DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/low

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035



October 9, 2001

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Counterterrorism Division,

IOB Matter 2001-56."

MAIL ROOM (

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

Director's Office

• • •	1 - Mr. Parkinson	
	1 - Mr.	UNCLASSIFIED WHEN
	1 - Mr.	DETACHED
·Dep. Dir	1 - OPR (IOB 2001-56	5) CLASSIFIED ENCLOSUR
Chief of Staff	r	229736-717 Serial 15) (IOB 2001-56)
Off of Gen.	? - INSD (278-HQ-C12	753130-111 Sellar 13) (100 5001-30)
Counsel	-1 - Was.	
Asst. Dir.:	—	
Admin, Ser.		Classified by: 39645 NBA/OGC
Crim, lav.		
CJIS		Reason: $\frac{1.5(c)}{}$
Finance	<u> </u>	Declassify on: X1
info. Res	<u> </u>	Declassify on: Kr
Lab	<u> </u>	
National Sec		SERRET
OPR	 · ·	Hofman IOD 22C2
Off. of Public		Hofmann-IOB-2263
& Cong. Afts.	•	
Training	<u> </u>	
OF AFFOA		· · ·

FBI/DOI

b7C

b7E

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

	Counsel (OGC) by EC from the INSD, dated 09/25/01 and captioned
· ·	Intelligence Oversight Board (IOB) Matter
••	2001-56." In this regard, due to apparent administrative error,
	the failed to download and forward to
S1 <	OIPR s initial 90-day letterhead memorandum regarding
- C	a U.S. person. As a result of the error, the
-	FBI's opening of a on a U.S. person was
• .	not reported to OIPR for approximately This was a
•	violation of of the Attorney General Guidelines.
•	

annnnurn.	Črim: Inv.	Inspection ARM	Francisco
APPROVED:	CJIS	Laboratory /	Off. of EEO
	Finance Q.O.	National Sec	Allairs
Director	Gen Course Coll	OPA	Off of February
Deputy Binector	_W. Res	Furscanel	Cong. Affa.

Hofmann-IOB-2264

b1 b2 b6

b6 b7C b7E

9/28/0

me

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035



					,	
I	NTELLIGENCE OV	ERSIGHT BO	ARD (IOB)	MATTER		b2
					·	b7E
					•	
	IOB M	ATTER 2001	-56 76} .		•	
	•	•		• • •		
(S) Ind	uir <u>y has deter</u>	mined that	in condu	cting a		
	of		a U.S. per	cson, the		•
	estigation (FE				l 90-day le <u>Attornev</u>	
	uirements pres lines for FBI					
Foreign Count	erintelligence	<u>Investiga</u>	ations (FO	CIG). In	this	b1 b2
regard, the	Division	<u>. </u>		its inves		Ъб
of the subject	r on <u> </u> rhead memorandu	ım (LHM) to		arded the	required	b7C b7 E
		within the	he period	prescribe		,
administrativ					quired 90-	
	ad memorandum 1 DIPR), Departme				POLICY	٦
The error pre	cluded meaning	gful overs	ight and :	review of	s	Ļ
	stidation by 0			ary to the	require-	
ments of		he <u>FCIG</u> .	xer	,	•	•
		· -				
				*		
	•			notelle	A	۹:
		APPROVED:	Crim Inv.	_ Inspection // L// 1/10 _ Laboratory	Training: Off. of EEO	· •
		•	Finance	National Sec	Altairs	. 1
		Director	_ Gen. Course A.M _ Info. Rec	_ OPR _ Fersonnel·	Off. of Public & Copg. AKs	
		7			coilg. Airs	
						D 0
		fied by:		A/OĞC	·	
	Reason	sify on:	1.5(c)			
	CIAS	STLY OIL		•		•
		SECRET	•	•		
		• •	Hofmann-IO	B-2265		

SECRET

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

October 5, 2001

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

	Enclosed:	for your	informati	on is a	self-exp	planatory	
letterhea	d memorand	um (LHM)	<u>enti</u> tled	"Intel	ligence (Oversight	
Board (IO	B) Matter,		Div	ision,	IOB Matte	er 2001-55	. "
(U)	-		- ·				

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

1 - Mr. Parkinson	•
1 - Mr. UNGLASSIF	CED_WHEN
1 - Mr. DETACHED	ROM
1 - OPR (IOB 2001-55) CLASSIFIE	ENCLOSURE
1 - TMSD / 1 - 278 - 40 - C1229736 - 47 Serial 231	
Chief of Staff	• •
Off. of Gen.	
Asst. Dir.	:
Admin. Ser Classified by: 39645 NS?	A/OGC
Reason: 1.5(c)	• •
Finance Declassify on: XI	
Lab	
OPR SECT	
Off. of Public Hofmann-IOB-226	56
Training	
Off, of EEOA	

FRANCO

b7E

MAIL ROOM D '



Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

(S)	NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 9/20/01 and titled Division[;] Intel-] '	
(S)	ligence Oversight Board (IOB) Matter 2001-55. In this regard, has been determined that the		- •
		b7C b7D b7E	-
	Spannagen. Crim: InvInspection PAND Training	,	Ani Va
		_	1/22/
	SECRET Hofmann-IOB-2267		

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/1cw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

DIVISION

	The Division of the Federal Bureau of Investigation (FBI) has reported that, owing to an operational error committed by the	,
(S)		

APPROVED: Crim. Inv. Inspection Training Off. of EEO

Finance Cap. National Sec. Affairs

Director Gen. Counsel OFF. Off. of Public & Cong. Affairs

Daputy Director Info. Nes Personnal Cong. Affairs

4122/01 My

b7E

b1 b2 b4 b6 b7C b7D

Classified by: 39645, NSA/OGC Reason: 1.5(c) Declassify on: X1

SECRET

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035



October 9, 2001

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Division and the

IOB Matter 2001-57. (\overline{U})

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

Director's Office

	1 .	_	Mr. Parkinson	•	•
	1	- .	Mr.		UNCLASSIFIED WHEN DETACHED FROM
	_ `		Mr.		
	1 .	: .	OPR. (IOB 2001-57).	•	CLASSIFIED ENCLOSUR
Yen, Dir.: Twef of Stuff	7		INSD (278-HQ-C1229736	-34 Serial 63)	(IOB 2001-57)
Off. of Gen.	1		 		•
Counsel	√ ĭ	7			•
\sst. Dir.: Admin, Ser.	'	-		assified by:	39645, NSA/OGC
Crim, Lav.	-				
CHS	-		Re	ason:	1.5(c)
Finance	- .		. De	classify on:	%1 .
Info. Res.		•		eracerry our	<u></u>
Ļnb.	_			\ /	•
National Sec	_		•	SECKET	
.OPR·	_		•	Hof	mann-IOB-2269
OIT, of Public				· (1101	marin 100 2203
& Cong. Affs	_		•		
Training	_				-
Off. of EEOA:					

b7E

ь6 .b7С

FRUDOI



Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

	Counsel (OGC) by EC from the Inspection Division, dated 09/25/01 and captioned	•
	Division[;] Intelligence	b1 ·
	Oversight Board (IOB) Matter 2001-57." The EC indicates that,	b2
	owing to a series of personnel transfers and the reassignment of	b 6
	of to several case	b7c
	agents, s second annual LHM was several months overdue	. b7E_
	when it was submitted in s initial error	
	was compounded by that of the L	
	was compounded by that of the which failed to realize that the LHM was overdue until	
. •	which failed to realize that the LHM was overdue until	
. •	June 2001, when a paper copy of LHM was overdue until	
	June 2001, when a paper copy of LHM was received by the Headquarters and forwarded to the Office of Intelligence	
	June 2001, when a paper copy of LHM was overdue until	9/2
	June 2001, when a paper copy of LHM was received by the Headquarters and forwarded to the Office of Intelligence	9/2
	which failed to realize that the LHM was overdue until June 2001, when a paper copy of LHM was received by the Headquarters and forwarded to the Office of Intelligence Policy and Review (OIPR), Department of Justice.	9/2
	which failed to realize that the LHM was overdue until June 2001, when a paper copy of LHM was received by the Headquarters and forwarded to the Office of Intelligence Policy and Review (OIPR), Department of Justice.	9/2: -
	which failed to realize that the LHM was overdue until June 2001, when a paper copy of LHM was received by the Headquarters and forwarded to the Office of Intelligence Policy and Review (OIPR), Department of Justice. APPROVED: Crim. Inv	9/2
	which failed to realize that the LHM was overdue until June 2001, when a paper copy of LHM was received by the Headquarters and forwarded to the Office of Intelligence Policy and Réview (OIPR), Department of Justice. APPROVED: Crim. Inv Inspection	9/2:
	which failed to realize that the LHM was overdue until June 2001, when a paper copy of LHM was received by the Headquarters and forwarded to the Office of Intelligence Policy and Réview (OIPR), Department of Justice. APPROVED: Crim. Inv Inspection	9/2: - -

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c) DECLASSIFY ON: 07-02-2035



	THEFT T CENT	DE CHEROTOUR	DOWND (TOD)	. Mammith		
	TMLETTTGEWC	CE OVERSIGHT	AISION (TOR)	MATTER		
• • •		AND TH		•		b2
•		AND IN	<u> </u>			b7E
. · · · · · · · · · · · · · · · · · · ·	T	OB MATTER 200	01-57 (0):			
	_			••		
INI	•	•				
(S)	Inquiry has	determined th	at in condu	cting a	1	
	of	•	a Unit	ed States Pe	rson, .	•
the Fe	ederal Bureau of	Investigation				•
repor	ting requirements	prescribed i	n	of the A	<u>ttornev</u>	
	al Guidelines for				<u>and</u>	
	<u>on Counterintelli</u>					
		ivision		ened its inve		
	n of the subject		There	eafter, owing	to a	b1 :
	s of personnel tr		he re-assi		s case	b2
	veral case agents			letterhea	and the second s	b 6
memor	andum (LHM), due			ot submitted	,	b7C
Curana		was uploaded				b7E
	rt (ACS) system.		nitial err	or was compou which f		•
	alize that the LH	M was orrandise	. un+41.		a paper	•
copy		m was overdue was received				•
	rded to the Offic	e of Intellic	rence Polic	uuquarters an v and Raview	(OTPR)	
. Depar	tment of Justice.	These error	s prečlude	d meaningful	(OIEK),	
	ight and review o			vestigation b	ov OİPR.	٠,
	s contrary to the		s of		FCIG.	. Vito
TOOL						9/20/
•				Inspection	Will Training	-m-j
		TOR	DONED CHAIN	Laboratory	ONT, or EEO	; `
		ALI	'NUYLU: CAS Finance	A CO : Mallacel Cas	Affan	
		Direct			Off. of Patitic &	
			y DirectorInfo. Ris		Carg Alls	 .
						,
	•		•			
		a sa na tienn	20645 336			:
		assified by:	39645 NS	A/OGC		
• • • •		eason:	1.5(c).			•
	De	ECTOSSITA OU!	<u>X1</u> -			
	, · · · · · · · · · · · · · · · · ·	. SE	हुन्		, , ,	
		CHARA	*			
•			1	•		
		•	Hofmann-IC	B-2271		

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

October 5, 2001

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Division, IOB Matter 2001-58." (U)

b2 b7E

> b6 b7С

> > FRATOS

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

		· · · · · · · · · · · · · · · · · · ·	•		
	1 -	Mr. Parkinson		_	·
	1: -	Mr.	· ·	UNCLASSIFIED	WHEN
	- 1 -	Mr.			
	, † _	. L		DETACHED FRO	M.
• •	1 -	OPR (IOB 2001-58	3)	CLASSIFIED F	NCLOSURE
	1 -	INSD (1-278-HQ-0	C1229736-9 Serial 1	5)	
	\sim		, , , , , , , , , , , , , , , , , , , ,	-,	
Dep. Dir	/ F }-	· 			
hief of Staff	<u> </u>	<u> </u>			
Off, of Gen.		•	· _ ·	_	
Counsel		• •	•		
Assi. Dir.:					
Admin. Ser	_	•	Classified by:	39645, NSA/C	GC .
Crim. bev.	_	• ,	Reason:	1.5(c)	 -
CIIS	_	•		1.0161	
Finance	_	•	Declassify on:	XI	
Info. Res	_				
Lab National Sec	- ·	•		•	,
OPR .	 .	•	SECKET		•
Off. of Public	- , .	•	/ NHoi	fmann-IOB-2272	
& Cong. Affs		·	1101	maim top zz/z	
Training	_				
Off. of EEOA	_	•	•,	•	•
Director's Office	_			•	
					,



Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 9/20/01 and titled	•	
		b1 b2 b6 b7(b7)
×		

Inspection W Crim, Inv. Laboratory_ Off. of EEO Affairs: National Sec. Finance. Ct. of rable & Into Res. Personael · . 'FG. Alfs. Deputy Director____

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT . WHERE SHOWN OTHERWISE DATE: 07-02-2010 CLASSIFIED BY 65179/DMH/plj/lcw REASON: 1.4 (c) DECLASSIFY ON: 07-02-2035 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2001-58 (U) Investigation conducted by FBI Headquarters has that the _____ Division of the FBI determined that the bl b2 b6 b7¢ **b7E** · Inspection Kyle/ Training Crim. Inv. APPROVED: ON, of EEO Laboratory National Sec. Affairs_ Finance_ Off. of Public & 02R Gen. Counsy Director_ Cono. Alis. Personnel Deputy Director_ 39645, NSA/OGC Classified by: Reason: 1.5(c) Declassify on: b2 b7E

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE		A section .	
DATE: 07-02-2010 CLASSIFIED BY 65179/DMH/plj/lcw REASON: 1.4 (c) DECLASSIFY ON: 07-02-2035	SECKET		
		•	-
		b2	2
INTELLIG	ENCE OVERSIGHT BOARD (IOB) DIVISION		7E ·
	IOB MATTER 2001-58 (U)	•	
Investigat	ion conducted by FBI Headq	uarters has	-
determined that the	Division of the FB	<u> </u>	
• .			. b1
			b2 b6
(5)			67C · 67E
			• .
		1	
\sim			
	· -		
	Classified by: 39645 NS	A/OGC	
	Reason: 1.5(c) Declassify on: X1		
	Declassify on: XI		
	SECRET		-
			•
<u> </u>	<u> </u>		
]
			•
			b2 b7E
·			
			_

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c) .

DECLASSIFY ON: 07-02-2035



October 23, 2001

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

b2 b7E

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Office, IOB Matter 2001-59" (U)

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

:	FIICTOSULE	•
•	1 - Mr. Parkinson 1 - Mr. 1 - Mr.	UNCLASSIFIED WHEN DETACHED FROM
•	1 - OPR (IOB 2001-59)	CLASSIFIED ENCLOSURE
Dep. Der.	_ 🛊 - INSD (278-HQ-C1229736-53 Seri	al 94 (IOB 2001-59)
Cluef of Stalf		
Off, of Gen.	1) - mc	
Counsel	_// /	
Asst. Dir.: Adının. Ser		1 20CAE NOD 1000
Crim., Luv.	— Classified	by: <u>39645 NSA/OGC</u>
CIIS	Reason:	1.5(c).
Finance	Declassify	on: XI
Into. Rosi		OII.
Lab	· .	1
National Sec	— sec	ET HASTON 2276
Off. of Public	- /	Hofmann-IOB-2276
& Cong. Affs		
Off of FEOA.		

FBI/DOJ

⊷b6 .b70

Director's Office



Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

		initiated a Because the red that	b1 b2 b6 b7C b7E
det not	termined that its had expired on and to submitted a request to , nor had a request to extend the bmitted for Headquarters' approval. The FBI's admit	been	

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
OFFICE
IOB MATTER 2001-59 (U)

b6 b7С

Inv	<u>estigation of this IO</u>	3 matter has deter	mined that
on	•		initiated
a ·			
			who was
(and remains)	a "United States pers	son" as that term	is used in
Section 101(i) of the Foreign Inte.	<u>lligence Surveilla</u>	ance Act of
	continuation of the		comply
with the requ	irements of Executive	Order 12863 and t	the Attorney
	lines for FBI Foreign		
Foreign Count	erintelligence Invest	igations (FCIG).	During a file
review conduc	ted by	it was o	determined
that	had expired on	and	that had
not submitted	ī a		
	nor had a reque	st to extend the	been
submitted for	Headquarters approva	l. The FBI's admi	inistration
and oversight	of this case was, th	us, contrary to the	he require-
ments of the	FCIG. X		·

ADDONAUPD.	Crim, Inv.	Inspection I	Training	ioliole April
APPROVED:	CIIS	Laboratory National Sec.	Off. of EEQ Affairs	, who
Director	Gen. Covins	OPR	Off. of Public &	•
Deputy Director	_Info. Hes	_ Persoanel	Cong Atts	. •

Classified by: 39645, NSA/OGC Reason: 1.5(c) Declassify on: X1

SECRET



October 18, 2001

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Division, IOB Matter 2001-60." (U)

b2 b7**E**

b7c

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Encl	osure						·		
1 -	Mr.	arki <u>n</u>	son		· ·			ASSIFIE	
			001-60) HQ-C1229	736-56	Serial	3):	CLAS	SIFIED	ENCLOSURE
				· .			•	•	

Off. of Gen.	•
Counsel	
Asst, Dir.;	•
Admin, Ser	Classified by: 39645, NSA/OGC
Crim., kty.	
CJIS	Reason: $1.5(c)$
· Finance	Declassify on: X1
Info. Res	Delizassily Oil. VI
Lab	
National Sec.	
·OPR	Jethan IOP 2270
Off. of Public	Hofmann-IOB-2279
1. C 1/5	

PBUDOJ



Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

NOTE: Thi	s matter wa	as referred to	OGC by EC	from the	Inspection	
Division,	<u>dated 10/0</u>	L/01 and capti	.oned]			٠,
Division(;] Intelliq	ence_Oversight	: Board (IOB) Matter	2001-60."	
In this re	egard,					,
			<u>-</u>			1
						b2 b6
				•		b7
						Ъ7
						ļ
Commence of the same of the sa						
	the same and the s					
ı	***************************************	The state of the s				l
l		The same of the sa	\ /			
			X			
			- Crim Ime	Inexecting) - Training	•

Director_2

CJIS_

Finance_

**Hofmann-IOB-2280

Laboratory

National Sec.

Personnel_

Off. of EEO.

Off. of Public &

Cong. Affs.

Affairs_

10/02/ 9/MY

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035



	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2001-60 (U)	o2 o7 E
	In August of this year, theDivision of the Federal Bureau of Investigation (FBI) reported	
(\$)		
		b1 b2 b6 b7C
		b7E
		;
<u> </u>		
(U)		
		b2 b7E
	Classified by: 39645, NSA/OGC Reason: 1.5(c) Declassify on: X1 SECRET Hofmann-IOB-2281	•

Via the Office of Intelligence Policy and

 $\{U\}$

Review, Department of Justice, for destruction. This matter has also been reported to the FBI's Office of Professional Responsibility for action deemed appropriate. (U)

Inspection_ Training Crim inv. Laboratory Off. of EEO CJIS National Sec. Alfairs_ -Finance_ Off. of Public & OPA_ Gen. Coyuse Çene, Aifs. inio Res Personnel Deputy Director

ia os f

b7E

- .2

SEXRET Hofmann-IOB-2282

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c,d)

DECLASSIFY ON: 07-02-2035



October 10, 2001

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

(S) Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board Matter involving the and the

Security Division, IOB Matter 2001-61.

National

b2

b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

MAIL ROOM ():

1	- Mr. Parkinson - Mr.	UNCLASSIFIED WHEN DETACHED FROM
	- Mr.	CLASSIFIED ENCLOSURE
Chief of Staff	- INSD (1-278-HC	-C1229736-705) (1-IOB 2001-61)
Off. of Gen. Counge)	}	
Asst. Dir.:	/	AME
Admin, Ser Crine, Inv.	·	Classified by: 39645, NSA/OGC
Cir		Reason: $\frac{1.5(c)}{c}$
Finance Info, Res.	• •	Declassify on: X1
Lab.	· -	
National See.	-	SECRET
OPR Off, of Public	•	Hofmann-IOB-2283
& Cong. Atts.		
Training		
OIL, OI DEVA		•

PRI/COI

b2 b7E

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

	and tit	led			Inspection This let	Intel	ligence 0	versight	.b1 b2 b6 b7C
S}	letterh not adv	rised	memorano s ini _ a U.S.	dum regard tial 90-d person. status of	ling the FB lay and fir As a resu f this case	I's failu st annual lt of the for more	re to for LHMs con eerror, C	ward acerning OIPR was	b7 E
	<u>Guideli</u>	•		··· • · · · · · · · · · · · · · · · · ·	APPROVED:	Crism. lavCUS	Inspection DEK/ Laboratory National Sec	Off. of EEO Affairs	7 129k
					Director Deputy Director	Gen. Coulons	OPRPersonnel	Off. of Public & Cocg. Affs.	

DATE: 07-02-2010 '

CLASSIFIED BY 65179/DMH/plj/low

REASON: 1.4 (c,d) DECLASSIFY ON: 07-02-2035



	INVOLVING THE	.b2
	AND THE	b7.
+		
area.	IOB MATTER 2001-61 ##	
	TOB MATTER 2001-01 per	
• • •		
AL 1	Inquiry has determined that in conducting a	
ទី)	of a U.S. person, the Federal Bureau	
1	of Investigation (FBI) failed to meet the reporting requirements	_
•	prescribed in of the <u>Attorney General Guidelines</u>	
	for FBI Foreign Intelligence Collection and Foreign Counterintel-	
'•	ligence Investigations (FCIG). In this regard, review of the	
•	FBI's Automated Case Support system by has determined	
	that, as required by the <u>FCIG</u> , the <u>Division</u> submitted its initial 90-day letterhead memorandum (LHM) and	
	its first annual LHM <u>concerning the subject via electronic</u>	
	communications dated	b1
•	respectively. On when	. b2 b6
	LHM was received and forwarded byto the	b.
,	for	b'
•	further submission to the Office of Intelligence Policy and	
٠.	Review (OIPR), Department of Justice, the advised that	
	its records did not show receipt of either of the LHMs previously	
	submitted by The fact that the LHMs were not	
	received by the remains unexplained. As a result of the	
	delayed submission, however, OIPR was not advised of the status	
	of songoing investigation for more than This clearly is contrary to the requirements of the FCIG, and	
٠.	will be the subject of further inquiry by the FBI's Office of	
-	Professional Responsibility.	
-		
		•
•-	Classified by: 39645, NSA/OGC	
	Reason: <u>1.5(c)</u>	
	Declassify on Crim inv Inspection Device Training Off. of EEO	—
	APROVED: CIIS Laboratory Off. of EEO	
· .	SECRET Finance National Sec. Affairs	
	Director Gen. Cogness OPA Off. of Publication Into Risk Personnel Cong. Affs.	
\$ }	Deputy Directorinfo, Plas Personnel Cong. Affs.	<u>.</u>
•	1 16) FBI Headquarters oversight of this case was transferred from	
	the to the	ŀ
		I.

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035



October 10, 2001

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board Matter Involving the FBI Headquarters, IOB

b2 b7**Е**

Matter 2001-62. (🏋

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

Director's Office

	, , , , , , , , , , , , , , , , , , , ,	•
1 - Mr. 1 - Mr. 1 - Mr.	Parkinson	UNCLASSIFIED WHEN DETACHED FROM CLASSIFIED ENCLOSURE
Dep. Oir. 2 - INSD Chief of Staff Off. of Gen. Coinned Asst. Dir.:	<u>(1-278-НQ</u> -C1229736-70	5) (1-IOB 2001-62)
Admin. Ser. Crim. Inv. CJIS Finance Info. Res.	Classif Reason: Declass	
Lab. National Sec. OPR Off. of Public & Cong. Affs. Training		SECSET Hofmann-IOB-2286

FBVDOJ

b6 b7C



Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

		(IOB) Matter 2001-62."
The EC indicates th		Division
	ted an appropriate 90-	
the basis for its	of	a U.S. person
The LHM was receive		
		was not forwarded as
	eason for the error re	
unexplained:		sight of the case was
transferred to		ne initial reporting
error when		was received and properly
submitted, via the		to the Offic
of Intelligence Pol	Licy and Review (OIPR),	DOJ. As a result of
	ing, OIPR was not advi:	
		S. person for more than
	s contrary to the repor	rting requirements
WHICH IS		

trary to the repense General.				
Reason: Declassify on:	5/01	Hofmann-IOI Inspection IN III Laboratory Mations See	Missing SEO	Jun: - 4/29/ - my
Director Deputy Director	Gen Council	OPR Personnel	Coag. A74s.	

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c,d) DECLASSIFY ON: 07-02-2035

	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	
	INVOLVING THE	b2
		∑b7E
	FBI HEADQUARTERS IOB MATTER 2001-62 (%)	
	TOB MAPIER 2001-02 GAO	
. · (S		
	of a U.S. person, the Federal Bureau of	
	Investigation (FBI) failed to meet the reporting requirements prescribed in of the Attorney General Guidelines	
,	for FBI Foreign Intelligence Collection and Foreign Counterintel-	•
	ligence Investigations (FCIG). In this regard, as required by	•
	the FCIG, on Division	
4	prepared and submitted to FBI Headquarters an appropriate 90-day letterhead memorandum (LHM) setting forth the basis for its	
	of the subject. The LHM was received by the	b1
	in early	b2
(S)	but was not forwarded to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ), as required.	ь6 ъ7С
IDI A	responsibility for the case was transferred to the	b7E
-		
• :	When this unit received	
(S)	s first annual LHM and forwarded it for submission to OIPR in sinitial reporting error was	
٠	discovered. As a result of the delayed reporting, OIPR was not	
	advised of the status ofs ongoing investigation of a	
	U.S. person for more than This is contrary to the	
	reporting requirements prescribed by the Attorney General, and will be addressed by the FBI's Office of Professional	
	Responsibility	VAL.
	Colm. lav. Inspection Activity Training	.a.ia.i.a
	APPROVED: CAS Laboratory Off. of EEO	9/29/0
	Finance National Sec. After Sec.	•
	Director Gen. County Director Personnel Cong. Afra.	• •
•	Debath anecon uno con	
•	Classified by: 39645_NSA/OGC	
	Reason: $1.5(c)$	•
	Declassify on: XI	
•	SECCET	
	Hofmann-IOB-2288	,

SECRET

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035.

October 17, 2001

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Division, IOB Matter 2001-63." (U)

b2 b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure.

MAIL ROOM II

Officer's Office

	1 - Mr. Pa 1 - Mr.	arkinson		UNCLASSIFI	ed when
-	1 - Mr	· · ·		DETACHED F	ROM
	1 - OPR (I	IOB 2001-63)	•	CLASSIFIED	ENCLOSURE
Dep. Dir.	2 - INSD	(1-278-HQ-C12	29736-26 Serial	19)	
Chief of Shiff Off. of Gen. Counsel Asst. Dir.:	JAW.jaw (6	5) 			
Admin. Ser	- . •		Classified by:	39645 NSA	/ogc · ·
Crim. Inv. CJIS Financis Info. Res.	<u>-</u> -		Reason: Declassify on:	1.5(c) X1	· · · · · · · · · · · · · · · · · · ·
National Sec	<u>-</u>	. •	SECRET H	ofmann-IOB-228	9
Off, of Public & Cong., Affs	_			, .	

FBI/DOI

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

	NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 10/04/01 and titled Division[;] Intelligence Oversight Board (IOB) Matter 2001-63." In this regard, on						
(S)		k k					
•		l E					
		. •					
	1	1					

Н	ofmann-IOB-	2290		JUHA.
Director_Deputy Director_	Crim. Inv	Inspection Laboratory Hational Sec. OPR Personnel	Training. Off. of EEO Affairs. Off. of Public & Cong. Alls.	

S}

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

SECRET

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
DIVISION
IOB MATTER 2001-63 (U)

b2 b7

Inquiry has determined that the Federal Bureau of Investigation (FBI) inadvertently conducted a form of

(S)

(S)

(S)

(S)

(S)

(S)

(S)

Classified by: Reason: .5(c) Hofmarın-IQB-2291 Declassify on: Crim, Inv. Inspection_ Laboratory: Off. of EEO Finance National Sec: Affairs Director Gen. Corra OPR Off. of Public & Deputy Director_ Personnel Cong. Affs.

100000.

whe

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

SECRET

October 23, 2001.

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board Matter Involving the FBI Headquarters, IOB

Matter 2001-64.

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

& Cong. Affs. _ Training ____ Off. of EEOA ___ Director's Office

-	1 - Mr	. Parkinson	•		UNCLASSIFI	WHEN
,	1 - Mr	:	•	-	DETACHED	ROM
• .	1 - Mr	`		· .	CLASSIFIED	ENCLOSURE
)epì, Dir		SD (1-278-HO	-C1229736-705	Sérial	67) (1-IOB	2001-64)
hief of Staff	=(i) mr	· [•	
Counsel	<u> </u>				•	•
Asst. Dir.: Admir: Ser	<u> </u>		Classifie	ed by:	39645 NSA	/OGC.
Cils	<u> </u>	, ,	Reason:	\	1.5(c)	:
Finance Info. Res:	<u> </u>	•	Declassi	y on:	**	
Leb National Sec				- 4	•	·
OPR	 . ·		SE	Hof	mann-IOB-2292	2

FBYDOL

b7E

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

,	· .•	NOTE: This matter was referred to OGC by EC from the Inspection Division, dated 10/11/01 and titled	
		Intelligence	
(3)	**************	Oversight Board (IOB) Matter 2001-64." The EC indicates that,	
		in Division prepared and submitted	
	" · .	an appropriate 90-day LHM setting forth the basis for its full	b1
-		investigation of a U.S. person. The LHM was	b2
		received by the in	b6 b7c
	·• .	The LHM was not forwarded as required, however, and the	b7E
		reason for the error remains essentially unexplained. In	
		oversight of the case was transferred to which	•
	•	Tearned of the initial reporting error when s first	
		annual LHM was received and submitted, via the	
		to the Office of Intelligence Policy and Review	,
		(OIPR), DOJ. As a result of the delayed reporting, OIPR was not	
•		advised of the status ofs ongoing investigation of	
	•	a U.S. person for more than which is contrary to the	. 1
••	•.	reporting requirements prescribed by the Attorney General.	الله
		Crim, Inv. Inspection 1 Training	
		APPROVED: CIIS Laboratory V Off. of EEO	mi
, ,		Finance National Sec. Affairs	<u> </u>
	•	DirectorGen. Cours (A	**
		Classifileputy Objector The Brand NSA Perpense Cong. Alls.	
		Reason: 1.5(c)	
		Declaratify on: <u>X1</u>	-
	. •		

DATE: 07-02-2010.

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c,d)

DECLASSIFY ON: 07-02-2035

SECRET

,		· b2
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b7E
	INVOLVING THE	
	FBI HEADQUARTERS	
	IOB MATTER 2001-64 (U)	· •
(S) ·		
		•
' .	Inquiry has determined that in conducting a	
	of a U.S. person, the Federal Bure	
	of Investigation (FBI) failed to meet the reporting requireme	
	prescribed in of the <u>Attorney General Guidelines</u> for FBI Foreign Intelligence Collection and Foreign Counterin	
	ligence Investigations (FCIG). In this regard, as required b	
3.	the FCIG, in Division	- -
•	prepared and submitted to FBI Headquarters an appropriate 90-	dav 😅
	letterhead memorandum (LHM) setting forth the basis for its f	
	investigation of the subject. The LHM was received by the	b6
• .	but was not	b7C
	forwarded to the Office of Intelligence Policy and Review (OI	PR), b7E
(\$)	Department of Justice (DOJ), as required. In	_
(S)	responsibility for the case was transferred to the	
) = [* <u> </u>	
•	When this unit received s first an	inua i
· · ·	LHM and forwarded it for submission to OIPR in s initial reporting error was discovered. As a result	」
	the delayed reporting, OIPR was not advised of the status of	QI.
	s ongoing investigation of a U.S. person for more	
	than This is contrary to the reporting requirement	
,	prescribed by the Attorney General, and will be addressed by	
	FBI's Office of Professional Responsibility.	W.
	$\mathcal{A}\mathcal{V}$	Jwg
	ADDRAGED Crim lay laspection Training	10/14/6
• •	APPROVED: CASLaboratoryOff. of	
,	Finance App National Sec. Affairs	
•	Director Gen Deutsch C OPA Off. of Deputy Director Into Res Personnel Cong.	Public &
	Debrik present UEDS Letzennes coult	niis
, ,		
	Classified by: 39645, NSA/OGC	
. '	Reason: $1.5(c)$	•
	Declassify on: X	
	SPOKET	
	Hafmann TOP 2204	

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

November 30, 2001

CLASSIFIED WHEN

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Division, IOB Matter 2001-67."

(U)

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure :

1 - Mr. <u>Parkin</u>son

MAIL ROOM D

٠.,	1 - Mr.	l			DETACHE	ROM	
·	$1 \rightarrow Mr$.	.	·		CLASSIFIED	ENCLOSURE	
p. Dir.	7 - INS	<u> </u>	IQ-C1229736-3	7) (1-IC	B 2001-67)		
iel of Staff- L of Gen.	JWms:jw	ms (5)				•	
unsel st. Dir.: dımin. Ser.	• · ·	•			20645 322		
rim_ lav.	-	. ,	Reason	fied by:	39645 NSA 1.5(c)	./OGC · ·	
inance afo. Res.	_	•	Declas	sify on:	<u>*1</u>	· .	
Vational Sec.	<u>-</u> - -		•	SECRET	ofmann-IOB-229)E	
Y, of Public & Cong. Alis.	.	<i>;</i>		· ·	Jillidilli-1OB-225	<i>7</i> 3	
T. of EEOA rector's Office	- .		•		•• ,		

PBVDO

b6 b7C

b2 b7E



Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

			ence Oversight	Board (IOB)	
Matter 200	<u>1-67." In the light of the lig</u>	nis regard,	on_		b2 b6
,	4	***************************************			Ъ70
					b7E
. \					1.
		The er	ror must be re	ported to the	IOB.
North Telephone				\sim 1/	w. M
781	_	the second secon			
)AL			Crim Inv. Inspection	(4) Training	
NA.		APPROVED:	Crim Inv Inspection CuS Laborator	y Off, of EEC	_
184		APPROVED:	CUSLaborator	y Off, of EEO see Affairs	
		APPROVED:	CUS Laborator Finance National S Gen. Counts 1 OPR	y Off, of EEG iec Affairs Off, of Public &	
101		APPROVED: Director Deputy Director	Finance AOO National S	y Off, of EEG iec Affairs Off, of Public &	
101		APPROVED: Director Deputy Director	C.U.S. Laborator Finance National S Gen. Course OPR	y Off, of EEG iec Affairs Off, of Public &	- '

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/1cv

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

SERREI

b2 Ъ7**І**

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2001-67 (U)

(S)			. b b .b
			l le
	 	 een reported to	

APPROVED:		Inspection Laboratory Mational Sec:	Training Off. of EEO Attairs
Director	Finance Gen, Cooks of The Into, Hes	OPR Personnel	Off. of Public & Cong. Alts.

ic \$

11/14/0

Classified by: 39645 Nex/OGC Reason: 1.5(c) Declassify on: X1

SECRET

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

November 28, 2001

b2

.b6 b7C

FRVDOJ

b7E

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (IHM). entitled "Intelligence Oversight Board (IOB) Matter, Division, IOB Matter 2001-68." (U)

This LHM sets forth details of investigative activity which the TBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted.

MATE BOOM ID

Director's Office

•	Enclosure	
•		
,	1 - Mr. <u>Párkinson</u>	
	1 - Mr.	UNCLASSIFIED WHEN
-	1 - Mr	DETACHED TROM
	1 - OPR (IOB 2001-68)	CLASSIFIED ENCLOSURE
Dep. Dir.	_ 2 - INSD (1-278-HQ-C1229736-26 Se	erial 21)
Cliief of Staff	- JAW: jaw (6)	
Off. of Gen.		
Counsel Asst. Dir.:	<i>†</i> 1°7 L	, ,
Admin, Ser.	Classified	d by: 39645, NSA 40GC
Crun_ lov.		u by: 39643, MSALOGC
CIIS	Reason:	1.5(c)
Finance	Declassif	y on: X1
Infó, Res:		y out
Lab, National Sec.	-	
OPR	- SEC	TET 1-6 TOD 2200
Off. of Public	-	Hofmann-IOB-2298
& Cong. Alts		•
Training		•
Off. of EEOX		



Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

(8)	and titled Division[;] Intelligence Oversight Board (IOB) Matter 2001-68." In this regard.	b1 b2 b6
(5)		b7С b7Е
• , •	\times	
	APPROVED: Crim. Inv Inspection Training Off. of EEO Cussed Off. of EEO Rational Sec Affairs Off. of Public & Canased Off. of Public &	— (1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
DIVISION
IOB MATTER 2001-68 (U)

b2 b7**E**

Inquiry has determined that the Federal Bureau of
Investigation (FBI) conducted a form of

(S)

(S)

(S)

(S)

(S)

(S)

(S)

Classified by: 39645, NSA/OGC

Reason: 1.5(c)

Declasery on: X1

SECRET Crim. Inv Inspection Training Off. of EEO

Finance National Sec. Affairs

Director Gen. Counsel Off. of Fublic & Coog. Alls.

Deputy Director Gen. Counsel Coog. Alls.

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

PEASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

February 14, 2002

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building. Washington, D.C.

Dear Senator Rudman:

Enclosed for your inform	ation is a self-explanatory b2_
letterhead memorand <u>um (LHM), en</u> tit	led "Intelligence Oversight b7E
Board (IOB) Matter, Di	vision, IOB Matter 2001-69."
(U)	

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

1 - Mr. Parkinson 1 - Mr.		UNCLASSIFIED WHEN	
1 - OPR (IOB 2001-6 2 - INSD (1-278-HQ-	•	CLASSIFIED ENCLOSURE	b6 b7
JAW: jaw (.6)	•		
	Classified by Reason:	1.5(c)	

SECRET

Honorable Warren B, Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

> b1 b2 b4 b6 b7C b7D b7E

	División to OGC and the and titled	referred to OGC by EC from the <u>Inspection</u> Director's Office [OPR], dated Intelligence
(5)	Oversight Board Matter	
,,,	Division has reported t	hat in
S)	-	
	the correspondence was	to request the information. At the time sent, no legal authority existed for the lational Security Letter.
		Crime Inv. Inspection Training On. of EEO Figure Crime Inv. Inspection Training On. of EEO Figure Crime Inv. Inspection Training On. of EEO Figure Crime Inv. Inspection Training On. of EEO On. of Public &
		Deputy Director late, fies. Fire-next Cong. Asia.
	the second secon	Hofman IOD 2202

DATE: 07-02-2010 CLASSIFIED BY. 65179/DMH/plj/lcw

REASON: 1.4 (c) DECLASSIFY ON: 07-02-2035



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2001-69 (U)

b7E

b1 b2 **b4** b6 b7C b7D b7E

<u>.</u>	The <u>Federal Bures</u> determined the	au of Inve Division	<u>stigation</u>	(FBI) has		
(5)						
(S)						
L		as the	legal a	uthority to	request	٠.

the information. The letters were signed by the Acting Special Agent in Charge who, at that time, did not have legal authority to issue National Security Letters. This matter has been reported to the FBI's Office of Professional Responsibility for action deemed appropriate.

> Classified by: 39645 Reason: Declassify on:

Affelia_ Finance_ Gff. of Public & Director_ Gen. Cossilla D

. Deputy Director_

lafe, Ros_

-Cong. Alis,

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/1cw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

January 16, 2002

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Division, IOB Matter 2001-71." (U)

b2 b7E

ъ6 b7С

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

1 1	- Mr.	_		•.	•		SSIFZ HED F	ED WHEN	
		(IOB 20					ZFIED	ENCLOSU	IRI
	- INSI W:jaw		8-HQ-C122	29736-29	Serial	14)	•	•	
		,							

Chief of Staff
Off. of Gen.
Counsel
Asst. Dir.:
Admin, Ser.
Crun., strv.
CJIS
Finance
Info. Res.
Lab.
National Sec.
OPR
Off. of Public
& Cong. Affs.
Training
Off. of EEOA

Director's Office

Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1

SECKET

Hofmann-IOB-2304

. LOGVIER



Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

(S) (S)	NOTE: This matter was referred to OGC Division to OGC and the Director's Off and titled Division[;] Intelligence Oversight Boa In this regard, INSD has determined th various misdirected communications to of appropriate field office supervision the Division in on presumed U.S. persons, were constituted without the required Headquare	rd (IOB) Matter 2001-71." at as a consequence of Headquarters and the lack n. the initiated by ontinued for approximately rters approval. This is a	b1 b2 b6 b7C b7E
,01	violation of the Attorney General Guid APPROVED: Director Deputy Director	Crim. Inv. Inspection Training Off. of EEO Finance National Sec. Affairs Off. of Public & Lato. Res. Personnel Coag. Affa.	risticioi Timpe

DATE: 07-02-2010 CLASSIFIED BY 65179/DMH/plj/lcw REASON: 1.4 (c) DECLASSIFY ON: 07-02-2035

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE



INTELLIGENCE <u>OVERSI</u>GHT BOARD (IOB) MATTER b2 DIVISION **b7E** IOB MATTER 2001-71 (U) Investigation of this IOB matter has determined that on the Division (\$) · Both subjects are presumed to be "United States persons" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978, and, thus, continuation of the comply with the requirements of Executive required that b2 Order 12863 and the Attorney General Guidelines for FBI Foreign **b**6 Intelligence Collection and Foreign Counterintelligence Investiь7С gations (FCIG). In this regard, the Inspection Division, FBI ь7Е Headquarters, has determined that as a consequence of various misdirected communications to Headquarters and the lack of appropriate field office supervision, the initiated in were continued for approximately without the required Headquarters' approval. The FBI's administration and oversight of this case was contrary to the requirements of the <u>FCIG</u>. inspection_ Training Crim, lièv. Off. of EEO Laboratory Affairs_ Finance_____ National Sec. Off. of Public & Gen. Contise! OFR Director: Cong. Afts. Deputy Director Personnel Classified by: 3964<u>5</u> NOA/OGC assify on: **b**6 b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
PEASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035 .

January 16, 2002

BY COURIER

Honorable Warren B. Rudman Acting Chairmán Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Division, IOB Matter 2001-72."

(U)

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

Off. of Public
& Cong. Affs.
Training
Off. of EEOA
Director's Office

	Enclosure	·
•	1 - Mr. Parkinson	
	1 - Ms.	unglassified when
	1 - Mr.	DETACHED FROM
	1 - OPR (IOB 2001-68)	CLASSIFIED ENCLOSURE
ep, Dir.	$4 - \text{TNSD} \cdot (1-278-\text{HO}-\text{C})$	229736-26 Serial 21)
rief of Staff	_ •	227,00 20 001101 21,
ff. of Gen.	- JAW:jaw (6)	
xmsel	•	•
sst, Dir.;	_	
Admin: Set Crim., hrv	-	Classified by: 39645 NBA/OGC
CJIS	-	Reason: 1.5(c)
Finance	<u> </u>	Declaseily on: XI
Info. Res	_	Decimental our.
Lab National Sec	_	
Mandonii Sec	- .	SESRET HAGE TOP 2207
OC - C TL-1-12-	 	Hofmann-IOB-2307

FBI/DO

b2

b6 b7С

b7E



Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

(S)		ctor's Offi ence Oversi	ce [OPR],	dated 12/	/14/01	
	2001-72." In this regard, o	<u>n </u>				b1 b2
(\$)	-					b6 b7C b7E
						•
						White 12/26/0
		APPROVED:	Crim fare CHS Finance // Direction	Inspection 1) Laboratory National Sec.	Training OHL of EEG Affairs	- Ma
· · · · · · · · · · · · · · · · · · ·		Oirector	Gen Counse	GPR Personnel	Off. of Public & Cong. Affs.	_
		SECRET Hofi	mann-IOB-23	08	-	•

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

SECRET

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 DIVISION ь7Е TOB MATTER 2001-72 (U) Inquiry has determined that the Federal Bureau of Investigation (FBI) conducted a form of (S) **(S)** (S) bl (\$) b2 **b**4 b6 b7C (8) **b**7D b7E (5) No investigative actions were taken based upon the recordings made in error. Training inspection Crim: inv: Laboratory Off, of EEQ National Sec Affairs Off, of Public & Director. Classified by: 30 Cong. Alts. Reason: JWB. Doctassify on 12 260 **b**2 b7E

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/p1j/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

February 14, 2002

BY COURIER

Honorable Warren B. Rudman Acting Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Field Office, IOB Matter 2002-2."

(U)

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

MAII. RÓOM .CI

	•	•		•	
: .	1 - Mr	Parkinson			
	1 - Ms.		•	UNGLASSIFIED WHEN	
	1 - Mr.			DETACHED TROM	
•	1 - OPR	(IOB 2002-2)		CLASSIFIED ENCLOSUR	E
•	2 - INS		1229736-53 Serial	97)	
Dep. Dir.	-JAW:jaw				
Chief of Staff Off, of Gen.			•		٠
Counsel	<i>∔トラ</i>				
Asst. Dir.: Admin. Ser	- / -		Classified by:	39645, NSA/OGC	
Crim., Inv.	_	•	Reason:	1.5(c)	
CJIS		•	Declassify on:	<u>*1</u>	
Info. Res.		•			
Lab National Sec	<u> </u>		SECRET		
OPR	<u> </u>	•	Ho	fmann-IOB-2310	
Off. of Public & Cong. Affs	* .				
Training	_	•	•		
Off, of EEOA	<u>. </u>		•		
Director's Office					

FBVDOJ

b7E

b6 b7C



Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

David R. Knowlton Assistant Director Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 01/09/02 and titled [Field Office[]] Intelligence Oversight Board (IOB) Matter 2002-2." In	· .
this regard, on ,	b1 b2 b6 b7C b7E
	
The unauthorized surveillance must be reported to the IOB. Training Inspection Training	11102
APPROVED: CJIS Laboratory Off. of EEO Finance D National Sec. Affairs Gen. Counsel Deputy Presonnel Cong. Affa. Deputy Presonnel Cong. Affa.	

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035



AME 1/11/0

Classified by: Reason: .5(c)

Crim. Inv.

Finance₄

Gen Rowasat

Declassify on:

APPROVED: Cas

Director_

Deputy Director

Hofmann-IOB-2312

inspection_

Laboratory_

National Sec.

Personael

OPA_

Training

Off. of EEO Atteirs_

Cong: Atls

Off, of Priblic &

DATE: 07-02-2010 😘

CLASSIFIED BY \$5179/DMH/p1j/1cw REASON; 1.4 (6)

DECLASSIFY ON: 07-02-2035

March 12, 2002

BY COURTER

b6

FBI/DOJ:

General Brent Scowcroft (USAF Retired) Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear General Scowcroft:

Enclosed for your	information is a self-explanatory	
	entitled "Intelligence Oversight	b2
Board (IOB) Matter,	Division, IOB Matter 2002-3." (U)	b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted.

Enclosure

1 - Mr. Parkinson	·	
1 - Mr.		UNCLASSIFIED WHEN
$1 - M\dot{r}$.		DETACHED FROM
1 - OPR		CLASSIETED ENCLOSURE
2 - INSD :		
Dep. DirJAW: jaw (6)		
Off. of Gen.		
Asst. Dir.:	Classified by:	39645, NSA/OGC
Admin: Ser Crim., Iny	Reason:	1.5(c)
CJISFinance	Declassify on.	X1
info. Res	5002009223 0	
Lab National Sec	SECRET	
OPROPR	Hof	mann-IOB-2313
& Cong. Affs.		
TrainingOff. of EEOA		
Director's Office	•	



General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

John P. O'Connor Acting Assistant Director Inspection Division

	<u>NOTE</u> : This matter was referred to OGC by EC from the Inspection
	Division to OGC and the Director's Office [OPR], dated 02/13/02
	and titled "[;] [;]
	Division[;] Intelligence Oversight Board (IOB) Matter
	2002-3." In this regard, the Division (")
-	
ko/	reported opening a on b2
	Because the subject is a United States person, b6
	continuation of the investigation required thatcomply b70
	with the 90-day and annual reporting requirements of the Attorney b7E
	General Guidelines.
-	
	approximately after it was due - a violation of the
	Attorney General Guidelines which must be to reported to the IOB.
•	Citin Inv. Inspection Att Graining
•	CJS Laboratory Off. of EEO
	Finance VM National Sec Affairs
	Director Gen Counse OFR Off, of Public &
	Deputy Director pro, Res Personnel Cong. Affs.
	- 2
	SECORT
	Hofmann-IOB-2314

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT

DATE: 07-02-2010 CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035



Inquiry has determined that in conducting a u.S. person, the Federal a U.S. person, the Federal bureau of Investigation (FBI) failed to meet the annual reporting requirements prescribed in of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the Division (") opened its of the subject on of Intelligence Policy and Review (OTPR), Department of Justice, approximately after it was due. As a result, FBI Headquarters and OIPR were precluded from exercising oversight and control of this investigation, which is contrary to the requirements of this investigation, which is contrary to the requirements of the FCIG. APPROVED: Commission of the FCIG. Director Commission of the Prisonal Cond Alts. Director Commission of the Prisonal Cond Alts. Director Cond Alts. Classified by: 35645, NSA/OGC Reason: 1.5(c) Declassify on: Ki		INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2
Division (** ') opened its Of Intelligence Policy and Review (OIPR), Department of Justice, approximately after it was due. As a result, FBI Headquarters and OIPR were precluded from exercising oversight and control of this investigation, which is contrary to the requirements of Of the FCIG. Of			D/E ,
Bureau of Investigation (FBI) failed to meet the annual reporting of the Attorney General of the Attorney General of the Attorney General Counterintelligence Investigations (FCIG). In this regard, the Division (" ") opened its of the subject on			•
Division (") opened its of the subject on Of Intelligence Policy and Review (OIPR), Department of Justice, approximately after it was due. As a result, FBI Headquarters and OIPR were precluded from exercising oversight and control of this investigation, which is contrary to the requirements of of the FCIG. APPROVED: Cim inv Instetion Instantant Ins	X ()	a U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the annual reporting requirements prescribed in of the Attorney General	b2
of Investigation to the Office of Intelligence Policy and Review (OIPR), Department of Justice, approximately after it was due. As a result, FBI Headquarters and OIPR were precluded from exercising oversight and control of this investigation, which is contrary to the requirements of of the FCIG. APPROVED: Cim inv Inspection Off. of EO. Finance Mational Sec. Afters Director Cen Constant Cong. After		Division (" ") opened its of	b7C
of Intelligence Policy and Review (OIPR), Department of Justice, approximately after it was due. As a result, FBI Headquarters and OIPR were precluded from exercising oversight and control of this investigation, which is contrary to the requirements of of the FCIG Inspection Inspection Inspection Inspection Inspection Inspection Inspection Inspection Off. of Public & Off. of Public &	•	the subject on .	4 ~ ~
of Intelligence Policy and Review (OIPR), Department of Justice, approximately after it was due. As a result, FBI Headquarters and OIPR were precluded from exercising oversight and control of this investigation, which is contrary to the requirements of of the FCIG Inspection Inspection Inspection Inspection Inspection Inspection Inspection Inspection Off. of Public & Off. of Public &			
of Intelligence Policy and Review (OIPR), Department of Justice, approximately after it was due. As a result, FBI Headquarters and OIPR were precluded from exercising oversight and control of this investigation, which is contrary to the requirements of of the FCIG Inspection Inspection Inspection Inspection Inspection Inspection Inspection Inspection Off. of Public & Off. of Public &	·		
Headquarters and OIPR were precluded from exercising oversight and control of this investigation, which is contrary to the requirements of of the FCIG. APPROVED: Crim.liny Inspection 1/3 (Fraining 2)/271/05 Crim.liny Inspection Off. of EEO. National Sec. Affairs Deputy Director Gen Cong. Affairs Deputy Director Magness Personnel Cong. Affairs Classified by: 39645, NSA/OGC Reason: 1.5(c)		of Intelligence Policy and Review (OIPR), Department of Justice,	- .
APPROVED: Crim. inv. Inspection 1 1 1 1 1 1 1 1 1		Headquarters and OIPR were precluded from exercising oversight	
APROVED: CHS Laboratory Off, of EEO; Finance National Sec. Affairs Director Gen County Ora Off, of Public & Ora Personnel Cong. Affa. Deputy Director Injo-Res Personnel Cong. Affa. Classified by: 3964-5, NSA/OGC Reason: 1.5(c)			÷
Deputy Director Mig-Res Personnel Cong Aits Classified by: 39645, NSA/OGC Reason: 1.5(c)		APPROVED: C.H.S. Laboratory Off. of EEO. Finance National Sec. Affairs	11M6 2/27/01
Reason: $1.5(c)$		UNECOU ALL ALL ALL ALL ALL ALL ALL ALL A	
Reason: $1.5(c)$			
Declassity on: XI		Reason: $1.5(c)$	
		Declassily on: XI	

DATE: 07-02-2010 CLASSIFIED BY 65179/DHH/pli/low REASON: 1.4 (c) DECLASSIFY ON: 07-0242035



March 12, 2002

BY COURIER.

General Brent Scowcroft (USAF Retired)
Chairman
Thtelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Division, IOB Matter 2002-4."

(U)

b2 b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure.

Off. of EEOA ______

	· · · ·
1 - Mr. Parkinson	
1 - Ms.	UNCLASSIFIED WHEN
1 - Mr.	DETACHED TROM
1 - OPR (IOB 2002-4)	.CLASSIFIED ENCLOSURE
Chief of Staff. 2. — INSD	
Off. of Gen.	
Counsel	
Àsst. Dir.:	Classified by: 39645, NSA/OGC
Admin. Ser	
Crim., Inv CIIS	Reason: $1.5(c)$
Finance	Declassify on: X1
Info, Res.	<u></u> -
Lab:	
National Sec	SECRET
OPR	Hofmann-IOB-2316
Off. of Public	,
& Cong. Affs	

FBVDOI



General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

John P. O'Connor Acting Assistant Director Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection	
Division to OGC and the Director's Office [OPR], dated 02/13/02	
and titled [;] [;]	
Division[;] Intelligence Oversight Board (IOB)	
Matter 2002-4." In this regard, has reported	Ъ
	Ъ
Because the subject was (and remains) a "United States person" as	b
that term is used in Section 101(i) in FISA, continuation of the	b'
investigation required that comply with the	ט
requirements of Executive Order 12863 and the FCIG. Due to	•
administrative error on the part of the assigned case agent,	
failed to submit a 90-day LHM to OIPR, DOJ. As a	
result of the error, the FBI's initiation of a	
involving a "U.S. person" was not reported until	
when s first annual LHM was received by FBI	
Headquarters and forwarded as required. The matter is required	
to be reported to the IOB.	
enpowers. Crim. lav. Inspection 1/3/Graining	-
APPROVED: CIIS Latoratory Off. ct EEO	
Finante	
Duckey and a second a second and a second an	
Deputy Director third. Res Personnel Cong. Alts.	
- 2 - ·	-
	•
	

SECRET

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035



INTELLIGE	NCE	OVERSIGHT	_BOARD	(IOB)	MATTER
•			DIVIS	SION	•
· · · · · ·	IOI	MATTER 2	002 - 4	(U)	

b2 b7E

> b1 b2 b6 b7C b7E

Investigation of this matter has determined that in
conducting a of ,
the Federal Bureau of Investigation (FBI) failed to meet the
initial (90-day) reporting requirements prescribed in
of the Attorney General Guidelines for FBI Foreign Intel-
ligence Collection and Foreign Counterintelligence Investigations
(FCIG). In this regard, the Division ('
") opened a on
on Because the subject was (and remains) a
"United States person" as that term is used in Section 101(i) of
the Foreign Intelligence Surveillance Act of 1978 (FISA),
continuation of the investigation required that
comply with the requirements of Executive Order 12863 and the
FCIG. Due to administrative error on the part of the assigned
case agent, failed to submit a 90-day letterhead
memorandum (LHM) to the Office of Intelligence Policy and Review
(OIPR), Department of Justice (DOJ). As a result of the error,
the FBI's initiation of ainvolving a "U.S.
person" was not reported to OIPR until when
's first annual LHM was received by FBI Headquarters and
forwarded as required.

inspection Laboratory Crim. inv . Linining OH, of EEO Finance/ Gen. Coun National Sec. Affairs_ VMS Director_ Off, of Public & OPR 2/10 Deputy Director Inlo, He Personneli Cong. Alls-

Classified by: 39645, NSA/OGC Reason: 1.5(c) Declassify on: X1

SPORET

DATE: 07-02-2010.

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

March 12, 2002

BY COURIER

General Brent Scowcroft (USAF Retired) Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Division, IOB Matter 2002-5." (U)

b2 b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

1	- Mr.	Parki	Lnson	
1	- Mr.			ľ.
1	- Mr			
1	-JOPR	(IOB	2002,-	-5)
2:	- INS	D		

UNCLASSIFIED WHEN DETACHED FROM CLASSIFIED ENCLOSURE

b6 b7С

Dep. Dir. _____JAW: jaw (6)
Off, of Gen.

Off. of Gen.
Counsel
Asst. Dir.:
Admin. Ser.
Crim., Inv.
CJIS;
Finance
Info. Res.
Lub.
National Sec.
OPR
Off. of Public
& Cong. Affs.
Training

Off. of EEOA

Director's Office

Classified by: 39645 NSA/OGC Reason: 1.5(c). Declassify on: X1

SECRET Hofi

Hofmann-IOB-2319

MAIL ROOM II

FBI/DOJ

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

John P. O'Connor Acting Assistant Director Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection	
Division to OGC and the Director's Office [OPR], dated 02/13/02	4
and titled [;]	7
Division[;] Intelligence Oversight Board (IOB) Matter 2002-5."	-
The referenced EC indicates that the Division (' ')	b1
opened a on	b2
on . When the investigation was opened initially in	bố
	ъ7С
on and that	b7E
fact was discovered by the Division on At that	
point, continuation of the investigation required that	
comply with the 90-day and annual reporting requirements of the	
	-
FCIG. has reported that as a consequence of the heavy	
demands placed on divisional resources	
the required 90-day LHM was submitted	
approximately late, thus necessitating a report of	
this matter to the IOB, (A)	•
	<i>t</i>
Training Inspection Training Inspection Training Inspection Off of FEO	
201, W. 150	•
Finance National Sec. Attairs	····
Director Gen/Conse	ě ·
Deputy OirectorInto Res Personnel Cong. Affs_	
- 2 - · · ·	
SPERET	`

ALL.INFORMATION CONTAINED EXCEPT WHERE SHOWN OTHERWISE

DATE: 07-07-2010

CLASSIFIED BY 61579DMH/1cw/plj

REASON: 1.4 (c) DECLASSIFY ON: 07-07-2035



} ~	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2002-5 (U)	b2 b7E
٠.,	Inquiry has determined that in conducting a	•
	on, a	
	U.S. person', the Federal Bureau of Investigation (FBI) failed to	
г	comply with the initial reporting requirements prescribed in	
L	of the Attorney General Guidelines for FBI Foreign	
	<u>Intelligence Collection and Foreign Counterintelligence</u> <u>Investigations (FCIG)</u> . In this regard, when this investigation	
-	was opened initially by the Division (" ") in	b1
ı	Section	b2 b€
ı	101(i) of the Foreign Intelligence Surveillance Act of 1978. The	. b7С
Γ	101(1) Of the foleigh interfree balveriance has our apro-	. b7E
	on and that fact was discovered by the Division	
	on At that point, continuation of the investigation	
	required that comply with the 90-day and annual reporting	
	requirements of the FCIG with regard to U.S. persons.	
	has reported that due to the heavy demands placed on divisional	•
	resources as a consequence of	
	ts 90-dav <u>initial</u> letterhead memorandum was	
	submitted approximately late. As a result of the	
	error, EBI Headquarters and the Office of Intelligence Policy and	
	Review, Department of Justice, were precluded from exercising	
	oversight and control of this investigation, which is contrary to	
	the requirements ofof the <u>FCIG</u> .	•
•	Classified by: 39645, NSA/OGC	•
	Reason: 1.5(c)	
٠	Declassify on: XI	· VINE
	Frim Inv Inspection II V Iraning	Z Z7 02
	CHS Laboratory Utt. of ECU	-
	Finance Hational Sec. Affairs Off. of Public &	٠.
	Director Gen Dead Space France Afts	
	Deputy Director Into Res Personnel Long. Ales	
	A "United States person" is defined in Section 101(i) of the	
	Foreign Intelligence Surveillance Act (FISA) (codified at 50 U.S.C. § 1801 et seq.) as "a citizen of the United States [or] an alien lawfully admitted for	
•	permanent residence (as defined in section 101(a) (20) of the Immigration and	3.0
٠.	Naturalization Act)" See also of the Attorney General	b2 b7E
	Guidelines for FBI Foreign Intelligence Collection and Foreign Counter-	
	intelligence Investigations.	·
	Hofmann-IOB-2321	
	(10) Highly 200 Edea	



U.S. Department of Justice



Federal Bureau of Investigation

b7E

SECRET

DECLASSIFIED BY 65179/DMH/plj/lcw ON 07-02-2010 Washington 8D. C 22853 20001

BY COURTER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

			ormation is				
letterhead n	nemorandum	(LHM), ent	titled "Înte	elliger	ıce Över	sight	٠
Board (IOB)	Matter,	·	Division		·		
		Γ		, IOB	Matter	2002-6.	"
(U)			•	-	•		

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

UNCLASSIFIED WHEN DETACHED FROM CLASSIFIED ENCLOSURE

Classified by: 39645, NSA/OGC Reason: 1.5(c)Declassify on: $\frac{X1}{C}$

. SECRET

SECRET

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience.

Singerely,

Larty R. Parkinson Géneral Counsel

John P. O'Connor

Acting Assistant Director

Inspection Division

- 1 The Honorable John D. Ashcroft
 Attorney General
 U.S. Department of Justice
 Room 5111
- 1 Mr. H. Marshall Jarrett
 Counsel, Office of Professional Responsibility
 U.S. Department of Justice
 Room 4304

-SHCRET

٠,	ALL, INFORMATION CONTAINED	
٠,	HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE	
٠ ,	ATE: 07-02-2010	•
	LASSIFIED BY 65179/DNH/plj/lcw	
	EASON: 1.4 (c) ECLASSIFY ON: 07-02-2035	,
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	,
	DIVISION AND	b2 _
		b7E
	IOB MATŢER 2002-6 (U)	· ·
	Investigation has determined that, as a consequen	ce
	of technical error, the Division ("	b2 b7E
 . ,	conducted an during the period between an	
	during the period between an	.u
ļ. <i>.</i>	On	
, 	Key	,
		b1
		b2
		ьб . b7c
ŀ		b7E
		-
ŀ		
		•
۱.		·
-		
	On or about it was determined by , in consultation with personnel, that the	_
ŀ	, in consultation with personnel, that th	<u>=</u>
		b2
	Once the error was realized, app	b7E
-	priate action was taken to sequester the unauthorized mate	rial
	for eventual destruction. The error was also promptly rep	orted
	to	
;		
	Classified by: 39645, NSA/OGC Reason: 1.5(c)	
	Declassify on: $\frac{1.5(C)}{X1}$	
-		
	SFEET.	•
-	Hofmann-IOB-2324	
1	•	•

DATE: .07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

May 31, 2002

BY COURTER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, and The Intelligence Oversight and Intelligence O

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

Director's Office

· •			•			
	1 - M	ir. Parkins	on			
	1 - M	ır.			UNCLASS	LEIED WHEN
	1 - M	ir.			DETACHE	DTROM
s. Dír	1 - 0	PR (IOB 20	002-11)	. •	CLASSIF	IED ENCLOSURE
ef of Staff	_2 - I	NSD (1-278		3042-2)		
nnsel	. :	•				
dmin Ser	- .			Classified k	ov: 39645.	NSA/OGC
rim. lav JIS	_			Reason:	1.5(c)	
inance vio. Res.	-	• •		Declassify o	on: XI	-
ab.	_ `					
Antional Sec XPR	_			SPERE		2225
f; of Public & Cong. Affs	,				Hofmann-IOB	-2325
r – carg. rviis		•				

FBI/DOJ

hñ

b2

b7E

MAIL ROOM .

SPERET

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson General Counsel

Francis A. Gallagher Acting Assistant Director Inspection Division

> b1 b2 b6 b7C b7E

5/31/02

NOTE: This matter was	referred	to the Of:	fice of t	he General	
Counsel (OGC) by EC fr	om the Ins	pection D	kvision t	o the	
Director's Office and					
[.4.]	•			•	
[[;]]		7].		[;]	
	[;] IOB	2002 17."	Investi	gation dete	r-
mined that, on	T the 🗀	Div:	ision ope	ned a o	n '
		a "U.S. po	erson" un	der the FCI	G.
IMU's investigation ha				ailed to su	
the required 90-day le	•				
annual LHM, although s	•	•		•	
of Intelligence Policy					
These errors went unde					
	<u>.</u>	· <u>L</u>			
			11/		
	ADDOMISTA	Com. Inv	Inspection_14/	Training	
	机工题员8世界	CJIS	Laboratory	Off. cfl.5EG	
	#11-index		Matienal Sec.	Affairs	
	Obtables	_ Gen. Counsel		Off. of Patric 4	
	Deputy Director	_lafo, Res	Personnel	Cong. Ads	
•	• •	_	ISLY A	•	
	• • •	2 -	MIDIO	• •	
	· 	CLEANING.			
	SE	extru Hofman	n-IOB-2326		
		HUIIII	111700-2320	•	

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035



	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	
	AND	b2 ·
•		b7E
•	DIVISION, FBI HEADQUARTERS	
	IOB MATTER 2002-17 (U)	-
	Investigation has determined that in conducting a	
N	of , a U.S.	
	person', the Federal Bureau of Investigation (FBI) failed to meet	
/	the 90-day and first annual reporting requirements prescribed in	
	of the Attorney General Guidelines for FBI Foreign	bl :
	Intelligence Collection and Foreign Counterintelligence	b2
•	<u>Investigations (FCIG). In this regard, review of this matter</u>	b6
	has determined that the Division (' ') opened its	b7C
	on the subject corporation on	b7E
•	Continuation of the investigation required that and	
•	(initially,	
	and later comply with	
	the requirements of Executive Order 12863 and the FCIG. The 90-	•
	day letterhead memorandum (LHM) was not submitted, and the annual	
	LHM, although submitted, was not forwarded to the Office of	
•	Intelligence Policy and Review (OIPR), Department of Justice.	• *
e .	These errors went undetected until when the	
	LHM was due and properly submitted. As a result	
;	of the delayed reporting, however, OIPR was not advised of the	•
٠.	status of this ongoing investigation for approximately	
	The delayed reporting has been reported to the FBI's Office of	
•	Professional Responsibility. Crim law	
	Finance Of National Sec. Altake	-
•	Finance National Sec. Affairs Director Gen: Course To OPR Off. of Public :-	مديد
	Deputy Director Info. Res Personnel Cong. Afte.	
	Classified by: 39645, NSA/OGC	- constil
.* •	Reason: $\frac{35437}{1.5(c)}$	Am
	Declassify on: *1	VUMA
٠.		5/29/02
	SECRET	•
-		

^{1 (}U) The <u>FGIC</u> define a "United States person" to include "a corporation which is incorporated in the United States, except for a corporation directed and controlled by a foreign government or government." The exception did not apply here, and thus 90-day and annual reports were required.

Hofmann-IOB-2327

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c,d)

DECLASSIFY ON: 07-02-2035

August 30, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

•	X	•
	/ `	

E	nclosed fo	r your	informatio	n is a	self-ex	planato	:у
letterhead:		r (LHM) ,	ențitled	"Intell	igence	Oversigh	ıt
Board (IOB)	Matter.						
					Л		
	Division	FBI I	leadquarter	rs, IOB	Matter	2002-30	

X

40

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

1 -	Mŗ.	Wainstein	
/I)'-	Mr.	· · · · · · · · · · · · · · · · · · ·	٦.
´1 -	Mr.		
1 -	ÓPR	(IOB 2002-	-301

UNCLASSIFIED WHEN DETACHED FROM CLASSIFIED ENCLOSURE

Classified by: 39645. NSA/OGC Reason: 1.5(c) Declassify on: X1

Flofmann-IOB-2328

b6 b7C

b7E

. b7

SPEKET

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein General Counsel

Kevin L. Perkins Acting Assistant Director Inspection Division

	NOTE: This matter was referred to OGC by EC from INSD to	
	the Director's Office and OGC, dated 07/24/02 and titled "	
	(sic)[;][;]	•
		b1
- 1	IOB 2002 30." In this regard, INSD investigation determined that	b2
J	· · · · · · · · · · · · · · · · · · ·	.b6
	, failed to forward to the Office of	.b7С
	Intelligence Policy and Review (OIPR), DOJ, s first	b7E
	annual letterhead memorandum (LHM) concerning s ongoing	
	of	-
٦	As a result of the error,	-
L		•
	OIPR was not advised of the status of this investigation for	
	nearly This is contrary to the requirements of the	•
	Attorney General's Guidelines, and thus must be reported to the	حسيك
		8/14/0:
•		öl
	APPROVED: Crim. Inv. Inspection Training	•
	• • • • • • • • • • • • • • • • • • • •	
	Finance National Sec. Alfairs Alfairs	<u>.</u> .
•	Director Gen, Counsel OPA OH, of Pablic 3	
	Deputy Director Info, Res Personnel Cong. Affs.	•
	SECRET.	
	Hofmann-IOB-2329	

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c,d) DECLASSIFY ON: 07-02-2035



W	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER,	-
/	b . b	
.Y.		2 7E
X		/ E
	FBI HEADQUARTERS	-
•	IOB MATTER 2002-30 (U)	
		•
		•
1 %7	Inquiry has determined that in conducting a	
) N/V:	the Federal Bureau of Investigation (FBI) failed to	
1X 1	comply with the annual reporting requirements prescribed in	• •
•	of the Attorney General Guidelines for FBI Foreign	
•	Intelligence Collection and Foreign Counterintelligence Investi-	
	gations (FCIG). In this regard, the Division ("")	b1
	opened a on the subject on .	b2
	Because the subject was (and remains) a "United States person" as	b6 b7c
	that term is used in Section 101(i) of the <u>Foreign Intelligence</u>	b7E
	Surveillance Act of 1978, continuation of the investigation required that and	. •
•	comply with the reporting requirements of Executive Order	
	12863 and the <u>FCIG</u> . Due to an administrative error, failed	
	to forward s first annual letterhead memorandum reporting	:
•	the status of this case, due in to the Office of	
•	Intelligence Policy and Review (OIPR), Department of Justice. 1	
• • •	As a result of the error, OIPR was not advised of the status of	
	this ongoing investigation for approximately The	•
	matter has been reported to the FBI's Office of Professional Responsibility.	• .
	Responsibility.	
•	Classified by: 39645. NSA/OGC	
	Reason: 5 (C) Inv Inspection Training	
-	Declassify April Wil. CUIS Laboratory Off. of EEO	
	Finance National Sec. Maris Gen. Codnset Company OFF Of Public 6	<u>ئ</u> ـ
•	Deputy DirectorInfo_DesPersonnelCong_Alts	• • •
	North March	
	advised the FBI's Inspection Division that it forwarded the delinquent LHM to the National Security Law Unit (NSLU), Office of the General	b2
-	Counsel, on NSLU has no record of its receipt.	: b7E
. •	Hofmann-IQB-2330	-

September 22, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Division, IOB Matter 2002-31." (U)

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

1	- .	Mr.	Wains	<u>stein</u>	
1.	-	Mr.			1
1	, `.	Mŕ.			
1	:	OPR	(IOB	2002	- -31)
1	_	Mr			. •

MAIL ROOM: O

UNCLASSIFIED WHEN DETACLED FROM CLASSIFIED ENCLOSURE

b6 b70

b7E

andi of State
)ff, of Gen.
ounsel
\sst. Dir.;
Admin: Ser.
Crim, hiv.
Crim, hiv
Finance
Into. Res.
Lab.
. National Sec.
OPR:
Off. of Public
& Cong. Affs
Training
Off. of EEOA
Director's Office
Second a missage second

Classified by: 39645, NSA/OGC Reason: 1.5(c)Declassify on: X1

SECRET

Hofmann-IOB-2331

FBVDOJ

SECRET

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein General Counsel

Lynne A. Hunt Assistant Director Inspection Division

NOTE: This matter was referred to OGC by EC from INSD to	••
the Director's Office and OGC, dated 07/25/02 and titled "	b2
[;] Division	. b6
[;] IOB 2002 31." In this regard, INSD investigation	b7c
has determined that the SAC improperly extended a	b7E
involving a United States person for	• •
beyond the period permitted by the Attorney General Guidelines.	-
When the error was discovered, it was immediately reported to	
Headquarters. Nevertheless, because the was continued without	
proper authority in a matter involving a United States person,	
the matter must be reported to the IOB.	
	Jus.
Training Training Training Training	9/04/02
APPROVED: CHIS Laboratory Off, of EED	
Finance National Sec. Affairs.	
Director Gen. Counsel Con OPR Off. of Public &	
Deputy DirectorInfo. Res Personnel Cong. Alts:	
\sim	• •
grillo-	
-2-1	
sector	

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

SECRET

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

b2 -

	DIVISION	b7E
	IOB MATTER 2002-31 (U)	
	Inquiry has determined that in conducting a	
2	ona United States person',	
	the Federal Bureau of Investigation (FBI) failed to comply with	
	the extension requirements set forth in of	•
	the Attorney General Guidelines for FBI Foreign Intelligence	-
	Collection and Foreign Counterintelligence Investigations (FCIG).	-
	In this regard, the Division () opened its on	.•
•	the subject on The <u>FCIG</u> permit Special Agents	b1
	in Charge (SACs) of Field Divisions to authorize extensions of	b2 b6
	for periods up to one year when justified by facts or infor-	b70
• .	mation obtained during the course of the inquiry. Continuation	b7E
	of a beyond one year requires FBI Headquarters approval.	•
	During a file review conducted by on	•
	it was determined that, as a consequence of administrative error,	• . •
	the in this case had been extended for 90 days by the SAC	
-	on which was beyond the one-year period	•
•	permitted by the FCIG. When the error was discovered on	•
	appropriate corrective action was taken that day by	
•	to request Headquarters' authorization to extend the,	,
	which was granted. Nonetheless, because this was continued	
	without proper authority in a matter involving a United	,,
	States person, a report of the error is warranted.	•
•		
- , -		•
	20.50	
• '	Classified by: 39645, NSA/OGC	
· ·	Reason: $\frac{1.5(c)}{1.5(c)}$	
•	Declassify on: X1	1.
	SECRET Crim law inspection - Training	
	RISTRIBUTE TO THE PARTY OF THE	 .
		. •
	The same of the sa	a.
	Objector Gen. Counsel (IV OPR On, or Public Deputy Director Info Res: Personnel Cong. Affs.	<u> </u>
/TT1	Achail assessing a part of the contraction of the c	
(U)		
• • • •	AS A "United States person" is defined in Section 101(1) of the Foreign Intelligence Surveillance Act (FISA) (codified at 50 U.S.C. § 1801 et	b2
-	seq.) as "a citizen of the United States [or] an alien lawfully admitted for	. b7I
	permanent residence (as defined in Section 101(a) (20) of the Immigration and	-, -
	Naturalization Act) " See also of the FCIG.	

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/1cw

REASON: 1.4 (c)

DECLASSIFY ON: .07-02-2035

September 22, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

,	Enclosed	for yo	ur in:	formatio	on is	a seli	f-expl	anator	У
letterhead	l memoran	dum (LH	M) , e	ntitled	"Int	elliger	nce Ov	ersigh	it ,
Board (IOI	3) Matter	,	Di	vision,	IOB	Matter	2002-	35.‴	(U)

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

1	.	Mr.	Wains	stein	
1	_	Mr.			1
1	_	Mr.			
1	- .	ÓPR	(ĮOB	2002	- 35}
1	-	Mr.			

UNCLASSIFIED WHEN DETACHED FROM CLASSIFZED ENCLOSURE

b6 .b7С

 b_2

ep. Dir.
hief of Staff
/ff. of Gen.
ounsel.
sst. Dir.:
Admin. Ser.
Crim. Inv.
CUIS
Finance
Info. Res.
Lab.
National Sec:
OPR

HT. of Public.
-& Cong. Alls.
Training
off, of EEOA
Director's Office

Classified by: 39645, NSA/OGC Reason: 1.5(c) Declassify on: X1

SPERET :

Hofmann-IOB-2334

MAIL ROOM G

FRIZOOU

SECRET

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein General Counsel

Lynne A. Hunt Assistant Director Inspection Division

	NOTE: This macter was referred to ode by the from that to	
	the Director's Office and OGC, dated 08/22/02 and titled	
	.1 [;]	b1
	Division, IOB 2002 35" In this regard. INSD determined	b2
	that the Division initiated a ona	b6
	U.S. person, in . The was converted to a	b70
	on Because the subject is a U.S. person,	b71
•	continuation of the investigation required that comply	· .
	with the 90-day and annual reporting requirements prescribed in .	•
	the <u>FCIG</u> . Owing to the inexperience of those assigned this case,	•
•	failed to submit to the Office of Intelligence Policy and	
•	Review, DOJ, the letterhead memoranda required. The error must	· Ju
	be reported to the IOB.	O Wil
		9/04
	and the control of th	
	APPROVED: Cris. Inspection 1788 Inspection 178	
	Finance National Sec. Affaire	
	Director Gen. Counsel LW OPR Off. of Public &	
	Deputy Director Info, Res. Personnel Cong. Arts.	
•		
	- 2 - glulous	

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/1cw

REASON: 1.4 (c) DECLASSIFY ON: 07-02-2035

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER, DIVISION IOB MATTER 2002-35 (U)

b2 b7E

Inquiry has determined that in conducting a	
on the Federal Bureau of	
Investigation (FBI) failed to comply with the 90-day and annual	
reporting requirements prescribed in of the Attorney	
General Guidelines for FBI Foreign Intelligence Collection and	
Foreign Counterintelligence Investigations (FCIG). In this	•
regard, on the Division (' ")	
converted a concerning the subject to a	
. Because the subject was (and remains) a	b1 b2 .
"Minited States newcon" as that term is used in Section 101/il of	b6
the Foreign Intelligence Surveillance Act of 1978, continuation	ъ7С
of the investigation required that comply with the	b7E
reporting requirements set forth inof the <u>FCIG</u> .	
Owing to the inexperience of the personnel assigned to this case,	
failed to submit to the Office of Intelligence Policy and	•
Review (OIPR), Department of Justice (DOJ), the 90-day and annual	t.
letterhead memoranda required in an ongoing	
involving a United States person. The errors went undetected by	• .
until a closing report was submitted to FBI Headquarters	
on As a result, OIPR was not advised of the status	•
of this investigation, and, thus, was precluded from exercising	••
meaningful oversight and review of the matter while the subject	
was under investigation. The errors have been reported to the	liva t
FBI's Office of Professional Responsibility.	4777
	110216
APPROVED: Crim: Inv. Inspection Training Inspection Training Off. of EEO	
and a supplication of the state	τ,
Figance National Sec. Affairs Olirector Gen. Counsel COPR Off. of Public &	•
Olirector Gen. Counsel / OPR Off. of Public & Deputy Director Info: Res Personnel Cong. Affs.	•
Classified by: 39645, NSA/OGC	• • •
Reason: $\frac{1.5(c)}{\sqrt{1.5(c)}}$	
Declassify on: X1	
SECRET	
35	
Hofmann-IOB-2336	•
HOTMank-10H-744h	

ALL' INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

SECRET

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/1cw

REASON: .1.4 (c)

DECLASSIFY ON: 07-02-2035

September 22, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Division, IOB Matter 2002-36." (U)

b2 b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

•
6)

UNCLASSIFIED WHEN DETACHED FROM CLASSIFIED ENCLOSURE

b6 b7C

Dep. Dir.
Thief of Staff

Staff

Admin. Ser.

Crim. dav.

CJIS

France

Info. Res.

Lab.

National Sec.

OPR

Off. of Public

& Cong. Affs:

Training

Off. of EEOA

Director's Office

Classified by: 39645, NSA/OGC Reason: 1.5(c) Declasely on: X1

SECRET

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein General Counsel

Lynne A. Hunt Assistant Director Inspection Division

	NOTE: This matter was referr	·	_		•	
	the Director's Office and OGC	, dated 08	3/22/02 an	d titled	-	,
nd 🗀	[;]		[::]		[;]	Ē
X)	Division, IOB 2002 36	" In this	regard,	INSD deter	rmined	bl·
•	that the Division ini				u.s.	, b2
	person, in .		as convert			b 6
.		ecause the		•	nerson:	b7C
,	continuation of the investiga				omply	b7E
,					~ ~	
	with the 90-day and annual re		-	_	_	
٠	the FCIG. Owing to the inexp			_		
•	case, failed to submi					
•	Policy and Review, DOJ, the 1		memoranda	required	. The	14
	error must be reported to the	IOB.	•		• •	UM
			,	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	•	9 ¢5 ¢
٠ :			Crim. Inv.	Inspection -	Training :	
		APPROVED:	CIIS	Laboratory	Off. of EEO	_
• •			Finance	National Sec.	Affairs	•
		Director	Gen. Counsel	OP9	Off, of Public &	•
		Deputy Director	Info. Res	Personnel	Cong. Affs	
. *	The state of the s			,		
•				داير		ì
•		- 2 -		100	•	. :
• • • •			• •	4/1000		
	/	SECRET		-		
		Hot	fmann-IOB-23	. 338	_	

",ALL, INFORMATION CONTAINED "HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035



	INTELLIGENCE <u>OVERSIGH</u> T BOARD (IOB) MATTER,	_
. •	DIVISION	b2
		b7E
	IOB MATTER 2002-36 (U)	
150		
/\.	Inquiry has determined that in conducting a	
	on the Federal Bureau of Investigation	
. *	(FBI) failed to comply with the 90-day and annual reporting	
-	requirements prescribed in of the <u>Attorney General</u>	•
	Guidelines for FBI Foreign Intelligence Collection and Foreign	•
	Counterintelligence Investigations (FCIG). In this regard, on	-
	, the Division (" ") converted a	
٠.	concerning the subject to a	b1
	. Because the subject was (and remains) a "United	, b2
. '	States person" as that term is used in Section 101(i) of the	b6
	Foreign Intelligence Surveillance Act of 1978, continuation of	-b7C b7E
	the investigation required that comply with the reporting	D, L
•	requirements set forth in of the <u>FCIG</u> . Owing to the	
	inexperience of the personnel assigned to this case,	
-	failed to submit to the Office of Intelligence Policy and Review	•
٠ ' .	(OIPR), Department of Justice (DOJ), the 90-day and annual	•
	letterhead memoranda required in an ongoing	
	involving a United States person. The errors went undetected by	
٠	until a closing report was submitted to FBI Headquarters	•
•	on As a result, OIPR was not advised of the status.	
-	of this investigation, and, thus, was precluded from exercising	
	meaningful oversight and review of the matter while the subject	**
	was under investigation. The errors have been reported to the	ـ نام ال
	FBI's Office of Professional Responsibility.	VILMS
-		9 050
	ADDRAUTH, Crim lawInspection	<u> </u>
:	APPROVED: CJSLaboratoryOff. of EEO	-
	Finance Halional Sec. Affairs.	<u></u>
	Director Gen. Counsel CL OPR Off. of Public &	
	Deputy Director Info. Res Personnel Cong. Affs.	.
	Classified by: 39645, NSA/OGC	
	Reason: $1.5(c)$	
•	Declassify on: X1	•
-		•
• •	SECRET	• •

ALE INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: I.4 (c, d)

DECLASSIFY ON: 07-02-2035

October 7, 2002

FBVDOJ

BY COURÏER

General Brent Scowcroft (USAF Retired) Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear General Scowcroft:

MAIL ROOM ID-

E	nclosed for	r your information is a self-explanatory	•
letterhead	memorand <u>um</u>	(LHM), entitled "Intelligence Oversight	b2
Board (IOB)	Matter,	Division, IOB Matter 2002-37."	Ъ
(U)	· · · · · ·		

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

•			•		•	
	1 - 1 -	Mr. Mr	Wainstein Szady			UNCLASSIFIED WHEN
	- ,					
	T -	\mathtt{Mr}_{\cdot}			• -	DETACHED FROM
	1	OPR	(IOB 2002-	37)	•	CLASSIFIED ENCLOSURE
	(A)_	Mr.				
Dep. Dir	/ (*/	171.1				
Cluief of Staff	<u>{·</u> ℃				•	•
Off. of Gen.			, ,	•		
Counsel				•		
Asst. Dir.:	`	•	_			
Admin, Ser	— ;		-	•	Classified by:	39645, NSA/OGC
Crime hav.	_		•		Reason:	1.5(c)
CJIS			•			<u> </u>
Info. Res.	- :				Declassify on:	
Lab.			•			• •
National Sec.	- ·				-4200	•
OPR			•		SHEDAT	
Off. of Public					HO	fmann-IOB-2340
& Cong. Atts.			•	•	-	•
Training			, ,			
OIT of EEOA						
Director's Office.			•		•	

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein General Counsel

Lynne A. Hunt Assistant Director Inspection Division

the Director's Offi Division[;] IOB 200	was referred to ce and OGC, date The case	[;]	nd titled	d, , ,
				b
	. The FB	I had ended o	coverage on	b
X			ed on	<u></u> -
None of the content Nonetheless, because				i
collected must be p	resumed to be th	ose of a U.S	person, th	
requiring a report	of the unauthori	zed coverage	to the IOB.	•
	APP	ROVED: Crim. inv.	Inspection Laboratory	Training Off. of EEO
		, , , , , , , , , , , , , , , , , , ,		
		Finance	National Sec	Affairs
	Oirector Denuity	Gen. Counsel_	W OPR	Officer Public &
		Gen. Counsel_	W OPR	Officer Public &
		Gen. Counsel_	W OPR	Officer Public &

ALLAINFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/low

REASON: 1.4 (c, d) DECLASSIFY ON: 07-02-2035



	The Division ('	
r	eported that, owing to an equipment malfunction on	
		•
、┟┕		1
一		١.
-		
		-
· · · · · · · · · · · · · · · · · · ·		-
·	of the subject	
	of the subject	- · · ·
]
	ended on and had closed its of the subject on]
	ended on and had closed its]
	and had closed its of the subject on A total of	b2 b7E
E E	A total of The nature or the	
E E	and had closed its of the subject on The nature of the	

Classified by: 39645 Reason: Declaseity

(U) Hofmann-IOB-2342 b2



Because the contents of the recordings remain unknown, the data collected without authority are presumed to be those of a United States person², thus requiring a report of these events to the IOB. (2)

		+	9/15
APPROVED:	Crim. Inv.	Inspection =	Training Off. at EEO:
Director	FinanceGen. Counsel_//	Mational Sec.	Affairs
Deputy Director	inio Res	Personnel	Off. of Public & Cong. Affs.

ALL INFORMATION CONTAINED

REPEINTS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

October 7, 2002

FBVDOS

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your in	nformation is a self-explanatory	•	-,
letterhead memorandum (LHM),	entitled "Intelligence Oversight		b2
Board (IOB) Matter,	Division, IOB Matter 2002-38."	(U)	b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

Director's Office.

1 - Mr. Wainstein

MALE ROOM -

1 - Mr. 1 - Mr.		Unclassified when detached from
1 - OPR (IOB 2002-3	38)	CLASSIFIED ENCLOSURE
①- Mr.		
Dep. Dir		
Chief of Staff Off, of Gen:		
Counsel	• •	
Asst. Dir.: Admin, Ser	Classified by: Reason:	39645, NSA/OGC 1-5(C)
Finance	Declassify on:	<u>X1</u>
lufo, Res Lab National Sec	SECRET	
OPR_OPR	H	ofmann-IOB-2344
& Cong. Affs Training		
Off, of EEOA		



General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein General Counsel

Lynne A. Hunt Assistant Director Inspection Division

vision[;] IOB 2002	_[[;] 38`."	[;]		}
]
		•		
porcante maccer.	·	,	<u> </u>	لِ
outcable maccer.		Crim law Inspection 2	Training	
outcable maccel.	APPROVED:	Crim Inv. Inspection CUS Laboratory	TrainingOff. of EEO.	- 4.jı
OULCADIE MACCEL.	Director	CJIS Laboratory Finance Hational Sec. Gen. Counsel OPR	Off. of EEO: Affairs Off. of Public &	
policable maccel.		CJIS Laboratory Finance National Sec.	Off. of EEO. Affairs	

ALL INFORMATION CONTAINED HEREIM, IL UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE * DATE: 07-02-2010 CLASSIFIED BY 65179/DMH/plj/lcw REASON: 1.4 (c) DECLASSIFY ON: 07-02-2035 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER. b2 -DIVISIONb7E IOB MATTER 2002-38 (U) Inquiry has determined that the Federal Bureau of Investigation (EBI) inadvertently conducted a form of in violation of Title 50, United States Code, Section 1805. In this regard, b1 b2 b6 b7C b7E Nonetheless, the data collection was unauthorized, necessitating this report of the error to the Intelligence Oversight Board. Classified by: 39645, NSA/OGC Reason Declassify on: 9118102 Inspection_ Training Crim: inv._ CJIS FaporatorA-Off. of EEO National Sec. Finance __ Affairs . 099 Off. of Public & Gen. Counsel Depoty Onector Into: Res. Personnel, Cong. Alls._

October 31, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, Division, IOB Matter 2002-40." (U)

. b2 b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

1	$\mathtt{Mr.}_{_}$	Wains	stein	
1 -	Mr.			
1 -	Mr.			
1-	ØPR_	(IOB	2002	- 40)
	Mr.			

UNCLASSIFIED WHEN DETACHED FROM CLASSIFIED ENCLOSURE

b6 b7C

Dep. Dir.
Chief of Staff
Off. of Gen.
Counsel.
Asst. Dir.:
Admin. Ser.
Crim., Inv.
CJIS
Finance
info. Res.
Lab:
National Sec.
OPR
Off. of Public
& Cong. Affs.
Training
Off. of EGOA

Director's Office

Classified by: 39645, NSA/OGC Reason: 1.5(c)Declassify on: X1

SECRET

Hofmann-IOB-2347

FB1/DOJ

MAIL ROOM C

SECRET-

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein General Counsel

Lynne A. Hunt Assistant Director Inspection Division

		by EC from INSI 3/02 and titled 'Division	·	b2 b6
				b7C b7E
		Crim, InvInspection	Training	10/03/02
	Offector	CIIS Laboratory Finance National Sec. Gen Counsel CPR Info: Res. Personnel	Off. of EEO Affairs Off. of Public & Cong. Affs	-

ALL INFORMATION CONTAINED.
MEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/1cw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035.



INTELLIGENCE	OVERSIGHT	BOARD	(IOB)	MATTER
	<u> </u>	IVISTO	N	٠,
ΙΟ	B MATTER 20	02-40	(U.)	

b2 b7**E**

> b1 b2 b6 b7C b7E

•		• •							
	Inqui	ry has det	ermined	that t	:he	<u> </u>	Divi	ision c	f
	the Federal Bur					ailed			
X	correct			s inter					<u> </u>
							person,	which	┦.
	resulted in the		_		_		own pe		
•			this r	egard.			<u>L</u>		٦٠
I				<u>-</u>	•				
	1								
	1								
.		ge was imm							
i	was determined		g the p	eriod k	hetwe	en the	submis	ssion c	
,)Į
	s red	uest to FB	I Headq						ρĘ
	s req			uarters	s for	autho	rity to	ó	
[was authorized		I Headq if the s , the	uarters	s for	autho the ti	rity to me that	o t cove	rage
			f the s	uarters	s for	autho the_ti i	rity to	t cove tion ha	cage ad
[was authorized	0	f the s	uarters	s for	autho the_ti i	rity to me that n quest	t cove tion ha	cage ad
	was authorized was discovered,	àll	f the s	uarters	s for and	autho the ti i As so	rity to me that n quest on as	o t cove tion ha the er	cage ad
	was authorized was discovered, related to that	àll	the s	uarter: ubject	s for and w	autho	rity to me that n quest on as	t cover tion hat the err	cage ad
	was authorized was discovered, related to that sequestered, an	àll	the sthe state of the state of	uarter: ubject	s for and w	autho the ti As so ere co	rity to me that n quest on as llected adquar	t cover tion hat the err d, ters	cage ad
	was authorized was discovered, related to that sequestered, an for submission	all d subseque	the street, the st	uarters ubject rwardec	we for and we will do not a to	autho the ti As so ere co FBI He tructi	rity to me that n quest on as llected adquar on. No	t coveration hat the error ters	rage ad cor
	was authorized was discovered, related to that sequestered, an for submission investigative a	all d subseque	the street, the st	uarters ubject rwardec	we for and we will do not a to	autho the ti As so ere co FBI He tructi	rity to me that n quest on as llected adquar on. No	t coveration hat the error ters	rage ad cor
	was authorized was discovered, related to that sequestered, an for submission	all d subseque	the street, the st	uarters ubject rwardec	we for and we will do not a to	autho the ti As so ere co FBI He tructi	rity to me that n quest on as llected adquar on. No	t coveration hat the error ters	rage ad cor
	was authorized was discovered, related to that sequestered, an for submission investigative a	all d subseque	the street, the st	uarters ubject rwardec	we for and we will do not a to	autho the ti As so ere co FBI He tructi	rity to me that n quest on as llected adquar on. No	t coveration hat the error ters	rage ad cor
	was authorized was discovered, related to that sequestered, an for submission investigative a	all d subseque	the street, the st	uarters ubject rwardec	we for and we will do not a to	autho the ti As so ere co FBI He tructi	rity to me that n quest on as llected adquar on. No	t coveration hat the error ters	rage ad cor

Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1

Crim, lav. Inspection_ Training_ CJIS Laboratory Off. of EEO Finance National Sec. Affairs_ Director Gen. Counsel_ OPR Off. of Public & Deputy Director Info. Res. Personnel_ Cong. Affs.

October 04, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

ALL ROOM C

• •	Enclosed	for your	infor	mation is a	a self-expla	anatory
letterhead	d memorano	dum (LHM)	, enti	tled "Inte	lligence Ove	ersight
Board (IO	B) Matter,		_	Division,	IOB Matter	2002-41."
(U)		<u> </u>			٠,	•

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations: This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure '

Director's Office

		• •	*.	•	
	1 - Mr. <u>Wair</u>	stein .			
	1 - Mr.		. •	UNCLASSIFIED WH	EN
	1 - Mr.	 '	•	DETACHED ROM	, . ·
	1 - OPR (IOF	3 2002 41)		CLASSIFIED ENCL	OSURE
Dep. Dir.	(1) - Mr.		•		
hief of Staff	- U'		er e	• -	
of Gen.	_ ,		•	-	
ounsel			•		-
sst. Dir.:	-	•	classical boo	20645 NET JOCC	
Admin, Ser Crim., Inv.		· · · .	Classified by:	39645, NSA/OGC	•
CJIS		•	Reason:	-1.5(c)	
Finance		. *	Declassify on:	XI	•
Inio. Res.	<u> </u>		beerassiry on.	***	
Lab.			. •		
National Sec	<u>·</u>		-SECRET	-	
OPR	<u> </u>				-
Off. of Public		•	Ho	fmann-IOB-2350	
& Cong. AlTs			ino	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Training		. •	•		
OF ALCEOA		i i			

FBVDOI

b2 b7**E**

SECRET-

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein General Counsel

Lynne A. Hunt Assistant Director Inspection Division

	is matter was s Office and [:] 41."					[;] <u>(</u> . b
	As soon as	• • • • • • • • • • • • • • • • • • • •			data was	- Johns
properly	sequestered f	or eventua	ıl destruc	tion.		10lonlo
			APPROVED:	Crim. Inv.	Inspection 2	Training

ida

Off; of Public &, Cong. Alis.

SECRET

ALL INFORMATION CONTAINED #HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: .1.4 (c) DECLASSIFY ON: 07-02-2035



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION TOB MATTER 2002-41 (U)

b2 .b7E

Training inspection_ Off. of EEO Laboratory. Affairs_ National Sec. Off, of Public & Personnel Cong. Alis

10/04/0:

OPA

Classified by: Reason:

APPROVED:

Director_

Deputy Director

Declassify on:

Hofmann-IOB-2352

Crim. Inv._

Finance ____ God Counsel

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/1cw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035.

October 04, 2002

BY COURIER

General Brent Scowcroft (USAF Retired) Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear General Scowcroft:

Enclosed fo	r your information;	is a self-explanatory
létterhead memorandum	(LHM), entitled "I	ntelligence Oversight
Board (IOB) Matter,	Division, IOB 1	Matter 2002-42." (U)

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

1	جد	Mr.	Wains	stein	•		. ,	
1	· -	Mr.						
1	÷	Mr.						
1	_	OPŖ	(.IOB	2002	42)			
<u> (</u>		Mr.						
						• . •	, .	

UNCLASSIFIED WHEN DETACHED FROM CLASSIFIED ENGLOSURE

b6 b7C

b7E

Chief of Staff
Off. of Gen.
Counsel
Asst. Dir.:
Admin, Ser.
Crim., luv
CIIS
Finnee
hifo: Res.
Lab:
National Sec:
OPR
Off. of Public
& Comp. Afts
Training
OIT. of EEOA
Dianter's Office

Den. Dir.

Classified by: 39645_NSA/OGC
Reason: 1.5(c)
Declassify on: X1

SECKET

'Hofmann-IOB-2353

FBVDOJ

MAIL ROOM: [3



General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein General Counsel

Lynne A. Hunt Assistant Director Inspection Division

42." In this instance, the Division assumed incorrectly, that the subject of its was a "non-U.S. person" as that term is used in FCIG. As a result, b7c failed to submit 90-day LHM, as required. The error went undetected until when submitted an annual LHM, which concluded upon review did not provide a sufficient basis for s . The investigation was therefore ordered closed, and sadministrative errors were reported as potential TOB matters.	Volumes 10/07/02	
42." In this instance, the Division assumed incorrectly, by that the subject of its was a be non-U.S. person" as that term is used in FCIG. As a result, by the property of th	potential IOB matters.	investigation was therefore ordered closed
42." In this instance, the Division assumed, incorrectly, b2 that the subject of its was a b6 "non-U.S. person" as that term is used in FCIG. As a result, b7c failed to submit 90-day LHM, as required. The error went		
42." In this instance, the Division assumed incorrectly, b2 that the subject of its was a b6 "non-U.S. person" as that term is used in FCIG. As a result, b7c	edutted. The effor well	
42." In this instance, the Division assumed, incorrectly, b2	in <u>FCIG</u> . As a result, b7c	"non-U.S. person" as that term is used in
	ion assumed. incorrectly, b2	
Director's Office and OGC, dated 09/23/02 and titled "	c) $[\cdot]$ $[\cdot]$ TOB 2002	Director's Office and OGC, dated 09/23/02 a

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/Icw .

REASON: 1.4 (c) DECLASSIFY ON: 07-02-2035



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER, DIVISION IOB MATTER 2002-42 (U) Inquiry has determined that in conducting a board on a "United States person"," the Division (' ") of Federal Bureau of Investigation (FBI) failed to comply with the 90-day reporting requirements prescribed in of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counter-
Inquiry has determined that in conducting a not
Inquiry has determined that in conducting a on a "United States person"," the Division (" ") of Federal Bureau of Investigation (FBI) failed to comply with the 90-day reporting requirements prescribed in of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counter-
Inquiry has determined that in conducting a on a "United States person'," the Division (' ") of Federal Bureau of Investigation (FBI) failed to comply with the 90-day reporting requirements prescribed in of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counter-
on , a "United States person'," the Division (' ") of Federal Bureau of Investigation (FBI) failed to comply with the 90-day reporting requirements prescribed in of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counter-
on , a "United States person'," the Division (' ") of Federal Bureau of Investigation (FBI) failed to comply with the 90-day reporting requirements prescribed in of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counter-
on , a "United States person'," the Division (' ") of Federal Bureau of Investigation (FBI) failed to comply with the 90-day reporting requirements prescribed in of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counter-
the Division (" ") of Federal Bureau of Investigation by (FBI) failed to comply with the 90-day reporting requirements prescribed in of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counter-
(FBI) failed to comply with the 90-day reporting requirements prescribed in of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counter-
prescribed in of the Attorney General Guidelines b for FBI Foreign Intelligence Collection and Foreign Counter-
/m====
intelligence Investigations (FCIG)
When this investigation was opened on,
assumed, incorrectly2, that the was a
"non-United States person" as that term is used in
3 As a result,failed to submit a 90-day letter-
head memorandum (LHM) setting forth the basis for the initiation of its investigation, as required by of the FCIG.
These errors went undetected until when
Mese effors went undetected until
Classified by: 39645_NSA/OGC
Reason: $1.5(c)$
Declassify on: X1
SECRET
U) A "United States person" is defined
as "a citizen of the United States, an alien lawfully admitted for permanent residence (as defined in of the Immigration
for permanent residence (as defined in of the Immigration and Naturalization Act) or a corporation which is incorporated in the
United States " (Emphasis added.) See also of the
FCIG.
5 (U) <u>See</u> <u>FCIG</u> . b2 b7E
Hofmann-IOB-2355

(U)	submitted its first report concerning this investigation, an annual LHM, which FBI Headquarters determined upon review did not provide a sufficient basis for a	b2 b7I
•		•

10/07/02

	Crim. Inv	Inspection	Training
APPROVE	. cns	Laboratory	Off. of EEO
111 1 110 1	Finzace	National Sec	Affairs
Director	Gea. Counsel_C	77 0PR	Off, of Public &
Deputy Director	hito. Res	Personnel	Cong. Alts

iols of

2

SECRET

October 31, 2002

BY COURIER

General Brent Scowcroft (USAF Retired) Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Division, IOB Matter 2002-47:" (U) Board (IOB) Matter,

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBT Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or. laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted.

OPR Off. of Public

& Cong. All's. Taining Off, of EEOA Director's Office

	Enc	:Losu	rė.			•		•	-		1	
	1 -	· Mr.	Wair	nsteir	1	-	,				•	
	1 -	Mr.		_		•			ONCL	ASSIFI	ED WHI	EŃ
	.1 -	Mr.	L	_	J				DETA	CHES FI	ROM	
	1 -	OPR	(IÓI	3 2002	2 47)	•	· .			SIFIED		QSURE
1	/ ij -	Mr.			•	· .						
Dep. Dif,	_	. ^				•		-		•		
Chief of Staff Off, of Gen.	_		÷		•	•	• •				-	
Counsel		,										
Asst. Dir.;	_									,		• '
Admin, Ser.	_	•			•					•		
Crim, lav.	- '		- •	•		Deriv	ed fro	m ·	G-3			
CJIS	- .								9-3		,	
info. Res.	- .			•	•	∙vec⊥a	ssyfy	Or :	XT		•	
Lab.						•						
National Sec.						•		300				

Hofmann-IOB-2357

Mail Room 🗆

FBI/DOJ

b6 b7C b2

b7E

SECRET

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein General Counsel

Lynne A. Hunt Assistant Director Inspection Division

NOTE: This case was referr Director's Office and OGC, [;] 47 " In this instance as		04/02 and D <u>i</u> v			b2 b4 b6 b7c b7D
			, the	overrun is	∫ b7E
reportable to the IOB.	APPROVED:	Crim. Inv. CJIS Finance Gent Counsel:	Inspection Laboratory National Sec.	Training Off. of EEO Affairs Off. of Public &	ulius Valiala -
	Deputy Director	Jinto, Res	Personnal	Cong. Atls	-
	- 2: -				

ALL INFORMATION CONTAINED HEREIM IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

DATE: 07-02-2010

CLASSIFIED BY 65179/DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-02-2035

SECRET

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

·	•	IOB MA	TTER 2002-	47 (U)	r,		.b7E
					• •		· •
the marginature and the control of	On						I b1
·	The state of the s	The same of the same of the same					b2
		·					bá
							b ^r
			involved, o	coverage	did not a	ctually	
;					or was rea		
		appropriate					• . •
	ster the una					ction.	•
None	of the conte	ents of the					
renor	ted to		The	e error	was also p	romptry	• .
repor	cea. co						
							,
							b6 b7C
			,				11
-						ľ	
•							
			HODDOVED.	Crim Inv	lesiection 2	Training	• •
			approved:	CJS	Laboratory	Off. of £59	
			Director	Finance	Mational Sec	Atlaire	
•			Date District	_Gen: Counsel_/	OPR	Off. of Public &	

Derived from: G-3
Declassify on: X1
SECRET

December 3, 2002

BY COURIER

General Brent Scowcroft (USAF Retired) Chairman Intelligence Oversight Board Old Executive Office Building Washington, D.C.

Dear General Scowcroft:

MAIL ROOM: []

& Cong. Affs. _ Training __ Off: of EEOA __ Director's Office.

• • .	Enclosed	for your	information	on is a	self-ex	planatory	
letterhea	d memorano	dum (LHM)	, entitled	"Intel	ligence_	<u>Oversiaht</u>	
Board (IO				ision a	_		
		Divi	sion, IOB	Matter 2	2002-51.	" (U)	

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted (II)

	investigations. This	matter has also nsibility for a c	been referred to our Off letermination of whether U)
	Enclosure		
	1 - Mr. Wainstein		
;	1 - Mr. 1 - Mr.		UNCLASSIFIED WHEN DETACHED FROM
	OPR (IOB 2002 51)		CLASSIFIED ENCLOSURE
Dep. Dír	<u></u>		
Chief of Staff Off. of Gen.			
Counsel			
Asst. Dír.: Admin. Ser.	·		
Crim. Inv.		A Section 1	
ČIIS	<u>, </u>	Derived from :	G - 3
Info. Res.		Declassify on:	<u>-X1</u>
Lab.		•	
National Sec.	<u>·</u>	SECRET	• •
OPR Off. of Public		Ho	ofmann-IOB-2360

FBI/DOI

b6

b2 b7E

SECRET

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Patrick W. Kelley Deputy General Counsel

lius

APPROVED: Crim. Inv. Inspection Training Off. of EEO

Finance Laboratory Off. of EEO

Finance Rational Sec. Affairs

Director Gen: Counsel CV CPR Off of Public S

Deputy Director Info, Res. Personnel Cong. Afra

- 2

SECRET

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

DATE: 07-07-2010

CLASSIFIED BY 65179DMH/plj/lcw

REASON: 1.4 (c)

DECLASSIFY ON: 07-07-2035



	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	
	DIVISION AND THE	b2
-	DIVISION	b7E
•	IOB MATTER 2002-51 (U)	
		,
•		
	Investigation of this matter has determined that	
1	in conducting aof	
	the Federal Bureau of Investigation (FBI) failed to meet the	•
-	annual reporting requirements prescribed in bf,	-
	the Attorney General Guidelines for FBI Foreign Intelligence	. bl ,
	Collection and Foreign Counterintelligence Investigations (FCIG).	b2
	In this regard, on the Division	ь6 ·
	("") opened a on the	b7C '
	subject which subsequently was converted to a	b7E
•		:
• •	on Because the subject was	
	(and remains) a "United States person" as that term is used in	
	Section 101(i) of the <u>Foreign Intelligence Surveillance Act of</u>	
•	1978 (FISA), continuation of the investigation required that	•
•	and the	:
	Division, FBI Headquarters, comply with the reporting require-	
	ments of Executive Order 12863 and the FCIG. Due to apparent	-
	administrative error on the part of the assigned case agent,	
•	s required annual letterhead memorandum was	
	submitted late to the Office of Intelligence Policy	
	and Review (OIPR). Department of Justice. The error went	
."		
	OIPR was precluded from conducting meaningful oversight and	
5.	review of an of a U.S. person during	
• • •	the period in question. The matter has been reported to the	
	FBI's Office of Professional Responsibility.	
		•
	ADDDAILD. Crim. lay Inspection Training	- chais.
ep. Dir	APPROVED: Cals Laboratory Off. of EEO	O.C.
tief of State	Finance National Sec Allairs	- colsole
ff. of Gen. ounsel	Derived from Gen. Counsel VII GAR. Off, of Public &	-
sst. Dir.:	Deputy Director Info res Personner Cond. Ans.	-
Admin.:Ser Crim Iav	Declaseity do: XI	
CJIS		•
Finance Into. Res	SECTET	
Lab.		•
National Sec OPR		
OFR NT, of Public	Hofmann-IOB-2362	
& Cong. Affs	_	
Training Offof EEOA		
irector's Office		FBI/DOJ
	MAIL ROOM D	