)

1. This intelligence oversight quarterly report for the Coast Guard National Intelligence Element (NIE) covers the period from October through December 2005 and is submitted in compliance with Executive Order 12333 and 12863.
2. No reports of questionable activity were received during this period.
3. During this quarter, recently assigned Coast Guard intelligence personnel at Headquarters (CG-2) received the initial training as required in reference (c) addressing Executive Order 12333 and the conduct and oversight of Coast Guard Intelligence Program activities. Additionally, informal oversight inspections were conducted at Pacific Area (Pi), Atlantic Area (Ai) and Maritime Intelligence Fusion Center, Pacific.
4. Please call me at  if there are any questions.

#

Copy: COMDT (CG-2)
DHS Office of Inspector General

Office of Inspector General

*U.S. Department of Homeland Security
Washington, DC 20528*



**Homeland
Security**

JUL 17 2006

Chairman, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, DC 20500

Re: U.S. Coast Guard and DHS Office of Intelligence and Analysis Intelligence Oversight Quarterly Report

Dear Mr. Chairman:

Pursuant to Executive Order (EO) 12863, we are forwarding to you the January-March 2006 Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG) and the Office of Intelligence and Analysis (I&A).

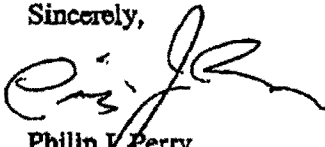
The Office of Inspector General (OIG) and the Office of General Counsel continue to work on the directive implementing EO 12333 for I&A, including U.S. person information handling procedures, internal reporting and investigation processes, and general compliance with EO 12333 requirements. I&A also continues to provide initial training for recently assigned intelligence personnel, and annual refresher training for current employees.

The USCG did not report any questionable activity during the reporting period. Recently assigned USCG National Intelligence Element (NIE) intelligence personnel at headquarters received initial training as required by COMDTINST 3821.14, the implementing directive that governs the oversight of USCG Intelligence Program activities. Additionally, refresher training was conducted at the Coast Guard Intelligence Coordination Center and the Coast Guard Maritime Intelligence Fusion Center, Atlantic (MIFC LANT). Internal informal oversight inspections were also conducted at Atlantic Area (Ai), Pacific Area (Pi), and MIFC LANT.


During the reporting period, the OIG conducted an informal inspection of the MIFC LANT Intelligence Oversight Program. We toured the facility, received briefings, and reviewed training records to monitor compliance with EO 12333 and COMDTINST M3820.12, the implementing directive that governs the conduct of USCG Intelligence Program activities. No indications of discrepancies or questionable activity were identified.

Should you have any questions regarding these matters, please contact the Department of Homeland Security's Associate General Counsel (Intelligence and Analysis), Mr. Matthew Kronisch, who may be reached at (202) [REDACTED] or Robert L. Ashbaugh, Assistant Inspector General for Inspections and Special Reviews at (202) 254-4100.

Sincerely,



Philip J. Perry
General Counsel



Richard L. Skinner
Inspector General

Attachment:
USCG Intelligence Oversight Quarterly Report

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U.S. Department of
Homeland Security

United States
Coast Guard



Commandant
United States Coast Guard

2100 Second Street, S.W.
Washington, DC 20583-0001
Staff Symbol: G-LMI
Phone: [REDACTED]
Fax: (202) [REDACTED]
Email: [REDACTED]

3810 APR 14 2006

MEMORANDUM

From: *[Signature]*
W. D. Baumbgartner, RDML
Judge Advocate General

Reply to G-LMI
Attn of: Mr. Tolbert
[REDACTED]

To: General Counsel
Department of Homeland Security

Subj: INTELLIGENCE OVERSIGHT QUARTERLY REPORT

Ref: (a) Executive Order 12333 and 12836
(b) Coast Guard Intelligence Activities, COMDTINST M3820.12 (series)
(c) Oversight of Coast Guard Intelligence Activities, COMDTINST 3821.14 (series)

1. This intelligence oversight quarterly report for the Coast Guard National Intelligence Element (NIE) covers the period from January through March 2006 and is submitted in compliance with Executive Order 12333 and 12863.
2. No reports of questionable activity were received during this period.
3. During this quarter, recently assigned NIE personnel at Headquarters (CG-2) received the initial training as required in reference (c) addressing Executive Order 12333 and the conduct and oversight of Coast Guard Intelligence Program activities. Additionally, refresher training as required in reference (c) addressing Executive Order 12333 was conducted at the Coast Guard Intelligence Coordination Center and Coast Guard Maritime Intelligence Fusion Center, Atlantic (MIFC LANT).
4. Informal oversight inspections were conducted at Pacific Area (Pi), Atlantic Area (Ai) and MIFC LANT.
5. An orientation and survey was facilitated by CG-2 personnel for the DHS Inspector General (IG) staff charged with Intelligence Oversight. The IG representatives toured Ai and MIFC LANT spaces and received briefings. The IG report on this trip is pending, but no indications of discrepancies or questionable activity were provided to the Coast Guard.
6. Please call me at [REDACTED] if there are any questions.

#

Copy: DNI, Office of General Counsel - 70 bits, Office of the Inspector General
Assistant Commandant for Intelligence and Criminal Investigations (CG-252)

Office of Intelligence and Analysis

U.S. Department of Homeland Security
Washington, DC 20525



Homeland
Security

April 2, 2006

Chairman, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, DC 20500

Re: U.S. Coast Guard and DHS Office of Intelligence and Analysis Intelligence Oversight Quarterly Report

Dear Mr. Chairman:

Pursuant to Executive Order (EO) 12863, we are forwarding to you the April-June 2006 Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG) and the Office of Intelligence and Analysis (I&A).

The Office of Inspector General (OIG) and the Office of General Counsel (OGC) continue to work on the directive implementing EO 12333 for I&A, including procedures for handling U.S. person information, internal reporting and investigation processes, and general compliance with EO 12333 requirements. The OIG and OGC are working together to incorporate feedback recently received from the Department of Justice into the draft directive. I&A also continues to provide initial training for recently assigned intelligence personnel, and annual refresher training for current employees.

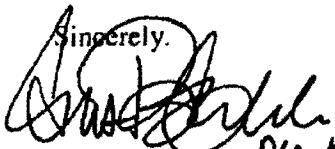
(b)(7)(C)
(b)(7)(E)
(b)(7)(F)

The USCG did not report any questionable activity during the reporting period. Recently assigned USCG National Intelligence Element intelligence personnel at the Coast Guard Intelligence Coordination Center (ICC) received initial training as required by COMDTINST 3821.14, the implementing directive that governs the oversight of USCG Intelligence Program activities. Additionally, refresher training for current employees was conducted at the ICC and the Coast Guard Maritime Intelligence Fusion Center, Pacific. Internal informal oversight inspections were also conducted at Atlantic Area and the Coast Guard Maritime Intelligence Fusion Center, Atlantic.


(b)(7)(E)
(b)(7)(F)

Should you have any questions regarding these matters, please contact the Department of Homeland Security's Associate General Counsel (Intelligence and Analysis), Mr. Matthew Kronisch, who may be reached at (202) [REDACTED] or Carlton Mann, Acting Assistant Inspector General for Inspections at (202) 254-4100.

Sincerely,


Philip J. Perry
General Counsel

Dep. A.C., for


Richard L. Skinner
Inspector General

Attachment:
USCG Intelligence Oversight Quarterly Report

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U.S. Department of
Homeland Security

United States
Coast Guard



Commandant
United States Coast Guard

2100 Second Street, S.W.
Washington, DC 20593-0001
Staff Symbol: G-LMI
Phone: [REDACTED]
Fax: [REDACTED]
Email: [REDACTED]

3810
JUL 19 2006

MEMORANDUM

From: ~~W. D. Baumgartner, RDML~~
Judge Advocate General

Reply to: G-LMI
Attn of: Mr. Tolbert
[REDACTED]

To: General Counsel
Department of Homeland Security

Subj: INTELLIGENCE OVERSIGHT QUARTERLY REPORT

Ref: (a) Executive Order 12333 and 12836
(b) Coast Guard Intelligence Activities, COMDTINST M3820.12 (series)
(c) Oversight of Coast Guard Intelligence Activities, COMDTINST 3821.14 (series)

1. This intelligence oversight quarterly report for the Coast Guard National Intelligence Element (NIE) covers the period from April through June 2006 and is submitted in compliance with Executive Order 12333 and 12863.
2. No reports of questionable activity were received during this period.
3. Refresher training, as required in reference (c), addressing Executive Order 12333 was conducted at the Coast Guard Intelligence Coordination Center (ICC), and the Coast Guard Maritime Intelligence Fusion Center (MIFC), Pacific. Additionally, new employee training was provided at the ICC.
4. Informal oversight inspections were conducted at Atlantic Area (Ai) and MIFC Atlantic.
5. Please call me at (b) [REDACTED] if there are any questions.

(6)

#

Copy: DHS, Office of Inspector General
Assistant Commandant for Intelligence and Criminal Investigations (CG-252)

Office of Inspector General

U.S. Department of Homeland Security
Washington, DC 20528



**Homeland
Security**

Chairman, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, DC 20500

Re: U.S. Coast Guard and Department of Homeland Security (DHS) Office of Intelligence and Analysis Intelligence Oversight Quarterly Report

Dear Mr. Chairman:

Pursuant to Executive Order (EO) 12863, we are forwarding to you the July-September 2006 Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG) and the Office of Intelligence and Analysis (I&A).

To address planned changes in the DHS intelligence enterprise, the Office of General Counsel and Office of Inspector General are currently revising the draft directive implementing EO 12333 for I&A. (b)(7)

(b)(7) All I&A staff have received initial training on EO 12333 compliance and questionable activity reporting, and I&A continues to provide refresher training for employees on an annual basis. I&A did not report any questionable activity during the fourth quarter of fiscal year 2006.

USCG conducted formal internal oversight inspections of Atlantic Area intelligence staff, its Atlantic and Pacific Maritime Intelligence Fusion Centers, and the Intelligence Coordination Center (ICC), to assess compliance with COMDTINST M3820.12, the implementing directive governing USCG Intelligence Program activities. USCG also provided refresher training for current employees at the ICC and Maritime Intelligence Fusion Center, Pacific. The USCG did not report any questionable activity during the reporting period.

Should you have any questions regarding these matters, please contact the DHS Associate General Counsel (Intelligence and Analysis), Mr. Matthew Kronisch, who may be reached at (202) (b)(7) or Mr. Carlton I. Mann, Assistant Inspector General for Inspections at (202) 254-4100.

Sincerely,

Philip J. Perry
General Counsel

Richard L. Skinner
Inspector General

Attachment:
USCG Intelligence Oversight Quarterly Report

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U.S. Department of
Homeland Security

United States
Coast Guard



Commandant
United States Coast Guard

2100 Second Street, S.W.
Washington, DC 20593-0001
Staff Symbol: G-LMI
Phone: [REDACTED]
Fax: [REDACTED]
Email: [REDACTED]

3810
NOV 7

MEMORANDUM

From: *[Signature]*
W. B. Baumgartner, RDML
Judge Advocate General

Reply to: G-LMI
Attn of: Mr. Tolbert
[REDACTED]

To: General Counsel
Department of Homeland Security

Subj: INTELLIGENCE OVERSIGHT QUARTERLY REPORT

Ref: (a) Executive Order 12333 and 12836
(b) Coast Guard Intelligence Activities, COMDTINST M3820.12 (series)
(c) Oversight of Coast Guard Intelligence Activities, COMDTINST 3821.14 (series)

1. This intelligence oversight quarterly report for the Coast Guard National Intelligence Element (NIE) covers the period from July through September 2006 and is submitted in compliance with Executive Order 12333 and 12863.
2. No reports of questionable activity were received during this period. Legal advice was obtained by the Intelligence Coordination Center (ICC) regarding the ability to use imagery capability to support Coast Guard operations.
3. Refresher training, as required in reference (c), addressing Executive Order 12333 was conducted at the Coast Guard ICC, and the Coast Guard Maritime Intelligence Fusion Center (MIFC), Pacific.
4. Formal oversight inspections were conducted at Atlantic Area intelligence staff, MIFC Atlantic, MIFC Pacific, and the ICC. The results of these inspections are currently being prepared, although no significant discrepancies or questionable activity have been noted.
5. Please call me at (010) [REDACTED] if there are any questions.

#

Copy: General Counsel, President's Foreign Intelligence Advisory Board
Inspector General, Department of Homeland Security (Inspections, Evaluations, and
Special Reviews)
Assistant Commandant for Intelligence and Criminal Investigations (CG-252)

Office of Inspector General

U.S. Department of Homeland Security
Washington, DC 20528



**Homeland
Security**

Darlene Connelly
General Counsel, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, DC 20500

Re: U.S. Coast Guard and Department of Homeland Security (DHS) Office of Intelligence and Analysis Intelligence Oversight Quarterly Report

Dear Ms. Connelly:

Pursuant to Executive Order (EO) 12863, we are forwarding to you the October-December 2006 Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG) and information about the intelligence oversight activities of the Office of Intelligence and Analysis (I&A).

b(2) high
I&A continues to provide initial training on EO 12333 compliance and questionable activity reporting to incoming staff, and annual refresher training to continuing employees. During the first quarter of fiscal year 2007, I&A conducted five training sessions and briefed [REDACTED] [REDACTED] I&A did not report any questionable activity during the reporting period.

USCG conducted informal inspection of its Atlantic Maritime Intelligence Fusion Center, and provided refresher training to staff at the Coast Guard Intelligence Coordination Center. The USCG did not report any questionable activity during the reporting period.

Should you have any questions regarding these matters, please contact Mr. Matthew Kronisch DHS Associate General Counsel, I&A, who may be reached at (202) [REDACTED] or Mr. Carlton I. Mann, Assistant Inspector General for Inspections at (202) 254-4100.

Sincerely,

Gus P. Coldebella
General Counsel (Acting)

Handwritten signature of Richard L. Skinner in cursive.
Richard L. Skinner
Inspector General

Attachment:
USCG Intelligence Oversight Quarterly Report

Office of Inspector General

U.S. Department of Homeland Security
Washington, DC 20528



Homeland
Security

Darlene Connelly
General Counsel, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, DC 20500

Re: U.S. Coast Guard and Department of Homeland Security (DHS) Office of Intelligence and Analysis Intelligence Oversight Quarterly Report

Dear Ms. Connelly:

Pursuant to Executive Order (EO) 12863, we are forwarding to you the January-March 2007 Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG) and information about the intelligence oversight activities of the Office of Intelligence and Analysis (I&A).

I&A continues to provide initial training on EO 12333 compliance and questionable activity reporting to incoming staff, and annual refresher training to continuing employees. [REDACTED] (b)(7)(e)

[REDACTED]
[REDACTED]
[REDACTED] I&A did not report any questionable activity during the reporting period.

USCG conducted informal oversight inspection of its Pacific Area, and provided refresher training to staff at the Coast Guard Intelligence Coordination Center (ICC). USCG also delivered initial training to incoming personnel at Coast Guard headquarters and its Pacific Area. The USCG did not report any questionable activity during the reporting period.

Should you have any questions, please contact Mr. Matthew Kronisch, DHS Associate General Counsel, I&A, who may be reached at (202) [REDACTED] or Mr. Carlton I. Mann, Assistant Inspector General for Inspections at (202) 254-4100.

Sincerely,

Gus P. Coldebella

Princ. Rep. General Counsel (Acting)

Richard L. Skinner
Inspector General

Attachment:
USCG Intelligence Oversight Quarterly Report

FOR OFFICIAL USE ONLY

Public Availability to be Determined Under 5 U.S.C. § 552

U.S. Department of
Homeland Security

United States
Coast Guard



Commandant
United States Coast Guard

2100 Second Street, S.W.
Washington, DC 20593-0001
Staff Symbol: CG-0941
Phone: [REDACTED]
Fax: [REDACTED]
Email: [REDACTED]

3810

APR 20 2007

MEMORANDUM

From: *W. B. Baumgartner* RDM
Judge Advocate General

Reply to: Mr. Tolbert
Attn of: COMDT (CG-0941)

To: General Counsel
Department of Homeland Security

Subj: INTELLIGENCE OVERSIGHT QUARTERLY REPORT

Ref: (a) Executive Order 12333 and 12836
(b) Coast Guard Intelligence Activities, COMDTINST M3820.12 (series)
(c) Oversight of Coast Guard Intelligence Activities, COMDTINST 3821.14 (series)

1. This intelligence oversight quarterly report for the Coast Guard National Intelligence Element (NIE) covers the period from January through March 2007 and is submitted in compliance with Executive Order 12333 and 12863.
2. No reports of questionable activity were received during this period.
3. During this quarter, recently assigned Coast Guard intelligence personnel at Headquarters (CG-2) and PACAREA(pi) received the initial training as required in reference (c) addressing Executive Order 12333. Additionally annual refresher training was given to employees at the Intelligence Coordination Center.
4. The bi-annual formal intelligence oversight inspection report conducted for all CG NIE components was forwarded to me in accordance with reference (c). Additionally, an informal oversight inspection was conducted at Pacific Area (Pi).
5. Please call me at (000) [REDACTED] if you have any questions.

#

Copy: General Counsel, President's Foreign Intelligence Advisory Board
General Counsel, Office of the Director of National Intelligence
Inspector General, Department of Homeland Security (Inspections, Evaluations, and Special Reviews)
Assistant Commandant for Intelligence and Criminal Investigations (CG-252)

Office of Inspector General

U.S. Department of Homeland Security
Washington, DC 20528



**Homeland
Security**

Darlene Connelly
General Counsel, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, DC 20500

Re: United States Coast Guard and Department of Homeland Security Office of Intelligence and
Analysis Intelligence Oversight Quarterly Report

Dear Ms. Connelly:

Pursuant to Executive Order (EO) 12863, we are forwarding to you the April – June 2007
Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG) and information
about the intelligence oversight activities of the Office of Intelligence and Analysis (I&A).

I&A did not report any questionable activity over the quarter. (b) (7)(C)

(b) (5)
(b) (7)(C)


The USCG reported one possible case of unlawful activity during the quarter. A USCG employee
serving in the (b) (7)(C) became the subject of an investigation (b) (7)(C)

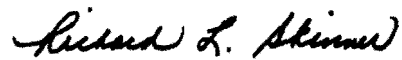
(b) (7)(C) The case was referred to the Federal Bureau of Investigation, and the
(b) (7)(C) and returned to USCG.

Both I&A and USCG provided initial EO 12333 training to newly assigned intelligence personnel,
and annual refresher training to continuing employees. In addition, USCG conducted an informal
intelligence oversight inspection at its Maritime Intelligence Fusion Center Atlantic.

Should you have any questions, please contact Mr. Matthew Kronisch, DHS Associate General Counsel, I&A, who may be reached at 202-[REDACTED], or Mr. Carlton I. Mann, Assistant Inspector General for Inspections at 202-254-4100.

Sincerely,


Gus P. Cordebella
General Counsel, *Retiring*



Richard L. Skinner
Inspector General

cc: Deputy Inspector General for Oversight and Policy, Office of the Director of National Intelligence

Attachments:
IOB Quarterly Reporting Form
USCG Intelligence Oversight Quarterly Report

Intelligence Oversight Board (IOB) Summary Sheet

Name of Department or Agency:
Department of Homeland Security
DATE OF INCIDENT:

CY Quarterly Report:
CY 2007 2nd Qtr
DATE OF REPORT TO IOB:
15 July 2007

Date of Report to Department: **31 July 2007**

Date Report submitted to other entity (i.e., DoJ, Congress, Civil Liberties Office): **N/A**

POSSIBLE VIOLATION OF:

Statute (United States Code Citation)

 FISA (50 U.S.C. 1801)
XX Other (U.S.C. Citation 50 U.S.C. 783)

Executive Order 12333:

 Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG APPROVED EO 12333 GUIDELINES (Guideline name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

 Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

 COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: { USCG referred the case to the FBI for investigation. Investigation is ongoing.

***DATE OF FINAL DISPOSITION:** }

FOR OFFICIAL USE ONLY
Public Availability to be Determined Under 5 U.S.C. § 552

U.S. Department of
Homeland Security

United States
Coast Guard



Commandant
United States Coast Guard

2100 Second Street, S.W.
Washington, DC 20583-0001
Staff Symbol: CG-0941
Phone: [REDACTED]
Fax: [REDACTED]
Email: [REDACTED]

3810

JUL 27 2007

MEMORANDUM

From: *C. M. Eederer* 7/26/07
C. M. Eederer
Acting Judge Advocate General

Reply to: Mr. Tolbert
Attn of: COMDT (CG-0941)

To: General Counsel
Intelligence Oversight Board

Subj: INTELLIGENCE OVERSIGHT QUARTERLY REPORT

Ref: (a) Executive Order 12333 and 12836
(b) Coast Guard Intelligence Activities, COMDTINST M3820.12 (series)
(c) Oversight of Coast Guard Intelligence Activities, COMDTINST 3821.14 (series)
(d) White House ltr 1893 dtd 17 April 07 from Mr. Stephen J. Hadley
SUBJ: Intelligence Oversight Board Reporting Criteria
(e) White House ltr 3501 dtd 18 Aug 06 from Mr. Stephen J. Hadley
SUBJ: Intelligence Oversight Board Reporting
(f) Memorandum dtd 14 June 2007 from Director J.M. McConnell and Chairman
Stephen Friedman SUBJ: Intelligence Oversight Board Reporting Criteria

1. This is the fiscal year 2007 Coast Guard National Intelligence Element (NIE) intelligence oversight quarterly report for April-June 2007. It is submitted in compliance with Executive Order 12333 and 12863 and references (a)-(f). Each NIE component provides its written report to NIE counsel, who then consolidate same and report additional issues, if any, to this office.
2. A Coast Guard Attaché was returned to the Coast Guard from the Defense Intelligence Agency based on security concerns. That case was referred to the Federal Bureau of Investigation as required by 50 U.S.C. §402a(e) to determine if 50 U.S.C. §783 issues are present. Investigation of the matter is ongoing. No other reports of questionable activity were received during this period.
3. During this quarter, recently assigned Coast Guard intelligence personnel at Coast Guard Intelligence Coordination Center received the initial training as required in reference (c) addressing Executive Order 12333. Additionally annual refresher training was given to employees at the Maritime Intelligence Fusion Centers (Atlantic and Pacific).
4. An informal oversight inspection was conducted at Maritime Intelligence Fusion Center Atlantic.

JUL 27 2007

5. Enclosure (1) is the summary report required by references (d) thru (f). Please call (202) 372-2713/4 with questions or requests for additional information.

#

Encl: (1) Intelligence Oversight Board (IOB) Summary Sheet

Copy: General Counsel, Department of Homeland Security
General Counsel, Office of the Director of National Intelligence
Inspector General, Department of Homeland Security
(Inspections, Evaluations, and Special Reviews)
Assistant Commandant for Intelligence and Criminal Investigations (CG-252)

Intelligence Oversight Board (IOB) Summary Sheet

Name of Department or Agency: Coast Guard CY Quarterly Report: 2007 2nd Qtr

DATE OF INCIDENT: NTR

DATE OF REPORT TO IOB:

15 July 07

Date of Report to Department/Agency: 15 July 07

Date Report submitted to other entity (i.e., DoJ, Congress, Civil Liberties Office): NA

POSSIBLE VIOLATION OF:

Statute (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. Citation _50 U.S.C. 783 _)

Executive Order 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG APPROVED EO 12333 GUIDELINES (Guideline name and section: ____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: NA

*DATE OF FINAL DISPOSITION: NA

ENCLOSURE (1)

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U.S. Department of Homeland Security
Washington, DC 20528



Homeland
Security

Rondaline Craft
Acting General Counsel, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, DC 20500

Re: United States Coast Guard and Department of Homeland Security Office of Intelligence and Analysis Intelligence Oversight Quarterly Report

Dear Ms. Craft:

Pursuant to Executive Order (EO) 12863, we are forwarding to you the July – September 2007 Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG) and information about the intelligence oversight activities of the Office of Intelligence and Analysis (I&A).

Neither I&A, nor the intelligence elements of the USCG reported any questionable activities during the quarter. I&A welcomed its first full-time intelligence oversight officer in early September. Both I&A and USCG provided EO 12333 training to newly assigned intelligence employees, and I&A offered annual refresher training to continuing staff.

We have no new information on the investigation of the (b) (7)(C) referenced in our April – June 2007 quarterly report, as the matter is still under review by the Federal Bureau of Investigation.

Should you have any questions, please contact Mr. Matthew Kronisch, DHS Associate General Counsel, I&A, who may be reached at 202-(b) (6), or Mr. Carlton I. Mann, Assistant Inspector General for Inspections at 202-254-4100.

Sincerely,

Handwritten signature of Gus P. Coldebella in black ink.

Gus P. Coldebella
Acting General Counsel

Handwritten signature of Richard L. Skinner in black ink.

Richard L. Skinner
Inspector General

(b) (7)(C)

(b) (7)(A) (b) (7)(C)

[Redacted block]

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**cc: Deputy Assistant Inspector General for Oversight and Policy, Office of the Director of
National Intelligence**

Attachments:

IOB Summary Sheet

USCG Intelligence Oversight Quarterly Report, 24 October 2007

UNCLASSIFIED//FOR OFFICIAL USE ONLY

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Intelligence Oversight Board (IOB) Summary Sheet

Name of Department or Agency:
Department of Homeland Security
DATE OF INCIDENT:
Nothing to Report

CY Quarterly Report:
CY 2007 3rd Qtr
DATE OF REPORT TO IOB:
31 October 2007

Date of Report to Department: 24 October 2007

Date Report submitted to other entity (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

Statute (United States Code Citation)
____ FISA (50 U.S.C. 1801)
____ Other (U.S.C. Citation _____)

Executive Order 12333:
____ Section 2.3 collection & dissemination of USP info
____ Section 2.4 preamble: least intrusive collection
____ Section 2.4(a) CIA electronic surveillance w/in US
____ Section 2.4(b) unconsented physical search w/in US
____ Section 2.4(c) physical surveillance of USP w/in US
____ Section 2.4(d) physical surveillance of USP abroad
____ Section 2.5 AG approval w/in US or against USP abroad
____ Section 2.6 assistance to law enforcement
____ Section 2.7 contracting
____ Section 2.9 undisclosed participation
____ Section 2.10 human experimentation
____ Section 2.11 prohibition on assassination
____ Section 2.12 indirect participation
____ AG APPROVED EO 12333 GUIDELINES (Guideline name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)
____ Creation of unauthorized SAP (EO 12958, as amended)
____ Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)
____ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
____ Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: NA

*DATE OF FINAL DISPOSITION: NA

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FOR OFFICIAL USE ONLY
Public Availability to be Determined Under 5 U.S.C. § 552

U.S. Department of
Homeland Security

United States
Coast Guard



Commandant
United States Coast Guard

2100 Second Street, S.W.
Washington, DC 20693-0001
Staff Symbol: CG-0941
Phone: [REDACTED]
Fax: (202) [REDACTED]
Email: [REDACTED]

3810

OCT 24 2007

MEMORANDUM

From: W. D. Maurigartner, RDML
Judge Advocate General

Reply to: Mr. Tolbert
Attn of: COMDT (CG-0941)

To: General Counsel
Intelligence Oversight Board

Subj: INTELLIGENCE OVERSIGHT QUARTERLY REPORT

Ref: (a) Executive Order 12333 and 12836
(b) Coast Guard Intelligence Activities, COMDTINST M3820.12 (series)
(c) Oversight of Coast Guard Intelligence Activities, COMDTINST 3821.14 (series)
(d) White House ltr 1893 dtd 17 April 07 from Mr. Stephen J. Hadley
SUBJ: Intelligence Oversight Board Reporting Criteria
(e) White House ltr 3501 dtd 18 Aug 06 from Mr. Stephen J. Hadley
SUBJ: Intelligence Oversight Board Reporting Criteria
(f) Memorandum dtd 14 June 07 from Director J.M. McConnell and Chairman Stephen
Freidman SUBJ: Intelligence Oversight Board Reporting Criteria

1. Period of Report: This is the fiscal year 2007 Coast Guard National Intelligence Element (NIE) intelligence oversight quarterly report for July-September 2007. It is submitted in compliance with references (a) - (f). Intelligence Oversight Officers for the NIE components provide written reports to NIE counsel, who then consolidate same and report additional issues, if any, to this office.

2. Headquarters: There is no additional information concerning possible security compromises by or through a commissioned officer Attache, as discussed in the April-June 2007 quarterly report. That officer was returned to Coast Guard from his Defense Intelligence Agency posting. The Federal Bureau of Investigation was referred the case, and is continuing the investigation. Addressees will be advised of any new or updated information that arises before the next quarterly report.

Eleven new headquarters personnel reported aboard in the last quarter. This group, and those arriving by 15 November will receive formal training as required in reference (c) on that date.

3. Intelligence Coordination Center: There were no questionable activities at this command. All recently assigned NIE personnel at ICC received the formal training as required in reference (c).

4. Maritime Intelligence Fusion Center Atlantic (MIFCLANT) / Atlantic Area Intelligence (AI): There were no questionable activities reported at either command. All recently assigned NIE personnel in these commands received the formal training as required in reference (c). AI completed an informal inspection with no discrepancies. AI's expanded Sensitive Compartmented Information Facility (SCIF) was inspected and certified.

Intelligence Oversight Board (IOB) Summary Sheet

Name of Department or Agency: Coast Guard CY Quarterly Report: 2007 3rd Qtr

DATE OF INCIDENT: *Nothing to Report (NTR)* DATE OF REPORT TO IOB: 19 Oct 07

Date of Report to Department/Agency: 19 Oct 07

Date Report submitted to other entity (i.e., DoJ, Congress, Civil Liberties Office): NA

POSSIBLE VIOLATION OF:

Statute (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. Citation: 50 U.S.C. 783)

Executive Order 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
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- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG APPROVED EO 12333 GUIDELINES (Guideline name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: NA

*DATE OF FINAL DISPOSITION: NA

U.S. Department of Homeland Security
Washington, DC 20528



Homeland Security

Homer Pointer
General Counsel, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, DC 20500

Re: United States Coast Guard and Department of Homeland Security Office of Intelligence and Analysis Intelligence Oversight Quarterly Report

Dear Mr. Pointer:

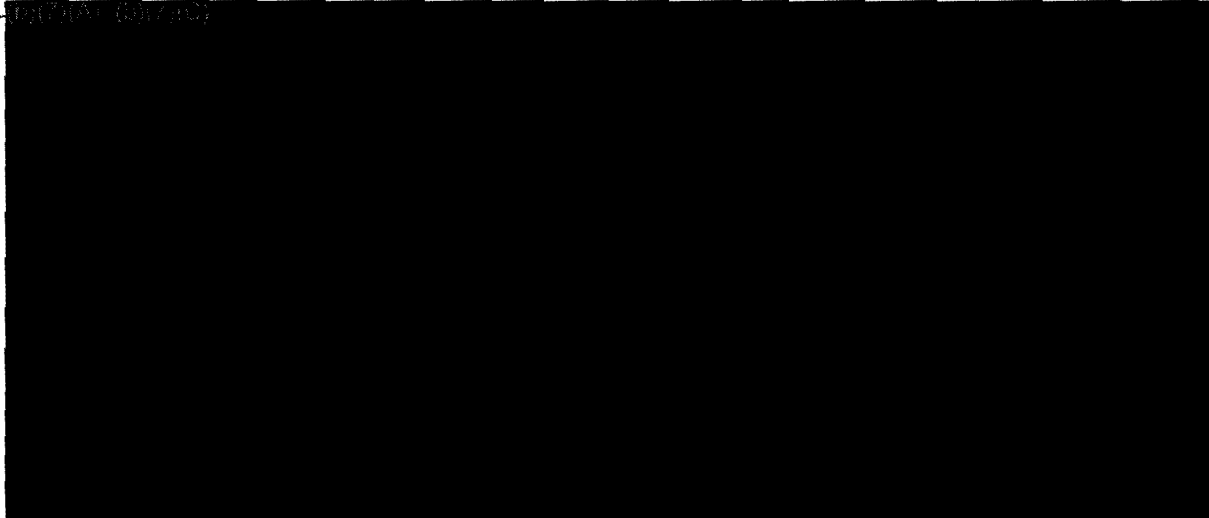
Pursuant to Executive Order (EO) 12863, we are forwarding to you the October 2007 – December 2007 Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG) and information about the intelligence oversight activities of the Office of Intelligence and Analysis (I&A).

(b)(7)(A) (b)(7)(C)



b(7)(A)
b(7)(C)

(b)(7)(A) (b)(7)(C)



(b)(7)(A)
(b)(7)(C)

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(b)(5), (b)(7)(A), (b)(7)(C)

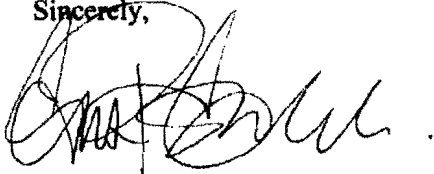
(b)(7)A
(b)(7)C
(b)E

The intelligence elements of the USCG did not report any questionable activities during the quarter.

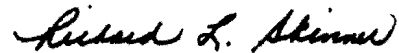
Both I&A and USCG provided EO 12333 training to newly assigned intelligence employees, and I&A offered annual refresher training to continuing staff.

Should you have any questions, please contact Mr. Matthew Kronisch, DHS Associate General Counsel (Intelligence), who may be reached at 202-~~(b)(6)~~ or Mr. Carlton I. Mann, Assistant Inspector General for Inspections at 202-254-4100.

Sincerely,



Gus P. Coldebella
Acting General Counsel



Richard L. Skinner
Inspector General

cc: Deputy Assistant Inspector General for Oversight and Policy, Office of the Director of National Intelligence
Senior Associate General Counsel, Office of the Director of National Intelligence
Senior Counsel, Intelligence and Criminal Investigations Directorate, USCG
Intelligence Oversight Officer, I&A

Attachments:

IOB Summary Sheet, 4th Quarter 2007

USCG Intelligence Oversight Quarterly Report, 4 February 2008

DHS OIG Semiannual report

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Intelligence Oversight Board (IOB) Summary Sheet

Name of Department or Agency:
Department of Homeland Security
DATE OF INCIDENT:
20 September 2007

CY Quarterly Report:
CY 2007 4th Qtr
DATE OF REPORT TO IOB:

Date of Report to Department: **28 September 2007**

Date Report submitted to other entity (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

Statute (United States Code Citation)
 FISA (50 U.S.C. 1801)
 Other (U.S.C. Citation _____)

Executive Order 12333:
 Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG APPROVED EO 12333 GUIDELINES

OTHER EXECUTIVE ORDER (SPECIFY)
 Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation: E.O. 12968 and E.O. 12674)

PRESIDENTIAL DIRECTIVE (SPECIFY)
 COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation: _____)

[REDACTED]

(b)(7)(A)(C)

*DATE OF FINAL DISPOSITION: N/A

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Intelligence Oversight Board (IOB) Summary Sheet

Name of Department or Agency:
Department of Homeland Security
DATE OF INCIDENT:
August 2007 - 4 October 2007

CY Quarterly Report:
CY 2007 4th Qtr
DATE OF REPORT TO IOB:
17 October 2007

Date of Report to Department: **4 October 2007**

Date Report submitted to other entity (i.e., DoJ, Congress, Civil Liberties Office): **N/A**

POSSIBLE VIOLATION OF:

Statute (United States Code Citation)
 FISA (50 U.S.C. 1801)
 Other (U.S.C. Citation _____)

Executive Order 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
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- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation

AG APPROVED EO 12333 GUIDELINES (Guideline name and section: _____)



OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

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(b)(5), (b)(7)(C)



(b)(5)
(b)(7)(C)

*DATE OF FINAL DISPOSITION: N/A

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UNCLASSIFIED//FOR OFFICIAL USE ONLY

U.S. Department of Homeland Security
Washington, DC 20528



**Homeland
Security**

Homer Pointer
General Counsel, Intelligence Oversight Board
New Executive Office Building, Room 5020
Washington, DC 20500

Re: United States Coast Guard and Department of Homeland Security, Office of Intelligence and
Analysis Intelligence Oversight Quarterly Report

Dear Mr. Pointer:

Pursuant to Executive Order (EO) 13462, we are forwarding to you the January 2008 – March 2008
Intelligence Oversight Quarterly Report of the United States Coast Guard (USCG) and Office of
Intelligence and Analysis (I&A).

(b)(5), (b)(7)(A), (b)(7)(C)
(b)(5)
(b)(7)(A), (b)(7)(C)

(b)(5)

(b)(7)(A), (b)(7)(C)
(b)(7)(A), (b)(7)(C)

The intelligence elements of the USCG did not report any questionable activities during the quarter.

The USCG's Counterintelligence Service continues to monitor and assist with four cases the USCG
referred to the Federal Bureau of Investigation in previous quarters, pursuant to 50 U.S.C. §402a and
28 U.S.C. §533.

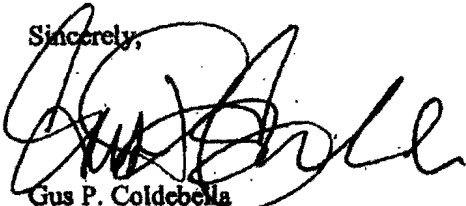
UNCLASSIFIED//FOR OFFICIAL USE ONLY

UNCLASSIFIED//FOR OFFICIAL USE ONLY

Both I&A and USCG conducted informal intelligence oversight inspections and provided EO 12333 training to newly assigned intelligence employees. I&A also conducted annual refresher training for its staff. In addition, I&A held an intelligence oversight workshop for personnel assigned to State and Local Fusion Centers, and is developing I&A mission category/collection and intelligence oversight checklists for those personnel. These checklists will ensure that I&A personnel supporting State and Local Fusion centers maintain compliance with EO 12333 and applicable intelligence oversight regulations and guidance. I&A reports its close consultation between attorneys, intelligence oversight staff, and analysts in two analytical divisions to ensure that they properly protect civil rights and liberties in conducting analytical and information gathering activities.

Should you have any questions, please contact Mr. Matthew L. Kronisch, DHS Associate General Counsel for Intelligence, who may be reached at 202-[REDACTED] or Mr. Carlton I. Mann, Assistant Inspector General for Inspections at 202-254-4100.

Sincerely,



Gus P. Coldebella
Acting General Counsel



Richard L. Skinner
Inspector General

cc: Deputy Assistant Inspector General for Oversight and Policy, Office of the Director of National Intelligence
Senior Associate General Counsel, Office of the Director of National Intelligence
Senior Counsel, Intelligence and Criminal Investigations Directorate, USCG
Intelligence Oversight Officer, I&A

Attachments:

IOB Summary Sheet, 1st Quarter 2008
USCG Intelligence Oversight Quarterly Report, May 2008
I&A Intelligence Oversight Quarterly Report, April 2008
I&A Intelligence Oversight Officer Report of Inquiry on I&A Intelligence Note, March 2008
I&A Under Secretary Comments on Report of Inquiry on I&A Intelligence Note, March 2008

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Intelligence Oversight Board (IOB) Summary Sheet

Name of Department or Agency:
Department of Homeland Security
DATE OF INCIDENT:
20 September 2007

CY Quarterly Report:
CY 2008 1st Qtr
DATE OF REPORT TO IOB:

Date of Report to Department: 28 September 2007

Date Report submitted to other entity (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

Statute (United States Code Citation)
 FISA (50 U.S.C. 1801)
 Other (U.S.C. Citation _____)

Executive Order 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG APPROVED EO 12333 GUIDELINES

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: E.O. 12968 and E.O. 12674)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

(b)(7)(A)(C)

DEPARTMENT/AGENCY ACTION:



*DATE OF FINAL DISPOSITION: N/A

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Public Availability to be Determined Under 5 U.S.C. §552

U.S. Department of
Homeland Security



United States
Coast Guard

Commandant
United States Coast Guard

2100 Second Street, S.W.
Washington, DC 20593-0001
Staff Symbol: CG-094
Phone: [REDACTED]
Fax: [REDACTED]

MEMORANDUM

MAY 05 2008

3810

From:  W. D. Baumgartner, RDML
Judge Advocate General


Reply to: CG-0941
Attn of: Mr. Tolbert

To: General Counsel
Department of Homeland Security

General Counsel
Intelligence Oversight Board

Subj: CONSOLIDATED INTELLIGENCE OVERSIGHT AND INTELLIGENCE
OVERSIGHT BOARD QUARTERLY REPORT

Ref: (a) Executive Order 12333 and 12836
(b) Memorandum dtd 14 June 07 from Director J.M. McConnell and Chairman Stephen Friedman SUBI: Intelligence Oversight Board Reporting Criteria.
(c) Coast Guard Intelligence Activities, COMDTINST M3820.12 (series)
(d) Oversight of Coast Guard Intelligence Activities, COMDTINST 3821.14 (series)

1. This intelligence oversight quarterly report for the Coast Guard National Intelligence Element covers the period from January through March 2008 and is submitted in compliance with references (a) and (b).
2. No reports of questionable or unlawful intelligence activities were received during the reporting period. There are no indications that Coast Guard intelligence activities have been conducted contrary to law, Executive Order, or Presidential Directive.
3. During this quarter, recently assigned Coast Guard intelligence personnel at Maritime Intelligence Fusion Center Atlantic received the initial training as required in reference (c). An informal oversight inspection was conducted by the Intelligence Oversight Officer (IOO) at the Maritime Intelligence Fusion Center Atlantic. All informal inspections resulted in satisfactory evaluations of retained records and collection practices.
4. CGCIS continues to monitor and assist the Federal Bureau of Investigation (FBI) with four cases referred to the FBI as required by 50 U.S.C. §402a or 28 U.S.C. §533.
5. Please call Mr. Tolbert at  if there are any questions.

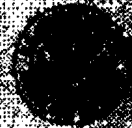
#

Copy: COMDT (CG-2)
DHS Office of Inspector General
DNI General Counsel

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U.S. Department of Homeland Security
Washington, DC 20528



Homeland
Security

April 15, 2008

MEMORANDUM FOR: Gus Corbelli
Acting General Counsel

Richard Skitsis
Inspector General

FROM: L. A. "Old" Broughton
Intelligence Oversight Officer

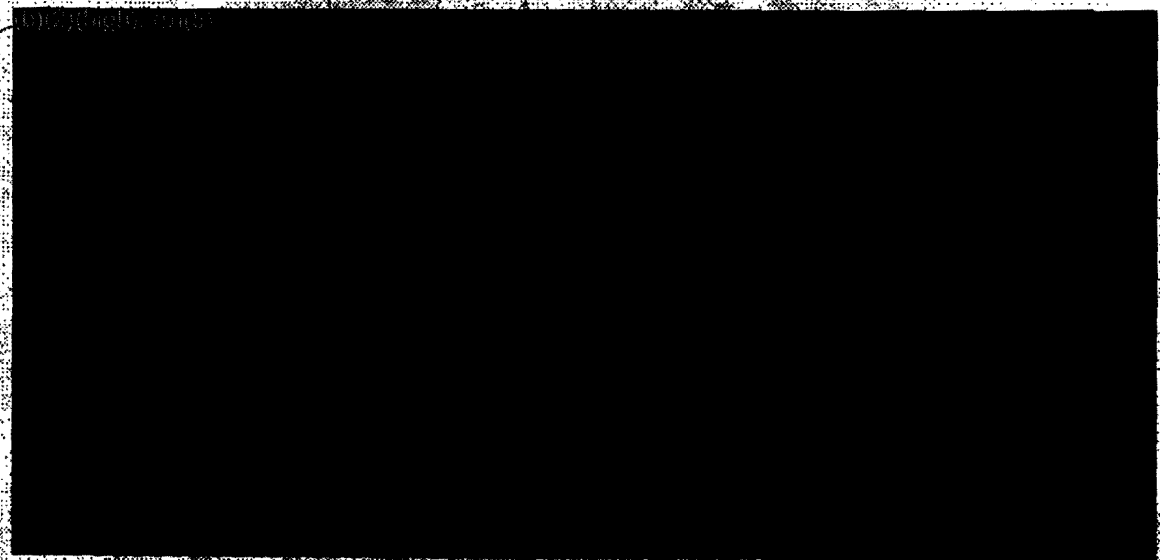
A handwritten signature in black ink, appearing to read "L. A. Broughton".

SUBJECT: Intelligence Oversight Quarterly Report

This is the Office of Intelligence and Analysis (OIA) Quarterly Intelligence Oversight Report for the period January 1, 2008 to March 31, 2008. This is OIA's final report.

Executive Order 13467, President's Intelligence Advisory Board and Intelligence Oversight Board was signed by the President on February 29, 2008. It replaced Executive Order 12863. The new directive requires the Director of National Intelligence (DNI) to provide guidance to members of the Intelligence Community (IC) regarding the submission of intelligence oversight reports on a quarterly basis. Until the DNI issues formal guidance, OIA will continue to submit quarterly intelligence oversight reports.

(b)(5)
) (b)(7)(C)



(b)(5)

[Redacted]

b)(7)(e)
b)(2) high

[Redacted]

Intelligence Oversight training was held for IEA personnel on February 17, 2008 and March 25, 2008. Additionally, a workshop was held on March 17, 2008 with all of the IEA Field Representatives assigned to State and local justice centers, the day of the formal State and Local Fusion Center Certification. Discussions centered on IEA responsibilities for Oversight Program as well as how to mark and maintain for DSS Person information that has been collected and retained by the field reps for intelligence purposes. Additionally, several proposals were brought up by the field reps and discussed. It was suggested that the Office of General Counsel draft an IEA information collection - collection checklist for the field reps. The policy from the Office of General Counsel (Intelligence) was the suggestion for action. It was also suggested that an intelligence oversight checklist be developed for the field reps to ensure that same they remain in compliance with IEA intelligence program rules, policies, and spot checks. The Intelligence Oversight Office will draft a checklist and disseminate it to the field reps.

An Informal Intelligence Oversight Inspection Agency Visitor Collection and Requirements (CR) Division was conducted on March 26-27, 2008. The purpose of the visit was to see where the Division is in regards to intelligence oversight compliance, areas of improvement, and provide assistance. The Intelligence Oversight Office will be conducting more visits with all of the Divisions within IEA throughout the course of the year. Several spot checks were also conducted this quarter by the Intelligence Oversight Office.

There were no suggestions for improvements to the intelligence oversight system for this period.

(b)(2) (a)

Point of Contact: Ms. L. A. "Ole" Droughton, Intelligence Oversight Office (IEAO) [Redacted]
[Redacted] email address: [Redacted]

cc: Under Secretary for Intelligence and Analysis