OATE OF REP	ORT TO DEPARTMENT/AGENCY	DATE OF REPORT	10 10 B. December 11, 200 4/30/08
ATE REPOR	T SUBMITTED TO OTHER ENTIT	Y (i.e., DoJ, Congress, Civil Lil	perties Office):
OSSIBLE VIC	DLATION OF:		
STAT	UTE (United States Code Citation)		
	FISA (50 U.S.C. 1801)		
	Other (U.S.C. citation:		
FXFC	UTIVE ORDER 12333:		
	Section 2.3 collection & dissemin	ation of USP info	
	Section 2.4 preamble: least intrusi		
	Section 2.4(a) CIA electronic surv		
	Section 2.4(b) unconsented physic		
	Section 2.4(c) physical surveilland		
	Section 2.4(d) physical surveilland		
	Section 2.5 AG approval w/in US Section 2.6 assistance to law enfo		
	Section 2.7 contracting	Cement	
	Section 2.9 undisclosed participat	ion	
1	Section 2.10 human experimentate		
	Section 2.11 prohibition on assass		
7 <u>* 1 </u>	Section 2.12 indirect participation	<u> </u>	
<u> X</u>	AG Approved EO 12333		
OTIU	DEVECTOR OF DED SOPEOTES		
OTHE	R EXECUTIVE ORDER (SPECIFY Creation of unauthorized SAP (EG		
1 1 1 T	Other (EO citation:)	12930, as ailleided)	
PRESI	IDENTIAL DIRECTIVE (SPECIFY		
의 (<u>네요</u>	COVERT ACTION FINDING/M		TION
<u>i_</u> _	Other (Presidential Directive citat	ion:	
			현기 없고 아이들 전체가 없다

SECRET//20180118

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1733 (U)

By electronic communication dated March 22, 2007, the Field Office reported a potential IOB error in conjunction with its ongoing investigation of a United States Person. (\mathbf{U}) issued an approved Right to On 04/11/2005, Financial Privacy Act (RFPA) National Security Letter (NSL) to a financial institution, for subject records from The financial institution returned responsive records as well as additional records prior to analyzed the results and uploaded a summary to an FBI database, thereby compounding the error. The data has since been sequestered with the field office counsel and purged from the FBI database.

(U) The field office has been instructed to continue to sequester the overproduction, and either issue a curative NSL or return or destroy the additional data in accordance with the financial institution's wishes.

DECLASSIFIED BY 65179/DMH/sdb

Derived from: G-3
Declassify on: 20180118

SECRET//20180118

IOB SUMMARY SHEET

Name of Department or Agency: FBl	CY Quarterly Report:
DATE OF INCIDENT: 4/11/05	DATE OF REPORT TO IOB: 4/30/08
DATE OF REPORT TO DEPARTMENT/AGENC	Y: 3/22/07
DATE REPORT SUBMITTED TO OTHER ENTIT	IY (i.e., DoJ, Congress, Civil Liberties Office
POSSIBLE VIOLATION OF:	
STATUTE (RFPA, 12 U.S.C. S FISA (50 U.S.C. 1801) Other (U.S.C. citation:) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemin Section 2.4 preamble: least intrus: Section 2.4(a) CIA electronic surv Section 2.4(b) unconsented physic Section 2.4(c) physical surveillant Section 2.5 AG approval w/in US Section 2.6 assistance to law enfor Section 2.7 contracting Section 2.9 undisclosed participate Section 2.10 human experimentate Section 2.11 prohibition on assass Section 2.12 indirect participation AG Approved EO 12333 Guidelin OTHER EXECUTIVE ORDER (SPECIFY Creation of unauthorized SAP (E) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY COVERT ACTION FINDING/M Other (Presidential Directive citate) DEPARTMENT/AGENCY ACTION: Return or de-	pation of USP info live collection veillance w/in US cal search w/in US ce of USP w/in US ce of USP abroad or against USP abroad orcement cion sination nes () O 12958, as amended) EMORANDUM OF NOTIFICATION cion:
DEPARTMENT/AGENCY ACTION: Return or de *DATE OF FINAL DISPOSITION:	estroy :

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 05-10-2010 BY 65179/DMH/sdb

	INTELLIGENCE OVERSIGHT BOARD MATTER
(U)	DIVISION
	IOB MATTER 2007-1608 (U)
	$eta \sim 1$. The second of th
	The Federal Bureau of Investigation ("FBI") has b7E
	determined that in conducting a counterintelligence investigation
	of a United States person, the Field Office
	received toll billing records in response to a subscriber NSL.
	This third party overproduction was documented in an electronic
	communication (EC) which was uploaded into the FBI's Automated Case Support (ACS).
•	case support (ACS).
	As stated above, prepared a subscriber NSL for
(U)	service on a provider in relation to an ongoing
	counterintelligence investigation. The NSL was forwarded to the
	Field Office for service. In response, the
·	carrier provided the requested records as well toll records
	related to the account. Upon receipt of the results from the
	carrier, documented the toll records information into an
	EC and uploaded the EC into the ACS case file for Upon review of the results, identified the over-production
	and provided the toll records to their CDC for sequestration.
	and provided the toll records to their coc for sequestration.
	(U) FBI offices geographically located with
-	telecommunications and financial services companies around the
	country, whose personnel are asked to serve NSLs for all the FBI,
4	have been advised of this challenge. These offices are making
	efforts through training and protocols to prevent third party
•	over productions from being compounded when transmitting the
1 2	results back to the requesting office.
	Derived from: G-3
	Declassify on: 02/21/2018
	Declassiff Oil. USACITZOTO
	大家,我们就是一个大家,我们就是一个人,是一个人的人,我们就是一个人的人,我们就是一个人的人,我们就是一个人的人,我们就是一个人的人,我们就是一个人的人,我们就

SECRET //20180221 Cardozo-IOB-243

5b7E ⊊

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT:	DATE OF REPORT TO 10B: 1/3/2/2008
DATE OF REPORT TO DEPARTMENT/AGENCY:	04/03/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: 18 USC 2709)	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination Section 2.4 preamble: least intrusive of Section 2.4(a) CIA electronic surveillate Section 2.4(b) unconsented physical section 2.4(c) physical surveillance of Section 2.4(d) physical surveillance of Section 2.5 AG approval w/in US or a Section 2.6 assistance to law enforcer Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassinati Section 2.12 indirect participation AG Approved EO 12333 Guidelines (contractions)	ollection name w/in US earch w/in US USP w/in US USP abroad gainst USP abroad nent
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12 Other (EO citation:	958, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMO Other (Presidential Directive citation:	ORANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	
Sequester and either issue a new NSL or destro	y or return the results to the provider.
*DATE OF FINAL DISPOSITION:	

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
FIELD OFFICE
IOB MATTER 2007-1371 (U)

131		sued a Nation		
	provider for ele			
	nd length of ser			lle b7E
	response from t			discovered
	ider had produce			
	cifically, the p			
	ore than six mon			
	By: the time			
production, i	t had already up	ploaded the in	nformation in	nto
Automated Cas	e Support (ACS).			

(U) This matter is being reported because the field office compounded the provider's third party error by uploading the information onto ACS.

DECLASSIFIED BY 65179/DMH/sdb ON 05-10-2010

Derived from G-3
Declassify on: 02/22/2018

SECRET//20180222 Cardozo-IOB-245

IOB SUMMARY SHEET.

DATE OF INCIDENT: DATE OF REPORT TO IOB. \$73\(\)200 DATE OF REPORT TO DEPARTMENT/AGENCY: 4/05/2007 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 U.S.C. 8 2709) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 (preamble: least intrusive collection Section 2.4 (a) CIA electronic surveillance w/in US Section 2.4 (b) unconsented physical search w/in US Section 2.4 (d) physical surveillance of USP w/in US Section 2.4 (d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.1 In prohibition on assassination Context (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION:	Name of Depar	tment or Agency: FBI	CY Quarterly Report:
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 U.S.C.6 2709) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	DATE OF INC	IDENT:	DATE OF REPORT TO IOB. 4/30/2008
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 U.S.C.§ 2709) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	DATE OF REP	ORT TO DEPARTMENT/AGENCY: 4/05/	2007
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 U.S.C.§ 2709) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	DATE REPOR	T SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 U.S.C. § 2709) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP w/in US Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)			
FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 U.S.C. § 2709) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	1 OSSIBLE VI		
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(d) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:	STAT	UTE (United States Code Citation)	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 (preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.7 contracting Section 2.9 undisclosed participation Section 2.9 undisclosed participation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)	# - 1 <u> = 1 - 1</u>	FISA (50 U.S.C. 1801)	아마는 말이 얼마나 보고 있다.
Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	<u> </u>	_ Other (U.S.C. citation: 18 U.S.C.§ 2709)	
Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:			. 유럽는 항상으로 하는 것이 되었다.
Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	EXEC		
Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:			
Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)			
Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)			
Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	#		
Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)			
Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)			
Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)			st USP abroad
Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:			사람이 되었다면 하나 사람이 가게 되었다.
Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:			
Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)			
Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)	1		
AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)	1		
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)			
Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)		AG Approved EO 12333 Guidennes (Guid	letines name and section: 1
Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)	OTUE	P EVECTIVE OPDED (SPECIEV)	
Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)			as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	· . · [- -		as amended)
COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:			
COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	PRESI	DENTIAL DIRECTIVE (SPECIEY)	
Other (Presidential Directive citation;			NDUM OF NOTIFICATION.
DEPARTMENT/AGENCY ACTION:			
	DEPARTMEN	T/AGENCY ACTION:	

Overproduction will either be purged from database, then destroyed or returned to provider. Field Office given the option to issue curative NSL to appropriately acquire these results if relevant to an open investigation.

*DATE OF FINAL DISPOSITION:

SECRET

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
FIELD OFFICE

IOB MATTER 2007-779-FCRA (U)

(S) During an authorized
of a U.S. person, sent a National Security
Letter (NSL) to a credit reporting agency seeking both consumer
identifying information and financial institution names and
addresses under the Fair Credit Reporting Act (FCRA), 15 U.S.C. §
1681u. The credit reporting agency produced a full credit report
in response to the NSL summarized the full credit report in
an EC, which was uploaded onto an FBI database. Upon discovering
the over-production, purged the <u>documents</u> containing the
summary of the full credit report also sequestered the
original production with an Associate Division Counsel (ADC).

(U) In this case, an error was committed by the field office when it uploaded the over-produced information onto an FBI database. The field office has been instructed to purge the summary of the full credit report and redact all over-produced information from any other hard and uploaded records. The field office has been informed that it may maintain a copy of the full credit report with the over-produced information fully redacted. Finally, the field office has been instructed to contact the credit reporting agency and ask whether the original full credit report should be returned or destroyed, with appropriate documentation to the file.

DECLASSIFIED BY 65 79/DMH/sdb

SECRET

IOB SUMMARY SHEET

Name of Department or Agency: FBI CY Quarterly Report:
DATE OF INCIDENT: DATE OF REPORT TO 10B: 4/30/03
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/13/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office)
POSSIBLE VIOLATION OF:
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: Fair Credit Reporting Act, 15 U.S.C. § 1681u)
EXECUTIVE ORDER 12333 Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section.
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:) DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-3288-FCRA (U)

(S) During a				of a	
U.S. person,	issued two				
§ 1681u(a) seeking finar					In
response, both credit re		-			
reports for the target.		drafted ar			
EC analyzing the full cr					
EC contained substantive	,			t repor	ts
and was sent to the Cour	nterintellider	nce Divisio	on (CD) :		

- (U) In this case, although the FBI obtained both full credit reports because of third party errors by the credit reporting agencies, the field office compounded the third party errors by uploading substantive information from the full credit reports on an FBI database and by disseminating the information to CD.
- (U) The field office has been instructed to redact or purge all over-produced information from all hard and uploaded records, including its analysis on the FBI database. In addition, the field office has been instructed to contact the credit reporting agencies and ask whether the original full credit reports should be returned or destroyed, with appropriate documentation to the file

DECLASSIFIED BY 65179/DMH/adb ON 05-10-2010

SECRET

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

IOB SUMMARY SHEET

DATE 05-10-2010 BY 65179/DMH/sdb

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 4/30/08
DATE OF REPORT TO DEPARTMENT/AGENCY: 04	/16/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.	., DoJ, Congress, Civil Liberties Office).
POSSIBLE VIOLATION OF	
STATUTE (United States Code Citation)*	
FISA (50 U.S.C. 1801)	
X Other (U.S.C. citation: Fair Credit Re	porting Act, 15 U.S.C. § 1681u
EXECUTIVE ORDER 12333:	
Section 2.3 collection & dissemination o	
Section 2.4 preamble: least intrusive coll	
Section 2.4(a) CIA electronic surveilland	
Section 2.4(b) unconsented physical sear	
Section 2.4(c) physical surveillance of U	
Section 2.4(d) physical surveillance of U	
Section 2.5 AG approval w/in US or aga	
Section 2.6 assistance to law enforcement	
Section 2.7 contracting	
Section 2.9 undisclosed participation Section 2.10 human experimentation	
Section 2.10 number experimentation Section 2.11 prohibition on assassination	
Section 2.11 promotion on assassination Section 2.12 indirect participation	
AG Approved EO 12333 Guidelines (Gu	udelines name and section:
OTHER EXECUTIVE ORDER (SPECIFY)	
Creation of unauthorized SAP (EO 1295	8, as amended)
Other (EO citation:	
PRÉSIDENTIAL DIRECTIVE (SPECIFY)	
COVERT ACTION FINDING/MEMOR	
Other (Presidential Directive citation:	<u>으로 보</u> 기하다 하다 하나 하는 사람이 있다.
DED A DEM TOTAL OF NOV. A CENON.	
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	

SECRET//20180130

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE :IOB MATTER 2007-1883 (U) (S) The Federal Bureau of Investigation ("FBI") has determined that the Field Office issued an NSL, in response to which the carrier returned toll records for a period of time beyond the time period requested and the information was uploaded into a database. In this regard, on or about initiated a of a "United States person" as that term is used in Section 101(i) of the Foreign (U) Intelligence Surveillance Act of 1978 (FISA). During the course of this investigation, an NSL dated 06/13/2003 was issued pursuant to the Electronic Communications Privacy Act (ECPA), 18 U.S.C. § 2709, for toll billing information. The carrier returned information responsive to the request but, in doing so, $_{
m b2}$ also provided information for two years prior to the NSL time frame. The information was uploaded into a database. By EC dated 04/07/2007, the potential IOB violation was reported. has been advised to remove the information from the database, to the extent it has not already done so, and to either destroy or return the material to the carrier, depending on the (U) wishes of the carrier. (S) (U) The IOB has instructed OGC to report matters in

Derived from: G-3
Declassify on: 01/30/2018

which information overcollected due to carrier error is uploaded into a database. Thus, this situation is being reported to the

SECRET//20180130 Cardozo-IOB-251

IOR SUMMARY SHEET

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/07/07 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 USC 2709) EXECUTIVE ORDER 13333; Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CLA electronic surveillance win US Section 2.4(b) unconsented physical search win US Section 2.4(d) physical surveillance of USP win US Section 2.5 AG approval win US or against USP abroad Section 2.5 AG approval win US or against USP abroad Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 indirect participation Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Advised field to purge database; destroy or return overcollected material.	DATE OF INCIDENT:	DATE OF REPORT TO IOB: 07/3 1/08
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 USC 2709) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.5 Acd approval w/in US or against USP abroad Section 2.5 Acd approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.11 prohibition on assassination Section 2.12 indirect participation Action 2.12 indirect participation Action 2.12 indirect participation Action 2.12 indirect participation OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation: Other (Presidential Directive citation:	DATE OF INCIDENT.	DATE OF REPORT TO TOB, 04754/08
POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 USC 2709) EXECUTIVE ORDER 13333: Section 2.4 preamble: least intrusive collection Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance win US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines-name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	DATE OF REPORT TO DEPARTMENT/AGENCY: 04/	07/07
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 USC 2709) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4 preamble: least intrusive collection of USP win US Section 2.5 AG approval win US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines-name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation: Section 2.12 indirect participation	DATE REPORT SUBMITTED TO OTHER ENTITY (i.	e., DoJ, Congress, Civil Liberties Office):
FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 USC 2709) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance win US Section 2.4(b) unconsented physical search win US Section 2.4(c) physical surveillance of USP win US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval win US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	POSSIBLE VIOLATION OF:	
FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 USC 2709) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance win US Section 2.4(b) unconsented physical search win US Section 2.4(c) physical surveillance of USP win US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval win US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	STATUTE (United States Code Citation)	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation:		
Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:		
Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:		
Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: OTHER EXECUTIVE ORDER (SPECIFY)	EXECUTIVE ORDER 13333:	
Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation:		
Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:		
Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:		
Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation:		
Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: OTHER EXECUTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION		
Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: OTHER EXECUTIVE (SPECIFY)		
Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation: Other (Presidential Directive citation: OTHER EXECUTIVE (SPECIFY) Covert Action Finding/Memorandum of Notification		
Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation:	1	ent
Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation:		하기 되는 소리는 사람이 가는 사람들이 되었다.
Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:		
Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation:		on
AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation:		
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)		Guidelines name and section:
Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)		
Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation:	OTHER EXECUTIVE ORDER (SPECIFY)	
Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation:	Creation of unauthorized SAP (EO 12	958, as amended)
COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)		
COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)		
Other (Presidential Directive citation:	PRESIDENTIAL DIRECTIVE (SPECIFY)	
보이다. 그는 사람은 가게 되고 있다고 있다고 되었다. 그는 모양이 되었다.		
<u> </u>	Other (Presidential Directive citation:	
		海蛇 化分离效应 医阿特尔氏氏试验检尿管炎

*DATE OF FINAL DISPOSITION:

177	INTELLIGE	NCE OVERSIGH		IOB) MATTER	
(U)		ī	DIVISION		The second
	IO	B MATTER 200	7-897-NSL	(U)	
		about 01/06/			ly prepared
	éd a National				
	ion for a tel				
	erson who was				
	nse, the carr				
	ephone number				
	associated wi				
	e of the NSL.				n from the
carrier,	mbro	aded it into	an rei d	atabase.	
	(U) The fi	eld office w	ar admira	d to oithor	i aana'a
ourative	NSL, or remo				
	the carrier t				
	l or destroyed				
file.			<u>-</u>		
į. L					
·					
				and the state of t	
		erived From			
	.	eclassify On	: 07\TE\	2018	

SECRET//20180116 Cardozo-IOB-253

IOB SUMMARY SHEET

ne of Department or Agency: FBI	CY Quarterly Report: 1stQ FY08
TE OF INCIDENT: 01/06/2004	DATE OF REPORT TO IOB: (\$\frac{1}{2}/3\oldsymbol{\sigma}/2007 \frac{1}{3}\oldsymbol{\sigma}/3\oldsym
TE OF REPORT TO DEPARTMENT/AGENCY	: 04/10/2007
TE REPORT SUBMITTED TO OTHER ENTITY	(i.e., DoJ, Congress, Civil Liberties Office): N/A
SSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: <u>18 U.S.C. 2</u>	709
	e collection illance w/in US I search w/in US of USP w/in US of USP abroad r against USP abroad ement n n sation s (Guidelines name and section:)
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO Other (EO citation:	
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/ME Other (Presidential Directive citation	MORANDUM OF NOTIFICATION

carrier to determine whether the records should be returned or destroyed.

*DATE OF FINAL DISPOSITION: 12/22/2007

SECRET//20180123

	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	
(U	DIVISION	
η υ	IOB MATTER 2006-0399NSL (U)	
	On December 1, 2005, the Division	
	properly prepared and issued a National Security Letter	b2
	(NSL) requesting e-mail address information forma U.S. person who	b7 E
	was the subject of an authorized investigation. In response, the	
	carrier provided the requested information but also provided history	
	logs that were beyond the scope of the NSL. Upon receiving this	
	information from the carrier,conferred with its Chief	
	Division Counsel (CDC) and it was interpreted that the carrier	
]	willingly provided the history logs.	
	subsequently issued two NSLs based on	ъ2
	information from the history logs. In response, the carrier	b7E
	provided IP address information, which filed but never	
	uploaded into any FBI databases.	•
	In May 2006, learned that the history	
(U)	logs obtained pursuant to the original NSL were an overproduction of	
	records. Upon discovery, coordinated efforts to identify,	
	remove and sequester all over-produced data. gave the	• .
7 T	original results from the initial NSL and subsequent NSLs to the CDC	
	for sequestration and ensured that only subscriber account	
	information within the scope of the original NSL was filed. If not	
	already accomplished, OGC advised	
	· · · · · · · · · · · · · · · · · · ·	b2
		.b5 b7 E
		-, -
	<u> </u>	
	(U) By agreement with the Counsel to the IOB, third	
	party errors in the production of information pursuant to an NSL must be reported to OGC as a potential IOB, but they are not	
	reportable to the IOB unless the FBI compounded the error by	
	uploading the excess information or undertaking other investigative	
	activity on the basis of that information. In this case,	
	inadvertently compounded the third party error by issuing NSLs based	
	on information derived from over-produced data. Therefore, this	
	matter is reportable to the IOB.	
	Derived From G-3	
100	Declassify on: 01/23/2018	

SECRET//20180123 Cardozo-IOB-255

IOB SUMMARY SHEET

DATE OF INCIDENT:	DATE OF REPORT TO IOB: 4/30/08	b2 b7 E
DATE OF REPORT TO DEPARTMENT/AGENCY	' : 07/07/2006	
DATE REPORT SUBMITTED TO OTHER ENTIT	Y (i.e., DoJ, Congress, Civil Liberties Office): N/A	
POSSIBLE VIOLATION OF:		
STATUTE (United States Code Citation)		
FISA (50 U.S.C. 1801)		
X Other (U.S.C. citation: 18 U.S.C. 2	2709).	
EXECUTIVE ORDER 12333:		
Section 2.3 collection & dissemina	tion of USP info	
Section 2.4 preamble: least intrusiv	ve collection	
Section 2,4(a) CIA electronic surve	eillance w/in US	
Section 2.4(b) unconsented physica	al search w/in US	
Section 2.4(c) physical surveillance	e of USP w/in US	
Section 2.4(d) physical surveillance	e of USP abroad	
Section 2.5 AG approval w/in US of	or against USP abroad	
Section 2.6 assistance to law enforce	cement	
Section 2.7 contracting		
Section 2.9 undisclosed participation	om.	
Section 2.10 human experimentation	on a season of the season of t	
Section 2.11 prohibition on assassi	nation	
Section 2.12 indirect participation		
AG Approved EO 12333 Guideline	es (Guidelines name and section:)	
생기가 되고 있다면 생각하면 시간이 없었다.		
OTHER EXECUTIVE ORDER (SPECIFY		
Creation of unauthorized SAP (EO	12958, as amended)	
Other (EO citation:)		
	경기상 가는 마음 등에 하는데 모든 것 같아. [편편	
PRESIDENTIAL DIRECTIVE (SPECIFY)		
	EMORANDUM OF NOTIFICATION	
Other (Presidential Directive citation	on: (<u>/ / / / / /)</u>)	
일 교육을 시작을 하는 경기에 되었다.		
DEPARTMENT/AGENCY ACTION: Upon discov	ery, the	
		1
		b2
-		b5
· 		b7E
		1 . ' .

^{*}DATE OF FINAL DISPOSITION:

	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
:	DIVISION CONTRACTOR OF THE PROPERTY OF THE PRO
TTL	IOB MATTER 2006-402 (U)
Jļ	. b7E
	(S) On February 27, 2006, the Division
	properly prepared and issued a National Security
. 1	Letter (NSL) requesting e-mail address information from
r Hospital	person who was the subject of an authorized investigation. In
	response, the carrier provided the requested information but also
	provided records pertaining to the requested account from
	browided records bergarning to the requested account from
١	
7	on sealed the results from
	the NSL and provided them to the Chief Division Counsel
	to be segmestered also purged the over-produced
	information from FBI databases. Finally, submitted a
	new NSL, dated May 16, 2006, requesting records for the email
	account from the date of inception through the time of service.
	(U) By agreement with the Counsel to the IOB, third
	party errors in the production of information pursuant to an NSL
	must be reported to OGC as a potential IOB, but they are not
	reportable to the IOB unless the FBI compounded the error by
	uploading the excess information or undertaking other
	investigative activity on the basis of that information. In this case, the FBI compounded the third party error by uploading
	information derived from over-produced data into an FBI database.
	Therefore, this matter is reportable to the IOB.
[]	
100	
	Derived From G-3
	Declassify On: 02/23/2018
	그는 사회들은 경소관 수의 가능 모습은 일반 하면 보고 있는 것이 받고 있는 다른 수 있는 것이 가지 수

SECRETY/20180226

TOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT: Approximately	DATE OF REPORT TO IOB: 4/30/0
DATE OF REPORT TO DEPARTMENT/AGENCY: July 7, 2006	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., Do.J., Con	gress Civil Liberties Office)
	gross, Orth Divortion Others,
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation)	
FISA (50 U.S.C. 1801)	
x Other (U.S.C. citation: 18 U.S.C. § 2709)	
EXECUTIVE ORDER 13333:	
Section 2.3 collection & dissemination of USP info	
Section 2.4 preamble: least intrusive collection	
Section 2.4(a) CIA electronic surveillance w/in US	
Section 2.4(b) unconsented physical search w/in US	
Section 2.4(c) physical surveillance of USP w/in US	
Section 2.4(d) physical surveillance of USP abroad	
Section 2.5 AG approval w/in US or against USP al	broad
Section 2.6 assistance to law enforcement	
Section 2.7 contracting	
Section 2.9 undisclosed participation	
Section 2.10 human experimentation Section 2.11 prohibition on assassination	
Section 2.11 promotion on assassination Section 2.12 indirect participation	
AG Approved EO 12333 Guidelines (Guidelines na	me and caction.
Ad Approved Bo 12555 Guidelines (Guidelines in	inc and section,
OTHER EXECUTIVE ORDER (SPECIFY)	
Creation of unauthorized SAP (EO 12958, as amen	ded)
Other (EO citation:)	
PRESIDENTIAL DIRECTIVE (SPECIFY)	
COVERT ACTION FINDING/MEMORANDUM (OF NOTIFICATION
Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION: The FBI sequestered the over	
overproduced data from FBI databases. The FBI served a new NSL r	equesting records for the email account from
the date of inception through the time of service.	

*DATE OF FINAL DISPOSITION:

Field Office

SECRET//20180211

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-850-NSL (U)

(U)

(S) The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation the , issued an NSL to which the carrier returned information beyond the scope of the request, namely information corresponding to the billing cycle of the customer,

and the information was uploaded into a database.

(U)

In this regard, on opened a of a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA). On or about 08/16/2005, an NSL was issued pursuant to the Electronic Communications Privacy Act (ECPA), 18 U.S.C. § 2709, for telephone toll billing records. The carrier returned information responsive to the request but, in addition, provided records outside the time frame set forth in

the NSL. The overcollected information was entered into By EC dated 03/22/2007, the potential IOB violation was reported. Subsequently, the records were removed

from the database and the case file and sequestered with the Chief Division Counsel.

(U) The IOB has instructed OGC to report matters in which information overcollected due to carrier error is uploaded into a database. Thus, this situation is being reported to the IOB.

> Derived from: G-3 Declassify oa: 02/11/2018

> > SECREP/720180211 Cardozo-IOB-259

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 05-10-2010 BY 65179/DMH/adb

ame of Department or Agency: FBI OGC	CY Quarterly Report: 2007
ATE OF INCIDENT: 08/16/05 (issuance o	f NSL) DATE OF REPORT TO IOB:01/30/08
ATE OF REPORT TO DEPARTMENT/AGEN	NCY: 03/22/07
ATE REPORT SUBMITTED TO OTHER EN	TITY (i.e., DoJ, Congress, Civil Liberties Office):
OSSIBLE VIOLATION OF:	
STATUTE (United States Code Citatio	(\mathbf{n})
FISA (50 U.S.C. 1801)	
x Other (U.S.C. citation: 18 US	SC 2709)
EXECUTIVE ORDER 13333:	
Section 2.3 collection & disse	mination of USP info
Section 2.4 preamble: least in	
Section 2.4(a) CIA electronic	
Section 2.4(b) unconsented ph	
Section 2.4(c) physical surveil	
Section 2.4(d) physical surveil	
Section 2.5 AG approval w/in	
Section 2.6 assistance to law 6	enrorcement.
Section 2.7 contracting Section 2.9 undisclosed partic	and the second of the second o
Section 2.10 human experime	
Section 2.10 number experime	
Section 2.12 indirect participa	
	delines (Guidelines name and section:
OTHER EXECUTIVE ORDER (SPEC	IFY)
Creation of unauthorized SAP	
Other (EO citation:	
PRESIDENTIAL DIRECTIVE (SPECI	
	G/MEMORANDUM OF NOTIFICATION
Other (Presidential Directive of	citation:

destroyed or returned to carrier. Information already purged from database.

^{*}DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE

TTY	IOB MATTER 2007-1106 (U)	b2
, u }		b7E
	S) On April 03, 2007, the Division	
	reported a potential IOB error in conjunction with its	
	ongoing of a United	
	States Person.	- 10 C
	(S) By way of background, in January 2006, issued	
(U)	an approved Right to Financial Privacy Act National Security	
	Letter for financial records related to the subject for the time	
	period between The NSL also requested	
	the account inception date.	
	그는 이 세계에 그 그는 어느 없는 사이가 하다. 그 이번 모르는 전화를 보고 있는데 그들은 사람들은 살이 없는데 이번 있다.	
	(U) In addition to providing the legally and properly	
	requested information, the Bank also provided checks, ATM	
	deposits and monthly statements that pre-dated which resulted in an overproduction of records beyond the scope	·b2 b7Ε
	of the NSL request.	٠.۵
 T T		, e. i
U)	Subsequently, analyzed the results of the	<i>:.</i> '
	information and uploaded some of the overproduced information	٠.
	onto Automated Case Support (ACS), which compounded the bank's	
	error The overproduction came tos attention during	1.00
	the NSL inspection of March 2007. Upon discovery of the	
	overproduction, immediately sequestered the information with the Chief Division Counsel.	5 .
	with the Chiler bivision counser.	
	has been instructed to contact the Bank and	
	ask whether the overproduced information should be returned or	• •
U)	destroyed, with appropriate documentation to the file.	
	has also been instructed to remove the overproduced records from	
	ACS and any other FBI database or investigative file. Finally,	
	if the overproduced information is relevant to the investigation, has been instructed that it has the option to issue a	, 150 s
	new NSL covering the overproduced period. Ifissues a	
	new NSL, the overproduced information must remain sequestered	
		ь2
		b7E
		٠., .
		•
	Derived From: G-3	·.
	Declassify On: 02/13/2018	

10B SUMMARY SHEET

Name of Department of Agency: FBI	CY Quarterly Report:	
DATE OF INCIDENT:	DATE OF REPORT TO IOB: April 39,200\$	b2 b 7E
DATE OF REPORT TO DEPARTMENT/AGENCY:		
DATÉ REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	
POSSIBLE VIOLATION OF:		
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 12 U.S.C. Sect	tion 2709, Right to Financial Privacy Act)	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination Section 2.4 preamble: least intrusive of Section 2.4(a) ClA electronic surveilla Section 2.4(b) unconsented physical s Section 2.4(c) physical surveillance of Section 2.4(d) physical surveillance of Section 2.5 AG approval w/in US or a Section 2.6 assistance to law enforcen Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassinat Section 2.12 indirect participation AG Approved EO 12333 Guidelines (OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12 Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY)	n of USP info collection ance w/in US earch w/in US f USP w/in US f USP abroad against USP abroad nent (Guidelines name and section: 1958, as amended)	
overproduced information should be returned or destroy	een instructed to contact the and ask whether the yed, with appropriate documentation to the file.	$oldsymbol{ m J}_{ m b2}$
	tion is relevant to the investigation has been	. Ь7Е

*DATE OF FINAL DISPOSITION:

SECRET /20180104

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-3161-FCRA (U)

 (\mathbf{U})

During an authorized sent a National Security of a U.S. person, Letter (NSL) to a credit reporting agency seeking financial institution information under 15 U.S.C. § 1681u(a). requested the names and addresses of all financial institutions with which this individual has or has had accounts as well as identifying information with regard to the individual. response to the NSL, the credit reporting agency produced a "full credit report," which included information that was not requested in the NSL subsequently used the account numbers contained in the full credit report in its NSLs to a bank and credit card company pursuant to the Right to Financial Privacy Act (RFPA), 12 U.S.C. § 3414(a)(5), uploaded the NSLs which contained the account numbers into a database, and provided a copy of the full credit report to FBI, Headquarters.

In this case, mistakes were made by compounded the third party error by including the account number information in its RFPA NSLs to the bank and credit card company, uploading the RFPA NSLs which contained the account numbers into the database, as well as when disseminating the full credit report to FBI, Headquarters.

b7E

(U) Given that the field office could have issued the RFPA NSLs based upon authorized financial institution information received pursuant to FCRA, 15 U.S.C. § 1681u(a), and would have ultimately discovered via the RFPA NSLs the subject's account numbers and the status of these accounts, we did not instruct the field office to redact the over-produced account number information from the RFPA NSL. The field office has been instructed, however, to contact FBI, Headquarters and direct it to destroy its copy of the full credit report. We also instructed the field office to contact the credit reporting agency to determine whether the original full credit report should be returned or destroyed, with appropriate documentation to the file.

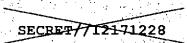
> (S) Derived From: G-3 Declassify On: 1/4/2018

> > SECRET#20180104 Cardezo-IOB-263

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report:	<u> </u>
DATE OF INCIDENT:	DATE OF REPORT TO LOB: 4/30/08	b2 b7 E
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/	/19/2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.	, DoJ, Congress; Civil Liberties Office):	
POSSIBLE VIOLATION OF		
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801)		
X Other (U.S.C. citation: Fair Credit Rep	porting Act, 15 U.S.C. § 1681u (a)	
EXECUTIVE ORDER 12333:		
Section 2.3 collection & dissemination o		
Section 2.4 preamble: least intrusive coll		
Section 2,4(a) CIA electronic surveilland Section 2.4(b) unconsented physical seal		
Section 2.4(b) unconsented physical seal Section 2.4(c) physical surveillance of U		
Section 2.4(d) physical surveillance of U		
Section 2.5 AG approval w/in US or aga		
Section 2.6 assistance to law enforcemen		
Section 2.7 contracting Section 2.9 undisclosed participation		
Section 2.10 human experimentation		
Section 2.11 prohibition on assassination		e de la composición della comp
Section 2.12 indirect participation		
AG Approved EO 12333 Guidelines (Gu	idelines name and section:	
OTHER EXECUTIVE ORDER (SPECIFY)		
Creation of unauthorized SAP (EO 1295	8. as amended)	
Other (EO citation:		
PRESIDENTIAL DIRECTIVE (SPECIFY)		
COVERT ACTION FINDING/MEMOR Other (Presidential Directive citation:	ANDUM OF NOTIFICATION	
Other (Fresherital Directive citation).		
DEPARTMENT/AGENCY ACTION: Instructed	to contact FBI, Headquarters and direct it to destroy its	
copy of the full credit report. Also, instructed to c	ontact to determine whether the original full	
credit report should be returned or destroyed, with appropr	riate documentation to the file. Given thatcould	ь2
have issued the Right to Financial Privacy Act (RFPA), 12 financial institution information properly received pursuant		b7E
ultimately discovered via the RFPA NSLs the subject's acc		b4 : b7D
	rom the RFPA NSL. This investigation was closed by	

^{*}DATE OF FINAL DISPOSITION:



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-1077 (U)

b2

(🖰) By electronic com	munication ("EC") dated April	L 4, 2007,
the	Division	reported a potential	IOB error
<u>in conj</u>	unction with its		
	of a United S	tates Person.	
		ind, on June 13, 2005,	issued
		ial Privacy Act National Secu	
respons	e to the request, th	l records related to the targ e provider returned financial her individuals with the same	l records b7E
		holders with the target.	
initial	ly uploaded the info	rmation into FBI databases.	
		this third-party overproduct	
the FBI	is reportable to th	ne IOB. The field office has	been

the FBI is reportable to the IOB. The field office has been instructed to consider issuing a new NSL from an open case file for the overproduction if it is still relevant to an investigation. If not, the information will be purged from databases and the overproduction will be destroyed or returned, at the discretion of the provider.

Derived From: G-3
Declassify On: 12/28/2017

SECRET//12171228

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 05-10-2010 BY 65179/DMH/sdb

*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT:	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 4/4/	2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office)
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 12 U.S.C. 3414 (R EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines (Guidelines (Guidelines (Guidelines (Guidelines (Guidelines (Guidelines (Guidelines (EO citation:))	USP info extion e w/in US ch w/in US SP w/in US SP abroad nst USP abroad
PRESIDENTIAL DIRECTIVE (SPECIFY). COVERT ACTION FINDING/MEMOR Other (Presidential Directive citation:	ANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Issuing new NSL fr	rom open file or purging and destroying information.

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

FIELD OFFICE

IOB MATTER 2007-1458 (U)

b2 b7E

(U) The Field Office of the Federal Bureau of Investigation has reported an error in conjunction with an investigation conducted by that Division.

In this regard, an NSL sought subscriber information for several telephone numbers that had frequent contact with the subject of a counterintelligence investigation. The provider's response included information outside the date range requested and subscriber information for a telephone number not requested. These records were then uploaded into FBI databases, but were subsequently deleted.

(U) The field office has two options in handling the overcollection. If the information is relevant to an on-going investigation, the field office can issue another NSL to cover this information. If no additional NSL is issued, the field office has been directed to, either destroy the additional materials or return them at the discretion of the carrier.

Derived from: 6-3
Declassify on: 01/08/2018

SECRET//20180108

*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT:	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 4/12/20	007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., D	oJ, Congress, Civil Liberties Offi
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: 18 U.S.C. § 2709)	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of U Section 2.4 preamble: least intrusive collect Section 2.4(a) CIA electronic surveillance v Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USP Section 2.5 AG approval w/in US or agains Section 2.6 assistance to law enforcement Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guide	ion y/in US w/in US w/in US w/in US abroad t USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, Other (EO citation:	as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAL Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION:	NDUM OF NOTIFICATION

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

FIELD OFFICE

IOB MATTER 2007-1738 (U)

· b2 b7i

<u>(U)</u>	By electronic commu	<u>inication ("EC</u>	") dated 1	March 22, 2007,
the	Field Office		reported a	a potential IOB
error in	conjunction with it	s closed		
of a United States Person.				

- (U) As required under 12 U.S.C.\$ 3303(b), Right to Financial Privacy Act, (RFPA) an employee of the United States Government who obtains financial records of a customer utilizing an administrative subpoena or summons is required to certify they have complied with all provisions of RFPA before they receive the records. This procedure is normally accomplished through the statutory language in the National Security Letter (NSL) template for RFPA requests.
- (U) In this investigation, the field office appears to have inadvertently utilized the Electronic Communications Privacy Act NSL template (which does not require compliance under 3303(b)) into their RFPA NSL requests, thereby omitting the requisite statutory language and certifications required under 3303(b).
- (U) OGC cannot determine from the record whether compliance under 12 U.S.C.\$ 3303(b) was accomplished prior to the FBI receiving the requested records, and is therefore reporting this matter to the IOB. The field office has been advised to remove all records obtained through invalid NSLs and destroy the information. In the alternative, the field office has been advised that an accurate NSL with appropriate certifications can be issued to cover the records, if they are still relevant to an open investigation.

Derived From: G-3
Declassify On: 12/28/2017

SECRET//12171228 Cardozo-IOB-269

IOB SUMMARY SHEET

Name of Department or Agency FBI	CY Quarterly Report: 1st Q 2008
DATE OF INCIDENT:	DATE OF REPORT TO IOB: April 30, 20
DATE OF REPORT TO DEPARTMENT/AGENCY:	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Co	ngress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) 'x Other (U.S.C. citation: 12 U.S.C. § 3303	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP information 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP a Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amenother (EO citation:	S JS JS JS d abroad
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM Other (Presidential Directive citation:	OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: The field office has been obtained through invalid NSLs and destroy the information has been advised that an accurate NSL with appropriate crecords, if they are still relevant to an open investigation. *DATE OF FINAL DISPOSITION:	on. In the alternative, the field office certifications can be issued to cover the

SECRET //20180131

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

FIELD OFFICE

IOB MATTER 2007-1209-NSL (U)

During an authorized				
of a presumed U.S. person, sent a				
National Security Letter (NSL) under the Electronic				
Communications Privacy Act (ECPA), 18 U.S.C. § 2709. The NSL				
requested subscriber information and transactional records from				
the service provider did not, however, include in				
the NSL a certification that the information sought was relevant				
to an authorized investigation to protect against international				
terrorism and that the investigation of a United States person is				
not conducted solely on the basis of activities protected by the				
First Amendment to the Constitution of the United States.				
Notwithstanding the lack of certification, the service provider				
produced materials in response to the NSL.				

NSL did not comply with the requirements imposed by ECPA to obtain subscriber information and transactional records. Specifically, the NSL did not include the appropriate certification. The field office was instructed to sequester the NSL results. The has expired; therefore, the field office cannot issue a curative NSL at this time. The field office must contact the service provider and ask whether the NSL results should be returned or destroyed, with appropriate documentation to the file.

(U)

(S) Derived From: G-3
Declassify On: 11/30/2017

SECRE#//20180131 Cardozo-IOB-271

*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT:	DATE OF REPORT TO 10B: $4/3^{\circ}$
DATE OF REPORT TO DEPARTMENT/	AGENCY: 03/29/2007
DATE REPORT SUBMITTED TO OTHE	R ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF	
Section 2.4(c) physical su Section 2.4(d) physical su Section 2.5 AG approval Section 2.6 assistance to l Section 2.7 contracting Section 2.9 undisclosed p Section 2.10 human experting Section 2.11 prohibition of Section 2.12 indirect particular	dissemination of USP info st intrusive collection onic surveillance w/in US ed physical search w/in US erveillance of USP w/in US erveillance of USP abroad w/in US or against USP abroad aw enforcement articipation on assassination
OTHER EXECUTIVE ORDER (S Creation of unauthorized Other (EO citation:	SPECIFY) SAP (EO 12958, as amended)
PRESIDENTIAL DIRECTIVE (S COVERT ACTION FINI Other (Presidential Direct	DING/MEMORANDUM OF NOTIFICATION

SECRE#//20180222

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE	. 2
IOB MATTER 2007-835 (U)	
(S) The Federal Bureau of Investigation ("FBI") has	•
determined that in conducting a counterintelligence investigation	٠,
of an United States person, Field Office	
received electronic toll records from a carrier in response to an NSL only seeking subscriber information. The toll records were	
relevant to the investigation and were included in the case file	
and FBI databases. The records have been purged and sequestered	
with the CDC.	
(S) In this regard, on 03/01/2005, properly	
prepared and issued an NSL pursuant to the Electronic Communications Privacy Act (ECPA) 18 U.S.C. \$ 2709 for	
- I Communications fill vacy net (Boll), 15 0.5.6. 3.2.05, 101	•
subscriber information for a telephone number relevant to the target of the investigation. In response, the carrier provided	
subscriber information and toll records on the account.	
(U) The FBI is authorized to acquire electronic toll	
records, but under a different provision of the ECPA that was not cited in the NSL at issue. Because the field office did not	
· · · · · · · · · · · · · · · · · · ·	

(U) If the field office assesses that the information is still relevant to an authorized investigation, an ECPA toll billing records NSL can be issued to properly receive and maintain the information. If this course of action is pursued, the information will remain sequestered. In the alternative, the field office will contact the carrier to determine whether the transactional records should be returned or destroyed.

properly request the toll records and included the materials in

the case file, this is being reported to the IOB.

Derived from: G-3
Declassify on: 02/22/2018

SECRET//20180222 Cardozo-IOB-273

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT: 3/1/2005	DATE OF REPORT TO IOB: \$\frac{1}{2} 2008
DATE OF REPORT TO DEPARTMENT/AGENCY: 3/10	5/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.	, DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 U.S.C. 2709) EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) ClA electronic surveillance Section 2.4(b) unconsented physical sear Section 2.4(c) physical surveillance of U.Section 2.4(d) physical surveillance of U.Section 2.5 AG approval w/in US or againg Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines (Guide	ection e w/in US ch w/in US SP w/in US SP abroad inst USP abroad t
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 1295) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMOR Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Records were purged and will be destroyed or returns.	ANDUM OF NOTIFICATION Inned to the provider. Field Office has option to issue
NSL to cover these records if they are relevant to an open i	nvestigation.

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1967 (U)

b2

(U) During a of a U.S. person, served a National Security Letter (NSL) pursuant to the Electronic Communications Privacy Act (ECPA), 18 U.S.C. § 2709, seeking "subscriber information, to include name, address, social security number, length of service, and call detail records, both incoming and outgoing" for a particular telephone number.

(U) Because social security numbers are not specifically listed in ECPA, 18 U.S.C. § 2709(b)(2), as part of subscriber information that may be requested in an NSL, this matter is being reported to the Intelligence Oversight Board.

Derived from G-3
Declaseify on: 01/02/2018

DECLASSIFIED BY 65179\DMH\adb

SECRET / / 20180102 Cardozo-IOB-275

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT: 05/13/2005	DATE OF REPORT TO IOB: 4/30/03
DATE OF REPORT TO DEPARTMENT/AGENCY: 6/12/2	.00 7 .
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: 18 U.S.C. § 2709)	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of U Section 2.4 preamble: least intrusive collection	tion
Section 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USF Section 2.4(d) physical surveillance of USF	n w/in US P w/in US P abroad
Section 2.5 AG approval w/in US or agains Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation	st USP abroad
Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guid	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, Other (EO citation:)	
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	NDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	

Cardozo-IOB-276

SECRET/720180214

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2008-217-NSL (U)

---b2 ---b7E

·	(U) On or about 4/11/2007	Field Office
	documented two reportable IOB matters aris	ing in the course of a
J).,,,,,	of a U.S. person	
1.11		
	$\cancel{\text{NS}}$ In the investigation, on $6/7/2005$	
eri Nemerica	National Security Letter (NSL) pursuant to	
	Privacy Act, 12 U.S.C. § 3414(a)(5)(A) (RF	ray. The Nob, however,
	did not include a certification that the i	nvestigation of the
	U.S. person was not conducted solely on the	
	protected by the First Amendment to the Co United States. Then on	nstitution of the
	interviewed the subject.	
	interviewed the subject.	
71	S The FBI has concluded that WFO ma	y retain and use for
11	investigative purposes the information obt	
	interview of the target. Exclusion is a r	emedy of judicial
: .	origin created to address violations of co	nstitutional dimension.
	In addition, some statutes, such as the Fo	
	Surveillance Act, provide for an exclusion	
	instance, neither the Constitution nor any	1.
	been violated and,	b2

(U) With regards to the financial records obtained from the bank, the field office has been instructed to contact the bank to ask whether the records should be returned or destroyed, with appropriate documentation to the file.

(U)

Declassify On: 02/14/2018

SECRET//20180214

Cardozo-IOB-277

*DATE OF FINAL DISPOSITION:

OB SUMMARY SHEET

IOB 2	SUMMARY SHEET
Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT: 06/07/2005	DATE OF REPORT TO IOB: 03/31/2008
DATE OF REPORT TO DEPARTMENT/AGENC	CY: 04/11/2007
DATE REPORT SUBMITTED TO OTHER ENTI	TY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 12 U.S.C.	C. § 3414(a)(5) - Right to Financial Privacy Act
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemin Section 2.4 preamble: least intrus Section 2.4(a) CIA electronic sur Section 2.4(b) unconsented physical Section 2.4(c) physical surveillant Section 2.4(d) physical surveillart Section 2.5 AG approval w/in US Section 2.6 assistance to law enformation Section 2.7 contracting Section 2.9 undisclosed participal Section 2.10 human experimental Section 2.11 prohibition on assast Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Section 2.12 indirect participation AG Approved EO 12333 Guideling Sec	sive collection recillance w/in US ical search w/in US nee of USP w/in US nee of USP abroad S or against USP abroad orcement ition issination in
OTHER EXECUTIVE ORDER (SPECIF Creation of unauthorized SAP (E) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY COVERT ACTION FINDING/N Other (Presidential Directive cita	O 12958, as amended) Y) MEMORANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	
Return or destroy the NSL results	

 (\mathbf{U})

 (\mathbf{U}) .

SECRET//20171228

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-939-NSL(U)

b2 b7E

b7E

(U) The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation on a United States Person, an error may have occurred in the authorization of a National Security Letter (NSL).

requesting the name, address, length of service, and local and long distance toll billing records for the period from was prepared in accordance with Title 18, United States Code (U.S.C.), Section 2709 (section 201 of the Electronic Communications Privacy Act, as amended). However, the NSL was issued under the signature of the Acting SAC of the Division at that time.

advice (U) At the time this NSL was issued, FBI OGC legal

In or around

February, 2003 about eight months after this NSL was issued, the Department of Justice opined that an acting SAC could not sign an NSL under any circumstance. Because of the confusion surrounding signature authority, OGC is reporting this matter to the IOB for your consideration.

has been instructed that if the information gathered by the NSL in question is still relevant to an open investigation, may issue a new NSL from that case file to authorize the retention of the information. If such an NSL is not issued, must retrieve and destroy the information obtained from the NSL, including purging any such information that may have been entered into any database.

Derived from: G-3
Declassify on: 12/28/2017

SECRET//201/1228 Cardoze-IOB-279

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 05-10-2010 BY 65179/DMH/sdb IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT: 5/1/2002	DATE OF REPORT TO IOB: 4/50/03
DATE OF REPORT TO DEPARTMENT/AGENCY: 3/2	7/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.	, DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: 18 U.S.C. 2709 E	CPÄ)
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection.	ection
Section 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical sear Section 2.4(c) physical surveillance of U Section 2.4(d) physical surveillance of U	ch w/in US SP w/in US
Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting	inst USP abroad
Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination	
Section 2:12 indirect participation AG Approved EO 12333 Guidelines (Gu	idelines name and section:
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 1295 Other (EO citation:	8, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMOR Other (Presidential Directive citation:	ANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Issue involves the A new NSL may be issued if the information is still relevant will be purged and destroyed or returned to the provider at	nt to an open investigation. Otherwise, the information

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1535-FCRA (U)

b2

During a		-	of a
U.S. person,	issued an NSL	under 15 U.S	S.C. § 1681u to
a credit reporting agenc	-	requested '	
identifying information,	limited to nam	e, address,	former
addresses, places of emp	loyment, or for	mer places o	of employment,
all financial account nur	mber(s) and the	names and a	addresses of
all financial institution	ns at which the	below-named	d consumer
maintains or has maintai	ned an account.		

(U) In this case, an error was committed by the field office when it requested "financial account number(s)" in its NSL. FCRA, 15 U.S.C. § 1681u(a) does not allow the FBI to request such information. The field office has been instructed to redact from all documents any account numbers that it received due to the FCRA NSL.

DECLASSIFIED BY 65 79\DMH\adb

IOR STIMMARY SHEET

Name of Department or Agency: FBI CY Quarterly Report:
DATE OF INCIDENT: DATE OF REPORT TO IOB: 4/30/29
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/24/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801)
X Other (U.S.C. citation: Fair Credit Reporting Act, 15 U.S.C. § 1681u)
EXECUTIVE ORDER 12333:
Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection
Section 2.4(a) CIA electronic surveillance w/in US
Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US
Section 2.4(d) physical surveillance of USP abroad
Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement
Section 2.7 contracting
Section 2.9 undisclosed participation
Section 2.10 human experimentation. Section 2.11 prohibition on assassination
Section 2.12 indirect participation
AG Approved EO 12333 Guidelines (Guidelines name and section:
OTHER EXECUTIVE ORDER (SPECIFY)
Creation of unauthorized SAP (EO 12958; as amended) Other (EO citation:)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
Other (Presidential Directive citation:
DEPARTMENT/AGENCY ACTION:
그들은 가는 있는 것은 것으로 가고 있는 하면 보고를 받는다는 것이 없지만 모양하셨다.
*DATE OF FINAL DISPOSITION:

Cardozo-IOB-282

	0N 05-10-2010	electric design of the second
	경기 등 경기 전 경기 경기 경기 등 한 경기 하는 경기 하는 것이 되었다. 그 사람이 되었다. 함께 되었다. 하는 경기 사용을 보고 있는 것 같은 경기 등 전문 경기 하는 것 같은 것 같	
	SECREP#/20180208	
(U)	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	The second secon
	FIELD OFFICE IOB MATTER 2007-734 (U)	
		b2 b7E
<u> </u>		
P	(U) Notwithstanding the fact that physical survented the subject at the residence subsequent to the	
Ó		s such.
	Accordingly, OGC has determined it appropriate to repo matter to the Board in the same manner.	ort this
	Derived From: G-3	
	Declassify On. 02/08/2018	
	SECRET//20180208	
	Cardozo-IOB-283	

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 05-10-2010 BY 65179/DMH/sdHOB SUMMARY SHEET

Name of Department or Agency: 1	F BI	CY Quarterly Report:
DATE OF INCIDENT:		DATE OF REPORT TO IOB: 07/3 7/2008
DATE OF REPORT TO DEPARTA	MENT/AGENCY: 2/20/2	007.
		OoJ, Congress, Civil Liberties Office):
DATE REPORT SUBMITTED TO	OTHER ENTITE (I.E., L	oi, Congress, Civil Liberties Office)
POSSIBLE VIOLATION OF:		
STATUTE (United States (Code Citation)	
X FISA (50 U.S.C. 1		
Other (U.S.C. cital		
EXECUTIVE ORDER 123	33:	
· · · · · · · · · · · · · · · · · · ·	ion & dissemination of U	
	ble: least intrusive collect	
	electronic surveillance v	
	onsented physical search	
	sical surveillance of USP sical surveillance of USP	
	proval w/in US or agains	451-514 Table 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	nce to law enforcement	OSF autoad
Section 2.7 contract		
	losed participation	
	n experimentation	
	bition on assassination	
Section 2.12 indire		
AG Approved EO	12333 Guidelines (Guide	elines name and section:
OTHER EXECUTIVE OR		
	iorized SAP (EO 12958,	is amended)
Other (EO citation	:	
DESCRIPTION	IVE (PRECIEW)	
PRESIDENTIAL DIRECT		NDUM OF NOTIFICATION
	I Directive citation:	ADDITION TO THE CASTION AND ADDITIONAL OF THE PARTY OF TH
A STATE OF THE STA	Discourse officials.	
DEPARTMENT/AGENCY ACTIO	N:	

12333:...

SECRET/720180110 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1471 (U) (U) b2 b7E determined that the tion occurred because there was an error in inputting a the correct termination date (ሀ) sequestered all files of overcollection in a safe where they remained until when the CDC took physical possession of them for sequestration. did not examine or conduct analysis on any of the over-collected data. already accomplished, OGC advised Here. resulted in the unintentional acquisition of electronic communications. Based upon our analysis, in accordance with the reporting requirements of Section 2.4 of E.O. 12863, the oversight is being reported to the IOB because the surveillance resulted in the unintentional acquisition of

Derived From : G 3
Declassify on: 01/10/2018

communications from a number not authorized to be monitored under

the Foreign Intelligence Surveillance Act or Executive Order

SECRET//2016antogo-IOB-285

Name of Department or Agency: FBI	CY Quarterly Repo	ort:	
DATE OF INCIDENT:	DATE OF REPORT TO IOB:	4/30/08	b2 b7 E :
DATE OF REPORT TO DEPARTMENT/AGENCY: April 9,	2007		
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., Do.	J, Congress, Civil Liberties Office):		
POSSIBLE VIOLATION OF:			
STATUTE (United States Code Citation) X FISA (50 U.S.C. 1801) Other (U.S.C. citation:			
EXECUTIVE ORDER 13333:			
Section 2.3 collection & dissemination of US Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/s Section 2.4(b) unconsented physical search w Section 2.4(c) physical surveillance of USP w Section 2.4(d) physical surveillance of USP a Section 2.5 AG approval w/in US or against U Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines)	n In US /in US /in US broad JSP abroad		
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAND Other (Presidential Directive citation:			
DEPARTMENT/AGENCY ACTION:			b2 b5 b7E

SECRET//20180114

	collection on the e-mail address and coordinat	tely stopped all ed efforts to some used any
	over-collected data to further an investigatio accomplished, OGC advised	
[])		

Declaseify on: 01/14/2018

oversight is being reported to the IOB because the surveillance resulted in the unintentional acquisition of communications for an e-mail address mistakenly authorized to be monitored under the Foreign Intelligence Surveillance Act or Executive Order 12333.

SECRET//20180114

Cardozo-IOB-287

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT:	DATE OF REPORT TO IOB: $4/3/08$
DATE OF REPORT TO DEPARTMENT/AGENCY: Ma	ıy 18, 2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e	, DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation)	
X FISA (50 U.S.C. 1801)	
Other (U.S.C. citation:)	
EXECUTIVE ORDER 13333;	
Section 2.3 collection & dissemination of	of USP info
Section 2.4 preamble: least intrusive col	llection
Section 2.4(a) CIA electronic surveillan	ce w/in US
Section 2.4(b) unconsented physical sea	rch w/in US
Section 2.4(c) physical surveillance of U	JSP w/in US
Section 2.4(d) physical surveillance of U	
Section 2.5 AG approval w/in US or aga	ainst USP abroad
Section 2.6 assistance to law enforcement	
Section 2.7 contracting	
Section 2.9 undisclosed participation	
Section 2.10 human experimentation	
Section 2.11 prohibition on assassination	n
Section 2.12 indirect participation	
AG Approved EO 12333 Guidelines (G	uidelines name and section:
시생님()	
OTHER EXECUTIVE ORDER (SPECIFY)	
Creation of unauthorized SAP (EO 1295	58, as amended)
Other (EO citation:)	
PRESIDENTIAL DIRECTIVE (SPECIFY)	
COVERT ACTION FINDING/MEMOR	RANDUM OF NOTIFICATION
Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION:	
	If not already accomplished,
OGC advised FBI	
· · · · · · · · · · · · · · · · · · ·	
	· · · · · · · · · · · · · · · · · · ·

^{*}DATE OF FINAL DISPOSITION:

SECRET//20180128

			b7E
[]		to the second of	
	XSL		
		■ •	
da be	undertook subst move and sequester all original and ta from all files and FBI database en changed to require all TS perso aining regarding court orders and	es. Furthermore, FBI policy had not been seen additional.	as
rei da be tr be ha	move and sequester all original ar ta from all files and FBI database en changed to require all TS perso	nd derivative over-collected es. Furthermore, FBI policy had not receive additional new checks must be conducted advised it will and hard-copy over-collected	11
rei da be tr be ha	move and sequester all original and tack from all files and FBI database en changed to require all TS personaining regarding court orders and fore discontinuing or reconstituted deliver to FBI HQ all electronaistics.	nd derivative over-collected es. Furthermore, FBI policy had not receive additional new checks must be conducted advised it will and hard-copy over-collected	11
rei da be tr be ha	move and sequester all original and tack from all files and FBI database en changed to require all TS personaining regarding court orders and fore discontinuing or reconstituted deliver to FBI HQ all electronaistics.	nd derivative over-collected es. Furthermore, FBI policy had not receive additional new checks must be conducted advised it will and hard-copy over-collected	11
rei da bei tri be ha da	move and sequester all original arta from all files and FBI database en changed to require all TS perso aining regarding court orders and fore discontinuing or reconstituted deliver to FBI HQ all electronital If not already accomplished, (S) Here, collection resulted in the units of the continuity	and derivative over-collected es. Furthermore, FBI policy had new checks must be conducted advised it will advised it will conducted over-collected of advised advised advised over-collected over-collec	ll d
rei da bev tri be ha da	move and sequester all original are ta from all files and FBI database en changed to require all TS personaining regarding court orders and fore discontinuing or reconstituted deliver to FBI HQ all electronical If not already accomplished, Here, collection resulted in the unectronic communications. Based to the reporting requirements of States.	and derivative over-collected es. Furthermore, FBI policy had not receive additional new checks must be conducted advised it will advised it will cand hard-copy over-collected OGC advised	ll d
rei da bee tra be ha da ele	move and sequester all original are ta from all files and FBI database en changed to require all TS personaining regarding court orders and fore discontinuing or reconstituted deliver to FBI HQ all electronical If not already accomplished, Here, collection resulted in the unectronic communications. Based to the reporting requirements of sersight is being reported to the	derivative over-collected es. Furthermore, FBI policy had not receive additional new checks must be conducted advised it will advised it will conducted of advised of accordance of a conducted of a conducted advised of a conducted of a condu	ll d
rei da bee tri be ha da da wi ove rei nui	move and sequester all original are ta from all files and FBI database en changed to require all TS perso aining regarding court orders and fore discontinuing or reconstituted deliver to FBI HQ all electronics. If not already accomplished, Here, collection resulted in the unectronic communications. Based to the reporting requirements of sersight is being reported to the sulted in the unintentional acquisember not authorized to be monitored.	and derivative over-collected es. Furthermore, FBI policy had been conducted advised it will advised it will advised it will cand hard-copy over-collected of advised advised advised it will be conducted advised it will be conducted advised it will be conducted advised advised accordance of a conducted accordance of the surveillance accordance accorda	ll d
rei da bee tri be ha da da wi ove rei nui	move and sequester all original are ta from all files and FBI database en changed to require all TS personaining regarding court orders and fore discontinuing or reconstituted deliver to FBI HQ all electronical. If not already accomplished, Shape Here, collection resulted in the unectronic communications. Based to the reporting requirements of sersight is being reported to the sulted in the unintentional acquise	derivative over-collected es. Furthermore, FBI policy had not receive additional new checks must be conducted advised it will advised it will cand hard-copy over-collected OGC advised advised of E.O. 12863, the Gentlem of E.O. 12863, the Gob because the surveillance sition of communications from a country or conduction of communications from a country or conducted and a country of communications from a country or conducted and a country or communications from a country or conducted and country or conducted and country or communications from a country or conducted and conducted and country or conducted and country or conducted and conducted and country or conducted and country or conducted and conducted and country or conducted and country or conducted and conducted and country or conducted and country or conducted and conducted and country or conducted and country or conducted and co	ll d

(S. A. H. S. Andrews		OB SUMMARY SHEET	
	Name of Department or Agency: FBI	CY Quarterly Report:	i i ji ng
	DATE OF INCIDENT:	DATE OF REPORT TO IOB: 4/3º/08	;,b2 ; b7E
	DATE OF REPORT TO DEPARTMENT/AG	ENCY: September 17, 2007	
	DATE REPORT SUBMITTED TO OTHER I	ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	
	POSSIBLE VIOLATION OF:		
	STATUTE (United States Code Citat X FISA (50 U.S.C. 1801) Other (U.S.C. citation: EXECUTIVE ORDER 13333: Section 2.3 collection & dis Section 2.4 preamble: least	semination of USP info	
	Section 2.4(a) CIA electroni Section 2.4(b) unconsented Section 2.4(c) physical surv Section 2.4(d) physical surv Section 2.5 AG approval w/ Section 2.6 assistance to law Section 2.7 contracting Section 2.9 undisclosed part Section 2.10 human experin Section 2.11 prohibition on	physical search w/in US eillance of USP w/in US eillance of USP abroad in US or against USP abroad v enforcement ticipation nentation	
	Section 2.12 indirect participal AG Approved EO 12333 Guardian AG Approved EO 12333 Guardian AG Approved EO CTHER EXECUTIVE ORDER (SPECTION Of Unauthorized SA Other (EO citation: PRESIDENTIAL DIRECTIVE (SPE	pation uidelines (Guidelines name and section: ECIFY) AP (EO 12958, as amended) CIFY) NG/MEMORANDUM OF NOTIFICATION	
			, b , v b , c b
		Il not already accomplished, OGC advised	=
	.		

	`				
	<u> </u>		· · · · · · · · · · · · · · · · · · ·		
			<u> </u>		
	•				
				ne overcolle	ction
and reporte	ed the matter t	to OGC for ac	ijudication.		
	(S) From	ntired in/a		while cond	luctin
. a leview of		again discov	vered audio		
			ing a second second		
				ection in th	
	curred for the			ove-referenc tably, the	ed "
	or both instar				
	S) OGC advise	ed			

the unintentional acquisition of communications from a number not authorized to be monitored under the Foreign Intelligence Surveillance Act or Executive Order 12333.

> Derived From : G-3 Declassify on: 01/04/2018

> > SECRET//20160108-291

Name of Department or Agency: FBI	CY Quarterly Report:	,
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 4/30/01 b2	
DATE OF REPORT TO DEPARTMENT/AGENCY: S	eptember 11, 2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i	e Dol Congress Civil Liberties Office)	
	constant and the second	, i
POSSIBLE VIOLATION OF:		
STATUTE (United States Code Citation)		; ;
X FISA (50 U.S.C. 1801)	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED	•
Other (U.S.C. citation:	DATE 05-10-2010 BY 65179/DMH/sdb	
	DATE OF TO EDIT DI OSTANIAN CONTRACTOR	
EXECUTIVE ORDER 13333:		-
Section 2.3 collection & dissemination	of USP info	
Section 2.4 preamble: least intrusive co		
Section 2.4(a) CIA electronic surveilla		
Section 2.4(b) unconsented physical se	arch w/in US	
Section 2.4(c) physical surveillance of	USP w/in US	. '
Section 2.4(d) physical surveillance of		
Section 2.5 AG approval w/in US or ag	gainst USP abroad	
Section 2.6 assistance to law enforcement	ent	
Section 2.7 contracting		. :
Section 2.9 undisclosed participation		
Section 2.10 human experimentation		٠.
Section 2.11 prohibition on assassination	on the second	1
Section 2.12 indirect participation		,
AG Approved EO 12333 Guidelines (C	Juidelines name and section:	
		į,
OTHER EXECUTIVE ORDER (SPECIFY)		
Creation of unauthorized SAP (EO 129	58, as amended)	23
Other (EO citation:		
		ं ह हेर्नु
PRESIDENTIAL DIRECTIVE (SPECIFY)		::
COVERT ACTION FINDING/MEMO		٠
Other (Presidential Directive citation:	은 [10]	
DEDARTMENT/AGENOV ACTION. TI- OSS	of County Control Language 1	٠,:`
DEPARTMENT/AGENCY ACTION: The Office of the	e General Counsel has advised	: ኩ
		<u>۔</u>

SECRET//20180103

determined that it previously had monitored the telephone line in question in a The state of the telephone line in question in a The state of the telephon		(S) On April 24,	2007, the	Field Office	
monitored the telephone line in question in a					
monitored the telephone line in question in a					
monitored the telephone line in question in a					
	monitor	ed the telephone line	in question in	. a	
					14 <u>1</u> 14 <u>1</u> 14 1
Furthermore, OGC advised					
Furthermore, OGC advised		× C			
		Xs. [

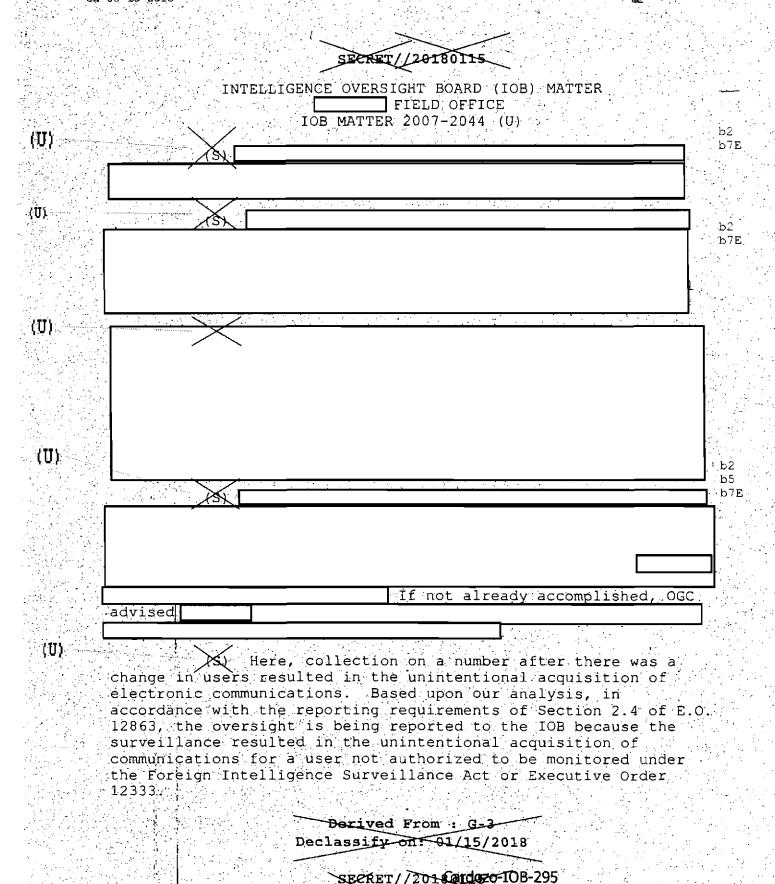
Here, an error on the part of a provider resulted in the unintentional acquisition of electronic communications. Based upon our analysis, in accordance with the reporting requirements of Section 2.4 of E.O. 12863, the provider's mistake is being reported to the IOB because the surveillance resulted in the unintentional acquisition of communications from a number not authorized to be monitored under the Foreign Intelligence Surveillance Act or Executive Order 12333.

Derived From: G-3
Declassify on: 01/03/2018

Cardozo-IOB-293

SECRET//20180103

STATUTE (United States Code Citation) X	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.4 (a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)	
Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY)	
AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY)	
OTHER EXECUTIVE ORDER (SPECIFY)	
and right of the control of the cont	
and right of the control of the cont	
Urcanon of unaumorized SAP (EU 12958, as amended)	
Other (EO citation:	
PRESIDENTIAL DIRECTIVE (SPECIFY)	
COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION	
Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION: The Of	
he General Counsel has advised	e Office of
	-Office of



Name of Department or Agency: FBI	CY Quarterly Report:	
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 4/30/08	b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY: June 28	, 2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., Do	oJ, Congress, Civil Liberties Office):	
POSSIBLE VIOLATION OF:	ALL THEORYPATTON CONTRACTOR	
STATUTE (United States Code Citation) X FISA (50 U.S.C. 1801) Other (U.S.C. citation:)	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 05-10-2010 BY 65179/DMH/sdb	
Section 2.3 collection & dissemination of U. Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w. Section 2.4(b) unconsented physical search. Section 2.4(c) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.5 AG approval w/in US or against Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guide OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, a Other (EO citation PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAN Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION:	on /in US w/in US w/in US abroad USP abroad lines name and section: s amended)	
		b2
		b5 b7E
If not already accomplished, OGC advises		

Here, collection on the number after there was a change in subscribers resulted in the unintentional acquisition of electronic communications. Based upon our analysis, in accordance with the reporting requirements of Section 2.4 of E.O. 12863, the oversight is being reported to the IOB because the surveillance resulted in the unintentional acquisition of communications for a subscriber not authorized to be monitored under the Foreign Intelligence Surveillance Act or Executive Order 12333.

If not already accomplished,

(U)

OGC advised

b5 b7E

Derived From : G-3 Declassify on: 01/09/2018

SECRET//2018Cardiozo-IOB-297

Name of Department or Agency: FBI	CY Quarterly Report:	b2
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 4/30/08	b7E
DATE OF REPORT TO DEPARTMENT/AGENCY: July 1	7, 2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	OoJ, Congress, Civil Liberties Office):	
POSSIBLE VIOLATION OF:		
STATUTE (United States Code Citation)	ALL INFORMATION CONTAINED	
<u>X</u> FISA (50 U.S.C. 1801)	HEREIN IS UNCLASSIFIED	
Other (U.S.C. citation:)	DATE 05-10-2010 BY 65179/DMH/sdb	
Other (O.S.C. Charlott.		
EXECUTIVE ORDER 13333:	공기상에 되고 있어요즘 그렇게 함께 함께 되었다.	
Section 2.3 collection & dissemination of l	ISP info	
Section 2.4 preamble: least intrusive collection		
Section 2.4(a) CIA electronic surveillance		
Section 2.4(b) unconsented physical search		, 1 814 A
Section 2.4(c) physical surveillance of US		
Section 2.4(d) physical surveillance of US		
Section 2.5 AG approval w/in US or again	st USP abroad	
Section 2.6 assistance to law enforcement		
Section 2.7 contracting		
Section 2.9 undisclosed participation		
Section 2.10 human experimentation		
Section 2.11 prohibition on assassination		
Section 2.12 indirect participation		
AG Approved EO 12333 Guidelines (Guidelines)	lelines name and section:)	
OTHER EXECUTIVE ORDER (SPECIFY)		
Creation of unauthorized SAP (EO 12958,	as amended)	
Other (EO citation:		
		,
PRESIDENTIAL DIRECTIVE (SPECIFY)		
COVERT ACTION FINDING/MEMORA	NDUM OF NOTIFICATION	
Other (Presidential Directive citation:		er indi
		7
DEPARTMENT/AGENCY ACTION:		4
1		b2
		b5
If not already accomplished, Office of General Counsel advi	gog FPII	b7E
ri not alleady accomplished, Office of General Counsel advi	262 LD1	4.

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT: DATE OF REPORT TO IOB:	4/30/08 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY: 05/25/2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civ	il Liberties Office):
HERETN TS III	TION CONTAINED CLASSIFIED
STATUTE (United States Code Citation) DATE 05-10-2 X FISA (50 U.S.C. 1801) Other (U.S.C. citation:	010 BY 65179/DMH/sdb
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info	
Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US	
Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad	
Section 2.6 assistance to law enforcement Section 2.7 contracting, Section 2.9 undisclosed participation	
Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and s	ection
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:)	
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIN	ICATION .
Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION:	
DELAKTIVIENT/AGENCI, ACTION	b2
not already accomplished, QGC advises	
not already decomplishing, 200 advisor	

^{*}DATE OF FINAL DISPOSITION:

ON 05-10-2010 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER (U) FIELD OFFICE IOB MATTER 2007-1693 (U) (U) (U)b7E (U) Based upon our analysis, in accordance with the reporting requirements of Section 2.4 of E.O. 12863, the CL's unauthorized activities of logging onto the target,'s with the target's username and password, and downloading activity reports from the target's account must be reported to the IOB because the surveillance resulted in the unauthorized acquisition of information from a not authorized to be monitored under the Foreign Intelligence Surveillance Act or Executive Order 12333. Derived From Declassity on: 02/200020008-301

DECLASSIFIED BY 65179DMH/plj

	010			
			ARY SHEET	
	ame of Department or Age	ency: FBI	CY Quarterly Report:	.,b
	ATE OF INCIDENT:		DATE OF REPORT TO IOB: 4/30/08	b
D.	ATE OF REPORT TO DE	PARTMENT/AGENCY: A	pril 3, 2007	
D/	ATE REPORT SUBMITT	ED TO OTHER ENTITY (i.	e., DoJ, Congress, Civil Liberties Office):	
PC	OSSIBLE VIOLATION O	F		
	X FISA (50 Other (U.S			
	Section 2.4 Section 2.4 Section 2.4 Section 2.4 Section 2.4 Section 2.5 Section 2.5 Section 2.5	3 collection & dissemination 4 preamble: least intrusive condition of the condition of the collection	ellection nce w/in US arch w/in US USP w/in US USP abroad painst USP abroad	
	Section 2.5 Section 2.1 Section 2.1 Section 2.1 AG Appro	IVE ORDER (SPECIFY)	Suidelines name and section:	
(0)	Other (EO PRESIDENTIAL D COVERT	f unauthorized SAP (EO 129 citation: DIRECTIVE (SPECIFY) ACTION FINDING/MEMO sidential Directive citation:	RANDUM OF NOTIFICATION	
DI	EPARTMENT/AGENCY	ACTION:	<u> </u>	

SECRET//20180104

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-3240 (U)

The Federal Bureau of Investigation ("FBI") has

determined that while conducting two counterterrorism

investigations of a permanent resident alien and a non-United

States person, Division mistakenly requested

and received subscriber information and toll billing records

pursuant to an NSL for two telephone numbers which were not

relevant to the investigation. This overcollection was uploaded

into FBI databases. The overcollected information is being

returned to the carrier or destroyed at their request, and the

uploaded overcollection is being purged. (U)

In this regard, on 8/10/2007, _________issued two separate NSLs pursuant to the Electronic Communications Privacy Act (ECPA), 18 U.S.C. § 2709, for subscriber information and toll billing records. Two incorrect telephone numbers were listed in the NSL requesting information about 35 different numbers relevant to the investigation. The last digit of the area codes were wrong by one number (i.e. XX3-XXX-XXXX was erroneously listed as XX2-XXX-XXX).

In response, the carrier provided the records requested to include information associated with the errant telephone numbers. Because of the urgency of the investigation, the NSLs were drafted with the support of FBI Headquarters where the initial mistake occurred. The NSL results were quickly documented and uploaded into databases by FBI Headquarters before they were reviewed by the lead Case Agent who was actively involved in other aspects of the investigation during the first few days of this investigation. When the Case Agent reviewed the results the erroneous number was discovered and the overcollection was sequestered. The errant information has been redacted and will be purged from the databases. (§)

While it is not an excuse, it is noted that this mistake occurred in the course of a time sensitive investigation involving hundreds of phones numbers, requiring coordination with multiple field divisions and numerous Headquarters offices. The FBI continues to train and emphasize the importance of accurate document preparation in an effort to prevent these types of issues from occurring in the future. (U)

Derived from: G 3
Declassify on: 12/31/2017

SECRET//20180104

Cardozo-IOB-303

(U)

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT: 8/10/2007	date of report to iob: ${\cal A}$
DATE OF REPORT TO DEPARTMENT/AGENCY: 9	/11/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i	.e., DoJ, Congress, Civil Liberties Office
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation)	
FISA (50 U.S.C. 1801)	
X Other: 18 USC 2709(b)(2) (ECPA To	oll Billing Records Request)
EXECUTIVE ORDER 12333:	
Section 2.3 collection & dissemination	
Section 2.4 preamble: least intrusive co	
Section 2.4(a) CIA electronic surveilla	
Section 2.4(b) unconsented physical se	
Section 2.4(c) physical surveillance of	
Section 2.4(d) physical surveillance of	
Section 2.5 AG approval w/in US or au	
Section 2.6 assistance to law enforcem	ent
Section 2.7 contracting	
Section 2.9 undisclosed participation Section 2.10 human experimentation	
Section 2.10 human experimentation Section 2.11 prohibition on assassinati	
Section 2.12 indirect participation	OII.
AG Approved EO 12333 Guidelines (6	Guidelines name and section:
	Strating name and section.
OTHER EXECUTIVE ORDER (SPECIFY)	
Creation of unauthorized SAP (EO 129	958, as amended)
Other (EO citation:)	
PRESIDENTIAL DIRECTIVE (SPECIFY)	
COVERT ACTION FINDING/MEM(DRANDUM OF NOTIFICATION
Other (Presidential Directive citation:	<u> </u>
DEPARTMENT/AGENCY ACTION	
*DATE OF FINAL DISPOSITION:	

Cardozo-IOB-304

(11)

 (\mathbf{U})

SECRET//20180212

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2008-210 (U)

Field Office issued an Electronic Communications Privacy Act (ECPA) NSL requesting subscriber information from a communications carrier for one telephone number. Due to a typographical error, the requested telephone number was not related to the investigation. In response, the carrier stated that the requested number belonged to a different communications carrier. Not recognizing the mistake at that time, then served a second NSL on a different carrier for subscriber records related to the erroneous telephone number. In its response, the second carrier produced records related to the erroneous telephone number. Upon receipt of these records,

for destruction.

Solution:

Under ECPA, the FBI may seek telephone and email communication records from telephone companies and internet service providers when those records "are relevant to an authorized investigation to protect against international terrorism or clandestine intelligence activities." 18 U.S.C. §

the case agent recognized the error and segregated the records

2709.

(V) In this case, the NSL was issued for subscriber information on a telephone number that was incorrectly transcribed. As a result, the FBI received information, presumably about a United States person, that was not relevant to an open investigation. The field office has been instructed to contact the carrier and arrange for either the return or the destruction of the information with appropriate documentation to the file. In addition, the field office has been directed to purge this subscriber information if it was incidently uploaded into any database.

Derived from: G-3 Declassify on: 20180212

> SECRET//20180212 Cardozo-IOB-305

HEREIN IS UNCLASSIFIED DATE 05-10-2010 BY 65179/DMH/sdb IOB SU	JMMARY SHEET
Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT: 04/04/03	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY	Y: 03/21/07
DATE REPORT SUBMITTED TO OTHER ENTIT	ΓΥ (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (18 U.S.C. § 270)9 ₎
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemin Section 2.4 preamble: least intrusi Section 2.4(a) CIA electronic surv Section 2.4(b) unconsented physic	ive collection veillance w/in US cal search w/in US
Section 2.4(c) physical surveilland Section 2.4(d) physical surveilland Section 2.5 AG approval w/in US Section 2.6 assistance to law enformation Section 2.7 contracting Section 2.9 undisclosed participates	ice of USP abroad or against USP abroad orcement tion
Section 2.10 human experimentat Section 2.14 prohibition on assass Section 2.12 indirect participation	sination
AG Approved EO 12333 OTHER EXECUTIVE ORDER (SPECIF) Creation of unauthorized SAP (E) Other (EO citation:	
PRESIDENTIAL DIRECTIVE (SPECIFY COVERT ACTION FINDING/M Other (Presidential Directive citat	IEMORANDUM OF NOTIFICATION

SECRET//20180129

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

FIELD OFFICE

IOB MATTER 2007-836 (U)

Counsel (OGC) revi			rmine whether initiated a	elt een een ee
		on a Un	i <u>ted State</u> s P	erson on
	rt of its inv			ught
subscriber informa				
address, and lengt				
period of August 2				
prepared by			chone company	
dated 04/12/2006.			one company	, 10 HOL
	telephone com			
request and advise				
requested." After				
request inadverter				
on 08/10/2006, a s	subsequent NSI	<u>was iss</u> ue	d for the cor	rect phone
number for the				
The	telephone con	npany respo	nded to the O	8/10/2006
request on			iber informat	
correct telephone				This
response revealed		on an indiv	idual other t	han the
target (USPER #1)				
At t	hat time, how			
range) was not dis			oceeded with	
by USPER #1 in	cue cerebijoi	<u>je namber w</u>	as III Tact Su	DSCIIDed L
Sy ostan minimum				
1				

Branch (NSLB) sent a letter to the Counsel for the IOB requesting their concurrence to treat third party errors as non-reportable, though NSLB would still require the field to continue to report any improper collection under an NSL as a potential IOB matter. By letter dated 11/13/2006, the Counsel to the IOB agreed that third party errors in the collection of information pursuant to an NSL must be reported to the FBI's OGC, but are not reportable

(U) Derived From: G=3
Declassify On: Call 6/91689307
SECRET//20196129

to the IOB. Moreover, by letter dated 08/01/2007, the Counsel to the IOB clarified this position by stating that if the FBI "compounded a third party error by utilizing . . . or uploading the information into Bureau databases then the matter must be reported to the IOB . . ."

(U)

Ui

(U)

In this situation, due to the incorrect number stated in the NSL, even though the FBI did not receive anything in response to its request, the FBI sought subscriber information pursuant to 18 U.S.C. \$2709 pertaining to a telephone number that was neither under investigation nor related to an investigation. Therefore, the FBI sought the information, although unintentionally so, in violation of the NSIG and ECPA.

Moreover, the subsequent inclusion of information pertaining to USPER #1 into the FISA initiation request, although later withdrawn, is arguably "utilization" of this information contrary to the August 2007 letter. In addition, the subsequent uploading of USPER #1's information (i.e. name and address), although only for the purpose of reporting the IOB matter, is also contrary to the August 2007 letter.

It should be noted, however, that nothing further was done with USPER #1's information. The initiation request was later withdrawn for administrative reasons and not further included or disseminated and the information was in fact sequestered with scool office.

	(U) The FBI's Office of the General Counsel (OGC)	_ :
advised]

b5

Name of Department or Agency: FB1	CY Quarterly Report:
DATE OF INCIDENT: April 12, 2006 and	DATE OF REPORT TO IOB: 4/3/03 b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY:	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., Do.J., C	Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Ix	US in US in US oad Pabroad: s name and section:
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDU Other (Presidential Directive citation:	M OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: OGC advised the	<u></u>
	OGC further advised b5
	% b7 E Ng/nak