

December 11, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2007-575." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted.
(U)

Enclosure

1 - 278-HQ-C1229736-VIO-1921

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 25X1~~

Dep. Dir. _____
Chief of _____
Staff _____
Off. of Gen. _____
Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CRS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public _____
& Cong. Affs. _____
Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-911

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
National Security Division
U.S. Department of Justice
Room 6150

APPROVED: Crim. Inv. _____ Inspection _____ Training _____
CJS _____ Laboratory _____ Off. of EEO _____
Finance _____ National Sec. _____ Affairs _____
Director _____ Gen. Counsel _____ Off. of Public & _____
Deputy Director _____ Info. Res. _____ Personnel _____ Cong. Affs. _____

~~SECRET~~ Hofmann-IOB-912

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-575(U)

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(S) ~~(S)~~ The Federal Bureau of Investigation ("FBI") has determined that in conducting a [redacted] the FBI improperly used a National Security Letter (NSL) to obtain [redacted] which was not related to the investigation. [redacted] was due to a mistake in the NSL in identifying the [redacted] whose [redacted] The [redacted] was a violation of Section [redacted] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG).¹ In this regard, on [redacted] of [redacted] in order to ascertain the nature and purpose of [redacted] relationship with the subject of an [redacted] case. In addition, [redacted] had significant [redacted] with the subjects of four FBI investigations. During the course of the investigation, [redacted] issued a National Security Letter (NSL) pursuant to the Electronic Communications Privacy Act, 18 U.S.C. § 2709, to a [redacted] [redacted] Instead, one of the [redacted] [redacted] he

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error was discovered upon receipt of the information, and the records were not reviewed

~~Derived from: G-3
Declassify on: 25X1
SECRET~~

nor used for any investigative purpose. Despite the inadvertent nature of the mistake, the fact remains that information was improperly collected on [redacted] unrelated to an investigation. Thus, the matter is being reported to the IOB.

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(U) ~~(S)~~ Section [redacted] authorizes use of National Security Letters in conformity with 18 U.S.C. § 2709 (relating to subscriber information, toll billing records, and electronic communication transactional records). The statute requires that information sought is relevant to an authorized national security investigation.

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b7E

December 13, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2007-0498." (U).

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO - 1927

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20311213~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Crim. Inv. _____
- C.I.S. _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

~~SECRET~~ Hofmann-IOB-914

MAIL ROOM

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED: *[Signature]*

Crim. Inv.	_____	Inspection	_____	Training	_____
CJS	_____	Laboratory	_____	Off. of EEO	_____
Finance	_____	National Sec.	_____	Affairs	_____
Director	_____	Off.	_____	Off. of Public &	_____
Deputy Director	_____	Info. Res.	_____	Cong. Affs.	_____
		Personnel	_____		

~~SECRET~~ Hofmann-IOB-915

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-0498 (U)

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(U) ~~(S)~~ By electronic communication dated September 19, 2006, the Federal Bureau of Investigation (FBI) [REDACTED] Field Office reported that a [REDACTED] was reinitiated on a U.S. person on [REDACTED] FBI Headquarters (FBIHQ) was not provided official notification of the reinitiation of this investigation until [REDACTED]

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b7E

(U) ~~(S)~~ As provided in Section [REDACTED] of the The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), a "field office shall notify FBI Headquarters (FBIHQ) within ten working days of the initiation by the field of a [REDACTED] After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. The FBI shall also provide the notice of initiation to any relevant United States Attorney's office, subject to authorization by the Criminal Division, in an espionage case. This requirement applies to both U.S. persons and non-U.S. persons.

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(U) ~~(S)~~ In this instance, the delay in notifying FBIHQ of the initiation of a [REDACTED] approximately 212 days beyond the ten-day deadline, was in violation of the NSIG. Thus, the matter is being reported to the IOB.

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b7E

~~Derived from: G-3
Declassify on: 20311213~~

~~SECRET~~

~~SECRET~~ Hofmann-IOB-916

December 13, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2007-0499." (U).

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted.
(U)

Enclosure

① - 278-HQ-C1229736-VIO-1930

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20311213~~

Dep. Dir. _____
Chief of _____
Staff _____
Off. of Gen. _____
Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CJIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public _____
& Cong. Affs. _____
Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-917

~~SECRET~~

Mr. Stephen Friedman

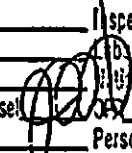
Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:  _____

Crim. Inv.	_____	Inspection	_____	Training	_____
CAS	_____	Laboratory	_____	Off. of EEO	_____
Finance	_____	Int'l Sec.	_____	Affairs	_____
Director	_____	Gen. Counsel	_____	Off. of Public &	_____
Deputy Director	_____	Info. Res.	_____	Personnel	_____
				Cong. Affs.	_____

~~SECRET~~ Hofmann-IOB-918

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-0499 (U)

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b7E

(U) ~~(S)~~ By electronic communication dated September 19, 2006, the Federal Bureau of Investigation (FBI) [REDACTED] Field Office reported that a [REDACTED] was initiated on a U.S. person on [REDACTED] FBI Headquarters (FBIHQ) was not provided official notification of the initiation of this investigation until [REDACTED]

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(U) ~~(S)~~ As provided in Section [REDACTED] of the The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field of a [REDACTED]. After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. The FBI shall also provide the notice of initiation to any relevant United States Attorney's office, subject to authorization by the Criminal Division, in an espionage case. This requirement applies to both U.S. persons and non-U.S. persons.

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(U) ~~(S)~~ In this instance, the delay in notifying FBIHQ of the initiation of a [REDACTED] approximately 304 days beyond the ten-day deadline, was in violation of the NSIG. Thus, the matter is being reported to the IOB.

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~~Derived from: G-3
Declassify on: 20311213~~

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~~SECRET~~ Hofmann-IOB-919

December 13, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2007-0501." (U).

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

1 - 278-HQ-C1229736-VIO - 1932

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20311213~~

Dep. Dir. _____
Chief of Staff _____
Off. of Gen. Counsel _____
Asst. Dir.:
Crim. Inv. _____
C.R.S. _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEDA _____
Off. of Public & Cong. Affs. _____
Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-920

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:

Crim. Inv.	Inspection	Training
CNS	Laboratory	Off. of EEO
Finance	Personal Sec.	Affairs
Director	Gen. Counsel	Off. of Public &
Deputy Director	Info. Res.	Cong. Affs.
	Personnel	

~~SECRET~~ Hofmann-IOB-921

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-0501 (U)

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(U) ~~(S)~~ By electronic communication dated October 3, 2006,
the Federal Bureau of Investigation (FBI) [REDACTED] Field Office
reported that the FBI implemented [REDACTED]

[REDACTED]

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(U) ~~(S)~~ [REDACTED] was a violation of The Attorney
General's Guidelines for FBI National Security Investigations and
Foreign Intelligence Collection. Thus, the matter is being
reported to the IOB.

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b7E

~~Derived from: G-3
Declassify on: 20311213~~

~~SECRET~~

~~SECRET~~ Hofmann-IOB-922

December 20, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-0485." (U).

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO-1952

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20311220~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

~~SECRET~~ Hofmann-IOB-923

MAIL ROOM

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150



~~SECRET~~ Hofmann-IOB-924

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2006-0485 (U)

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(U) ~~(S)~~ By electronic communication dated September 13, 2006, the Federal Bureau of Investigation (FBI) [REDACTED] Field Office reported that the FBI implemented [REDACTED]

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[REDACTED] The inadvertently intercepted material was sequestered and will be filed with [REDACTED]

(U) ~~(S)~~ [REDACTED] was a violation of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection. Thus, the matter is being reported to the IOB.

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~~Derived from: G-3
Declassify on: 20311220~~

~~SECRET~~

~~SECRET~~ Hofmann-IOB-925

DECLASSIFIED BY 65179 UCLP/PLJ/JN
ON 07-01-2010

December 21, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-0323." (U).

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO-1954

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20311220~~

Dep. Dir. _____
Chief of Staff _____
Off. of Gen. Counsel _____
Asst. Dir. _____
Crim. Inv. _____
CIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public & Cong. Affs. _____
Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-926

FBI/DOJ

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150


~~SECRET~~ Hofmann-IOB-927

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2006-323 (U)

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(U) ~~(S)~~ By electronic communication dated May 16, 2006,
the Federal Bureau of Investigation (FBI) [REDACTED] Field
Office reported that the [REDACTED]

[REDACTED]

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(U) ~~(S)~~ [REDACTED] was a violation of The Attorney
General's Guidelines for FBI National Security Investigations and
Foreign Intelligence Collection. Thus, the matter is being
reported to the IOB.

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b7E

~~Derived from: G-3
Declassify on: 20311220~~

~~SECRET~~

~~SECRET~~ Hofmann-IOB-928

December 19, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-329." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO - 1950

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: Multiple Sources
Declassify On: 20311219~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Crim. Inv. _____
- CIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

~~SECRET~~ Hofmann-IOB-929

MAIL ROOM

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED: Crim. Inv. _____ Inspection _____ Training _____
 CHS _____ Laboratory _____ Off. of EEO _____
 Finance _____ National Sec. _____ Affairs _____
Director _____ Gen. Counsel _____ Off. of Public & _____
Deputy Director _____ Info. Res. _____ Personnel _____ Cong. Affs. _____

~~SECRET~~ Hofmann-IOB-930

~~SECRET~~

DATE: 07-01-2010
CLASSIFIED BY 65179 UCLP/PLJ/JN
REASON: 1.4 (C)
DECLASSIFY ON: 07-01-2035

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2006-329 (U)

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(U) ~~(S)~~ [REDACTED] of
the Federal Bureau of Investigation (FBI) reported a potential
IOB involving a National Security Letter (NSL) requested by [REDACTED]
which, through a transcription error, sought information about an
[REDACTED] belonging to a person who was not the subject of an
FBI national security investigation.

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(S) ~~(S)~~ An analyst in [REDACTED] prepared an NSL for information
regarding the [REDACTED] [REDACTED] Shortly after
sending the NSL to the field for service, the analyst received an
(S) [REDACTED] Field Office indicating that the
subject of FBI case and the [REDACTED]
[REDACTED] not [REDACTED] Upon realizing the
(S) error, the analyst immediately notified his supervisor and took
the proper steps to sequester the information provided in
response to the NSL. Nothing from the original NSL results was
uploaded into the FBI computer systems, nor was any investigative
action taken based on the NSL results.

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(U) ~~(S)~~ Due to inadvertent typographical error based on the
confusion between the typed letters [REDACTED] FBIHQ received
NSL results for an [REDACTED] that was not relevant to a
counterterrorism investigation. Therefore, the issuance of the
NSL was neither authorized by law nor by the Attorney General
Guidelines for National Security Investigations and Foreign
Intelligence Collection (NSIG). Due to the fact that there was
an unauthorized collection, the error is a reportable matter
under Section 2.4 of Executive Order 12863.

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~~Derived from: Multiple Sources
Declassify on: 20311219~~

(S) ¹ For ease of reference, the [REDACTED]
[REDACTED] Note
(S) that the confusion between the typed letters [REDACTED] may have
been what led to the error in the first place.

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~~SECRET~~ Hofmann-IOB-931

December 20, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-0364." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO-1958

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20311220~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Crim. Inv. _____
- CIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

~~SECRET~~

Hofmann-IOB-932

MAIL ROOM

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150



~~SECRET~~ Hofmann-IOB-933

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2006-0364 (U)

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b7E

(U) ~~(S)~~ By electronic communication dated June 21, 2006, the Federal Bureau of Investigation (FBI) [redacted] Field Office reported that, during an authorized investigation of a non-U.S. Person, [redacted]

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[redacted]

(U) ~~(S)~~ The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG) provide that investigative techniques authorized pursuant to a [redacted]

[redacted] Executive Order 12333 §2.5." NSIG Part [redacted]

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b7E

[redacted] the physical search was a violation of the NSIG. Thus, the matter is being reported to the IOB.

(U) ~~(S)~~ The inadvertently obtained material was sequestered and will be filed with the [redacted]
[redacted]

b2
b7E

~~Derived from: G-3
Declassify on: 20311220~~

~~SECRET~~

December 21, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-368." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted.
(U)

Enclosure

① - 278-HQ-C1229736-VIO-1961

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20311220~~

Dep. Dir. _____
Chief of Staff _____
Off. of Gen. Counsel _____
Asst. Dir. _____
Crim. Inv. _____
C/IS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public & Cong. Affs. _____
Director's Office _____

MAIL ROOM

~~SECRET~~

Hofmann-IOB-935

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150



~~SECRET~~

Hofmann-IOB-936

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2006-368 (U)

b2
b7E

(U) (S) By electronic communication dated June 6, 2006, the Federal Bureau of Investigation (FBI), [REDACTED] Field Office, reported a possible Intelligence Oversight Board (IOB) matter. [REDACTED] reported that on [REDACTED] it opened a [REDACTED] on a non-U.S. Person. The [REDACTED] was properly extended on [REDACTED] which expired on [REDACTED]. On [REDACTED] before the expiration of the six month extension, [REDACTED] requested another six month extension. Inadvertently, [REDACTED] failed set a lead for [REDACTED]. Due to this error, an extension was not granted prior to the expiration of the initial six month extension. Thus, the [REDACTED] was neither extended nor converted to a [REDACTED] as required by The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection ("NSIG"), prior to its expiration.

b2
b7E

(U) (S) Unaware that the [REDACTED] had not been extended, [REDACTED] continued the investigation. Investigative activity included interviewing the subject of the investigation on [REDACTED] and one of his associates on [REDACTED]. Upon learning that the [REDACTED] had not been extended in [REDACTED] on [REDACTED] [REDACTED] requested, and FBIHQ granted a second six month extension, retroactive to [REDACTED].

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b7E

(U) (S) Authorized investigative techniques for [REDACTED]
[REDACTED]

b2
b7E

Because such investigative activity took place outside the authorized investigation period, this mistake must be reported to the IOB.

(U) (S) The Office of General Counsel ("OGC") has reported this matter to the FBI's Inspection Division for appropriate action.

(U)

~~Derived From : G-3
Declassify On: 20311220~~

~~SECRET~~

December 21, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-420." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO-1966

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 25X1~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

~~SECRET~~ Hofmann-IOB-938

MAIL ROOM

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	CJIS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
	Deputy Director _____	Info. Res. _____	Personnel _____
			Cong. Affs. _____

~~SECRET~~ Hofmann-IOB-939

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2006-420 (U)

b2
b7E

(U) ~~(S)~~ By electronic communication (EC) dated June 27, 2006, the Federal Bureau of Investigation [redacted] Field Office [redacted] reported that, on [redacted] the United States [redacted] authorized [redacted] and physical search of a non-U.S. person. The [redacted] order included authorization for the physical search of a [redacted].

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b7E

(U) ~~(S)~~ The [redacted]
[redacted]

b2
b7E

(U) ~~(S)~~ While conducting the search, the FBI engaged in investigative activity that was not specifically described in the search warrant. In order to ensure that all possible required [redacted] the FBI systematically [redacted] During this attempt to locate all [redacted] believed to contain [redacted]

b2
b7E

(U) ~~(S)~~ [redacted] reported the results of the search to the U.S. Department of Justice, Office of Intelligence Policy and Review (OIPR). [redacted] OIPR stated that it would provide Los Angeles with a legal opinion as to whether these items were lawfully obtained during the search. In compliance with OIPR's instruction, these items were sequestered and not viewed by the FBI pending receipt of OIPR's legal opinion.

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b7E

(U) ~~(S)~~ After consultation with FBI NSLB, [redacted]
[redacted] OIPR further informed the FBI [redacted]

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b7E

~~Derived from: G-3
Declassify on: 25X1~~

~~SECRET~~

~~SECRET~~

that it should not retain and/or otherwise use any of the search results other than those from [redacted] complied with OIPR's directive and reported destruction of the required items to FBI Headquarters on June 12, 2006.

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b7E

(U) ~~(S)~~ By letter dated June 16, 2006 from OIPR to the [redacted] OIPR stated in pertinent part that "OIPR has determined that all search results other than those from the search of the [redacted] itself should be sequestered with the Court and/or destroyed and that FBI should not retain and/or otherwise use any of the search results other than those from [redacted] [redacted] OIPR further stated:

b2
b7E

FBI investigation of the facts and circumstances concerning this incident has not revealed any indication of bad faith in the manner in which the search was conducted. Based upon OIPR's analysis, the FBI has destroyed and not made use of any search results, or copies thereof, other than those from [redacted] FBI destruction of these search results occurred in May and June 2006.

b2
b7E

(U) ~~(S)~~ Here OIPR, as lead counsel for [redacted] matters and in its oversight capacity, determined that all search results other than those from the [redacted] itself were not lawfully obtained. Based upon OIPR's determination that the FBI conducted an unlawful search, and in accordance with the reporting requirements of Section 2.4 of E.O. 12863, this incident must be reported to the IOB.

b2
b7E

December 21, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-481." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO-1968

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20311221~~

Dep. Dir. _____
Chief of _____
Staff _____
Off. of Gen. _____
Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CJIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public _____
& Cong. Affs. _____
Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-942

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150



~~SECRET~~ Hofmann-IOB-943

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2006-481 (U)

b2
b7E

(U) ~~(S)~~ By electronic communication dated September 20, 2006, the Federal Bureau of Investigation (FBI) [REDACTED] Field Office reported that, on [REDACTED] the FBI exceeded the authorized scope of [REDACTED]

[REDACTED] Specifically, the [REDACTED] office inadvertently failed to terminate [REDACTED] when the subject exited the location where the [REDACTED]

b2
b7E

(U) ~~(S)~~ As a [REDACTED]
[REDACTED] additional material before realizing the device was still active. Upon discovery of the still-active [REDACTED] the FBI immediately terminated the device's recording mechanism. Thereafter, the [REDACTED] office placed the collected material on a disk, sealed the disk, and submitted the material to the Department of Justice for sequestration [REDACTED] At no time did any personnel from the FBI review the [REDACTED] material. Nevertheless, since the [REDACTED] recording exceeded the permissible scope of [REDACTED] this matter is being reported to the IOB.

b2
b7E

~~Derived from: G-3
Declassify on: 20311221~~

~~SECRET~~

~~SECRET~~ Hofmann-IOB-944

December 22, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-0387." (U).

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO-1975

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20311222~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.: _____
- Crim. Inv. _____
- C.I.S. _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

~~SECRET~~ Hofmann-IOB-945

MAIL ROOM

~~SECRET~~

7
Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150



~~SECRET~~ Hofmann-IOB-946

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2006-387 (U)

b2
b7E

(U) ~~(S)~~ By electronic communication dated July 5, 2006, the Federal Bureau of Investigation (FBI) [REDACTED] Office reported that the FBI and the Office of Intelligence Policy and Review (OIPR) inadvertently included an [REDACTED] not associated with the FBI subject in a [REDACTED] application. This resulted in court-ordered [REDACTED] facility that was not associated with the FBI subject. The inadvertently intercepted material was sequestered and will be filed with [REDACTED]

b2
b7E

(U) ~~(S)~~ The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG) provide that authorized [REDACTED]

b2
b7E

[REDACTED] NSIG, Part V(17,18). The court-ordered [REDACTED] and physical search of [REDACTED] that was not associated with the FBI subject violated the NSIG. Thus, the matter is being reported to the IOB.

~~Derived from: G-3
Declassify on: 20311222~~

~~SECRET~~

~~SECRET~~ Hofmann-IOB-947

December 27, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

DECLASSIFIED BY 65179 DMH/PLJ/JN
ON 06-30-2010

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-423." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted.
(U)

Enclosure

① - 278-HQ-C1229736-VIO - 1977

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 1/02/2017~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-948

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:

Crim. Inv.	_____	Inspection	_____	Training	_____
CJS	_____	Laboratory	_____	Off. of EEO	_____
Finance	_____	National Sec.	_____	Affairs	_____
Director	_____	Gen. Counsel	_____	Off. of Public &	_____
Deputy Director	_____	Info. Res.	_____	Cong. Affs.	_____
		Personnel	_____		

~~SECRET~~ Hofmann-IOB-949

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2006-423 (U)

b2
b7E

(U) ~~(S)~~ By electronic communication (EC) dated July 20, 2006, the [redacted] Field Office [redacted] reported an [redacted] About [redacted] [redacted] was notified by [redacted] that a [redacted] for a specific [redacted]

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(U) ~~(S)~~ [redacted]

b2
b7E

(U) ~~(S)~~ Upon notification by [redacted] immediately took steps to address the situation. The Case Agent determined that the [redacted] was from an [redacted]. The [redacted] was immediately extracted from the report. [redacted] indicated that this error occurred from [redacted]."

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(U) ~~(S)~~ The data that was inadvertently captured from the [redacted] was segregated and saved to a disk. At no time was this information uploaded into FBI systems. [redacted] maintains the [redacted]. All data captured on the [redacted] is neither logged, indexed, referenced, nor stored in any FBI files.

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(U) ~~(S)~~ Here, an error on the part of [redacted] resulted in the [redacted]. Based upon our analysis, in accordance with the reporting requirements of Section 2.4 of E.O. 12863, [redacted] must be reported to the IOB because the collection resulted in the [redacted] or Executive Order 12333.

b2
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b7D
b7E

~~Derived From : G-3
Declassify On: 1/2/2017~~

December 27, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-435." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO-1979

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 1/2/2021~~

Dep. Dir. _____
Chief of Staff _____
Off. of Gen. Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CJIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public & Cong. Affs. _____
Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-951

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:

Crim. Inv. _____	Inspection _____	Training _____
CJIS _____	Laboratory _____	Off. of EEO _____
Finance _____	Personal Sec. _____	Affairs _____
Director _____	Gen. Counsel _____	Off. of Pub. & _____
Deputy Director _____	Info. Res. _____	Cong. Affs. _____

[Handwritten signature]

~~SECRET~~ Hofmann-IOB-952

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2006-435 (U)

b2
b7E

The Federal Bureau of Investigation ("FBI") has determined that actions taken by FBI's [redacted] Division during a [redacted] of a United States Person should be reported to the IOB. ~~(S)~~-(U)

b2
b7E

(S) In [redacted] on [redacted] who is a "United States Person," as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA)¹, for numerous contacts with suspected [redacted] associates. This [redacted] was converted to a [redacted] on [redacted]. ~~(S)~~

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[redacted] submitted an annual Letterhead Memorandum (LHM) describing the details of [redacted]. Subsequent to that submission, [redacted] reorganized causing the case to be reassigned to a new case agent. Unfortunately, an annual LHM was not transmitted in [redacted]. This mistake was discovered [redacted] when the Supervisory Special Agent was conducting a case file review. An LHM dated [redacted] was immediately passed to FBI Headquarters for forwarding to the Office of Intelligence Policy and Review, Department of Justice.

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b7E

The failure to provide an annual report of the [redacted] is a violation of Section [redacted] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection. Thus, the matter is being reported to the IOB. [redacted] has and will continue to take steps to prevent a recurrence of this incident. ~~(S)~~-(U)

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b7E

The Office of the General Counsel has referred this matter to the FBI's Inspection Division for action deemed appropriate. (U)

~~Derived From : G-3
Declassify On: 1/02/2021~~

¹(U) A "United States person (USP) is defined in Section 101(i) of the Foreign Intelligence Surveillance Act (FISA) (codified at 50 U.S.C. section 1801 et. Seq.) As "a citizen of the United States [or] an alien lawfully admitted for permanent residence (as defined in section 101(a)(20) of the Immigration and Naturalization Act)..." See also Section [redacted] of The Attorney General's Guidelines for the FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations.

b2
b7E

~~SECRET~~

DECLASSIFIED BY 65179 DMH/PLJ/JN
ON 06-30-2010

December 11, 2006
BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 5020
New Executive Office Building
725 17th Street, N.W.
Washington, D.C. 20503

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM) entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division, IOB Matter 2006-426." (U)

b2
b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection, and/or laws, Executive Orders, or Presidential Directives that govern FBI foreign counterintelligence and international terrorism investigations. (U)

Enclosure

① - 278-HQ-C1229736-VIO-1982

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived from: G-3
Declassify on: X25-1~~

Hofmann-IOB-954

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personal _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

MAIL ROOM

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

Enclosure

- 1 - The Honorable Alberto Gonzales
Attorney General
U.S. Department of Justice
Room 5111
- 1 - Mr. James A. Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:

Crim. Inv.	Inspection	Training
CJS	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	Gen. Counsel	Off. of Public &
Deputy Director	Info. Res.	Cong. Affs.
	Personnel	

Hofmann-IOB-955

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 06-30-2010
CLASSIFIED BY 65179 DMH/PLJ/JW
REASON: 1.4 (C)
DECLASSIFY ON: 06-30-2035

~~SECRET~~

Mr. Stephen Friedman
INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2006-426 (U)

b2
b7E

The Federal Bureau of Investigation ("FBI") has determined that the FBI's [REDACTED] Division [REDACTED] failed to file annual notices and summaries of investigation concerning a [REDACTED] of a United States Person. ~~(S)~~(U)

b2
b7E

The FBI is required each year that a [REDACTED] continues to prepare and provide to the Department of Justice's (DOJ) Office of Intelligence Policy and Review (OIPR) and DOJ's Criminal Division a summary of the investigation, pursuant to Section [REDACTED] of the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection. (U)

b2
b7E

(S) In [REDACTED] initiated a [REDACTED] of United States Person [REDACTED] did not submit such notices and summaries in this case since [REDACTED] ~~(S)~~

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b7E

[REDACTED] has taken steps to prevent a recurrence of this incident. On [REDACTED] prepared and submitted a letter head memoranda (LHM) to the International Terrorism Operations Section I (ITOS1) to be filed with OIPR and the Criminal Division. ~~(S)~~(U)

b2
b7E

The Office of the General Counsel has referred this matter to the FBI's Inspection Division for action deemed appropriate. (U)

~~(S)~~(U)

~~Derived From : G-3
Declassify On: X1~~

~~SECRET~~

Hofmann-IOB-956

~~SECRET~~

DECLASSIFIED BY 65179 DMH/PLJ/JN
ON 06-30-2010

December 11, 2006
BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 5020
New Executive Office Building
725 17th Street, N.W.
Washington, D.C. 20503

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division, IOB Matter 2006-427." (U)

b2
b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection, and/or laws, Executive Orders, or Presidential Directives that govern FBI foreign counterintelligence and international terrorism investigations. (U)

Enclosure

① - 278-HQ-C1229736-VIO-1984

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived from: G-3
Declassify on: X25-1~~

~~SECRET~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.: _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

Hofmann-IOB-957

MAIL ROOM

FBI/DOJ

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

Enclosure

1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

1 - Mr. James A. Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED: Crim. Inv. _____ Inspection _____ Training _____
 CJS _____ Laboratory _____ Off. of EEO _____
 Finance _____ National Sec. _____ Affairs _____
Director _____ Gen. Counsel _____ JPR _____ Off. of Public & _____
Deputy Director _____ Info. Res. _____ Personnel _____ Cong. Affs. _____

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 06-30-2010
CLASSIFIED BY 65179 DMH/PLJ/JN
REASON: 1.4 (C)
DECLASSIFY ON: 06-30-2035

~~SECRET~~

Mr. Stephen Friedman

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2006-427 (U)

b2
b7E

The Federal Bureau of Investigation ("FBI") has determined that the FBI's [REDACTED] Division [REDACTED] failed to file annual letter head memoranda (LHM) concerning a [REDACTED] of a United States Person. ~~(S)~~-(U)

b2
b7E

The FBI is required each year that a [REDACTED] continues to prepare and provide to the Department of Justice's (DOJ) Office of Intelligence Policy and Review (OIPR) and DOJ's Criminal Division a summary of the investigation, pursuant to Section [REDACTED] of the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection. (U)

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b7E

(S) In [REDACTED] initiated a [REDACTED] of United States Person [REDACTED] did not submit such notices and summaries in this case since February 2004. ~~(S)~~

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[REDACTED] will take steps to prevent a recurrence of this incident. On [REDACTED] prepared and submitted an LHM to the [REDACTED] to be filed with OIPR and the Criminal Division. ~~(S)~~-(U)

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b7E

The Office of the General Counsel has referred this matter to the FBI's Inspection Division for action deemed appropriate. (U)

~~(S)~~

~~Derived From : G-3
Declassify On: X1~~

~~SECRET~~

Hofmann-IOB-959

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 06-30-2010 BY 65179 DMH/PLJ/JN

December 29, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

This letter forwards for your information a self-explanatory enclosure entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division, IOB Matter 2006-373." (U)

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b7E

The enclosure sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI National Security Investigations and Foreign Intelligence Collection and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. (U)

Enclosure

① - 278-HQ-C1229736-VIO - 2010

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir. _____
- Crim. Inv. _____
- C.I.S. _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

MAIL ROOM

Hofmann-IOB-960

FBI/DOJ

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

1 - The Honorable Alberto Gonzales
Attorney General
U.S. Department of Justice
Room 5111

1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:
Crim. Inv. Inspection Training
CJS Laboratory Off. of EEO
Finance National Sec. Affairs
Director Gen. Counsel Off. of Public &
Deputy Director Info. Res. Personnel Cong. Affs.

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2006-373 (U)

b2
b7E

(S) ~~(S)~~ The [redacted] Division [redacted] reported that on [redacted] was granted for [redacted]

(S) [redacted]

[redacted] but remained hosted at [redacted] It was confirmed that the new [redacted] that was provided was for a [redacted] As is standard procedure, [redacted] which provided the new [redacted]

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b7E

[redacted]

(U) ~~(S)~~ This incident was reported to the Office of Intelligence Policy and Review (OIPR) on [redacted] The inadvertently-intercepted information was moved to a disc which was sealed and provided to OIPR on [redacted] for sequestration with the [redacted] OIPR notified the [redacted] of this incident on [redacted] and filed a memorandum to the Court on [redacted] providing detail of the [redacted] In addition, this error is a reportable matter under Section 2.2 of Executive Order 12863.

b2
b7E

~~Derived from : G-3
Declassify on: December 29, 2031~~

~~SECRET~~

Hofmann-IOB-962

~~SECRET~~

January 5, 2007
BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

DECLASSIFIED BY 65179 DMH/PLJ/JN
ON 06-30-2010

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-476." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

- 1 - Ms. Thomas
- 1 - [Redacted]
- ① - 278-HQ-CI229736-VIO - 2012
- 1 - Inspection Division (IOB 2006-476)
- 1 - [Redacted]

b6
b7c

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived from: G-3
Declassify on: 20320104~~

~~SECRET~~

Hofmann-IOB-963

- Dep. Dir. _____
- Chief of _____
- Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public _____
- & Cong. Affs. _____
- Director's Office _____

MAIL ROOM

FBI/DOJ

~~SECRET~~

Mr. Stephen Friedman


Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James A. Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:  A grid of departmental names with lines for initials. The signature is written over the 'Gen. Counsa' and 'National Sec.' lines.

Crim. Inv.	Inspection	Training
CJIS	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	Gen. Counsa	Off. of Public &
Deputy Director	Info. Res.	Cong. Affs.
	Personnel	

~~SECRET~~

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2006-476 (U)

b2
b7E

(U) ~~(S)~~ By electronic communication (EC) dated August 24, 2006, the Federal Bureau of Investigation (FBI) [REDACTED] Field Office [REDACTED] reported that it failed to secure extensions for a [REDACTED] [REDACTED] of a subject. The gap between the expiration of the [REDACTED] and the FBI Headquarters-approved extension amounted to about 13 months, occurring from [REDACTED]

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(U) ~~(S)~~ [REDACTED] failed to take action to either seek an authorized extension of the [REDACTED] once it expired on [REDACTED] or to close or convert it. Instead, [REDACTED] let the case sit in a dormant state until [REDACTED], when it sent an EC requesting the extension to CTD. Therefore, from [REDACTED] [REDACTED] maintained an [REDACTED] during which time the subject was maintained on [REDACTED]

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[REDACTED] While this alone is reportable to the IOB, the 13-month period during which the investigation was not properly extended is more than de minimus in time. Accordingly, OGC has determined that [REDACTED] has failed to follow the guidelines set forth in Section [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection and an IOB violation has occurred. Thus, the matter is being reported to the IOB.

~~Derived from: G-3
Declassify on: 20320104~~

~~SECRET~~

Hofmann-IOB-965

December 29, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-419." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted.
(U)

Enclosure

① 278-HQ-C1229736-VIO-2014

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 1/02/2017~~

Dep. Dir. _____
Chief of _____
Staff _____
Off. of Gen. _____
Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CJIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public _____
& Cong. Affs. _____
Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-966

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
National Security Division
U.S. Department of Justice
Room 6150

APPROVED:  A handwritten signature in dark ink is written over the signature line and extends upwards into the routing table area.

Crim. Inv.	_____	Inspection	_____	Training	_____
CJS	_____	Laboratory	_____	Off. of EEO	_____
Finance	_____	National Sec.	_____	Affairs	_____
Director	_____	Gen. Counsel	_____	Off. of Public &	_____
Deputy Director	_____	Info. Res.	_____	Personnel	_____
				Cong. Affs.	_____

~~SECRET~~ Hofmann-IOB-967

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2006-419 (U)

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b7E

(S) ~~(S)~~ By Electronic Communication (EC) dated July 17, 2006, [redacted] Division [redacted] notified FBI Headquarters (FBIHQ) of an inadvertent acquisition of [redacted] associated with unknown individuals in the course of a national security investigation. The [redacted] was opened on [redacted] in order to investigate his connections with [redacted] was and remains a "United States person" as that term is used in Section [redacted] of the [redacted]

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(S) ~~(S)~~ [redacted] issued a National Security Letter (NSL) pursuant to the Electronic Communications Privacy Act, 18 U.S.C. § 2709² for [redacted] associated with the target. The [redacted] occurred after the initial records were received from [redacted]. The results [redacted] to by the target. However, when the NSL result letter was scanned with new software, an errant spreadsheet was produced.

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~~(S)~~ The spreadsheet indicated that the target had [redacted] The inadvertent

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~~Derived From : G-3~~
~~Declassify On: 12/29/2016~~
results had the same [redacted] but ended

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¹ (U) A "United States person" (USP) is defined in Section [redacted] of the [redacted] et seq.) as "a citizen of the United States [or] an alien lawfully admitted for permanent residence (as defined in section [redacted] of the Immigration and Naturalization Act)" See also Section [redacted] of The Attorney General's Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations.

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b7E

² The statute permits the FBI to request subscriber information and telephone toll billing records that are "relevant to an authorized investigation to protect against international terrorism or clandestine intelligence activities, provided that such an investigation of a United States person is not conducted solely on the basis of activities protected by the first amendment to the Constitution of the United States."

³ (U) In order to avoid any further dissemination of the [redacted]
[redacted]

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(S)

[redacted] A follow-up
NSL was then addressed to [redacted] requesting [redacted]
information for these [redacted]
provided the requested information which was initially uploaded
into FBI computer systems.

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(U) Immediately upon discovery, the errors were remedied
by [redacted] All references to the [redacted] have
been removed from FBI computer systems. To prevent future errors,
additional quality control steps and software training have been
added to [redacted] scanning process. The
above-referenced [redacted] were some of the first scanned
records.

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(U) ~~(S)~~ Section [redacted] of The
Attorney General's Guidelines for FBI National Security
Investigations and Foreign Intelligence Collection (NSIG) provides
that National Security Letters may be issued in conformity with

[redacted]
[redacted] provides that the FBI may seek [redacted]
[redacted]
[redacted] Clearly, the
information obtained by the FBI was [redacted]
national security investigation because there was an errant request
[redacted] Thus, the FBI received
[redacted]

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(U) Clearly, the rights of the target were not infringed
because he was not the subject of the improperly collected
information. It is unknown whether this information was gathered
about United States Persons or not, inasmuch as there has been no
review of the information. Nonetheless, based on the fact that
information which may be about United States persons was
improperly, although inadvertently, collected, and in accordance
with the reporting requirements of Section 2.4 of E.O. 12863, this
matter is being to the IOB.

⁴ (U) See, id.

December 29, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-434." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted.
(U)

Enclosure

① 278-HQ-C1229736-VIO-2018

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~
~~Derived From: G-3
Declassify On: 25X1~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Crim. Inv. _____
- C/IS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

~~SECRET~~ Hofmann-IOB-970

MAIL ROOM

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:

Crim. Inv.	_____	Inspection	_____	Training	_____
CJS	_____	Laboratory	_____	Off. of EEO	_____
Finance	_____	Off. of Legal Sec.	_____	Affairs	_____
Director	_____	Gen. Counsel	<i>JFT</i>	Off. of Public &	_____
Deputy Director	_____	Info. Res.	_____	Cong. Affs.	_____
		Personnel	_____		

~~SECRET~~ Hofmann-IOB-971

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2006-434 (U)

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b7E

(U) ~~(S)~~ By electronic communication dated [REDACTED]
[REDACTED] the Federal Bureau of Investigation (FBI) [REDACTED] DIVISION
reported that it failed to secure an extension for a [REDACTED]
[REDACTED] of a subject that expired on [REDACTED]. After the
[REDACTED] Division conducted
physical surveillance on the subject. [REDACTED] subsequently closed
the [REDACTED] but re-opened the case on
[REDACTED]

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(U) ~~(S)~~ Conducting physical surveillance of a subject
without an authorized [REDACTED] was a violation
of Section [REDACTED] of The Attorney General's Guidelines for FBI
National Security Investigations and Foreign Intelligence
Collection. Thus, the matter is being reported to the IOB.

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b7E

~~Derived from: G-3
Declassify on: 25X-1~~

~~SECRET~~

~~SECRET~~ Hofmann-IOB-972

DECLASSIFIED BY 65179 DMH/PLJ/JN
ON 06-30-2010

December 26, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-371." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

D - 278-HQ-C1229736-VIO - 2021

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20311226~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.: _____
- Crim. Inv. _____
- C.I.S. _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-973

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
National Security Division
U.S. Department of Justice
Room 6150

APPROVED:

Crim. Inv.	_____	Inspection	_____	Training	_____
CNS	_____	Laboratory	_____	Off. of EEO	_____
Finance	_____	National Sec.	_____	Affairs	_____
Director	_____	Gen. Counsel	_____	Off. of Public &	_____
Deputy Director	_____	Info. Res.	_____	Cong. Affs.	_____
		Personnel	_____		

(Handwritten initials: JFT)

~~SECRET~~ Hofmann-IOB-974

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2006-371 (U)

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b7E

(U) ~~(S)~~ By electronic communication dated June 08, 2006, the Federal Bureau of Investigation (FBI) [REDACTED] Field Office reported that, a subpoena was served on [REDACTED] without the FBI having a corresponding [REDACTED] [REDACTED] subsequently complied with the subpoena. The Chief Division Counsel's (CDC) office advised the [REDACTED] field office to sequester the information received from [REDACTED] in the office of the CDC. According to the [REDACTED] field office the information has not been used or disseminated in any fashion.

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(U) ~~(S)~~ Due to the incorrect issuance of a subpoena without an open investigation, the FBI received records from [REDACTED]. Even though the information was properly sequestered the subpoena was improperly issued on [REDACTED] without an open investigation. The issuance of the subpoena was a violation of Section [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection. Thus, the matter is being reported to the IOB.

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b7E

~~Derived from: G-3
Declassify on: 20311226~~

~~SECRET~~

~~SECRET~~ Hofmann-IOB-975

DECLASSIFIED BY 65179 DMH/PLJ/JN
ON 06-30-2010

January 9, 2007

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
.725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2006-342." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① 278-HQ-C1229736-VIO-2023

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 25X1~~

- Dep. Dir. _____
- Chief of Staff _____
- Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

~~SECRET~~ Hofmann-IOB-976

MAIL ROOM

FBI/DOJ

~~SECRET~~

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

- 1 - Mr. Lawrence Katz
Chief Counsel and Inspector in Charge
U.S. Postal Inspection Service
475 L'Enfant Plaza, S.W.
Room 3117
Washington, D.C. 20260-2181

APPROVED:

Crim. Inv.	Inspection	Training
CJS	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	OPR	Off. of Public &
Deputy Director	Personnel	Cong. Affs.
Gen. Coun.	Info. Res.	

(Handwritten signature/initials over the table)

~~SECRET~~ Hofmann-IOB-977

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2006-342 (U)

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b7E

(U) ~~(S)~~ As reported by the [REDACTED] Division [REDACTED] on [REDACTED] was initiated on a certain [REDACTED] FBI Headquarters applied to the Office of the Chief Postal Inspector, United States Postal Service, for a national security mail cover on the target.

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b7E

(U) ~~(S)~~ As a result of a mistake on the part of the Office of the Chief Postal Inspector, the FBI received information pertaining to mail that was addressed to someone other than the named target. All such information collected as a result of the mistake currently is sequestered. [REDACTED] has been advised to return the [REDACTED] to the United States Postal Inspection Service with appropriate documentation to the file.

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b7E

(U) ~~(S)~~ In accordance with the broad reporting requirements of Section 2.4 of E.O. 12863, the USPS's mistake must be reported to the IOB because the FBI received information regarding mail outside the scope of the mail cover request.

~~Derived from: G-3
Declassify on: 25X1~~

~~SECRET~~

Hofmann-IOB-978

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-01-2010 BY 65179 UCLP/PLJ/JN

December 14, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest.
Washington, D.C.

Dear Mr. Friedman:

This letter forwards for your information a self-explanatory enclosure entitled "Intelligence Oversight Board (IOB) Matter, [REDACTED] IOB Matter 2006-490." (U)

b2
b7E

The enclosure sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI National Security Investigations and Foreign Intelligence Collection and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. (U)

Enclosure

① - 278-HQ-C1229736-VIO - 2025

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

Dep. Dir. _____
Chief of _____
Staff _____
Off. of Gen. _____
Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public _____
& Cong. Affs. _____
Director's Office _____

Hofmann-IOB-979

MAIL ROOM

FBI/DOJ

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

1 - The Honorable Alberto Gonzales
Attorney General
U.S. Department of Justice
Room 5111

1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:	Crim. Inv.	Inspection	Training
	CJIS	Laboratory	Off. of EEO
	Finance	National Sec.	Affairs
	Director	IGR	Off. of Public &
	Deputy Director	Info. Res.	Personnel

(Handwritten signature/initials over Gen. Counsel and Info. Res.)

~~SECRET~~

b2
b7E

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2006-490 (U)

~~(S)~~ [redacted] Division [redacted] reported that due to an
error on the part of the [redacted]

[redacted] reported that on [redacted]
Headquarters, in the investigation of United States person [redacted]
(S) [redacted] made a request to the [redacted]

b1
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b7C
b7E

[redacted] Review of the requesting electronic communication from
the field, and the request letter sent from FBI Headquarters to
the [redacted]

[redacted] However, the [redacted]
provided results pertaining to other presumed-US persons in
addition to the subject of the investigation and his aliases.

(U) ~~(S)~~ Per Section [redacted] of the NSIG, [redacted] are an
authorized technique only when a [redacted]
[redacted] has been initiated on an individual or
organization. The [redacted] may order a [redacted]
upon written request from the head of a law enforcement agency
specifying reasonable grounds that demonstrate the [redacted] is
necessary "to protect the national security."

b2
b7E

(U) ~~(S)~~ Because of the error on the part of the [redacted] the
FBI unintentionally acquired information for persons who were not
the subject of the [redacted] and who presumably are not subjects
[redacted] Additionally, there were
no reasonable grounds demonstrating that the collection of the
individuals' [redacted] was necessary to protect our national security
interests. Accordingly, the [redacted] s error must be reported to
the IOB.

b2
b7E

~~Derived from : G-3
Declassify on: December 14, 2031~~

~~SECRET~~

Hofmann-IOB-981

DECLASSIFIED BY 65179 UCLP/PLJ/JN
ON 07-01-2010

December 21, 2006
BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
New Executive Office Building
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Counterterrorism Division, IOB Matter 2006-301." (U)

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection, and/or laws, Executive Orders, or Presidential Directives that govern FBI foreign counterintelligence and international terrorism investigations.
(U)

Enclosure

① - 278-HQ-C1229736-VIO-2067

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived from: G-3
Declassify on: 10/05/2016~~

Dep. Dir. _____
Chief of _____
Staff _____
Off. of Gen. _____
Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CJIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public _____
& Cong. Affs. _____
Director's Office _____

~~SECRET~~

Hofmann-IOB-982

MAIL ROOM

FBI/DOJ

~~SECRET~~

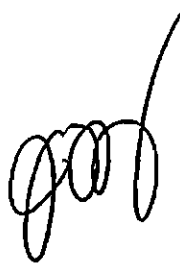
Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111
- 1 - Mr. James A. Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150



~~SECRET~~

Hofmann-IOB-983

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2006-301 (U)

b2
b7E

(U) ~~(S)~~ The [redacted] Division reported this potential IOB matter involving unauthorized surveillance.

b2
b7E

(U) ~~(S)~~ In [redacted] Division [redacted]

[redacted]

b2
b7E

(U) On [redacted] the prosecuting Assistant United States Attorney (AUSA)

[redacted]

b2
b7E

(U) On [redacted] in a meeting attended by among others, Acting United States Attorney, [redacted] the U. S. Attorney's Office reiterated its earlier [redacted]

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b7E

[redacted]

(U) On [redacted] the agents working on the case submitted an application for [redacted] to the Federal Bureau of Investigation, [redacted] Division Chief Division Counsel(CDC). The CDC questioned the fact that the application did not include [redacted]. The CDC contacted the USA's Office to determine the legal basis for [redacted]

b2
b7E

(U) Later that day, the case agent received a telephone call from the US Attorney's advising they had provided "bad" advice and therefore [redacted]

b2
b7E

(U) On [redacted] per the AUSA's instructions, [redacted] Division. [redacted] or will be used in any affidavits or indictments regarding this matter, and will be turned over to the courts upon their request. Notification was made to the court in a subsequent Title III application.

b2
b7E

(U) [redacted] constituted an improper search and an [redacted]. It should be noted however, that [redacted] engaged in such activity only after the [redacted]

b2
b7E

(U) OGC has referred this matter to the FBI's Inspection Division for action deemed appropriate. Hofmann-IOB-984

~~SECRET~~

January 4, 2007

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2007-520." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① 278-HQ-C1229736-VIO-2089

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 25X1~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-985

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED: Crim. Inv. _____ Inspection _____ Training _____
 CJIS _____ Laboratory _____ Off. of EEO _____
 Finance _____ National Sec. _____ Affairs _____
Director _____ Gen. Counsel _____ Jff. of Public & _____
Deputy Director _____ Info. Res. _____ Personnel _____ Cong. Affs. _____

~~SECRET~~ Hofmann-IOB-986

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-520 (U)

b2
b7E

(U) ~~(S)~~
[redacted]

b2
b7E

(U) ~~(S)~~ By electronic communication (EC) dated October 16, 2006, the Federal Bureau of Investigation [redacted] Field Office [redacted] reported that, in a renewal order dated

[redacted]

b2
b7E

[redacted] immediately stopped monitoring this line.

(U) ~~(S)~~
[redacted]

b2
b7E

(U) ~~(S)~~
[redacted]

b2
b7E

[redacted] Upon learning of this issue, [redacted] immediately stopped monitoring this unauthorized line.

(U) ~~(S)~~ [redacted] unintentionally acquired data from the [redacted] of [redacted] from the [redacted] associated with the [redacted] [redacted] ceased. By EC dated [redacted]

b2
b7E

~~Derived from: G-3
Declassify on: 25X1~~

[redacted] forwarded the sequestered [redacted] of the [redacted] surveillance to FBIHQ for appropriate disposition

b2
b7E

Hofmann-IOB-987

~~SECRET~~

~~SECRET~~

[REDACTED]

(U) ~~(S)~~ Based upon our analysis, in accordance with the reporting requirements of Section 2.4 of E.O. 12863, [REDACTED] mistake must be reported to the IOB because the surveillance resulted in the unintentional acquisition of communications from [REDACTED] not authorized to be monitored [REDACTED]
[REDACTED]

b2
b4
b7D
b7E

~~SECRET~~

December 18, 2006

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2007-592." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO-2092

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 25X1~~

Dep. Dir. _____
Chief of Staff _____
Off. of Gen. Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CJIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public & Cong. Affs. _____
Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-989

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
	Deputy Director _____	Info. Res. _____	Personnel _____

(Handwritten signature/initials over the Gen. Counsel and Info. Res. lines)

~~SECRET~~ Hofmann-IOB-990

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-592 (U)

b2
b7E

~~(S)~~ By electronic communication (EC) dated October 24,
2006, the Federal Bureau of Investigation [redacted] Office

[redacted]

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b2
b7E

(S)

~~(S)~~ After [redacted] it was
determined that [redacted] was not being used by anyone.
Accordingly, [redacted] ceased monitoring [redacted] on

[redacted]

b1
b2
b4
b7D
b7E

(S)

[redacted] the
decision was made to not [redacted] Thus, [redacted]
[redacted] continued to provide the FBI with [redacted] reports.

~~(U)~~ Upon review of [redacted] reports, [redacted]
became aware that, after a short period of no activity for [redacted]
[redacted] on or about [redacted]
[redacted] began. This activity continued, and on [redacted]
[redacted] reinitiated monitoring [redacted]
Significantly, [redacted] requested [redacted] to notify the
FBI if specific [redacted]
to another individual. However, [redacted] never notified
the FBI that [redacted]

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~~(U)~~ On [redacted] learned that a [redacted]
[redacted] and that [redacted]
[redacted] immediately directed that [redacted]
[redacted] be disabled. Accordingly, [redacted]
was discontinued and pulled completely. [redacted] contacted [redacted]
[redacted] and was advised that [redacted] had been
reassigned to [redacted] on October 13, 2006.

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b7E

~~(U)~~ [redacted]
[redacted] that occurred on or after [redacted] All
[redacted] collected since [redacted] have been or will be

~~Derived from: G-3
Declassify on: 25X1~~

b2
b7E

~~SECRET~~

deleted from FBI systems. Additionally, [redacted]
Hofmann IOB 991

~~SECRET~~

[REDACTED]

b2
b7E

[REDACTED]

(U) ~~(S)~~ Here, an oversight on the part of [REDACTED] resulted in the unintentional acquisition of electronic communications. [REDACTED] failed to notify the FBI that [REDACTED] had been disconnected or reassigned, and thus, the FBI continued to monitor [REDACTED]. Upon learning that [REDACTED] was providing information from [REDACTED] that had been reassigned from the target to another [REDACTED], [REDACTED] immediately disabled surveillance of the reassigned [REDACTED] and sequestered the call activity.

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b7D
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(U) ~~(S)~~ Based upon our analysis, in accordance with the reporting requirements of Section 2.4 of E.O. 12863, this mistake must be reported to the IOB because the surveillance resulted in the unintentional acquisition of communications from [REDACTED] not authorized to be monitored under the Foreign Intelligence Surveillance Act or Executive Order 12333.

b2
b7E

January 3, 2007

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2007-522." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted.
(U)

Enclosure

① - 278-HQ-C1229736-VIO - 2094

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20320102~~

Dep. Dir. _____
Chief of Staff _____
Off. of Gen. Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CJIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public & Cong. Affs. _____
Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-993

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150



~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
COUNTERTERRORISM DIVISION
IOB MATTER 2007-522 (U)

(U) ~~(S)~~ By electronic communication dated October 10, 2006, the Federal Bureau of Investigation (FBI) Counterterrorism Division reported that [redacted] was inadvertently obtained for an e-mail account approximately 48 hours before that authorization was properly sought and obtained from the Attorney General. The improper [redacted] was discovered and stopped less than 24 hours after being initiated. Additionally, the inadvertently intercepted information was sequestered and will be filed [redacted].

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b7E

(U) ~~(S)~~ The [redacted] was a violation of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection. Thus, the matter is being reported to the IOB.

b2
b7E

~~Derived from: G-3
Declassify on: 20311220~~

~~SECRET~~

~~SECRET~~ Hofmann-IOB-995

January 3, 2007

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2007-523." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO - 2096

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20320102~~

Dep. Dir. _____
Chief of Staff _____
Off. of Gen. Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CJIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public & Cong. Affs. _____
Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-996

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150



~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-523 (U)

b2
b7D

(U) ~~(S)~~ By electronic communication dated September 13, 2006, the Federal Bureau of Investigation (FBI) [redacted] Field Office reported that from [redacted] [redacted] provided to the material collected from a different account than that specified [redacted]. Specifically, [redacted] provided on the below-listed dates, the following information for an account different than that specified in the order:

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b7E

[redacted] incoming e-mails, web activity, and a log-in to [redacted] chat (no content);

[redacted] incoming e-mails, web activity, and a log-in to [redacted] chat (no content)

[redacted] incoming e-mails, [redacted] outgoing e-mail, web activity and a log-in to [redacted] chat (no content)

b2
b4
b7D
b7E

[redacted] possibly web activity and a log-in to [redacted] chat (no content). This [redacted] was determined to have occurred as a result [redacted] temporarily re-assigning the [redacted]. The [redacted] inadvertently intercepted material was sequestered [redacted].

(U) ~~(S)~~ The [redacted] was a violation of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection. Thus, the matter is being reported to the IOB.

~~Derived from: G-3
Declassify on: 20320102~~

~~SECRET~~

~~SECRET~~ Hofmann-IOB-998

January 10, 2007

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2007-0604." (U).

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO - 2098

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20320110~~

- Dep. Dir. _____
- Chief of _____
- Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.: _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public _____
- & Cong. Affs. _____
- Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-999

~~SECRET~~

Mr. Stephen Friedman

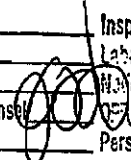
Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:  A handwritten signature in black ink is written over the signature line and extends into the boxes for 'Gen. Counsel' and 'Personnel'.

Crim. Inv.	Inspection	Training
CJS	Laboratory	Off. of EEO
Finance	Man. Sec.	Affairs
Director	Gen. Counsel	Off. of Public &
Deputy Director	Info. Res.	Personnel
		Cong. Affs.

~~SECRET~~ Hofmann-IOB-1000

~~SECRET~~

DECLASSIFIED BY 65179 UCLP/PLJ/JN
ON 07-01-2010

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-0604 (U)

b2
b7E

(U) ~~(S)~~ [REDACTED]

b2
b7E

(U) ~~(S)~~ The [REDACTED] was a violation of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection. Thus, the matter is being reported to the IOB.

b2
b7E

~~Derived from: G-3
Declassify on: 20320110~~

~~SECRET~~

~~SECRET~~ Hofmann-IOB-1001

DECLASSIFIED BY 65179 UCLP/PLJ/JM
ON 07-01-2010

January 17, 2007

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2007-0613." (U).

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

① - 278-HQ-C1229736-VIO - 2100

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 20320117~~

Dep. Dir. _____
Chief of Staff _____
Off. of Gen. Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CJIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public & Cong. Affs. _____
Director's Office _____

MAIL ROOM

~~SECRET~~ Hofmann-IOB-1002

FBI/DOJ

~~SECRET~~

Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. Matt Olsen
Deputy Assistant Attorney General
National Security Division
U. S. Department of Justice
Room 2200 C

APPROVED:

Crim. Inv.	_____	Inspection	_____	Training	_____
CJIS	_____	Laboratory	_____	Off. of EEO	_____
Finance	_____	National Sec.	_____	Affairs	_____
Director	_____	Gen. Counsel	_____	Off. of Public &	_____
Deputy Director	_____	Info. Res.	_____	Cong. Affs.	_____
		Personnel	_____		

(Handwritten signature/initials over Gen. Counsel and Info. Res.)

~~SECRET~~ Hofmann-IOB-1003

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-0613 (U)

b2
b7E

(U) ~~(S)~~ [REDACTED]

b2
b7E

(U) ~~(S)~~ The investigative activity which occurred during
period of time that the [REDACTED] was not
authorized was a violation of The Attorney General's Guidelines
for FBI National Security Investigations and Foreign Intelligence
Collection. Thus, the matter is being reported to the IOB.

b2
b7E

~~Derived from: G-3
Declassify on: 20320117~~

~~SECRET~~

~~SECRET~~ Hofmann-IOB-1004

145/148/149

~~SECRET~~

BY COURIER

December 29, 2006

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

DECLASSIFIED BY 65179 UCLP/PLJ/JN
ON 07-01-2010

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matter 2007-551." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section, Inspection Division, for a determination of whether any administrative action is warranted. (U)

Enclosure

- 1 - Ms. Thomas
- 1 - [Redacted]
- 1 - 278-HQ-C1229736-VIO - 2109
- 1 - Inspection Division (IOB 2007-551)
- 1 - [Redacted]

b6
b7c

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived from: G-3
Declassify on: 25X-1~~

~~SECRET~~

~~SECRET~~

Hofmann-IOB-1005

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.: _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
- Lab. _____
- National Sec. _____
- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

MAIL ROOM

FBI/DOJ

Mr. Stephen Friedman


Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. James A. Baker
Counsel, Office of Intelligence Policy and Review
U.S. Department of Justice
Room 6150

APPROVED:  _____

Crim. Inv. _____	Inspection _____	Training _____
CJIS _____	Laboratory _____	Off. of EEO _____
Finance _____	National Sec. _____	Affairs _____
Director _____	Gen. Counsel _____	Off. of Public & _____
Deputy Director _____	Info. Res. _____	Personnel _____
		Cong. Affs. _____

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-551 (U)

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(U) ~~(S)~~ By electronic communication (EC) dated September 19, 2006, the Federal Bureau of Investigation (FBI) [REDACTED] Field Office [REDACTED] reported that it failed to notify FBI Headquarters of the initiation of a [REDACTED] of a subject that was opened on [REDACTED]. In addition, authorization for the [REDACTED] expired on [REDACTED] and the [REDACTED] Task Force Officer (TFO) did not obtain six-month renewal authorization from its Special Agent in Charge (SAC). The TFO did not conduct any investigative activity of the subject but sought and received SAC authorization to renew the [REDACTED] on [REDACTED]. On [REDACTED], authorization for the [REDACTED] again expired. Following the lapse of authorization on [REDACTED] the TFO [REDACTED] for the [REDACTED] but no records were obtained for the sub-file, and no investigative activity of the subject was undertaken.

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(U) ~~(S)~~ According to [REDACTED] the TFO's failure to file the initiation of investigation report was due to oversight by the TFO and an inadequate tickler system. The lack of investigation was the result of the assigned TFO actively working other investigative files. The TFO is no longer assigned to the Task Force and the file was reassigned to another agent on [REDACTED]. [REDACTED] subsequently requested a six-month extension to the [REDACTED] from FBI Headquarters on [REDACTED].

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(U) ~~(S)~~ OGC does not consider the creation of the investigative sub-file to be investigative activity. Therefore, it is not a reportable violation. OGC also notes that there was no investigative activity reported by [REDACTED] between [REDACTED] and [REDACTED] when the [REDACTED] had also expired, due to the TFO's failure to seek renewal authority from the SAC. This also is not a reportable violation.

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(U) ~~(S)~~ Nevertheless [REDACTED] failed to notify FBI Headquarters within ten days of the opening of the [REDACTED] of the subject. The [REDACTED] was opened on [REDACTED] and notification was not received at FBI Headquarters until [REDACTED]. Accordingly, OGC has determined that [REDACTED] has failed to follow the guidelines set forth in

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The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection and an IOB violation has occurred. Thus, the matter is being reported to the IOB.

~~Derived from: G-3
Declassify on: 25X-1~~

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Hofmann-IOB-1008

January 8, 2007

BY COURIER

Mr. Stephen Friedman
Chairman
Intelligence Oversight Board
Room 50209
New Executive Office Building
725 17th Street, Northwest
Washington, D.C.

Dear Mr. Friedman:

Enclosed for your information is a self-explanatory memorandum entitled "Intelligence Oversight Board Matters 2007-580 and 2007-598." (U)

The memorandum sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Internal Investigations Section; Inspection Division, for a determination of whether any administrative action is warranted.
(U)

Enclosure

1-278-HQ-C1229136
VFO-211
~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Derived From: G-3
Declassify On: 25X1~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Insp. _____
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- Personnel _____
- Training _____
- Off. of EEOA _____
- Off. of Public & Cong. Affs. _____
- Director's Office _____

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MAIL ROOM

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Mr. Stephen Friedman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Julie F. Thomas
Deputy General Counsel

- 1 - The Honorable Alberto R. Gonzales
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. Matt Olsen
Deputy Assistant Attorney General
National Security Division
U.S. Department of Justice
Room 2200 C

- 1 - Mr. Lawrence Katz
Chief Counsel and Inspector in Charge
U.S. Postal Inspection Service
475 L'Enfant Plaza, S.W.
Room 3117
Washington, D.C. 20260-2181

APPROVED: Crim. Inv. _____ Inspection _____ Training _____
CJIS _____ Laboratory _____ Off. of EEO _____
Finance _____ National Sec. _____ Affairs _____
Director _____ Gen. Counsel _____ Off. of Public & _____
Deputy Director _____ Info. Res. _____ Personnel _____ Cong. Affs. _____

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTERS 2007-580 AND 2007-598 (U)

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(U) ~~(S)~~ By electronic communications (ECs) dated [redacted] and November 22, 2006, the Federal Bureau of Investigation [redacted] Field Office [redacted] reported the following facts. During the course of a [redacted] requested mail cover authority from the United States Postal Service (USPS) on a target at a certain address. On November 1, 2006, [redacted] received the first set of results from the USPS.

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[redacted]

(U) ~~(S)~~ Additionally, [redacted]

[redacted] After receiving results from the USPS that exceeded the scope of the mail cover request, [redacted] contacted the USPS Postal Inspector responsible for the mail cover, and requested that the error be corrected for future productions.

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(U) ~~(S)~~ On [redacted] received a second set of mail cover results. This production included a cover letter from the Postal Inspector advising that future productions will not contain the improper information.

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[redacted]

(U) ~~(S)~~ The [redacted] has been sequestered and is not included in the case file. [redacted] has been advised to return the [redacted] to the United States Postal Inspection Service with appropriate documentation to the file.

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(U) ~~(S)~~ Here, an error on the part of the USPS resulted in the unintentional acquisition of information relating to mail. The FBI unintentionally acquired data relating to the target's wife, a company, and mail addressed to the target at a second address.

[redacted]

Further, mail cover authority was not requested for the target's second address. Based upon our analysis, in accordance with the reporting requirements of Section 2.4 of E.O. 12863, the USPS's mistake must be reported to the IOB because the FBI received information regarding mail outside the scope of the mail cover request.

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~~Derived from: G-3
Declassify on: 25X1~~

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