

**ENCLOSURE C - USJFCOM**



**DEPARTMENT OF DEFENSE**  
COMMANDER  
U.S. JOINT FORCES COMMAND  
1682 MITSCHER AVENUE SUITE 200  
NORFOLK, VA 23551-2488

IN REPLY REFER TO:

5240  
JOOIG3  
15 Dec 2006

**MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF**  
(ATTN: (b)(6))

**Subject: U.S. Joint Forces Command (USJFCOM) Intelligence Oversight Report for the 1<sup>st</sup> Quarter FY-07**

**1. Illegal or improper activities:**

No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order of Department of Defense instructions concerned with intelligence oversight.

**2. Significant Intelligence Oversight activities:**

a. SJFHQ determined that 84% of their personnel in intelligence related positions have completed intelligence oversight refresher training. The remaining 16% have been identified and a training plan is in place to have them appropriately trained by the end of the quarter.

b. J9 identified an intelligence oversight concern in the Noble Resolve and Unified Quest exercises that involves the storage, sharing and modeling of U.S. citizens, corporations, assets and infrastructure, for DoD purposes. These concerns were addressed with the J9 legal advisor, Daniel Donovan, as a legal and training issue for DoD participation in these exercises. Mr. Donovan provided legal guidance and training based on the exercise requirements to the J9 planners and participants to insure compliance with all appropriate regulations.

**3. Results of Intelligence Oversight Inspections:**

a. The USJFCOM Inspector General's Office conducted a unit command inspection of the Joint Communication Support Element (JCSE) in Tampa, Florida from 30 October to 3 November 2006 that included intelligence oversight. The intelligence oversight officer, Mr. Quinton Gordon, was in compliance with all appropriate regulation and maintained accurate training records for the command's intelligence oversight program.

**4. Suggestions for improvement: None**

5. USJFCOM IG Intelligence Oversight point of contact is (b)(6) and can be reached at (b)(2)



Gary R. Woltring  
Col USAF  
Inspector General

**ENCLOSURE D - USNORTHCOM**



**NORTH AMERICAN AEROSPACE DEFENSE COMMAND  
AND  
UNITED STATES NORTHERN COMMAND**



MEMORANDUM FOR INSPECTOR GENERAL THE JOINT STAFF

(ATTN: (b)(6) USA) bllc)

FROM: NORAD-USNORTHCOM/Inspector General

SUBJECT: US NORTHERN COMMAND (USNORTHCOM) Intelligence Oversight Report  
for First Quarter FY07

1. Illegal or Improper Activities:

No Intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or Department of Defense directives concerned with Intelligence Oversight for USNORTHCOM or its subordinate joint commands.

2. Significant Intelligence Oversight Activities:

a. The N-NC IG completed Intelligence Oversight Inspections of SJFHQ-N and the N-NC J2. Both organizations were well versed in their duties and responsibilities, understanding constitutional constraints, and the laws and directives which govern the collection, dissemination and storage of sensitive information, especially that data which is constrained by Intelligence Oversight guidance or acquired on non-DoD persons. Their programs are very strong, with only minor recommendations for improvement.

The re-inspection of JFHQ-NCR/MDW was also conducted during this quarter. Good accountability and integration of Law Enforcement Sensitive (LES) and other U.S. Persons information was demonstrated throughout the re-inspection. The confusion and misunderstanding of the requirements and constraints involved in the storage, marking, and fusion of U.S. Persons information displayed during the last inspection (Aug 06) was no longer present. It was apparent that a good awareness of the legal constraints involved in IO/Sensitive Data permeated the command, and that a focused training regimen was being conducted on a frequent basis.

b. Training: All N-NC Intelligence Directorates and subordinate commands have conducted initial and refresher training for personnel. The percentage of personnel trained in the command is currently 100%, and all efforts will be made to maintain this level.

3. Changes to IO Program:

All N-NC Intelligence Directorates and subordinate commands have implemented more frequent database information review processes (30-60 day reviews) to ensure data repositories have effective follow-up assessments concerning the need to retain various forms of information.

4. Significant Intelligence Oversight policy changes:

N-NC Instruction 14-103 (Intelligence Oversight) revision currently in staffing. Expect to be approved and published in 2<sup>nd</sup> quarter FY 07. Major changes include: implementation of a more frequent self-inspection process, conducting additional key word searches on N-NC computer systems, defining several nebulous terms used in the current implementing regulations, and increasing the depth of IO training within the command.

5. Other pertinent IO actions:

N-NC IG is still awaiting a close-out letter from ATSD(IO) reference the Procedure 15 Investigation conducted on JFHQ-NCR/MDW during 3<sup>rd</sup> QTR 06. Any assistance the Joint Staff IG office can provide in this matter is appreciated.

6. POC for this report is (b)(6) DSN 692-0989, commercial (719) 554-0989.

b(6)

////////////////ORIGINAL SIGNED////////////////  
STEVEN E. ARMSTRONG, Colonel, USAF  
Inspector General

Attachment:  
JFHQ-NCR/MDW IO Re-Inspection Report

cc:  
N-NC J2  
N-NC J22

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**UNITED STATES NORTHERN COMMAND  
OFFICE OF THE INSPECTOR GENERAL**



**IO Inspection 06 – 12**

**Joint Force Headquarters National Capital Region  
Military District of Washington**

**Fort McNair, DC**

**5 Dec 2006**

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**TABLE OF CONTENTS**

**SECTION I – VISIT SUMMARY.....3**

**SECTION II – INSPECTION SYSTEM.....4**

**SECTION III – INSPECTION SUMMARY.....6**

**SECTION IV – NARRATIVE.....7**

**SECTION V – ASSOCIATED INSPECTION ITEMS.....10**

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3

## Visit Summary

**General:** The NORAD-USNORTHCOM (N-NC) Inspector General conducted an Intelligence Oversight (IO) Re-Inspection of Joint Force Headquarters National Capital Region, Military District of Washington (JFHQ-NCR/MDW), Fort McNair, DC, on 5 December 2006, which consists of members of the US Navy, US Air Force, USMC, and the US Army as well as government civilian personnel and contractors. The J32 is organized under the J3 in the command, and works very closely with the J34 in the production and dissemination of daily and weekly Threat Briefs and Intelligence Updates.

The purpose of the visit was to ensure compliance with all Federal and DoD directives, capture best practices, and review/discuss the corrective actions taken to correct weaknesses or deficiencies identified during the previous inspection (August 2006). The inspection team consisted of Mr. Scott Southard, Intelligence Oversight Officer for NORAD-USNORTHCOM IG.

(b)(6) JFHQ-NCR/MDW Deputy J32, hosted the inspection team. After introductions and a presentation of objectives to the Chief of Staff (b)(6), the inspection began. b(6)

After an initial inspection of the IO Program Continuity Book, an inspection of computer files was conducted on drives assigned to the J32 (Intelligence) shop.

Good accountability and integration of Law Enforcement Sensitive (LES) and other U.S. Persons information was demonstrated throughout the IO inspection. The confusion and misunderstanding of the requirements and constraints involved in the storage, marking, and fusion of U.S. Persons information displayed during the last inspection was no longer present. It was apparent that a good awareness of the legal constraints involved in IO/Sensitive Data permeated the command, and that a focused training regimen was being conducted on a frequent basis.

### References:

- a. EO 12333, US Intelligence Activities
- b. DoD 5240.1R, Procedures Governing Activities of DoD Intel Components that Affect US Persons
- c. DoD 5240.1, DoD Intelligence Activities
- d. CJCSI 5902.01, Oversight of Intelligence Activities
- e. N-NC Instruction 14-103, Intelligence Oversight
- f. NORAD Instruction 90-203, Inspector General Activities

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623

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4

**SECTION I – Inspection System****1. Rating System:**

<b>SATISFACTORY</b>	Performance or operation meets mission requirements. Procedures and activities are carried out in an effective and competent manner. Resources and programs are adequately managed. Deficiencies exist, but do not significantly limit mission accomplishment.
<b>UNSATISFACTORY</b>	Performance or operation does not meet mission requirements. Procedures and activities are not carried out in an adequate manner. Resources and programs are not adequately managed. Significant deficiencies exist that preclude or seriously limit mission accomplishment.

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624

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2. Report-Writing System:

<b>FINDINGS</b>	Those areas where the inspected organization does not comply with an identifiable standard constitutes a finding. The organization is required to take corrective action and provide a written response to the COCOM IG. Findings are core problems that need to be reviewed by the NORAD-USNORTHCOM staff and are indicated by an asterisked alphanumeric symbol in parentheses *(RFY-01). Any critical or major deficiency resulting in an "Unsatisfactory" rating may result in a finding. Findings are sequentially numbered for each U.S. fiscal year. The rated unit, unless there is another designated OPR for the finding, must answer these findings. Refer to Section IV, paragraph 3, for the proper handling of Finding replies.
<b>OBSERVATIONS</b>	An observation is an opinion where a standard may not have been violated or may not exist, but where economy, efficiency, or effectiveness may be improved by recommended corrective actions.
<b>NOTABLES</b>	Those areas where an organization is operating in an excellent manner. Unlike commendables, notables are not forwarded or exported.
<b>COMMENDABLES</b>	Those areas where an organization is operating in an outstanding manner. Commendables are "best practices" or procedures that can be exported to other organizations. The NORAD-USNORTHCOM IG maintains a database of Commendables which is accessible on its web page <a href="https://www.noradnorthcom.mil/IG/">https://www.noradnorthcom.mil/IG/</a> .

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6

**Inspection Summary**

1. **General:** The N-NC Inspector General conducted an Intelligence Oversight (IO) inspection of the JFHQ-NCF/MDW J32 at Fort McNair, DC on 5 Dec 2006.
2. **Participants:** All elements of the J32 were inspected, with particular attention given to the shared J32 drive.
3. **Inspection Results:**

<b>OVERALL RATING</b>	<b>SATISFACTORY</b>
<b>PROGRAM BOOK</b>	<b>SATISFACTORY</b>
IO Pubs/Directives	<b>SATISFACTORY</b>
Training Records	<b>SATISFACTORY</b>
Appointment Orders	<b>SATISFACTORY</b>
<b>TRAINING PROGRAM</b>	<b>SATISFACTORY</b>
<b>SELF-INSPECTION</b>	<b>SATISFACTORY</b>
<b>JA INVOLVEMENT</b>	<b>SATISFACTORY</b>
<b>QUARTERLY REPORTING</b>	<b>SATISFACTORY</b>
<b>COMMENDABLE</b>	<b>THREE</b>
<b>FINDINGS</b>	<b>NONE</b>

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7

### SECTION III -- Narrative

#### 1. Program Book -- Satisfactory

##### 1.1. IO Pubs/Directives - Satisfactory

###### NOTABLES

- Although not required by current regulation to generate their own Operating Instruction (OI), the J32 generated, and have been using an outstanding OI that they created. The use of this detailed local J32 Operating Instruction will help to ensure the standardization of daily operating procedures and activities within the command. This standardization will help avert a possible IO violation or incident in the future.
- Additional documents were included in the unit's IO books that will facilitate an efficient and detailed IO Program if used (various memorandums, slide presentations, and point papers on IO topics).

##### 1.2. Training Records -- Satisfactory

###### AREA FOR IMPROVEMENT

- Currently, the training records simply consist of a "sign in sheet" from the training session. Although this does provide the names of the persons involved in training, it does not allow the tracking of those that did not attend, or the annotation of why individuals are not trained. It was suggested that an Excel spreadsheet be used to maintain the training information (or better yet, have additional columns placed on a spreadsheet already being used in the command, i.e. one already in use by the J1).

##### 1.3. Appointment Orders -- Satisfactory

###### NOTABLE

- Numerous flyers that denote the Intelligence Oversight Officer (IOO) for the command were readily visible in conspicuous places throughout the area. Not only were the primary and alternate IOOs contact information included, but also their pictures. These flyers will enhance the availability of the IOO to personnel within the unit.

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8

**2. Training Program – Satisfactory****COMMENDABLE**

- A very robust training plan is in place for the analysts. During the in-processing of new personnel IO/Sensitive Data training is required as part of the in-processing checklist. Furthermore, before the analyst is issued their permanent access badge they must show proof of their IO/Sensitive Data training to the badging authority. As part of the internal J32 training, the analysts must take a written test and pass it with an 80% grade or higher. They also incorporate an interactive question and answer period in their training sessions, utilizing common examples of the types of information that they routinely handle/see. (OPR: J32, (b)(6) *b(6)*)

**3. Self Inspection – Satisfactory****COMMENDABLE**

- The J32 has implemented a very strong "Self Inspection" program. They currently conduct self-inspections weekly, as well as every 30, 60, and 90 days. This frequent schedule will help ensure U.S. Persons information will not be maintained longer than allowed by current directives (if it meets the required caveats). They also have a minimum of two people conducting each level of self inspection, providing for a dual filter of all data being collected, used, and maintained. (OPR: J32, (b)(6) *b(6)*)

**NOTABLE**

- Information kept on the J32 shared drive was kept to an absolute minimum. This not only makes it much easier to conduct self-inspections, but also minimizes the risk of questionable or offending data being overlooked. Data stored on individual hard-drives was almost non-existent as well.

**4. JA Involvement – Satisfactory****COMMENDABLE**

- The J32 – JA relationship has become extremely strong. Throughout the inspection it was clear to the inspection team that J32 - JA personnel currently have a robust relationship, and that this allows the analysts to utilize available expertise and make informed IO decisions. The command has also implemented a Sensitive Information Working Group (SIWG). This group consists of J32, JA, and J34 personnel, and meets on a monthly basis, or more often as required. The purpose of the SIWG is to ensure compliance with all applicable rules, regulations and laws in regards to IO and Sensitive Data handling. (OPR: J32, (b)(6) *b(6)*)

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9

**AREA FOR IMPROVEMENT**

- Currently there are no minutes kept during the SIWGs. It was suggested that the J32 begin to keep minutes of all future meetings. This will not only provide for a historic record, but also assist in the tracking of any taskers that develop during the meetings.

**5. Quarterly Reporting – Satisfactory**

- The content of the reports provide insight and information to meet the requirement.

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**SECTION IV – Associated Inspection Items**

1. **Best Practices – Three**

2. **Findings – None**

3. **Finding Reply Instructions:** If this report contains a Finding, it is answerable to the NORAD-USNORTHCOM/IG not later than 30-days after receipt of the Inspection report.

3.1. Corrective Action Reports will be in the following format:

IO R06-0x (and the finding statement) followed by the corrective action being taken. The finding statement will be extracted from the Inspection report and typed in **BOLD CAPITAL** letters. Replies should provide sufficient detail to permit OPR and IG to determine whether or not to close the finding.

3.2. Corrective Action Report Extensions: When corrective actions cannot be completed by the time of reply date, describe the action proposed or in progress and state the estimated completion date (Open: ECD Day/Month/Year). If the action is beyond the capability of the unit, describe the action being taken to obtain assistance.

3.3. Forward corrective action reports to the following address, with an Information copy, if applicable, to the parent unit.

HQ NORAD-USNORTHCOM / IG  
250 Vandenberg St Ste B016  
Peterson AFB, CO 80914-3010

3.4. The NORAD-USNORTHCOM IG will staff the corrective action report with appropriate staff agencies. POC for action is (b)(6) NORAD- (b)(6) USNORTHCOM / IG at DSN 692-0989, COMM (719) 554-0989.

//////////////////ORIGINAL SIGNED//////////////////  
STEVEN E. ARMSTRONG, Colonel, USAF  
NORAD-USNORTHCOM IG

cc:

- 1. N-NC J2
- 2. N-NC IOO
- 3. JFHQ-NCR/MDW CS

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**ENCLOSURE E - USPACOM**

7. General comments: To ensure compliance with applicable laws and regulations, IO file custodians in JIATF-West reviewed their files containing information on U.S. persons.

8. Point of Contact for this report is COL John C. Stratis, USA, USPACOM IG, DSN 477-5101/5165.

*John C. Stratis*  
JOHN C. STRATIS  
Colonel, USA  
Inspector General



**COMMANDER, U.S. PACIFIC COMMAND  
(USPACOM)  
CAMP H.M. SMITH, HAWAII 96861-4028**

5 January 2007

MEMORANDUM FOR Joint Chief of Staff, Inspector General, Attn:

(b)(3) 10 USC  
130b.(b)(6)

**Subject: QUARTERLY INTELLIGENCE OVERSIGHT (IO) REPORT,  
1<sup>st</sup> QUARTER, FY 07**

**Ref: (a) USCINCPACINST 3800.11A, 31 Jul 96  
(b) ASD Memo / December 8, 2006**

1. This report provides Intelligence Oversight activity of USPACOM staff and subordinate commands for 1<sup>st</sup> Quarter FY07.
2. Description of violations (law, regulation, or policy during the quarter): None
3. List Intelligence oversight inspections including:
  - a. List of organizations - USPACOM, JIATF West, USFK, USFJ, ALCOM, JIOC, SOCPAC and JPAC
  - b. Summarize the results or trends - No problems
  - c. Comment on questionable intelligence activities discovered - None
  - d. Familiarity of personnel with intelligence oversight requirements - Very high
  - e. Adequacy of organization intelligence oversight training program - Annual training now being conducted via computer with certificates being sent to IO coordinator and new members still receiving in-person indoctrination training
  - f. If inspections revealed deficiencies, not the corrective action taken - N/A
4. Summarize any COCOM/agency level changes to your intelligence oversight program including changes to supporting training programs and the reason for the changes. Attach a copy of the directive or policy which directs the change: N/A
5. Summarize any COCOM/agency level changes to published directives or policies concerning:
  - a. Intelligence - None
  - b. Counterintelligence - None
  - c. Intelligence-related activities - None
6. Continue to report on the status of ongoing Procedure 15 inquiries and any additional matters pertinent to the agency/COCOM intelligence oversight programs as outlined in Procedure 15. All reporting commands are conducting indoctrination and refresher training.

**ENCLOSURE F - USSOUTHCOM**

REPLY TO  
ATTENTION:**DEPARTMENT OF DEFENSE**  
**UNITED STATES SOUTHERN COMMAND**  
3511 NW 31ST AVENUE  
MIAMI, FL 33172-1217

SCIG

29 December 2006

**MEMORANDUM FOR THE JOINT STAFF INSPECTOR GENERAL, ROOM 2C962,**  
**ATTN: JCS DOM (b)(6) [REDACTED] JOINT STAFF INSPECTOR GENERAL,**  
**WASHINGTON, D.C. 20318-0300** b(6)

**SUBJECT: Quarterly Intelligence Oversight Activities Report for the 1st Quarter 2007**

1. (U) Reference DoD Directive 5240.1R, dated December 1982.
2. (U) This report includes input from HQ U.S. Southern Command, Miami, Florida; Joint Task Force Bravo, Soto Cano AB, Honduras; Joint Task Force Guantanamo Bay, Cuba; Special Operations Command South, Homestead ARB, Homestead, Florida and Joint Interagency Task Force-South, Truman Annex (NAS), Key West, Florida.
3. (U) United States Southern Command (US SOUTHCOM) - In accordance with the directive the following is provided:
  - a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.
  - b. Significant oversight activities:
    - (1) Publications: None.
    - (2) Training: Training notifications go out monthly. It is automated; e-mail is generated at the server level and is sent to all personnel who are required to undergo training during that month.
    - (3) Inspections: None.
    - (4) Files review: None.
    - (5) Inquiries: None.
  - c. Suggestions for improvement: None.
  - d. Other: None.

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535

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SUBJECT: Quarterly Intelligence Oversight Activities Report for the 1st Quarter 2007

c. Monitored JIATF-S WebTas Program to insure US person data meets authorized retainability under JIATFS mission allowing for the collection of information regarding international drug trafficking.

d. Reviewed all US persons in WebTas and either eliminated them or left them in because of sustaining information. The database is current with known traffickers and persons with prior criminal history.

e. Point of contact for JIATF-S is (b)(2),(b)(6)

(b)(2),(b)(6)

b(2)  
b(6)

7. (U) Joint Task Force - GTMO, Guantanamo Bay, Cuba - In accordance with the directive the following is provided:

a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.

b. Significant oversight activities.

(1) Publications: None.

(2) Training: All JTF personnel receive IO training during initial inprocessing into the JTF.

(3) Files review: None.

(4) Inquiries: None.

c. Suggestions for improvement: None.

d. Point of contact for JTF-GTMO is IG, JTF-GTMO (b)(2),(b)(3) 10 USC 130b,(b)(6)  
(b)(2),(b)(3) 10 USC 130b,(b)(6)

b(2)  
b(3)  
10 USC 130b

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SUBJECT: Quarterly Intelligence Oversight Activities Report for the 1st Quarter 2007

a. Point of contact for this section is (b)(2),(b)(6)

(b)(2),(b)(6)

b (6)  
b (2)

4. (U) Joint Task Force Bravo (JTF Bravo) - In accordance with the directive the following is provided:

IAW referenced directive, the following information is provided:

a. No intelligence activity has been conducted which may be reasonably construed as illegal or contrary to Directive Order 12333, DoD directives or US SOUTHCOM regulations.

b. Suggestions for improvement: None

c. Other: None.

d. Point of Contact for this memorandum is (b)(2),(b)(3) 10 USC 130b,(b)(6)

(b)(2),(b)(3) 10 USC 130b,(b)(6)

b (6)  
b (2)

10 USC 130b

5. (U) Special Operations Command South (SOCSO-J2) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order 12333 or DoD directive 5340.1-R.

b. Suggestions for improvement: None

c. Other: None

d. Point of contact for HO's Special Operations Command South is (b)(2),(b)(6)

(b)(2),(b)(3) 10 USC 130b,(b)(6)

b (6)  
b (2)

6. (U) Joint Interagency Task Force-South (JIATF-S J2 CD) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or DoD Directives.

b. Significant oversight activities for this quarter include training of newly arriving personnel assigned to intelligence activities.

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SCIG  
SUBJECT: Quarterly Intelligence Oversight Activities Report for the 1st Quarter 2007

8. Point of Contact at U.S. SOUTHERN COMMAND JTC's Office (b)(2),(b)(6)  
(b)(2),(b)(6)

b(6)  
b(2)

(b)(6)

b(6)

T.L. WASHBURN  
CAPT, USN  
Inspector General

CP  
IO Officer, USSOUTHCOM  
IO Officer, JTF-Brown  
IO Officer, SOCSO  
IO Officer, JIATF-S  
Inspector General - GTMO

(b)(2)

44E  
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**ENCLOSURE G - USSOCOM**



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**UNITED STATES SPECIAL OPERATIONS COMMAND**

1701 TAMPA FORST BLVD.  
MACDILL AIR FORCE BASE, FLORIDA 32611-5123

SOIG

3 January 2007

MEMORANDUM FOR: DEPUTY INSPECTOR GENERAL, JOINT STAFF,  
ATTN: (b)(3) 10 USC 1306, (b)(6) 300 JOINT STAFF, PENTAGON,  
WASHINGTON, D.C. 20315-0300

SUBJECT: Quarterly Intelligence Oversight Activities Report for 1<sup>st</sup> Quarter, FY 2007

1. References:

- a. E.O. 12333, 4 December 1981,
- b. DOD Directive 5240.1, 25 April 1985.
- c. DOD Regulation 5240.1-R, 7 December 1982.
- d. CJCSI 5901.01, 02 May 2001.

2. No violations of applicable laws, orders, directives, regulations, or DoD policies were reported.

3. During this quarter, there were no intelligence oversight inspections conducted.

4. The USSOCOM SCSO-J2 continues to operate the Intelligence Oversight Training Program through a computer based program. No changes to that program have occurred.

5. There were no changes to any published directives or policies concerning intelligence, counterintelligence or intelligence-related activities during this quarter.

6. Point of contact is (b)(2), (b)(3) 10 USC 1306, (b)(6)

(b)(3) 10 USC 1306, (b)(6)

Inspector General

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JAN-11-2007 09:53

JOINT STAFF IG

(b)(2)

P. 43/48

high (b)(2)

**ENCLOSURE H - DSSTRATCOM**

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641

DEPARTMENT OF DEFENSE  
UNITED STATES STRATEGIC COMMAND

high (b)(2)

Reply to:  
USSTRATCOM/3005  
901 SAC BLVD, STE 1H9  
OFFUTT AFB NE 68113-6005

18 Dec 2006

## MEMORANDUM FOR THE INSPECTOR GENERAL, JOINT STAFF

Subject: Intelligence Oversight (IO) Report for the period of Oct - Dec 2006

1. There were no intelligence, counterintelligence, or intelligence-related violations of law, regulation, or policy in USSTRATCOM this quarter.
2. The following USSTRATCOM organizations received IO program inspections during this period:
  - a. J2, Intelligence Directorate
    - J21, Security, Requirements, and Resource Division
    - J22, Global Awareness and Strategic Applications Division
    - J23, Plans, Policy, and Advocacy Division
    - Joint Functional Component Command (JFCC)/J31, Command Centers Division
    - JFCC/J317, Airborne Operations Branch
    - JFCC/J52, Target Selection Division
    - JFCC-Network Warfare
    - Joint Task Force-Global Network Operations
  - b. All of the inspected organizations displayed effective IO programs and there were no deficiencies in any of these programs. Similarly, there was no questionable intelligence activity in any of these organizations. Overall, the personnel interviewed during these inspections displayed outstanding knowledge of DoD IO program requirements. In each organization, the IG found effective IO training programs, to include initial indoctrination training and recurring refresher training.
3. This quarter was the first time the USSTRATCOM IG inspected a geographically separated Joint Functional Component Command and a Joint Task Force (JTF) IO program. The reason this was the first time for these inspections is because these organizations are relatively new and we wanted to allow them to establish their IO programs before inspecting them. Inspections of other geographically separated USSTRATCOM organizations will continue during the remainder of FY 07.

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4. There were no changes to USSTRATCOM directives or policies relating to intelligence, counterintelligence, or intelligence-related activities. We will publish and provide you a copy of our revised USSTRATCOM Operating Instruction concerning IO program management later this FY.

5. If you have any questions concerning this report, our POC is (b)(2) Inspector General (b)(2)

(b)(6)

Deputy

high (b)(2)

*Thomas D. Young*  
THOMAS D. YOUNG  
Colonel, USAF  
Inspector General

(b)(2)

**ENCLOSURE I - USTRANSCOM**



**UNITED STATES TRANSPORTATION COMMAND**  
900 BENTLEY DRIVE  
SCOTT AIR FORCE BASE, SILVER SPRING, MARYLAND 20910-4037

2 Jan 07

MEMORANDUM FOR THE JOINT STAFF, OFFICE OF THE INSPECTOR GENERAL

FROM: TCIG

SUBJECT: 1st QUARTER, FY07, Intelligence Oversight (IO) Inspection Report

1. The USTRANSCOM Inspector General (TCIG) conducted a quarterly review of USTRANSCOM IO programs. There were no questionable activities or violations reported this quarter.
2. There are three distinct elements which provide intelligence support within the USTRANSCOM headquarters:
  - a. Intelligence Directorate (TCJ2)
  - b. Joint Transportation Reserve Unit (JTRU)
  - c. Joint Transportation Intelligence Operations Center (JIOCTRANS 0169)

TCIG conducts an annual IO inspection on each of these elements throughout the fiscal year, and coordinates with IO Program Monitors in all elements to regularly assess compliance with Federal laws and national directives regarding intelligence activities. Every element is providing excellent Intelligence Oversight training, and all personnel assigned have demonstrated a sound understanding of the policies and reporting requirements. No deficiencies or questionable activities were noted in any of the IO programs inspected.

3. Most government service civilian personnel assigned to the intelligence elements at USTRANSCOM will become Defense Intelligence Agency (DIA) employees in the near future. TCIG has already contacted DIA/IG to discuss the possibility of conducting "joint" IO inspections in the future. In FY07 TCIG will update the current USTRANSCOM Intelligence Oversight Program Instruction to incorporate any changes in element command structure and CJCSI 5901. Currently, there have been no changes to the command IO activities, directives, or training program.

4. Please contact this office at DSN 779-1781, or [USTCIG@ustranscom.mil](mailto:USTCIG@ustranscom.mil), for additional questions.

  
BRADLEY A. CARPENTER, CAPT, USN  
Inspector General

EFF

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cc:

USTRANSCOM/TCJ2, TCJ2-IO Monitor (Mr. Campbell)  
USTRANSCOM/TCJ2, JIOCTTRANS 0169-IO Monitor (LTJG Jacobsen)  
USTRANSCOM/TCJ9, TCJ9/JTRU-IO Monitor (Maj Peters)  
USTRANSCOM/TCJA (Mr. Moore)





**THE JOINT STAFF  
WASHINGTON, DC**

Reply ZIP Code:  
20318-0300

10 January 2008

**MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**Subject: Intelligence Oversight (IO) Report for First Quarter, FY08**

1. Below is a consolidated summary of all Combatant Commands (COCOMs) Intelligence Oversight (IO) Reports for the First Quarter, FY08. Attached are all COCOM reports. Also, Service Components will report IO information through their Service.

a) **USCENTCOM IG:** Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at Mac Dill AFB are receiving initial intelligence oversight training. There were no questionable activities reported.

b) **USEUCOM IG:** Intelligence oversight training included 103 initial and 133 refresher briefings.

One questionable activity was reported by US Army Europe for information purposes. US Army Europe is currently performing a Proc 15 inquiry. They will report results through their Service intel oversight channels with info copy to USEUCOM J2.

Internal assessments were conducted by EUCOM J2, SHAPE Survey, USNIC-Pristina, Northern Region JOIC, Southern Region JOIC, Joint Analysis Center, Special Operations Command and Europe. 232 personnel were interviewed.

EUCOM IG inspected the Joint Analysis Center in Molesworth, United Kingdom on October 2007 and Special Operations Command Europe on December 2007, as part of a larger command inspection of SOCEUR by the Special Operations Command IG. There were no findings in either program. Three observations were made by the EUCOM IG to the JAC.

The training programs of both organizations were within standards. SOCEUR noted by EUCOM for a best practice IO training program.

EUCOM IG attended the NORTHCOM/NORAD IO Conference from 3-6 Dec 07 at Ft Bliss, TX.

c) **USJFCOM IG:** USJFCOM J2 conducted a self-inspection of all intelligence holdings for US persons' data, including items posted to command home pages, hard-copy files, proper use statements and archived imagery. All holdings were found to be within IO regulations.

The USJFCOM IG's office conducted a unit command inspection of the Joint Warfighting Center in Suffolk, Virginia from 22-26 October 2007 and the Joint Transformation Command Intelligence in Norfolk, Virginia from 10-14 December 2007. Both inspections included intelligence oversight. Both commands were found in compliance with all appropriate regulations and maintained accurate training records for the command's intelligence oversight programs.

d) **USNORTHCOM IG:** The N-NC IG, completed Intelligence Oversight Inspections of JTF-N, NORAD and USNORTHCOM J2. The organizations were well versed in their duties and responsibilities, understanding constitutional constraints, and laws and directives which govern the collection, dissemination and storage of sensitive information, especially that data which is constrained by Intelligence Oversight guidance or acquired on non-DoD persons. Their programs are very strong with only minor recommendations for improvement. *One subordinate command (AFNORTH) did not submit their quarterly report at this time. An addendum will be submitted when the report is received.*

All N-NC Intelligence Directorates and subordinate commands have conducted initial and refresher training for personnel. 99% of personnel have been trained. All efforts will be made to maintain/increase the level.

N-NC updated the command IO Instruction (NNCI-14-103). This instruction has been put into effect and changes in the new guidance will be highlighted during upcoming IO Inspections.

All N-NC Intelligence Directorates and JTFs have implemented more frequent database information review processes (30-60 days) to ensure data repositories have effective follow-up assessments concerning the need to retain information.

The first world wide IO Conference sponsored by USNORTHCOM took place at JTF-N Headquarters in El Paso, TX from 4 Dec to 6 Dec 07. Over 50 IO specialists representing the Joint Staff, COCOMs, DoD, Interagency and N-NC Subordinate partners participated.

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e) **USPACOM IG:** Annual training is being conducted via computer with certificates being sent to the IO coordinator. New members are still receiving in-person indoctrination training.

f) **USSOUTHCOM IG:** Automated training notifications go out monthly as a reminder to all personnel who are required to undergo training during that month. Joint Task Force Bravo (*JTF Bravo*), Special Operation Command South (*SOCSO-J2*), Joint Interagency Task Force-South (*JIATF-S J2 C1*), and Joint Task Force, Guantanamo Bay, Cuba (*JTF-GTMO*) submitted negative reports on any IO violations. Special Operation Command South (*SOCSO-J2*) continues to provide IO training and current resource materials to designated intelligence personnel on a regular basis. Joint Interagency Task Force-South (*JIATF-S J2 C1*) training of new personnel assigned to intelligence activities are monitoring the *JIATF-S WebTas* Program to insure US persons data meets authorized retainability under *JIATF-S* mission, allowing for collection of information regarding drug trafficking. Reviewed all US persons in *WebTas* and either eliminated or left them in because of sustaining information. The database is current with known traffickers and persons with prior criminal history.

g) **USSOCOM IG:** Conducted three IO inspections, these inspections included HQ USSOCOM at MacDill AFB, Special Operations Command Pacific at Camp Smith, HI (joint inspection with PACOM IG), and Special Operations Command Europe at Patch Barracks, GE (joint inspection with EUCOM IG). There were no questionable intelligence activities discovered during the inspections. There were sufficient Intelligence Oversight Programs in place. Personnel were familiar with the intelligence oversight requirements and were compliant with the laws, regulations, policies and procedures pertaining to intelligence oversight.

USSOCOM-SCSO-J2 continues to operate the Intelligence Oversight Training Program through a computer based program. No changes to that program have occurred.

h) **USSTRATCOM IG:** Inspected three IO programs this quarter, USSTRATCOM J2, Intelligence Directorate, Joint Functional Component Command (JFCC) Global Strike and Integration (GSI) J2, Intelligence Directorate and Joint Information Operations Warfare Command (JIOWC) in Lackland AFB, TX. There were no questionable intelligence activity at any of these organizations. The IO programs inspected at USSTRATCOM J2 and JFCC GSI J2 were noted as excellent. The IO program at the JIOWC was satisfactory. There were some administrative deficiencies (out of date IO references and lack of training documentation) that detracted from the

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JOIWC's program. All three IO programs are meeting DoD IO program requirements and all personnel interviewed were well aware of the requirements of the DoD IO program. The IO training programs in these organizations were sound and met the needs of the organizations, personnel and DoD.

i) **USTRANSCOM IG (TCIG):** Conducted a quarterly review of command IO programs to assess compliance with Federal laws and national directives regarding intelligence activities. There were no questionable activities or violations reported this quarter.

2. The point of contact for this action is the undersigned at (b)(2)

(b)(6)

Deputy Inspector General

**Enclosures:**

- Enclosure A - Intel Report U.S. Central Command
- Enclosure B - Intel Report U.S. European Command
- Enclosure C - Intel Report U.S. Joint Forces Command
- Enclosure D - Intel Report U.S. Northern Command
- Enclosure E - Intel Report U.S. Pacific Command
- Enclosure F - Intel Report U.S. Southern Command
- Enclosure G - Intel Report U.S. Special Operations Command
- Enclosure H - Intel Report U.S. Strategic Command
- Enclosure I - Intel Report U.S. Transportation Command

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**ENCLOSURE A - USCENCOM**



**UNITED STATES CENTRAL COMMAND**  
7115 SOUTH BOUNDARY BOULEVARD  
MACDILL AIR FORCE BASE, FLORIDA 33621-5101

CCJ2  
CCIG

8 January 2008

**MEMORANDUM FOR DEPUTY INSPECTOR GENERAL, THE JOINT STAFF,  
6000 DEFENSE PENTAGON, WASHINGTON, DC 20301-6000**

**SUBJECT: 1<sup>st</sup> Quarter, Fiscal Year 08, Intelligence Oversight (IO) Report**

**REF: (a) CJCSI 5901-01, 25 Mar 03, Subject: Oversight of Intelligence Activities.  
(b) USCENTCOM Regulation 381-9, 17 Feb 05, Subject: Activities of USCENTCOM  
Intelligence Components that Affect United States Persons.**

1. Service Components report through their Service channels. Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at MacDill AFB, FL are receiving initial intelligence oversight training.
2. There were no questionable intelligence activities during the reporting period.
3. POC is MSgt (b)(3) 10 USC [redacted] Special Security Office (SSO) Intelligence Oversight Officer, DSN (b)(2) [redacted] United States Central Command, MacDill Air Force Base, Florida.

(b)(3) 10 USC 130b.(b)(6)  
[redacted]

Colonel, USA (b)(3) 10 USC 130b.(b)(6)  
Deputy, Director of Intelligence

(b)(3) 10 USC 130b.(b)(6)  
[redacted]

(b)(3) 10  
USC 130b.  
(b)(6)

Colonel, USA  
Inspector General

GS  
*Carson Dashing Jr*

(b)(2)

**ENCLOSURE B - USEUCOM**



HEADQUARTERS  
UNITED STATES EUROPEAN COMMAND  
UNIT 30400  
APO AE 09131

ECIG

7 Jan 08

MEMORANDUM FOR Joint Staff Inspector General

SUBJECT: Intelligence Oversight Report, First Quarter, FY08

1. This report provides information concerning intelligence oversight activities of the staff directorates and direct-reporting subordinate intelligence units of headquarters, US European Command.
2. Intelligence oversight training:
  - a. Initial briefings: 103
  - b. Refresher briefings: 133
3. Questionable activities (violations of law, regulation, or policy and action taken): One reported from US Army Europe for information purposes. US Army Europe currently performing Proc 15 inquiry and will report results through Service intel oversight channels, info copy to USEUCOM J2.
4. Intelligence oversight inspections.
  - a. By intelligence organizations.
    - (1) Activities conducting internal assessments: EUCOM J2, SHAPE Survey, USNIC-Pristina, USNIC-Sarajevo, Northern Region JOIC, Southern Region JOIC, Joint Analysis Center, Special Operations Command, Europe.
    - (2) Personnel interviewed: 232
  - b. By USEUCOM Inspector General.
    - (1) Activities inspected or assessed: Joint Analysis Center (JAC), Molesworth, UK, October 2007, by the USEUCOM IG; Special Operations Command Europe, December 2007, as part of a larger command inspection of SOCEUR by the Special Operations Command IG.
    - (2) Summary of results: No findings in either program. We had three observations for the JAC.

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ECIG

SUBJECT: Intelligence Oversight Report, First Quarter, FY08

(a) The quarterly self-inspection requirements imposed by the local USEUCOM instruction were far more stringent than DoD 5240.1-R requires, and reporting units were unable to meet these requirements on a consistent basis.

(b) Many personnel did not understand that a "questionable activity," as defined by Procedure 15, concerns any "conduct that constitutes, or is related to, an intelligence activity that may violate the law, any Executive order or Presidential directive..." Most personnel still believe that questionable activity refers only to matters involving US persons.

(c) The JAC needs to bring the six Joint Reserve Intelligence Support Element (JRISE) centers in CONUS under the JAC's intel oversight umbrella.

(3) Questionable intelligence activities discovered: None.

(4) Familiarity of personnel with intelligence oversight requirements: Personnel showed adequate evidence of basic knowledge of intel oversight as a result of their initial and refresher training.

(5) Adequacy of organization intelligence oversight training program: The training programs of both organizations were within standards. SOCEUR uses an excellent white paper as a basis for establishing left-right limits for its deployed personnel and also as an information aid for its senior leaders.

(6) Corrective actions taken: N/A.

5. Changes to intelligence oversight program: None.

6. Changes to published directives or policies concerning intelligence, counterintelligence, or intelligence-related activities (attach a copy of the directive or policy): None.

7. Status of ongoing Procedure 15 inquiries: See paragraph 3 above.

8. Other matters pertinent to USEUCOM intelligence oversight programs: EUCOM IG attended the NORTHCOM/NORAD intelligence oversight conference, 3-6 Dec 07, at Fort Bliss, TX. Worthwhile conference, with good give and take among attendees. EUCOM presented a "wish list" for intel oversight that included, among other things, a request that COCOM IGs have a voice in discussing the future DoD-level approach to intel oversight.

9. Point of contact is the undersigned at (b)(2),(b)(3) 10 USC 130b.(b)(6) or  
(b)(2),(b)(3) 10 USC 130b.

(b)(3) 10 USC 130b.(b)(6)

Deputy Inspector General

**ENCLOSURE C - USJFCOM**



**DEPARTMENT OF DEFENSE**  
 COMMANDER  
 U.S. JOINT FORCES COMMAND  
 1682 MITSCHER AVENUE SUITE 200  
 NORFOLK, VA 23561-2488

IN REPLY REFER TO:

5240  
 J00IG3  
 4 Jan 2008

**MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF**

(b)(3) 10 USC 130b.

(b)(6)

**Subject: U.S. Joint Forces Command (USJFCOM) Intelligence Oversight Report for the 1<sup>st</sup> Quarter FY-08**

**1. Illegal or improper activities:**

No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order of Department of Defense instructions concerned with intelligence oversight.

**2. Significant Intelligence Oversight activities:**

a. USJFCOM J2 conducted a self-inspection of all intelligence holdings for U. S. persons' data, including items posted to command homepages, hard-copy files, proper use statements, and archived imagery. All holding were found to be with in IO regulations.

**3. Results of Intelligence Oversight Inspections:**

a. The USJFCOM Inspector General's Office conducted unit command inspections of the Joint Systems Integration Command in Suffolk, Virginia from 22-26 October 2007 and Joint Transformation Command- Intelligence in Norfolk, Virginia from 10-14 December 2007. Both of these inspections included intelligence oversight. Both commands were found to be in compliance with all appropriate regulations and maintained accurate training records for the command's intelligence oversight programs.

**4. Suggestions for improvement: None**

**5. USJFCOM IG Intelligence Oversight point of contact is (b)(6) and can be reached at (757) 836-5941/0 or DSN 836-5941/0.**

Gary R. Woltering  
 Colonel, USAF  
 Inspector General

EFF TOTAL 6612

**ENCLOSURE D - USNORTHCOM**



**NORTH AMERICAN AEROSPACE DEFENSE COMMAND  
AND  
UNITED STATES NORTHERN COMMAND**



MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF  
(ATTN: (b)(6) USA) b(6)

FROM: NORAD and USNORTHCOM Inspector General

SUBJECT: US NORTHERN COMMAND (USNORTHCOM) Intelligence Oversight  
Report for First Quarter FY08

1. Illegal or Improper Activities

No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or Department of Defense directives concerned with Intelligence Oversight for USNORTHCOM or its subordinate joint commands.

2. Significant Intelligence Oversight Activities

a. The N-NC IG completed Intelligence Oversight Inspections of JTF-N and the NORAD and USNORTHCOM J2. The organizations were well versed in their duties and responsibilities, understanding constitutional constraints, and the laws and directives which govern the collection, dissemination and storage of sensitive information, especially that data which is constrained by Intelligence Oversight guidance or acquired on non-DoD persons. Their programs are very strong, with only minor recommendations for improvement. One subordinate command (AFNORTH) did not submit their quarterly report at this time. An addendum will be submitted when we receive the report.

b. Training: All N-NC Intelligence Directorates and subordinate commands have conducted initial and refresher training for personnel. The percentage of personnel trained in the command is currently 99%, and all efforts will be made to maintain/increase this level.

c. N-NC has updated and disseminated the command IO Instruction (NNCI 14-103). This instruction has been implemented and changes in the new guidance have been implemented/highlighted during recent IO Inspections. Major changes include: implementation of a more frequent self-inspection process, conducting additional key word searches on N-NC computer systems, defining several nebulous terms used in the current implementing regulations, and increasing the depth of IO training within the command.

d. Suggestions for Improvement: All N-NC Intelligence Directorates and JTFs have implemented more frequent database information review processes (30-60 day reviews) to ensure data repositories have effective follow-up assessments concerning the need to retain various forms of information.

e. The first world wide IO Conference sponsored by USNORTHCOM took place at JTF-N Headquarters in El Paso, TX from 4 Dec to 6 Dec 07. Over 50 IO specialists representing COCOM, DoD, Interagency and N-NC Subordinate partners participated in a unique forum to receive and discuss IO issues. Special emphasis focused on future applications of IO policy given the evolving nature of technology and Interagency cooperation.

3. POC for this report is (b)(6) DSN 692-0989, commercial (719) 554-0989.

b(6)

////////////////ORIGINAL SIGNED////////////////  
STEVEN E. ARMSTRONG, Colonel, USAF  
Inspector General

**ENCLOSURE E - USPACOM**



COMMANDER, U.S. PACIFIC COMMAND  
(USPACOM)  
CAMP H.M. SMITH, HAWAII 96861-4028

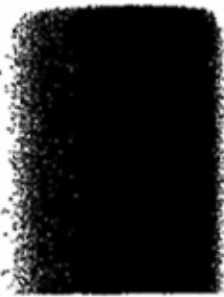
7 January 2008

MEMORANDUM FOR Joint Staff, Inspector General, Attn: (b)(3) 10 USC  
130h (h)(6)

Subject: QUARTERLY INTELLIGENCE OVERSIGHT (IO) REPORT,  
1<sup>st</sup> QUARTER, FY 08

Ref: (a) USCINCPACINST 3800.11A, 31 Jul 96  
(b) ASD Memo / December 8, 2006

1. This report provides Intelligence Oversight activity of USPACOM staff and subordinate commands for 1<sup>st</sup> Quarter, FY08.
2. Description of violations (law, regulation, or policy during the quarter): None
3. List Intelligence oversight inspections including:
  - a. List of organizations – USPACOM, JIATF West, USFK, USFJ, ALCOM, JIOC, SOCPAC and JPAC
  - b. Summarize the results or trends – None.
  - c. Comment on questionable intelligence activities discovered - None
  - d. Familiarity of personnel with intelligence oversight requirements – Very high
  - e. Adequacy of organization intelligence oversight training program – Annual training now being conducted via computer with certificates being sent to IO coordinator and new members still receiving in-person indoctrination training
  - f. If inspections revealed deficiencies, note the corrective action taken – N/A.
4. Summarize any COCOM/agency level changes to your intelligence oversight program including changes to supporting training programs and the reason for the changes. Attach a copy of the directive or policy which directs the change: N/A
5. Summarize any COCOM/agency level changes to published directives or policies concerning:
  - a. Intelligence – None
  - b. Counterintelligence – None
  - c. Intelligence-related activities - None
6. Continue to report on the status of ongoing Procedure 15 inquiries and any additional matters pertinent to the agency/COCOM intelligence oversight programs are outlined in Procedure 15: All reporting commands are conducting indoctrination and refresher training.





7. Point of Contact for this report is COL John C. Stratis, USA, USPACOM IG, DSN 315-477-5101/5165 or COMM (808) 477-5101/5165

*John C. Stratis*  
JOHN C. STRATIS  
Colonel, USA  
Inspector General

**ENCLOSURE F - USSOUTHCOM**



REPLY TO  
ATTENTION OF

**DEPARTMENT OF DEFENSE**  
**UNITED STATES SOUTHERN COMMAND**  
3511 NW 91ST AVENUE  
MIAMI, FL 33172-1217

SCIG

9 January 2008

**MEMORANDUM FOR THE JOINT STAFF INSPECTOR GENERAL, ROOM 2C962,**  
**ATTN: JCS DOM, (b)(6) JOINT STAFF INSPECTOR GENERAL, b (6)**  
**WASHINGTON, D.C. 20318-0300**

**SUBJECT: Quarterly Intelligence Oversight Activities Report for the 1st Quarter 2008**

1. (U) Reference DOD Directive 5240.1R, dated December 1982.
2. (U) This report includes input from HQ U.S. Southern Command, Miami, Florida; Joint Task Force Bravo, Soto Cano AB, Honduras; Joint Task Force Guantanamo Bay, Cuba; Special Operations Command South, Homestead ARB, Homestead, Florida and Joint Interagency Task Force-South, Truman Annex (NAS), Key West, Florida.
3. (U) United States Southern Command (US SOUTHCOM) - In accordance with the directive the following is provided:
  - a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.
  - b. Significant oversight activities.
    - (1) Publications: None.
    - (2) Training: Training notifications go out monthly. It is automated; e-mail is generated at the server level and is sent to all personnel who are required to undergo training during that month.
    - (3) Inspections: None.
    - (4) Files review: None
    - (5) Inquiries: None
  - c. Suggestions for improvement. None.
  - d. Other. None

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~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 4th Quarter 2007

a. Point of contact for this section is (b)(2),(b)(6)  
(b)(2),(b)(6)

b(6)  
b(2)

4. (U) Joint Task Force Bravo (JTF Bravo) - In accordance with the directive the following is provided:

LAW referenced directive, the following information is provided:

a. No intelligence activity has been conducted which may be reasonably construed as illegal or contrary to Directive Order 12333, DoD directives or US SOUTHCOM regulations.

b. Suggestions for Improvement: None

c. Other: None.

d. Point of Contact for this memorandum is (b)(2),(b)(3) 10 USC 130b,(b)(6)  
(b)(2),(b)(3) 10 USC 130b,(b)(6)

b(6)  
b(2)  
b(3)  
10 USC

5. (U) Special Operations Command South (SOC SO-J2) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order 12333 or DoD directive S340.1-R.

b. Suggestions for improvement: Continue to provide IO training and current resource materials to designated intelligence personnel on a regular basis.

c. Other: None

d. Point of contact for HO Special Operations Command South is (b)(2),(b)(6)  
(b)(2),(b)(6)

b(6)  
b(2)

6. (U) Joint Interagency Task Force-South (JIATF-S J2 CT) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or DoD Directives.

b. Significant oversight activities for this quarter include training of newly arriving personnel assigned to intelligence activities.

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SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 4th Quarter 2007

c. Monitored JIATF-S WebTas Program to insure US person data meets authorized retainability under JIATFS mission allowing for the collection of information regarding international drug trafficking.

d. Reviewed all US persons in WebTas and either eliminated them or left them in because of sustaining information. The database is current with known traffickers and persons with prior criminal history.

e. Point of contact for JIATF-S is (b)(2),(b)(6) [redacted] b(2) b(6)  
(b)(2),(b)(6) [redacted]

7. (U) Joint Task Force - GTMO, Guantanamo Bay, Cuba - In accordance with the directive the following is provided:

a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.

b. Significant oversight activities.

(1) Publications: None.

(2) Training: All JTF personnel receive IO training during initial inprocessing into the JTF.

(3) Files review: None.

(4) Inquiries: None.

c. Suggestions for improvement: None.

d. Point of contact for JTF-GTMO is IG, JTF-GTMO (b)(2),(b)(3) 10 USC 130b.(b)(6) [redacted] b(2) b(3) b(6)  
(b)(2),(b)(3) 10 USC 130b, [redacted] b(2) b(3) b(6)  
(b)(6) [redacted] 10 USC 130b

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~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 4th Quarter 2007

3. Point of Contact at U.S. SOUTHERN COMMAND IG's Office is

(b)(2),(b)(6)

(b)(2),(b)(6)

b(6)  
b(2)

*(original signed)*  
T.L. WASHBURN  
CAPT, USN  
Inspector General

CF:  
IO Officer, USSOUTHCOM  
IO Officer, JTF-Bravo  
IO Officer, SOCSO  
IO Officer, JIATF-S  
Inspector General - GTMO

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**ENCLOSURE G - USSOCOM**

~~FOR OFFICIAL USE ONLY~~



**UNITED STATES SPECIAL OPERATIONS COMMAND**

7701 TAMPA POINT BLVD.  
MACDILL AIR FORCE BASE, FLORIDA 33621-5123

SOIG

7 January 2008

**MEMORANDUM FOR: DEPUTY INSPECTOR GENERAL, JOINT STAFF,**  
**ATTN: (b)(3) 1 [REDACTED] 305.(b)(6) [REDACTED] 300 JOINT STAFF, PENTAGON, WASHINGTON,**  
**D.C. 20318-0300**

**SUBJECT: Quarterly Intelligence Oversight Activities Report for 1<sup>st</sup> Quarter, FY 2008**

**1. References:**

- a. E.O. 12333, 4 December 1981.
- b. DOD Directive 5240.1, 25 April 1988.
- c. DOD Regulation 5240.1-R, 7 December 1982.
- d. CJCSI 5901.01A, 3 January 2007.

**2. No violations of applicable laws, orders, directives, regulations, or DoD policies were reported.**

**3. During this quarter, there were three intelligence oversight inspections conducted. Those inspections included HQ USSOCOM at MacDill AFB; Special Operations Command Pacific at Camp Smith, HI (joint inspection with Pacific Command IG); and Special Operations Command Europe at Patch Barracks, GE (joint inspection with European Command IG). There were no questionable intelligence activities discovered during the inspections. There were sufficient Intelligence Oversight Programs in place. Personnel were familiar with the intelligence oversight requirements and were compliant with the laws, regulations, policies and procedures pertaining to intelligence oversight.**

**4. The USSOCOM SCSO-J2 continues to operate the Intelligence Oversight Training Program through a computer based program. No changes to that program have occurred.**

**5. There were no changes to any published directives or policies concerning intelligence, counterintelligence or intelligence-related activities during this quarter.**

**6. Point of contact is (b)(2),(b)(3) 10 USC 130b.(b)(6) [REDACTED]**

**(b)(3) 10 USC 130b.(b)(6) [REDACTED]**

**Inspector General**

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JAN-15-2008 15:54

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P. 26

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ENCLOSURE H - USSTRATCOM

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(b)(2)



DEPARTMENT OF DEFENSE  
UNITED STATES STRATEGIC COMMAND  
OFFUTT AIR FORCE BASE, NEBRASKA 68113-6000

high (b)(2)

Reply to:  
USSTRATCOM/1005  
901 SAC BLVD, STE 1H9  
OFFUTT AFB NE 68113-6005

19 Dec 07

MEMORANDUM FOR THE INSPECTOR GENERAL, JOINT STAFF

Subject: Intelligence Oversight (IO) Report for the period of Oct - Dec 2007

1. There were no intelligence, counterintelligence, or intelligence-related violations of law, regulation, or policy in USSTRATCOM this quarter.
2. During this quarter, the IO inspected three IO programs: 1) USSTRATCOM J2, Intelligence Directorate, 2) Joint Functional Component Command (JFCC) Global Strike and Integration (GSI) J2, Intelligence Directorate, and 3) Joint Information Operations Warfare Command (JIOWC), Lackland AFB, TX. There was no questionable intelligence activity at any of these organizations. The IO programs in USSTRATCOM J2 and JFCC GSI J2 are excellent. The IO program at the JIOWC is satisfactory. There were some administrative deficiencies (out of date IO references and lack of training documentation) that detracted from the JIOWC's program. All three IO programs are meeting DoD IO program requirements and all personnel interviewed were well aware of the requirements of the DoD IO program. The IO training programs in these organizations are sound and meet the needs of the organizations' personnel and DoD. Lastly, the IO oversight and processes at these three organizations are meeting the requirements of DoD.
3. There were no changes to the USSTRATCOM IO programs during this period.
4. There were no changes to USSTRATCOM IO directives or policies concerning intelligence or intelligence-related activities during this period.
5. The OSD ATSD-IO office will conduct an inspection of the IO program at USSTRATCOM 4-8 Feb 2008. We are working with (b)(6) OSD ATSD-IO, to coordinate this inspection visit.

(b)(6)  
(b)(6)

4. If you have any questions concerning this report, please contact the USSTRATCOM POC, (b)(6) Deputy Inspector General (b)(2)

(b)(6)

high (b)(2)

*Thomas D. Young*  
THOMAS D. YOUNG  
Colonel, USAF  
Inspector General

**ENCLOSURE I - USTRANSCOM**



**UNITED STATES TRANSPORTATION COMMAND**  
808 SCOTT DRIVE  
SCOTT AIR FORCE BASE, ILLINOIS 62226-6357

2 Jan 08

MEMORANDUM FOR THE JOINT STAFF, OFFICE OF THE INSPECTOR GENERAL

FROM: TCIG

SUBJECT: USTRANSCOM Intelligence Oversight (IO) Report (1st QUARTER, FY08)

1. The USTRANSCOM Inspector General (TCIG) conducted a quarterly review of command IO programs to assess compliance with Federal laws and national directives regarding intelligence activities. There were no questionable activities or violations reported this quarter.
2. There have been no changes to USTRANSCOM IO activities, directives, or training programs this quarter.
3. Please contact this office at DSN 779-1781, or [USTCIG@ustranscom.mil](mailto:USTCIG@ustranscom.mil), for additional questions.

Handwritten signature of Bradley A. Carpenter in black ink.

BRADLEY A. CARPENTER, CAPT  
U.S. Navy  
Inspector General

cc:

USTRANSCOM/TCJ2, TCJ2-IO Monitor (Mr. Campbell)  
USTRANSCOM/TCJ2, JIOCTRANS 0169-IO Monitor (LTJG Jacobsen)  
USTRANSCOM/TCJ9, TCJ9/JTRU-IO Monitor (Maj Peters)  
USTRANSCOM/TCJA (Mr. Moore)

EFF

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TOTAL P. 29



**THE JOINT STAFF  
WASHINGTON, DC**

Reply ZIP Code:  
20318-0300

10 October 2007

**MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**Subject: Intelligence Oversight (IO) Report for Fourth Quarter, FY07**

1. Below is a consolidated summary of all Combatant Commands (COCOMs) Intelligence Oversight (IO) Reports for the Fourth Quarter, FY07. Attached are all COCOM reports with further information. Also, Service Components will report IO information through their Service.

a) **USCENTCOM IG:** Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at Mac Dill AFB are receiving initial intelligence oversight training. There were no questionable activities reported.

b) **USEUCOM IG:** Intelligence oversight training included 154 initial and 343 refresher briefings. Internal assessments were conducted by EUCOM J2, SHAPE, Survey, USNIC-Pristina, USNIC-Sarajevo, Special Operations Command, Europe (SOCEUR, Joint Analysis Center (JAC) and there were 343 personnel interviewed.

EUCOM IG will inspect the Joint Analysis Center, Molesworth, United Kingdom, teaming with the Asst IG for IO, Defense Intelligence Agency, in late October 2007.

c) **USJFCOM IG:** Conducted a staff assistance visit (SAV) at the request of Standing Joint Force Headquarters (SJFHQ) from 10-14 September 2007 and determined that, the intelligence officer demonstrated a clear understanding of all regulations related to IO and had solid control measures in place to ensure compliance.

The USJFCOM IG's office also conducted a unit command inspection of the Joint Warfighting Center in Suffolk, Virginia from 23-27 July 2007 that included intelligence oversight. The intelligence officer, was in compliance with all appropriate regulations and maintained accurate training records for the command's IO program.

d) **USNORTHCOM IG:** The N-NC IG, completed IO Inspections at JTF-AK and JTF-CS. The organizations were well versed in their duties and

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responsibilities, understanding constitutional constraints the laws and directives which govern the collection, dissemination and storage of sensitive information, especially the data this is constrained by IO guidance or acquired on non-DOD persons. Their programs are very strong with only minor recommendations for improvement.

All N-NC Intelligence Directorates and subordinate commands have conducted initial and refresher training for personnel. 99% of personnel have been trained in the command and all efforts will be made to maintain/increase the level.

N-NC has also updated and disseminated the command IO Instruction (NNCI-14-103). This instruction has been implemented and changed, new guidance will be highlighted during upcoming IO Inspections.

All N-NC Intelligence Directorates and JTFs have implemented more frequent database information review processes (30-60 day reviews) to ensure data repositories have effective follow-up assessments concerning the need to retain information.

e) **USPACOM IG:** Annual training is being conducted via computer with certificates being sent to IO coordinator, new members are still receiving in-person indoctrination training. ALCOM computer drives are being reconfigured. All reporting commands are conducting indoctrination and refresher training. N-NC IG Procedure 15 investigation into potential JTF-AK-IO violations is in progress as an outcome of their inspection of ALCOM/JTF-AK J2 conducted on 6-8 March 07. Final report from N-NC is forthcoming.

f) **USSOUTHCOM IG:** Automated training notifications go out monthly as a reminder to all personnel who are required to undergo training during that month. Joint Task Force Bravo (*JTF Bravo*), Special Operation Command South (*SOCSSO-J2*), Joint Interagency Task Force-South (*JITF-S J2 CI*), and Joint Task Force, Guantanamo Bay, Cuba (*JTF-GTMO*) all submitted a negative report to any IO violations.

g) **USSOCOM IG:** There were no IO inspections conducted during this quarter.

h) **USSTRATCOM IG:** There were no IO inspections conducted during this quarter. USSTRATCOM IG had an IO inspection scheduled at the Joint Information Operations Warfare Command (*JIOWC*), Lackland AFB, but had to postpone due to other Command priorities. The IO inspection will be rescheduled, as well as scheduling other units' IO inspections, as soon as priorities allow.

#### The USSTRATCOM Instruction 919-3, Intelligence Oversight Inspection

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Program, has been revised.

1) **USTRANSCOM IG (TCIG):** Conducted the USTRANSCOM quarterly IO program inspection of the Joint Reserve Directorate (TCJ9)/Joint Transportation Reserve Unit (JTRU), in coordination with the JTRU IO Program Monitor on 8 September 07. The inspection consisted of a review of IO program administration, to include required initial/annual IO training of TCJ9/JTRU personnel, and an evaluation of the effectiveness of that IO training. 100% of assigned personnel are trained, and all personnel who were evaluated demonstrated appropriate knowledge of IO regulations and procedures. Two JTRU personnel are currently deployed from the unit, but they have completed annual IO refresher training.

2. The point of contact for this action is the undersigned at (b)(2)

(b)(6)

Deputy Inspector General

**Enclosures:**

- Enclosure A – Intel Report U.S. Central Command
- Enclosure B – Intel Report U.S. European Command
- Enclosure C – Intel Report U.S. Joint Forces Command
- Enclosure D – Intel Report U.S. Northern Command
- Enclosure E – Intel Report U.S. Pacific Command
- Enclosure F – Intel Report U.S. Southern Command
- Enclosure G – Intel Report U.S. Special Operations Command
- Enclosure H – Intel Report U.S. Strategic Command
- Enclosure I – Intel Report U.S. Transportation Command

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**ENCLOSURE A - USCENCOM**





**UNITED STATES CENTRAL COMMAND  
7115 SOUTH BOUNDARY BOULEVARD  
MACDILL AIR FORCE BASE, FLORIDA 33621-5101**

CCJ2

28 September 07

MEMORANDUM THRU

STAFF JUDGE ADVOCATE, HQCENTCOM, 7115 S. BOUNDARY BLVD,  
MACDILL AFB, FL 33621

INSPECTOR GENERAL, HQCENTCOM, 7115 S. BOUNDARY BLVD,  
MACDILL AFB, FL 33621

FOR DEPUTY INSPECTOR GENERAL, THE JOINT STAFF, 6000 DEFENSE PENTAGON,  
WASHINGTON, DC 20301-6000

SUBJECT: 4th Quarter, Fiscal Year 07, Intelligence Oversight (IO) Report

REF: (a) CJCSI 5901-01, 15 Dec 99, Subject: Oversight of Intelligence Activities.  
(b) USCENTCOM Regulation 381-9, 4 Jun 01, Subject: Activities of USCENTCOM  
Intelligence Components that Affect United States Persons.

1. Service Components report through their Service channels. Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at MacDill AFB, FL are receiving initial intelligence oversight training.
2. There were no questionable intelligence activities during the reporting period.
3. POC is SFC (b)(3) 10 USC 130b.(b)(6), Special Security Office (SSO) Intelligence Oversight Officer, DSN (b)(2) United States Central Command, MacDill Air Force Base, Florida.

(b)(3) 10 USC 130b.(b)(6)

Colonel, USA  
Deputy Director of Intelligence

(b)(3) 10 USC 130b.(b)(6)

Colonel, USA  
Inspector General, USCENTCOM

**ENCLOSURE B - USEUCOM**



**HEADQUARTERS  
UNITED STATES EUROPEAN COMMAND  
UNIT 30400  
APO AE 09131**

ECIG

2 October 2007

**MEMORANDUM FOR Joint Staff Inspector General**

**SUBJECT: Intelligence Oversight Report, Fourth Quarter, FY07**

1. This report provides information concerning intelligence oversight activities of the staff directorates and direct-reporting subordinate intelligence units of headquarters, US European Command.

2. Intelligence oversight training:

a. Initial briefings: 154

b. Refresher briefings: 343

3. Questionable activities (violations of law, regulation, or policy and action taken): None reported or noted.

4. Intelligence oversight inspections.

a. By intelligence organizations.

(1) Activities conducting internal assessments: EUCOM J2, SHAPE Survey, USNIC-Pristina, USNIC-Sarajevo, Special Operations Command, Europe (SOCEUR, Joint Analysis Center (JAC).

(2) Personnel interviewed: 158

b. By USEUCOM Inspector General.

(1) Activities inspected or assessed: None.

(2) Summary of results: N/A.

(3) Questionable intelligence activities discovered: N/A.

(4) Familiarity of personnel with intelligence oversight requirements: N/A.

(5) Adequacy of organization intelligence oversight training program: N/A.

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ECIG

SUBJECT: Intelligence Oversight Report, Fourth Quarter, FY07

- (6) Corrective actions taken: N/A.
5. Changes to intelligence oversight program (including changes to supporting training programs and the reason for the changes; attach a copy of the directive or policy which directs the change): None.
6. Changes to published directives or policies concerning intelligence, counterintelligence, or intelligence-related activities (attach a copy of the directive or policy): None.
7. Status of ongoing Procedure 15 inquiries: None ongoing.
8. Other matters pertinent to USEUCOM intelligence oversight programs: EUCOM IG will inspect the Joint Analysis Center, Molesworth, United Kingdom, teaming with the Asst IG for Intelligence Oversight, Defense Intelligence Agency, in late October 2007.
9. Point of contact is the undersigned at (b)(2),(b)(3) 10 USC 130b.(b)(6) or (b)(2),(b)(3) 10 USC 130b.(b)(6)

//original signed//

(b)(3) 10 USC 130b.

(b)(6)

Assistant Inspector General

**ENCLOSURE C - USJFCOM**

**DEPARTMENT OF DEFENSE**

COMMANDER  
U.S. JOINT FORCES COMMAND  
1522 MITSCHER AVENUE SUITE 200  
NOFCLK, VA 23561-2488

BY REPLY REFER TO:

5240  
J00IG3  
5 Oct 2007

**MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF**

(b)(3) 10 USC 130b,  
(b)(6)

Subject: U.S. Joint Forces Command (USJFCOM) Intelligence Oversight Report for the  
4<sup>th</sup> Quarter FY-07

**1. Illegal or improper activities:**

No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order of Department of Defense instructions concerned with intelligence oversight.

**2. Significant Intelligence Oversight activities:**

a. The Joint Forces Command Inspector General conducted a staff assistance visit (SAV) at the request of Standing Joint Force Headquarters (SJFHQ) from 10 to 14 September 2007. Mr. Rey Canbanas, the intelligence oversight officer for SJFHQ, demonstrated a clear understanding of all regulations related to IO and had solid control measures in place to ensure compliance.

**3. Results of Intelligence Oversight Inspections:**

a. The USJFCOM Inspector General's Office conducted a unit command inspection of the Joint Warfighting Center in Suffolk, Virginia from 23-27 July 2007 that included intelligence oversight. The intelligence oversight officer, LTC Tim Brandon, USAF, was in compliance with all appropriate regulation and maintained accurate training records for the command's intelligence oversight program.

**4. Suggestions for improvement: None**

5. USJFCOM IG Intelligence Oversight point of contact is (b)(6) and can be reached at (757) 836-5941/0 or DSN 836-5941/0.

Gary R. Woltering  
Colonel, USAF  
Inspector General

**ENCLOSURE D - USNORTHCOM**



**NORTH AMERICAN AEROSPACE DEFENSE COMMAND  
AND  
UNITED STATES NORTHERN COMMAND**



**MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF  
(ATTN: (b)(6) [redacted] 266)**

**FROM: NORAD and USNORTHCOM Inspector General**

**SUBJECT: US NORTHERN COMMAND (USNORTHCOM) Intelligence Oversight  
Report for Fourth Quarter FY07**

**1. Illegal or Improper Activities**

No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or Department of Defense directives concerned with Intelligence Oversight for USNORTHCOM or its subordinate joint commands.

**2. Significant Intelligence Oversight Activities**

a. The N-NC IG completed Intelligence Oversight Inspections of JTF-AK and JTF-CS. The organizations were well versed in their duties and responsibilities, understanding constitutional constraints, and the laws and directives which govern the collection, dissemination and storage of sensitive information, especially that data which is constrained by Intelligence Oversight guidance or acquired on non-DoD persons. Their programs are very strong, with only minor recommendations for improvement.

b. Training: All N-NC Intelligence Directorates and subordinate commands have conducted initial and refresher training for personnel. The percentage of personnel trained in the command is currently 99%, and all efforts will be made to maintain/increase this level.

c. N-NC has also updated and disseminated the command IO Instruction (NNCI-14-103). This instruction has been implemented and changes in the new guidance will be highlighted during upcoming IO Inspections.

d. Suggestions for Improvement: All N-NC Intelligence Directorates and JTFs have implemented more frequent database information review processes (30-60 day reviews) to ensure data repositories have effective follow-up assessments concerning the need to retain various forms of information.



3. POC for this report is (b)(6) DSN 692-0989, commercial (719) 554-0989.

b(6)

//////////////////ORIGINAL SIGNED//////////////////  
STEVEN E. ARMSTRONG, Colonel, USAF  
Inspector General

(b)(2)

**ENCLOSURE E - USPACOM**



COMMANDER, U.S. PACIFIC COMMAND  
(USPACOM)  
CAMP H.M. SMITH, HAWAII 96861-4028

1 October 2007

MEMORANDUM FOR Joint Staff, Inspector General, Attn: (b)(3) 10 USC  
130b,(b)(6)

Subject: QUARTERLY INTELLIGENCE OVERSIGHT (IO) REPORT,  
4<sup>th</sup> QUARTER, FY 07

Ref: (a) USCINCPACINST 3800.11A, 31 Jul 96  
(b) ASD Memo / December 8, 2006

1. This report provides Intelligence Oversight activity of USPACOM staff and subordinate commands for 4<sup>th</sup> Quarter, FY07.
2. Description of violations (law, regulation, or policy during the quarter): None
3. List intelligence oversight inspections including:
  - a. List of organizations - USPACOM, JIATF West, USFK, USFJ, ALCOM, JIOC, SOCFAC and JPAC
  - b. Summarize the results or trends - 17-20 Jul 07 NORAD-USNORTHCOM (N-NC) IG re-inspection of JTF-AK J2 grade of "Satisfactory."
  - c. Comment on questionable intelligence activities discovered - None
  - d. Familiarity of personnel with intelligence oversight requirements - Very high
  - e. Adequacy of organization intelligence oversight training program - Annual training now being conducted via computer with certificates being sent to IO coordinator and new members still receiving in-person indoctrination training
  - f. If inspections revealed deficiencies, note the corrective action taken - N/A.
4. Summarize any COCOM/agency level changes to your intelligence oversight program including changes to supporting training programs and the reason for the changes. Attach a copy of the directive or policy which directs the change: None
5. Summarize any COCOM/agency level changes to published directives or policies concerning:
  - a. Intelligence - None
  - b. Counterintelligence - None
  - c. Intelligence-related activities - None
6. Continue to report on the status of ongoing Procedure 15 inquiries and any additional matters pertinent to the agency/COCOM intelligence oversight programs as outlined in Procedure 15: All reporting commands are conducting indoctrination and refresher training. N-NC IG Procedure 15 investigation into potential JTF-AK IO violations is in progress as an outcome of their inspection of ALCOM/JTF-AK J2 conducted on 6-8 Mar 07. Final report from N-NC is forthcoming.

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7. Point of Contact for this report is COL John C. Stratis, USA, USPACOM IG, DSN 315-477-5101/5165 or COMM (808) 477-5101/5165

*John C. Stratis*  
JOHN C. STRATIS  
Colonel, USA  
Inspector General

(b)(2)

**ENCLOSURE F - USSOUTHCOM**

REPLY TO  
ACTIVITY OFDEPARTMENT OF DEFENSE  
UNITED STATES SOUTHERN COMMAND  
3511 NW 91ST AVENUE  
MIAMI, FL 33172-1217

SCIG

10 October 2007

MEMORANDUM FOR THE JOINT STAFF INSPECTOR GENERAL, ROOM 2C962,  
ATTN: JCS DOM(b)(3) 10 USC 130b.(b) JOINT STAFF INSPECTOR GENERAL, 6 (6)  
WASHINGTON, D C. 20318-0300

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 4th Quarter 2007

1. (U) Reference DOD Directive 5240.1R, dated December 1982.
2. (U) This report includes input from HQ U.S. Southern Command, Miami, Florida; Joint Task Force Bravo, Soto Cano AB, Honduras; Joint Task Force Guantanamo Bay, Cuba; Special Operations Command South, Homestead ARB, Homestead, Florida and Joint Interagency Task Force-South, Truman Annex (NAS), Key West, Florida.
3. (U) United States Southern Command (US SOUTHCOM) - In accordance with the directive the following is provided:
  - a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.
  - b. Significant oversight activities.
    - (1) Publications: None.
    - (2) Training: Training notifications go out monthly. It is automated; e-mail is generated at the server level and is sent to all personnel who are required to undergo training during that month.
    - (3) Inspections: None.
    - (4) Files review: None
    - (5) Inquiries: None
  - c. Suggestions for improvement. None.
  - d. Other. None

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SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 4th Quarter 2007

a. Point of contact for this section is: (b)(2),(b)(6)  
(b)(2),(b)(6)

b(2)  
b(6)

4. (U) Joint Task Force Bravo (JTF Bravo) - In accordance with the directive the following is provided:

IAW referenced directive, the following information is provided:

a. No intelligence activity has been conducted which may be reasonably construed as illegal or contrary to Directive Order 12333, DoD directives or US SOUTHCOM regulations.

b. Suggestions for improvement: None

c. Other: None.

d. Point of Contact for this memorandum is: (b)(2),(b)(3) 10 USC 130b (b)(6)  
(b)(2),(b)(3) 10 USC 130b.(b)(6)

b(2)  
b(3)  
b(6)  
10 USC

5. (U) Special Operations Command South (SOC SO-J2) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order 12333 or DoD directive 5340.1-R.

b. Suggestions for improvement: None

c. Other: None

d. Point of contact for HQs Special Operations Command South is: (b)(2),(b)(6)  
(b)(2),(b)(6)

b(2)  
b(6)

6. (U) Joint Interagency Task Force-South (JIATF-S J2 CI) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or DoD Directives.

b. Significant oversight activities for this quarter include training of newly arriving personnel assigned to intelligence activities.

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~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 4th Quarter 2007

c. Monitored JIATF-S WebTas Program to insure US person data meets authorized retainability under JIATFS mission allowing for the collection of information regarding international drug trafficking.

d. Reviewed all US persons in WebTas and either eliminated them or left them in because of sustaining information. The database is current with known traffickers and persons with prior criminal history.

e. Point of contact for JIATF-S is (b)(2),(b)(6) [redacted]  
(b)(2),(b)(6) [redacted]

b(2)  
b(6)

7. (U) Joint Task Force - GTMO, Guantanamo Bay, Cuba - In accordance with the directive the following is provided:

a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.

b. Significant oversight activities.

(1) Publications: None.

(2) Training: All JTF personnel receive IO training during initial inprocessing into the JTF.

(3) Files review: None.

(4) Inquiries: None.

c. Suggestions for improvement. None.

d. Point of contact for JTF-GTMO is IG, JTF-GTMO (b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted]  
(b)(2),(b)(3) 10 USC 130b, [redacted]  
(b)(6) [redacted]

b(4)  
b(6)  
b(3)  
10 USC 1306

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(b)(2)

~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 4th Quarter 2007

8. Point of Contact at U.S. SOUTHERN COMMAND IG's Office is

(b)(2),(b)(6)

b6  
b7c

(b)(2),(b)(6)

*(original signed)*  
T.L. WASHBURN  
CAPT, USN  
Inspector General

- CF:
- IO Officer, USSOUTHCOM
- IO Officer, JTF-Bravo
- IO Officer, SOCSO
- IO Officer, JIATF-S
- Inspector General - GTMO

**ENCLOSURE G - USSOCOM**

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**UNITED STATES SPECIAL OPERATIONS COMMAND**

7701 TAMPA POINT BLVD.  
MACDILL AIR FORCE BASE, FLORIDA 33621-5323

SOIG

1 October 2007

**MEMORANDUM FOR: DEPUTY INSPECTOR GENERAL, JOINT STAFF,**  
**ATTN: (b)(3) 10 USC 130b.(b)(6) 300 JOINT STAFF, PENTAGON,**  
**WASHINGTON, D.C. 20318-0300**

**SUBJECT: Quarterly Intelligence Oversight Activities Report for 4<sup>th</sup> Quarter, FY 2007**

**1: References:**

- a. E.O. 12333, 4 December 1981.
- b. DOD Directive 5240.1, 25 April 1988.
- c. DOD Regulation 5240.1-R, 7 December 1982.
- d. CJCSI 6901.01, 3 January 2007.

**2. No violations of applicable laws, orders, directives, regulations, or DOD policies were reported.**

**3. Point of contact is (b)(2).(b)(3) 10 USC 130b.(b)(6)**

(b)(3) 10 USC 130b.(b)(6)

**Inspector General**

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OCT-16-2007 12:32

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**ENCLOSURE II - USSTRATCOM**

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Reply to:  
USSTRATCOM/3005  
901 SAC BLVD, STE 1H9  
OFFUTT AFB NE 68113-6005

28 Sep 07

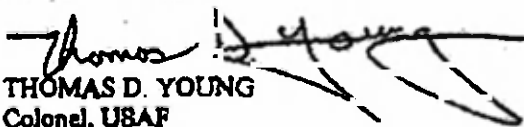
MEMORANDUM FOR THE INSPECTOR GENERAL, JOINT STAFF

Subject: Intelligence Oversight (IO) Report for the period of Jul - Sep 2007

1. There were no intelligence, counterintelligence, or intelligence-related violations of law, regulation, or policy in USSTRATCOM this quarter.
2. During this quarter, the IG had an IO inspection scheduled at the Joint Information Operations Warfare Command (JIOWC), Lackland AFB, but had to postpone it due to other Command priorities. We will re-schedule this IO inspection, as well as scheduling other units' IO inspections, as soon as priorities allow.
3. There were no changes to the USSTRATCOM IO inspection program.
4. We have revised our USSTRATCOM Instruction 919-3, *Intelligence Oversight Inspection Program*, 3 Jul 2007 and will forward a copy to your office. In addition, the OSD ATSD-IO office will conduct an inspection of the IO program at USSTRATCOM 4-8 Feb 2008. We have been in contact with (b)(6) OSD ATSD-IO, to coordinate this inspection visit.
4. If you have any questions concerning this report, please contact the USSTRATCOM POC, (b)(6) Deputy Inspector General (b)(2) high (b)(2)

(b)(6) (b)(2) high (b)(2)

(b)(6)

  
 THOMAS D. YOUNG  
 Colonel, USAF  
 Inspector General

(b)(2)

**ENCLOSURE I - USTRANSCOM**



**UNITED STATES TRANSPORTATION COMMAND**  
800 SCOTT DRIVE  
SCOTT AIR FORCE BASE, ILLINOIS 62204-6307

1 Oct 07

MEMORANDUM FOR THE JOINT STAFF, OFFICE OF THE INSPECTOR GENERAL

FROM: TCIG

SUBJECT: 4th QUARTER, FY07, Intelligence Oversight (IO) Inspection Report

1. USTRANSCOM Inspector General (TCIG) conducted the USTRANSCOM quarterly IO program inspection of the Joint Reserve Directorate (TCJ9)/Joint Transportation Reserve Unit (JTRU), in coordination with Maj Michael Peters, JTRU IO Program Monitor, on 8 September 2007.
2. The inspection consisted of a review of IO program administration, to include required initial/annual IO training of TCJ9/JTRU personnel, and an evaluation of the effectiveness of that IO training. Currently 100% of assigned personnel are trained, and all personnel who were evaluated demonstrated appropriate knowledge of IO regulations and procedures. Two JTRU personnel are currently deployed from the unit, but they have completed annual IO refresher training.
3. There were no questionable activities or IO violations within USTRANSCOM this quarter.

Handwritten signature of Bradley A. Carpenter in black ink.

BRADLEY A. CARPENTER, CAPT, USN  
Inspector General

cc:  
USTRANSCOM TCJ9/CDR, JTRU (Maj Gen Mitchell)  
USTRANSCOM/TCJ9, IO Monitor (Maj Peters)  
USTRANSCOM/TCJA (Mr. Moore)

EFF

TOTAL 701.28



**THE JOINT STAFF  
WASHINGTON, DC**

Reply ZIP Code:  
20318-0300

20 April 2007

**MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**Subject: Intelligence Oversight (IO) Report for Second Quarter, FY-07**

1. Below is a consolidated summary of all Combatant Commands (COCOMs) Intelligence Oversight (IO) Reports for the Second Quarter, FY-07. Full COCOM reports are attached for further information. Service Components will report IO information through their Military Services.

a) **USCENTCOM IG:** Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at Mac Dill AFB are receiving initial intelligence oversight training. There were no questionable intelligence activities reported.

b) **USEUCOM IG:** Intelligence oversight training included 64 initial and 92 refresher briefings. Internal assessments were conducted by EUCOM J2, Survey SHAPE, USNIC-Pristina, USNIC-Sarajevo, Northern Region JOIC, Southern Region JOIC, Joint Analysis Center. Total of 293 personnel interviewed. No questionable intelligence activities reported. The EUCOM IG received an information copy of a possible Procedure 15 but further investigation determined an error. The EUCOM IG received a revision of the USEUCOM Intelligence Oversight staff memorandum, and it should be published during the third quarter.

c) **USJFCOM IG:** J2 established computer-based IO awareness training on each SIPRNET computer. This IO awareness training is also available on the unclassified JFCOM portal in IRIS. The J2 tracks employee training completion in IRIS. The USJFCOM IG's Office conducted a unit command inspection of Special Operations Command Joint Forces Command (SOCJFCOM) and was found to be in compliance with all applicable regulations.

*The J7 conducted a review of exercises planned for the 3<sup>rd</sup> quarter/FY07, and identified one exercise required the use of domestic commercial imagery. A review of legal and policy constraints governing the use of commercial imagery was initiated.*



d) **USNORTHCOM:** The N-NC IG completed IO Inspections of JTF-AK that resulted procedural problems pertaining to the development and dissemination of intelligence products containing US persons information, a Procedure 15 Investigation was conducted. The JTF-AK J2 will be responsible for submitting Corrective Action Reports NLT 30 April 2007. The N-NC/J2 reported one incident this quarter, possibly contrary to Executive Order or Department of Defense directives. The N-NC IG hosted a visit from the ATSD(IO), and the inspectors conducted IO inspections of the N-NC/J2 and SJFHQ-N. The N-NC has updated and disseminated the command IO Instruction (NNCI-14-1403). All N-NC Intelligence Directorates and JTF's have conducted initial and refresher training for personnel, 98% has been trained.

e) **USPACOM IG:** Two possible IO violations were reported at ALCOM during an inspection conducted N-NC IG. JTF-AK J2 received an overall N-NC IG inspection grade of "Unsatisfactory" due to annual training being conducted via computer with certificates being sent to IO coordinator. New personnel receive in-person indoctrination training. ALCOM computer drives are being reconfigured. All reporting commands are conducting indoctrination and refresher training.

f) **USSOUTHCOM IG:** Automated training notifications go out monthly as a reminder to all personnel who are required to undergo training during that month. Joint Task Force Bravo (JTF Bravo), Special Operation Command South (SOCSO-J2), Joint Interagency Task Force-South (JIATF-S J2 C), and Joint Task Force, Guantanamo Bay, Cuba (JTF-GIMC) submitted a negative reports for questionable intelligence activities.

g) **USSOCOM IG:** There were no IO inspections conducted during this quarter. No questionable intelligence violations were reported.

h) **USSTRATCOM IG:** USSTRATCOM IG inspected the IO program at the Global Innovation and Strategy Center (GISC). GISC's IO program was found to be satisfactory. No questionable intelligence activity was identified.

i) **USTRANSCOM IG:** USTRANSCOM IG and USTRANSCOM Chief, Civil and Fiscal Law (TCJA), specifically conducted an IO program inspection of the Naval Reserve Intelligence Unit, Joint Operations Intelligence Center - USTRANSCOM (JIOCTRANS 0169). The inspection consisted of a review of the unit IO program administration, to include documentation of annual IO training and an evaluation of the effectiveness of that training. All available JIOCTRANS personnel received IO refresher training. There were two JIOCTRANS personnel deployed, and one assigned to active duty away from the unit; all three will receive annual IO refresher training upon their return.

j) **JSIG:** Updated the CJCSI 5901.01A, Joint Staff IG Responsibilities, Procedures, and Oversight Functions on 3 Jan 07. (b)(6) attended an ATSD(IO) Intelligence Oversight Inspection with (b)(6) and (b)(6) during their Jan 28 - 01 Feb 07, IO visit to Hawaii. They received command briefings and conducted IO inspections of PACOM J2, SOPHAC, JAITFO-W, USARPAC J2, 500<sup>th</sup> MI BDE HQ, AFSOI Region 6 and the NCIO FO.

2. The point of contact is the undersigned at (b)(2)

(b)(3) 10 USC 130b, (b)(6)

Deputy Inspector General

**Enclosures:**

- Enclosure A - Intel Report U.S. Central Command
- Enclosure B - Intel Report U.S. European Command
- Enclosure C - Intel Report U.S. Joint Forces Command
- Enclosure D - Intel Report U.S. Northern Command
- Enclosure E - Intel Report U.S. Pacific Command
- Enclosure F - Intel Report U.S. Southern Command
- Enclosure G - Intel Report U.S. Special Operations Command
- Enclosure H - Intel Report U.S. Strategic Command
- Enclosure I - Intel Report U.S. Transportation Command

(b)(2)

**ENCLOSURE A - USCENCOM**



**UNITED STATES CENTRAL COMMAND**  
7115 SOUTH BOUNDARY BOULEVARD  
MACDILL AIR FORCE BASE, FLORIDA 33621-6101

CCJ2  
CCIG

04 April 2007

MEMORANDUM FOR DEPUTY INSPECTOR GENERAL, THE JOINT STAFF,  
6000 DEFENSE PENTAGON, WASHINGTON, DC 20301-6000

SUBJECT: 2<sup>nd</sup> Quarter, Fiscal Year 07, Intelligence Oversight (IO) Report

REF: (a) CJCSI 5901-01, 25 Mar 03, Subject: Oversight of Intelligence Activities.  
(b) USCENTCOM Regulation 381-9, 17 Feb 05, Subject: Activities of USCENTCOM  
Intelligence Components that Affect United States Persons.

1. Service Components report through their Service channels. Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at MacDill AFB, FL are receiving initial intelligence oversight training.
2. There were no questionable intelligence activities during the reporting period.
3. POC is MSgt (b)(3) 10 USC [redacted] Special Security Office (SSO) Intelligence Oversight Officer, DSN (b)(2) [redacted] United States Central Command, MacDill Air Force Base, Florida.

(b)(3) 10 USC 130b,(b)(6)

Colonel, USAF  
Deputy, Director of Intelligence

(b)(3) 10 USC 130b,(b)(6)

Colonel, USA  
Inspector General

**ENCLOSURE B - USEUCOM**



HEADQUARTERS  
UNITED STATES EUROPEAN COMMAND  
UNIT 30400  
APO AE 09131

ECIG

9 April 2007

MEMORANDUM FOR Joint Staff Inspector General

SUBJECT: Intelligence Oversight Report, Second Quarter, FY07

1. This report provides information concerning intelligence oversight activities of the staff directorates and direct-reporting subordinate intelligence units of headquarters, US European Command.
2. Intelligence oversight training:
  - a. Initial briefings: 64
  - b. Refresher briefings: 92
3. Questionable activities (violations of law, regulation, or policy and action taken): We received an information copy of a possible Procedure 15 inquiry in a country in the USCENTCOM AOR. The DoDIG investigating officer said this was an error on his part, and that USEUCOM had no part to play in this inquiry.
4. Intelligence oversight inspections.
  - a. By intelligence organizations.
    - (1) Activities conducting internal assessments: EUCOM J2, Survey SHAPE, USNIC-Pristina, USNIC-Sarajevo, Northern Region JOIC, Southern Region JOIC, Joint Analysis Center
    - (2) Personnel interviewed: 293
  - b. By USEUCOM Inspector General.
    - (1) Activities inspected or assessed: None.
    - (2) Summary of results: N/A.
    - (3) Questionable intelligence activities discovered: N/A.
    - (4) Familiarity of personnel with intelligence oversight requirements: N/A.

EFF

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ECIG

SUBJECT: Intelligence Oversight Report, Second Quarter, FY07

(5) Adequacy of organization intelligence oversight training program: N/A.

(6) Corrective actions taken: N/A.

5. Changes to intelligence oversight program (including changes to supporting training programs and the reason for the changes; attach a copy of the directive or policy which directs the change): None.

6. Changes to published directives or policies concerning intelligence, counterintelligence, or intelligence-related activities (attach a copy of the directive or policy): The EUCOM IG received a revision of the USEUCOM Intelligence Oversight staff memorandum; we will review it as part of the normal staffing process. It should be published during the third quarter FY07.

7. Status of ongoing Procedure 15 inquiries: None ongoing.

8. Other matters pertinent to USEUCOM intelligence oversight programs:

9. Point of contact is the undersigned at (b)(2),(b)(3) 10 USC 130b.(b)(6) or  
(b)(2),(b)(3) 10 USC 130b.(b)(6)

(b)(3) 10 USC 130b.

(b)(6)

Assistant Inspector General

**ENCLOSURE C - USJFCOM**





**DEPARTMENT OF DEFENSE**  
 COMMANDER  
 U.S. JOINT FORCES COMMAND  
 1662 MITSCHER AVENUE SUITE 200  
 NORFOLK, VA 23551-2488

IN REPLY REFER TO:

5240  
 J00IG3  
 10 April 2007

**MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF**  
 (ATTN: (b)(6))

Subject: U.S. Joint Forces Command (USJFCOM) Intelligence Oversight Report for the  
 2<sup>nd</sup> Quarter FY-07

1. Illegal or improper activities:

No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order of Department of Defense instructions concerned with intelligence oversight.

2. Significant Intelligence Oversight activities:

a. J2 established computer-based intelligence oversight awareness training on each SIPRNET computer under the training menu of the Network Applications area. Computer-based intelligence oversight awareness training is also available on the unclassified JFCOM portal in the IRIS area. The J2 tracks employee training completion in IRIS.

b. On 19 March 2007 J7 conducted a review of exercises planned for the 3<sup>rd</sup> Quarter/FY07. The review showed one exercise, Ardent Sentry/Northern Edge 07, requires the use of domestic commercial imagery. A review of legal and policy constraints governing the use of commercial imagery was initiated.

3. Results of Intelligence Oversight Inspections:

a. The USJFCOM Inspector General's Office conducted a unit command inspection of Special Operations Command Joint Forces Command (SOCJFCOM) in Norfolk, Virginia from 5-9 March 2007 that included intelligence oversight. The intelligence oversight officer, (b)(6), was in compliance with all appropriate regulation and maintained accurate training records.

4. Suggestions for improvement: None

5. USJFCOM IG Intelligence Oversight point of contact is (b)(6) and can be reached at (757) 836-5941/0 or DSN 836-5941/0.

Gary R. Woltering  
 Col USAF  
 Inspector General

**ENCLOSURE D - USNORTHCOM**



**NORTH AMERICAN AEROSPACE DEFENSE COMMAND  
AND  
UNITED STATES NORTHERN COMMAND**



MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF

(ATTN: (b)(6) USA) b(6)

FROM: NORAD-USNORTHCOM Inspector General

SUBJECT: US NORTHERN COMMAND (USNORTHCOM) Intelligence Oversight  
Report for Second Quarter FY07

1. Illegal or Improper Activities

a. The N-NC IG conducted an Intelligence Oversight (IO) inspection of JTF-AK from 6-8 March that resulted in the identification of procedural problems pertaining to the development and dissemination of intelligence products containing US Persons information. A determination was made that a Procedure 15 Investigation was required. Details of the inspection are contained in N-NC Intelligence Oversight inspection report, IO Inspection 07-03. In response to the inspection report, the JTF-AK J2 will be responsible for submitting two Corrective Action Reports NLT 30 April 2007.

b. The N-NC J2 reported that there was one incident this quarter possibly contrary to Executive Order or Department of Defense directives concerned with intelligence oversight. On 25 Jan 07, watch notes distributed via email by the N-NC/J2 Operational Intelligence Watch (OIW) contained an FBI IIR which referred to two USPER organizations by name. The IIR was one of many from various information feeds into the OIW and was inadvertently distributed to a small group of analysts without having been reviewed by the watch officer. Concerns were raised by the N-NC/J2 shortly after the watch notes were distributed and immediate action was taken to make appropriate notification to recipients to destroy the watch notes. All electronic and hard copies of the IIR were purged from the OIW computer systems. Subsequent training was conducted for all watch officers on the importance of reviewing items included in distributed watch notes with a close eye on intelligence oversight concerns.

2. Significant Intelligence Oversight Activities

a. The N-NC IG conducted an IO inspection of JTF-AK from 6-8 March 2007. The N-NC IG also hosted a visit by (b)(6) and (b)(6) from the ATSD(IO). During the visit the ATSD(IO) inspectors conducted IO inspections of the N-NC J2, and SJFHQ-N. All subordinate commands inspected by ATSD(IO) were well versed in their duties and responsibilities, understanding constitutional constraints, and the

laws and directives which govern the collection, dissemination and storage of sensitive information. JTF-AK was discussed in Paragraph 1 above.

b. N-NC has also updated and disseminated the command IO Instruction (NNCI-14-103). This instruction has been implemented and changes in the new guidance will be highlighted during upcoming IO inspections.

c. Training: All N-NC Intelligence Directorates and JTF's have conducted initial and refresher training for personnel. The percentage of personnel trained in the command is currently 98%, and all efforts will be made to maintain/increase this level.

d. Suggestions for Improvement: All N-NC Intelligence Directorates and JTFs have implemented more frequent database information review processes (30-60 day reviews) to ensure data repositories have effective follow-up assessments concerning the need to retain various forms of information.

3. POC for this report is (b)(6) DSN 692-0989, commercial (719) 554-0989. *blue*

//////////////////ORIGINAL SIGNED//////////////////  
STEVEN E. ARMSTRONG, Colonel, USAF  
Inspector General

**ENCLOSURE E - USPACOM**



COMMANDER, U.S. PACIFIC COMMAND  
(USPACOM)  
CAMP H.M. SMITH, HAWAII 96861-4028

4 April 2007

MEMORANDUM FOR Joint Staff, Inspector General, Attn: (b)(6)

Subject: QUARTERLY INTELLIGENCE OVERSIGHT (IO) REPORT.  
2<sup>nd</sup> QUARTER, FY 07

Ref: (a) USCINCPACINST 3800.11A, 31 Jul 96  
(b) ASD Memo / December 8, 2006

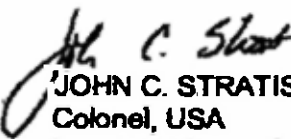
1. This report provides Intelligence Oversight activity of USPACOM staff and subordinate commands for 2<sup>nd</sup> Quarter, FY07.
2. Description of violations (law, regulation, or policy during the quarter): ALCOM had two possible violations during an inspection done by NORAD/USNORTHCOM conducted on 6-8 Mar 07 (See USNORTHCOM report).
3. List intelligence oversight inspections including:
  - a. List of organizations – USPACOM, JIATF West, USFK, USFJ, ALCOM, JIOC, SOCPAC and JPAC
  - b. Summarize the results or trends – JTF-AK J2 overall N-NC IG inspection grade of 9 Mar 07 "Unsatisfactory" due to potential IO violation.
  - c. Comment on questionable intelligence activities discovered - None
  - d. Familiarity of personnel with intelligence oversight requirements – Very high
  - e. Adequacy of organization intelligence oversight training program – Annual training now being conducted via computer with certificates being sent to IO coordinator and new members still receiving in-person indoctrination training
  - f. If inspections revealed deficiencies, note the corrective action taken – ALCOM computer drives are being reconfigured. Computer archives are being reviewed and reduced.
4. Summarize any COCOM/agency level changes to your intelligence oversight program including changes to supporting training programs and the reason for the changes: Attach a copy of the directive or policy which directs the change: N/A
5. Summarize any COCOM/agency level changes to published directives or policies concerning:
  - a. Intelligence – None
  - b. Counterintelligence – None

c. Intelligence-related activities - None

6. Continue to report on the status of ongoing Procedure 15 inquiries and any additional matters pertinent to the agency/COCOM Intelligence oversight programs are outlined in Procedure 15: All reporting commands are conducting indoctrination and refresher training.

7. General comments: To ensure compliance with applicable laws and regulations, IO file custodians in JIATF-West reviewed their files containing information on U.S. persons.

8. Point of Contact for this report is COL John C. Stratis, USA, USPACOM IG, DSN 315-477-5101/5165 or COMM (808) 477-5101/5165

  
JOHN C. STRATIS  
Colonel, USA  
Inspector General

**ENCLOSURE F - USSOUTHCOM**



RENY TO  
ATTENTION OF

DEPARTMENT OF DEFENSE  
UNITED STATES SOUTHERN COMMAND  
3611 NW 91ST AVENUE  
MIAMI, FL 33172-1217

SCIG

5 April 2007

MEMORANDUM FOR THE JOINT STAFF INSPECTOR GENERAL, ROOM 2C962,  
ATTN: JCS DON (b)(6) JOINT STAFF INSPECTOR GENERAL,  
WASHINGTON, D.C. 20318-0300

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 2nd Quarter 2007

1. (U) Reference DOD Directive 5240.1R, dated December 1982.
2. (U) This report includes input from HQ U.S. Southern Command, Miami, Florida; Joint Task Force Bravo, Soto Cano AB, Honduras; Joint Task Force Guantanamo Bay, Cuba; Special Operations Command South, Homestead AFB, Homestead, Florida and Joint Interagency Task Force-South, Truman Annex (NAS), Key West, Florida.
3. (U) United States Southern Command (US SOUTHCOM) - In accordance with the directive the following is provided:
  - a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.
  - b. Significant oversight activities:
    - (1) Publications: None.
    - (2) Training: Training notifications go out monthly. It is automated; e-mail is generated at the server level and is sent to all personnel who are required to undergo training during that month.
    - (3) Inspections: None.
    - (4) Files review: None
    - (5) Inquiries: None
  - c. Suggestions for improvement: None.
  - d. Other: None

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SCIG

SUBJECT: Quarterly ~~Intelligence Oversight~~ Activities Report for the 2nd Quarter 2007

c. Point of contact for this section is (b)(2),(b)(6) [redacted]  
(b)(2),(b)(6) [redacted]

b(2)  
b(6)

4. (U) Joint Task Force Bravo (JTF Bravo) - In accordance with the directive the following is provided:

IAW referenced directive, the following information is provided:

a. No intelligence activity has been conducted which may be reasonably construed as illegal or contrary to Directive Order 12333, DoD directives or US SOUTHCOM regulations.

b. Suggestions for improvement: None

c. Other: None.

d. Point of Contact for this memorandum is (b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted]  
(b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted]

b(2)  
b(3)  
b(6)  
DoD

5. (U) Special Operations Command South (SOCSO-J3) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order 12333 or DoD directive 5340.1-R.

b. Suggestions for improvement: None

c. Other: None

d. Point of contact for HQs Special Operations Command South is (b)(2),(b)(6) [redacted]  
(b)(2),(b)(6) [redacted]

b(2)  
b(6)

6. (U) Joint Interagency Task Force-South (JIATF-S J3 CI) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or DoD Directives.

b. Significant oversight activities for this quarter include training of newly arriving personnel assigned to intelligence activities.

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SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 2nd Quarter 2007

c. Monitored JIATF-S WebTas Program to insure US person data meets authorized retainability under JIATFS mission allowing for the collection of information regarding international drug trafficking.

d. Reviewed all US persons in WebTas and either eliminated them or left them in because of sustaining information. The database is current with known traffickers and persons with prior criminal history.

e. Handled inquiries regarding the collectability of tail numbers for aircraft and names of boats. Tail number and boat names do not meet the definition of a U.S. person unless the aircraft/boats have the U.S. owners name affiliated directly.

f. Point of contact for JIATF-S is (b)(2),(b)(6) [redacted] (b)(2),(b)(6) [redacted]

b(2)  
b(6)

7. (U) Joint Task Force - GTMO, Guantanamo Bay, Cuba - In accordance with the directive the following is provided:

a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.

b. Significant oversight activities.

(1) Publications: None.

(2) Training: All JTF personnel receive IO training during initial inprocessing into the JTF.

(3) Files review: None.

(4) Inquiries: None.

c. Suggestions for improvement. None.

d. Point of contact for JTF-GTMO is IG, JTF-GTMO (b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted] (b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted]

b(2)  
b(3)  
b(6)  
10 USC 130b

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~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 2nd Quarter 2007

8. Point of Contact at U.S. SOUTHERN COMMAND IG's Office (b)(2),(b)(6)  
(b)(2),(b)(6)

6(4)  
6(2)

*"Original signed"*  
T.L. WASHBURN  
CAPT, USN  
Inspector General

- CF:
- IO Officer, USSOUTHCOM
- IO Officer, JTF-Bravo
- IO Officer, SOCSO
- IO Officer, JIATF-S
- Inspector General - GTMO

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**ENCLOSURE G - USSOCOM**



UNITED STATES SPECIAL OPERATIONS COMMAND

7701 TAMPA POINT BOULEVARD  
MACDILL AIR FORCE BASE, FLORIDA 33621-6323

SOIG

2 April 2007

MEMORANDUM FOR: DEPUTY INSPECTOR GENERAL, JOINT STAFF,  
ATTN: (b)(3) 10 USC 1306, (b)(6) 300 JOINT STAFF, PENTAGON,  
WASHINGTON, D.C. 20318-0300

SUBJECT: Quarterly Intelligence Oversight Activities Report for 2<sup>nd</sup> Quarter, FY 2007

1. References:

- a. E.O. 12333, 4 December 1981.
- b. DOD Directive 5240.1, 25 April 1988.
- c. DOD Regulation 5240.1-R, 7 December 1982.
- d. CJCSI 5901.01, 3 January 2007.

2. No violations of applicable laws, orders, directives, regulations, or DOD policies were reported.

3. Point of contact is (b)(2), (b)(3) 10 USC 1306, (b)(6)

(b)(3) 10 USC 1306, (b)(6)

Inspector General

Post-It Fax Note	7571	Date	2 APR 07
To	(b)(3) 10 USC 1306, (b)(6)	From	KATHY MOLLINS
Content	JOINT STAFF DTC	Subject	USSACOM IG
Phone	(b)(2)	Phone	(b)(2)
Fax #		Fax #	

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Proven - Vigilant - Prepared  
in the past today for the future

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TOTAL P. 24

(b)(2)

high (b)(2)

**ENCLOSURE II - USSTRATCOM**

(b)(2)



DEPARTMENT OF DEFENSE  
UNITED STATES STRATEGIC COMMAND  
OFFUTT AIR FORCE BASE, NEBRASKA 68110-0000

high (b)(2)

Reply to:  
USSTRATCOM/J005  
901 SAC BLVD, STE 1H9  
OFFUTT AFB NE 68113-6005

30 Mar 07

MEMORANDUM FOR THE INSPECTOR GENERAL, JOINT STAFF

Subject: Intelligence Oversight (IO) Report for the period of Jan - Mar 2007

1. There were no intelligence, counterintelligence, or intelligence-related violations of law, regulation, or policy in USSTRATCOM this quarter.
2. During this quarter, the IG inspected the IO program at the Global Innovation and Strategy Center (GISC). This was the first IO program inspection at the GISC which began operation in June 2006. The GISC IO program is satisfactorily meeting the requirements of the DoD IO program. There was no questionable intelligence activity at the GISC. The personnel interviewed during the inspection displayed an understanding of the DoD IO program requirements. The IO training program meets DoD requirements, however, improvement is necessary. The IG made two recommendations in the inspection report to the GISC Director. The IG recommended that the GISC IO program monitor be included in the GISC in-processing procedure for inbound personnel to ensure those requiring initial IO indoctrination training receive it upon their arrival. The IG also recommended that the IO program monitor develop a formal IO refresher training program to ensure all personnel receive annual training and that the training is documented. These recommendations are being implemented by the GISC IO program monitor.
3. There were no changes to USSTRATCOM directives or policies relating to intelligence, counterintelligence, or intelligence-related activities. We are revising and updating our USSTRATCOM Operating Instruction concerning IO program management. We will provide you a copy of the instruction as soon as it is published.
4. If you have any questions concerning this report, our POC is (b)(6) Deputy Inspector General (b)(2)

high (b)(2)

*Thomas D. Young*  
THOMAS D. YOUNG  
Colonel, USAF  
Inspector General

(b)(2)



**ENCLOSURE I - USTRANSCOM**



**UNITED STATES TRANSPORTATION COMMAND**  
100 SCOTT DRIVE  
SCOTT AIR FORCE BASE, ILLINOIS 62269-6007

2 Apr 07

**MEMORANDUM FOR THE JOINT STAFF, OFFICE OF THE INSPECTOR GENERAL**

**FROM: TCIG**

**SUBJECT: 2nd QUARTER, FY07, Intelligence Oversight (IO) Inspection Report**

1. The USTRANSCOM Inspector General (TCIG) conducted a quarterly review of USTRANSCOM IO programs to assess compliance with Federal laws and national directives regarding intelligence activities. There were no questionable activities or violations reported this quarter.
2. In addition, CAPT Brad Carpenter, USTRANSCOM Inspector General (TCIG), and (b)(6), USTRANSCOM Chief, Civil and Fiscal Law (FCJA), specifically conducted an IO program inspection of the Naval Reserve Intelligence Unit, Joint Operations Intelligence Center - USTRANSCOM (JIOCTTRANS 0169) on 03 Feb 07.
3. The JIOCTTRANS inspection consisted of a review of the unit IO program administration, to include documentation of annual IO training and an evaluation of the effectiveness of that training (through testing and interviews). 100% of available JIOCTTRANS personnel received annual IO refresher training in February and March 2007. All personnel evaluated demonstrated a sound understanding of IO policies and reporting requirements. There were two JIOCTTRANS personnel deployed, and one other assigned on active duty away from the unit; all three will receive annual IO refresher training upon their return.
4. There have been no changes to USTRANSCOM IO program activities, directives, or training programs this quarter.
5. Please contact this office at DSN 779-1781, or USTCIG@ustrancom.mil, for additional questions.

  
BRADLEY A. CARPENTER, CAPT, USN  
Inspector General

cc:  
USTRANSCOM/TCJ2  
USTRANSCOM/TCJ2, JIOCTTRANS 0169  
USTRANSCOM/TCJA

EFF

728  
TOTAL P.23



**THE JOINT STAFF  
WASHINGTON, DC**

Reply ZIP Code:  
20318-0300

11 July 2007

**MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**Subject: Intelligence Oversight (IO) Report for Third Quarter, FY07**

1. Below is a consolidated summary of all Combatant Commands (COCOMs) Intelligence Oversight (IO) Reports for the Third Quarter, FY-07. Attached are all COCOM reports for further information. Also Service Components will report IO information through their Service.

a) **USCENTCOM IG:** Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at Mac Dill AFB are receiving initial intelligence oversight training. There were no questionable activities reported.

b) **USEUCOM IO:** Intelligence oversight training included 192 initial and 103 refresher briefings. Internal assessments were conducted by EUCOM J2, on SHAPE Survey, USNIC-Pristina, USNIC-Sarajevo, Northern Region JOIC, Southern Region JOIC, and the Joint Analysis Center and there were 278 personnel interviewed.

✓ The EUCOM IG conducted a Staff Assistance Visit of the US National Intelligence Cell-Pristina, Kosovo to acclimate the new intelligence oversight training officer. There were no major discrepancies found in the course of records reviews and interviews with watch personnel. One common finding was that even experienced personnel believe that a questionable activity relates only to information concerning US persons, and not to intelligence professional misconduct. This is a systemic weakness in training that the intelligence officer is working on.

EUCOM IG will meet with ATSD(IO) inspectors following their assessment of Special Operations Command, Europe on 16 July 2007.

c) **USJFCOM IG:** J9 OPSEC Coordinator received a briefing from the National Geospatial Intelligence Agency on the NGA processes for compliance with DOD's Intelligence Oversight Requirements regarding the "Proper use Memorandum for Domestic Imagery". The briefing was presented as part of the OPSEC Coordinator training program.

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USJ&COM IG conducted a unit command inspection of the Joint Personnel Recovery Agency (JPRA) at Fort Belvoir, Virginia from 23-27 April 2007 that included IO. JPRA's IO office was in compliance with all regulations.

d) **USNORTHCOM IG:** The N-NC IG in conjunction with ATSD(IO), completed IO Inspections of the N-NC J2, SJFHQ-N, and JTF-N. The organizations were well versed in their duties and responsibilities, understanding constitutional constraints, and the laws and directives which govern the collection, dissemination and storage of sensitive information, especially that data which is constrained by IO guidance or acquired on non-DOD persons. N-NC has updated and disseminated the command IO Instruction (NNCI-14-103). All N-NC Intelligence Directorates and subordinate commands have conducted initial and refresher training at 99%. N-NC has also updated and disseminated the command IO instruction (NNCI-14-103).

e) **USPACOM IG:** JTF-AK J2 overall N-NC IG inspection grade of "unsatisfactory" due to potential IO violation. Annual training is being conducted via computer with certificates being sent to IO coordinator while new members continue receiving in-person indoctrination training. ALCOM Procedure 15 inquiry, inspection is scheduled to be completed during the N-NC IG re-inspection.

f) **USSOUTHCOM IG:** Automated training notifications go out monthly as a reminder to all personnel who are required to undergo training during that month. Joint Task Force Bravo (*JTF Bravo*), Special Operation Command South (*SOCSSO-J2*), Joint Interagency Task Force-South (*JITF-S J2 C*), and Joint Task Force, Guantanamo Bay, Cuba (*JTF-GTMO*) all submitted a negative report to any IO violations.

g) **USSOCOM IG:** There were no IO inspections of violation reported during this quarter.

h) **USSTRATCOM IG:** USSTRATCOM IG inspected the IO programs at Joint Functional Component Command-Integrated Missile Defense (*JFCC-IMD*) and the Joint Functional Component Command-Intelligence, Surveillance and Reconnaissance (*JFCC-ISR*). *JFCC-IMD* and *JFCC-ISR* programs were found to be excellent. No questionable intelligence activity was identified.

i) **USTRANSCOM IG:** USTRANSCOM IG and USTRANSCOM Chief, Civil and Fiscal Law (*TCJA*), conducted an IO program inspection of the USTRANSCOM Joint Intelligence Operation Center in coordination with the *TCJ2* IO Program Monitor. The inspection consisted of a review of *TCJ2* IO program administration, to include documentation of annual IO training and an evaluation of the effectiveness of that training. 96% of *TCJ2* personnel had received initial or annual IO refresher training. Nine remaining personnel were scheduled to complete IO training within 60 days following the inspection. All

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personnel evaluated during the inspection demonstrated a sound understanding of IO policies and the requirements for reporting questionable intelligence activities.

2. The point of contact for this action is the undersigned at (b)(2)

(b)(3) 10 USC 130b.(b)(6)

COL, USA  
Joint Staff Deputy Inspector General

**Enclosures:**

- Enclosure A – Intel Report U.S. Central Command
- Enclosure B – Intel Report U.S. European Command
- Enclosure C – Intel Report U.S. Joint Forces Command
- Enclosure D – Intel Report U.S. Northern Command
- Enclosure E – Intel Report U.S. Pacific Command
- Enclosure F – Intel Report U.S. Southern Command
- Enclosure G – Intel Report U.S. Special Operations Command
- Enclosure H – Intel Report U.S. Strategic Command
- Enclosure I – Intel Report U.S. Transportation Command

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**ENCLOSURE A - USCENCOM**



**UNITED STATES CENTRAL COMMAND  
7115 SOUTH BOUNDARY BOULEVARD  
MACDILL AIR FORCE BASE, FLORIDA 33621-5101**

CCJ2

22 June 07

MEMORANDUM THRU

STAFF JUDGE ADVOCATE, HQCENTCOM, 7115 S. BOUNDARY BLVD.  
MACDILL AFB, FL 33621

INSPECTOR GENERAL, HQCENTCOM, 7115 S. BOUNDARY BLVD.  
MACDILL AFB, FL 33621

FOR DEPUTY INSPECTOR GENERAL, THE JOINT STAFF, 6000 DEFENSE PENTAGON,  
WASHINGTON, DC 20301-6000

SUBJECT: 3rd Quarter, Fiscal Year 07, Intelligence Oversight (IO) Report

REF: (a) CJCSI 5901-01, 15 Dec 99, Subject: Oversight of Intelligence Activities  
(b) USCENCOM Regulation 381-9, 4 Jun 01, Subject: Activities of USCENCOM  
Intelligence Components that Affect United States Persons.

1. Service Components report through their Service channels. Augmentation forces for Operation ENDURING FREEDOM assigned to USCENCOM at MacDill AFB, FL are receiving initial intelligence oversight training.
2. There were no questionable intelligence activities during the reporting period.
3. POC is SFC (b)(3) 10 USC 130b, Special Security Office (SSO) Intelligence Oversight Officer, DSN (b)(2), United States Central Command, MacDill Air Force Base, Florida.

(b)(3) 10 USC 130b.(b)(6)  
[Redacted]

**CAPTAIN, USN  
Deputy Director of Intelligence**

(b)(3) 10 USC 130b.(b)(6)  
[Redacted]

**Colonel, USA  
Inspector General, USCENCOM**

**ENCLOSURE B - USEUCOM**





**HEADQUARTERS  
UNITED STATES EUROPEAN COMMAND  
UNIT 30400  
APO AE 09131**

ECIG

10 July 2007

MEMORANDUM FOR Joint Staff Inspector General

SUBJECT: Intelligence Oversight Report, Third Quarter, FY07

1. This report provides information concerning intelligence oversight activities of the staff directorates and direct-reporting subordinate intelligence units of headquarters, US European Command.
2. Intelligence oversight training:
  - a. Initial briefings: 192
  - b. Refresher briefings: 103
3. Questionable activities (violations of law, regulation, or policy and action taken): None reported or noted.
4. Intelligence oversight inspections.
  - a. By intelligence organizations.
    - (1) Activities conducting internal assessments: EUCOM J2, SHAPE Survey, USNIC-Pristina, USNIC-Sarajevo, Northern Region JOIC, Southern Region JOIC, Joint Analysis Center.
    - (2) Personnel interviewed: 278
  - b. By USEUCOM Inspector General.
    - (1) Activities inspected or assessed: US National Intelligence Cell-Pristina, Kosovo.
    - (2) Summary of results: This was a staff assistance visit to acclimate a brand new intel oversight training officer to his duties. There were no major discrepancies found in the course of records reviews and interviews with watch personnel.
    - (3) Questionable intelligence activities discovered: None.
    - (4) Familiarity of personnel with intelligence oversight requirements: One common finding is that even experienced personnel believe that a questionable activity relates only to

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ECIG

SUBJECT: Intelligence Oversight Report, Third Quarter, FY07

information concerning US persons, and not to intelligence professional misconduct. This is a systemic weakness in training.

(5) Adequacy of organization intelligence oversight training program: See comment above.

(6) Corrective actions taken: The new intel oversight training officer acted on an IG suggestion to bring perceived training deficiencies, along with suggested improvements, to the attention of the EUCOM intel oversight officer.

5. Changes to intelligence oversight program (including changes to supporting training programs and the reason for the changes; attach a copy of the directive or policy which directs the change): None.

6. Changes to published directives or policies concerning intelligence, counterintelligence, or intelligence-related activities (attach a copy of the directive or policy): None.

7. Status of ongoing Procedure 15 inquiries: None ongoing.

8. Other matters pertinent to USEUCOM intelligence oversight programs: EUCOM IG will meet with ATSD(IO) inspectors following their assessment of Special Operations Command, Europe, on 16 July 2007.

9. Point of contact is the undersigned at (b)(2),(b)(3) 10 USC 130b,(b)(6) or (b)(2),(b)(3) 10 USC 130b,(b)(6)

(b)(3) 10 USC 130b,

(b)(6)

Assistant Inspector General

**ENCLOSURE C - USJFCOM**



**DEPARTMENT OF DEFENSE**  
 COMMANDER  
 U.S. JOINT FORCES COMMAND  
 1582 MITSCHER AVENUE SUITE 200  
 NORFOLK, VA 23561-2488

IN REPLY REFER TO:

5240  
 J00IG3  
 5 July 2007

MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF (ATTN: (b)(3) 10  
 (b)(3) 10  
 HSC 1306/h)

**Subject: U.S. JOINT FORCES COMMAND (USJFCOM) INTELLIGENCE  
 OVERSIGHT REPORT FOR THE THIRD QUARTER FY-07**

**1. Illegal or improper activities:**

No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or Department of Defense instructions concerned with intelligence oversight.

**2. Significant Intelligence Oversight activities:**

a. On 12 April 2007, the J9 OPSEC Coordinator received a briefing from the National Geospatial Intelligence Agency on the NGA processes for compliance with DoD's Intelligence Oversight Requirements regarding the "Proper Use Memorandum for Domestic Imagery". This briefing was presented as part of the OPSEC Coordinator training program.

**3. Results of Intelligence Oversight Inspections:**

a. The USJFCOM Inspector General's Office conducted a unit command inspection of Joint Personnel Recovery Agency (JPRA) at Fort Belvoir, Virginia from 23-27 April 2007 that included intelligence oversight. JPRA's intelligence oversight officer, (b)(6) USAF, was in compliance with all appropriate regulations and maintained accurate training records.

**4. Suggestions for improvement: None**

5. USJFCOM Intelligence Oversight point of contact is (b)(6) and can be reached at (757) 836-5941/0 or DSN 836-5941/0.

Gary R. Woltering  
 Colonel, USAF  
 Inspector General

**ENCLOSURE D - USNORTHCOM**



**NORTH AMERICAN AEROSPACE DEFENSE COMMAND  
AND  
UNITED STATES NORTHERN COMMAND**



**MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF**  
(ATTN: (b)(6) USA) b(6)

**FROM:** NORAD and USNORTHCOM Inspector General

**SUBJECT:** US NORTHERN COMMAND (USNORTHCOM) Intelligence Oversight Report for Third Quarter FY07

**1. Illegal or Improper Activities**

No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or Department of Defense directives concerned with Intelligence Oversight for USNORTHCOM or its subordinate joint commands.

**2. Significant Intelligence Oversight Activities**

a. The N-NC IG, in conjunction with ATSD(IO), completed Intelligence Oversight Inspections of the N-NC J2, SJFHQ-N, ARNORTH, and JTF-N. The organizations were well versed in their duties and responsibilities, understanding constitutional constraints, and the laws and directives which govern the collection, dissemination and storage of sensitive information, especially that data which is constrained by Intelligence Oversight guidance or acquired on non-DoD persons. Their programs are very strong, with only minor recommendations for improvement.

b. Training: All N-NC Intelligence Directorates and subordinate commands have conducted initial and refresher training for personnel. The percentage of personnel trained in the command is currently 99%, and all efforts will be made to maintain/increase this level.

c. N-NC has also updated and disseminated the command IO Instruction (NNCI-14-103). This instruction has been implemented and changes in the new guidance will be highlighted during upcoming IO Inspections.

d. Suggestions for Improvement: All N-NC Intelligence Directorates and JTFs have implemented more frequent database information review processes (30-60 day reviews) to ensure data repositories have effective follow-up assessments concerning the need to retain various forms of information.

3. POC for this report is (b)(6) [redacted] IDSN 692-0989, commercial (719) 554-0989. b(6)

//////////////////ORIGINAL SIGNED//////////////////  
STEVEN E. ARMSTRONG, Colonel, USAF  
Inspector General



**COMMANDER, U.S. PACIFIC COMMAND**  
**USPACOM**  
**CAMP H.M. SMITH, HAWAII 96861-4028**

5 July 2007

MEMORANDUM FOR Joint Staff, Inspector General, Attn: (b)(3) 10 USC  
130b,(b)(6)Subject: QUARTERLY INTELLIGENCE OVERSIGHT (IO) REPORT,  
3<sup>rd</sup> QUARTER, FY 07Ref: (a) USCINCPACINST 3800.11A, 31 Jul 96  
(b) ASD Memo / December 8, 2006

1. This report provides Intelligence Oversight activity of USPACOM staff and subordinate commands for 3<sup>rd</sup> Quarter, FY07.
2. Description of violations (law, regulation, or policy during the quarter): None
3. List intelligence oversight inspections including:
  - a. List of organizations – USPACOM, JIATF West, USFK, USFJ, ALCOM, JIOC, SOCPAC and JPAC
  - b. Summarize the results or trends – JTF-AK J2 overall N-NC IG inspection grade of 9 Mar 07 “Unsatisfactory” due to potential IO violation.
  - c. Comment on questionable intelligence activities discovered - None
  - d. Familiarity of personnel with intelligence oversight requirements – Very high
  - e. Adequacy of organization intelligence oversight training program –Annual training now being conducted via computer with certificates being sent to IO coordinator and new members still receiving in-person Indoctrination training
  - f. If inspections revealed deficiencies, note the corrective action taken – ALCOM computer drives are continued to be reconfigured and scrubbed. Electronic and hard-copy archives are being reviewed and reduced.
4. Summarize any COCOM/agency level changes to your intelligence oversight program including changes to supporting training programs and the reason for the changes. Attach a copy of the directive or policy which directs the change: ALCOM reinstated testing in conjunction with Initial and refresher IO training IAW N-NC 14-103 and other IO guidance.
5. Summarize any COCOM/agency level changes to published directives or policies concerning:
  - a. Intelligence – None
  - b. Counterintelligence – None
  - c. Intelligence-related activities - None
6. Continue to report on the status of ongoing Procedure 15 inquiries and any additional matters pertinent to the agency/COCOM intelligence oversight programs are outlined in



**Procedure 15:** All reporting commands are conducting indoctrination and refresher training. ALCOM due to procedure 15 inquiry from April 07 N-NC IG inspection is scheduled to be completed during N-NC IG re-inspection 19-20 Jul 07.

**7. General comments:** To ensure compliance with applicable laws and regulations, IO file custodians in JIATF-West reviewed their files containing information on U.S. persons.

**8. Point of Contact** for this report is COL John C. Stratis, USA, USPACOM IG, DSN 315-477-5101/5165 or COMM (808) 477-5101/5165



**JOHN C. STRATIS**  
Colonel, USA  
Inspector General

(b)(2)

**ENCLOSURE F - USSOUTHCOM**

REPLY TO  
ATTENTION OFDEPARTMENT OF DEFENSE  
UNITED STATES SOUTHERN COMMAND  
3311 NW 91ST AVENUE  
MIAMI, FL 33172-1217

SCIG

9 July 2007

MEMORANDUM FOR THE JOINT STAFF INSPECTOR GENERAL, ROOM 2C962,  
ATTN: JCS DOM (b)(6) JOINT STAFF INSPECTOR GENERAL, 616  
WASHINGTON, D.C. 20318-0300

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 3rd Quarter 2007

1. (U) Reference DOD Directive 5240.1R, dated December 1982.
2. (U) This report includes input from HQ U.S. Southern Command, Miami, Florida; Joint Task Force Bravo, Soto Cano AB, Honduras; Joint Task Force Guantanamo Bay, Cuba; Special Operations Command South, Homestead AFB, Homestead, Florida and Joint Interagency Task Force-South, Truman Annex (NAS), Key West, Florida.
3. (U) United States Southern Command (US SOUTHCOM) - In accordance with the directive the following is provided:
  - a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.
  - b. Significant oversight activities.
    - (1) Publications: None.
    - (2) Training: Training notifications go out monthly. It is automated; e-mail is generated at the server level and is sent to all personnel who are required to undergo training during that month.
    - (3) Inspections: None.
    - (4) Files review: None
    - (5) Inquiries: None
  - c. Suggestions for improvement. None.
  - d. Other. None

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SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 3rd Quarter 2007

c. Point of contact for this section is (b)(2),(b)(6) [redacted] b(2) b(6)  
(b)(2),(b)(6) [redacted]

4. (U) Joint Task Force Bravo (JTF Bravo) - In accordance with the directive the following is provided:

IAW referenced directive, the following information is provided:

a. No intelligence activity has been conducted which may be reasonably construed as illegal or contrary to Directive Order 12333, DoD directives or US SOUTHCOM regulations.

b. Suggestions for improvement: None

c. Other: None.

d. Point of Contact for this memorandum is (b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted] b(2) b(6) b(3) 10 USC 130b  
(b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted] 10 USC 130b

5. (U) Special Operations Command South (SOC SO-J2) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order 12333 or DoD directive 5340.1-R.

b. Suggestions for improvement: None

c. Other: None

d. Point of contact for HQs Special Operations Command South is (b)(2),(b)(6) [redacted] b(2) b(6)  
(b)(2),(b)(6) [redacted]

6. (U) Joint Interagency Task Force-South (JIATF-S J2 CI) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or DoD Directives.

b. Significant oversight activities for this quarter include training of newly arriving personnel assigned to intelligence activities.

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SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 3rd Quarter 2007

c. Monitored JIATF-S WebTas Program to insure US person data meets authorized retainability under JIATFS mission allowing for the collection of information regarding international drug trafficking.

d. Reviewed all US persons in WebTas and either eliminated them or left them in because of sustaining information. The database is current with known traffickers and persons with prior criminal history.

e. Point of contact for JIATF-S is (b)(2),(b)(6) [redacted] (b)(2),(b)(6) [redacted]

b(2)  
b(6)

7. (U) Joint Task Force - GTMO, Guantanamo Bay, Cuba - In accordance with the directive the following is provided:

a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.

b. Significant oversight activities.

(1) Publications: None.

(2) Training: All JTF personnel receive IO training during initial inprocessing into the JTF.

(3) Inspection: In 18 Apr 07 SOUTHCOM IG conducted an inspection of the JTF-GTMO Intelligence Oversight program. No discrepancies noted.

(4) Files review: None.

(5) Inquiries: None.

c. Suggestions for improvement. None.

d. Point of contact for JTF-GTMO is IG, JTF-GTMO (b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted] (b)(2),(b)(3) 10 USC 130b, (b)(6) [redacted]

b(2)  
b(3)  
10 USC 130b

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(b)(2)

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SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 3rd Quarter 2007

8. Point of Contact at U.S. SOUTHERN COMMAND IG's Office is

(b)(2)(b)(6)

b(2)  
b(6)

(b)(2)(b)(6)

*(original signed)*  
T.L. WASHBURN  
CAPT, USN  
Inspector General

CF:  
IO Officer, USSOUTHCOM  
IO Officer, JTF-Bravo  
IO Officer, SOCSO  
IO Officer, JLATF-S  
Inspector General - GTMO

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**ENCLOSURE G - USSOCOM**

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**UNITED STATES SPECIAL OPERATIONS COMMAND**

7701 TAMPA PORT BOULEVARD  
MACDILL AIR FORCE BASE, FLORIDA 33621-5523

SOIG

2 July 2007

**MEMORANDUM FOR: DEPUTY INSPECTOR GENERAL, JOINT STAFF,**  
**ATTN: (b)(3) 10 USC 130b,(b)(6) 300 JOINT STAFF, PENTAGON,**  
**WASHINGTON, D.C. 20318-0300**

**SUBJECT: Quarterly Intelligence Oversight Activities Report for 3<sup>rd</sup> Quarter, FY 2007**

1. References:

- a. E.O. 12333, 4 December 1981.
- b. DOD Directive 5240.1, 25 April 1988.
- c. DOD Regulation 5240.1-R, 7 December 1982.
- d. CJCSI 5901.01, 3 January 2007.

2. No violations of applicable laws, orders, directives, regulations, or DOD policies were reported.

3. Point of contact is (b)(2),(b)(3) 10 USC 130b,(b)(6)

(b)(3) 10 USC 130b,(b)(6)

Inspector General

Post-it Fax Note	7871	Date	2 Jul 07	# of pages	1
To	(b)(3) 10 USC 130b (b)(6)	From	(b)(3) 10 USC 130b (b)(6)		
Call Ref	JOINT STAFF DIG	Co	USSOCOM IG		
Phone	(b)(2)	Phone #	(b)(2)		
Fax #		Fax #			

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**ENCLOSURE H - USSTRATCOM**

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DEPARTMENT OF DEFENSE  
UNITED STATES STRATEGIC COMMAND

high (b)(2)

Reply to:  
USSTRATCOM/J005  
901 SAC BLVD, STE 1H9  
OFFUTT AFB NE 68113-6005

29 Jun 07

MEMORANDUM FOR THE INSPECTOR GENERAL, JOINT STAFF

Subject: Intelligence Oversight (IO) Report for the period of Apr - Jun 2007

1. There were no intelligence, counterintelligence, or intelligence-related violations of law, regulation, or policy in USSTRATCOM this quarter.
2. During this quarter, the IG inspected the IO programs at Joint Functional Component Command-Integrated Missile Defense (JFCC-IMD) and the Joint Functional Component Command-Intelligence, Surveillance and Reconnaissance (JFCC-ISR). This was the first time the IG conducted inspections at these two JFCCs since they stood up in calendar year 2005 (b)(6) (b)(c). (b)(6) OSD ATSD-IO, observed the USSTRATCOM IG inspector conduct the inspection at JFCC-ISR as part of the upcoming IO program inspection at USSTRATCOM. Both of these commands have excellent IO programs and are meeting all of the requirements of the DoD IO program. There was no questionable intelligence activity at either JFCC-IMD or JFCC-ISR. The personnel interviewed during these inspections displayed outstanding understanding of the DoD IO program requirements. In addition, the IO training programs at these commands, to include initial indoctrination and recurring refresher training, satisfy all DoD requirements and are effectively meeting the IO needs of the USSTRATCOM personnel assigned there. In summary, the IO programs at JFCC-IMD and JFCC-ISR are excellent, and fully support the DoD IO program.
3. There were no changes to the USSTRATCOM IO inspection program.
4. There were no changes to USSTRATCOM directives or policies relating to intelligence, counterintelligence, or intelligence-related activities. We are currently revising our USSTRATCOM Operating Instruction concerning IO inspection program management. We will provide you a copy of the instruction as soon as it is published.

For questions concerning this report, please contact the USSTRATCOM POC, Deputy Inspector General (b)(2) high (b)(2)

(b)(6)

*Thomas D. Young*  
THOMAS D. YOUNG  
Colonel, USAF  
Inspector General

(b)(2)

**ENCLOSURE I - USTRANSCOM**



**UNITED STATES TRANSPORTATION COMMAND**  
508 SCOTT DRIVE  
SCOTT AIR FORCE BASE, ILLINOIS 62284-4467

2 Jul 07

**MEMORANDUM FOR THE JOINT STAFF, OFFICE OF THE INSPECTOR GENERAL**

**FROM: TCIG**

**SUBJECT: 3rd QUARTER, FY07, Intelligence Oversight (IO) Inspection Report**

1. The USTRANSCOM inspector General (TCIG) conducted a quarterly review of USTRANSCOM IO programs to assess compliance with Federal laws and national directives regarding intelligence activities. There were no questionable activities or violations reported this quarter.
2. In addition, CAPT Brad Carpenter, USTRANSCOM Inspector General (TCIG), and (b)(6) Chief, Civil and Fiscal Law, Office of the Staff Judge Advocate (TCJA), conducted an IO program inspection of the USTRANSCOM Joint Intelligence Operation Center in coordination with the TCJ2 IO Program Monitor on 15 May 2007.
3. The inspection consisted of a review of TCJ2 IO program administration, to include documentation of annual IO training and an evaluation of the effectiveness of that training. As of 15 May 2007, 98% of TCJ2 personnel had received initial or annual IO refresher training for 2007. Nine (9) remaining personnel were scheduled to complete IO training within 60 days following the inspection. All personnel evaluated during the inspection demonstrated a sound understanding of IO policies and the requirements for reporting questionable intelligence activities.
4. There have been no changes to USTRANSCOM IO program activities, directives, or training programs this quarter.
5. Please contact this office at ODN 779-1781, or USTCIG@ustrancom.mil, for additional questions.

  
BRADLEY A. CARPENTER, CAPT, USN  
Inspector General

cc:  
USTRANSCOM/TCJ2, TCJ2-OA (b)(6)  
USTRANSCOM/TCJA-C (b)(6)

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**THE JOINT STAFF  
WASHINGTON, DC**

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14 April 2008

**MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**Subject: Intelligence Oversight (IO) Report for Second Quarter, FY08**

1. Below is a consolidated summary of all Combatant Commands (COCOMs) Intelligence Oversight (IO) Reports for the Second Quarter, FY08. Attached are all COCOM reports with further information. Service Component IGs will report IO information to their Service.

a) **USCENTCOM IG:** Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at Mac Dill AFB are receiving initial intelligence oversight training. There were no questionable activities reported.

b) **USEUCOM IG:** Intelligence oversight training included 105 initial and 191 refresher briefings.

Internal assessments were conducted by EUCOM J2, SHAPE Survey, USNIC-Pristma, Northern Region JOIC, Southern Region JOIC, Joint Analysis Center, Special Operations Command, Europe and there were 141 personnel interviewed.

c) **USJFCOM IG:** USJFCOM J2 conducted a self-inspection of all intelligence holdings for US persons' data, including items posted to command home pages, hard-copy files, proper use statements and archived imagery. All holdings were found to be within IO regulations.

The Joint Personnel Recovery Agency (JPRA) Intelligence Directorate is cooperating with the Federal Bureau of Investigation on the Global Hostage-Taking Research and Analysis Project managed by the FBI's Behavioral Science Unit. Cooperation for this is established within the limits set by DOD 5240.1-R.

The USJFCOM IG's office conducted a unit command inspection of the Joint Fires Integration and Interoperability Team (JFIIT) in Elgin, Florida from 28 January to 1 February 2008. JFIIT was found to be in compliance with all appropriate regulations and maintained accurate training records for the command's intelligence oversight programs.

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