

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DNH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

October 9, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted]
[redacted] Counterterrorism Division,
IOB Matter 2001-56." ~~X~~

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-56)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir. _____
- Admin. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

WMS

2 - INSD (278-HQ-C1229736-717 Serial 15) (IOB 2001-56)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2263

MAIL ROOM □

FBI/DOJ

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the INSD, dated 09/25/01 and captioned:

[Redacted]

[Redacted] Intelligence Oversight Board (IOB) Matter 2001-56." In this regard, due to apparent administrative error, the [Redacted] failed to download and forward to OIPR [Redacted] s initial 90-day letterhead memorandum regarding [Redacted] a U.S. person. As a result of the error, the FBI's opening of a [Redacted] on a U.S. person was not reported to OIPR for approximately [Redacted]. This was a violation of [Redacted] of the Attorney General Guidelines. (X)

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| APPROVED: | Crim. Inv. _____ | Inspection <u>RRP/WHK</u> | Training _____ |
| | C.I.S. _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel <u>[Signature]</u> | OIPR _____ |
| Deputy Director _____ | Int. Affs. _____ | Personnel _____ | Cong. Affs. _____ |

VMS
9/20/01

~~SECRET~~

Hofmann-IOB-2264

DATE: 07-02-2010
CLASSIFIED BY: 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[Redacted]

IOB MATTER 2001-56 ~~(S)~~

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Inquiry has determined that in conducting a [Redacted]
[Redacted] of [Redacted] a U.S. person, the Federal
Bureau of Investigation (FBI) failed to meet the initial 90-day
reporting requirements prescribed in [Redacted] of the Attorney
General Guidelines for FBI Foreign Intelligence Collection and
Foreign Counterintelligence Investigations (FCIG). In this
regard, the [Redacted] Division [Redacted] opened its investigation
of the subject on [Redacted] and forwarded the required
90-day letterhead memorandum (LHM) to the [Redacted]
[Redacted] within the period prescribed. Due to
administrative error, [Redacted] failed to submit the required 90-
day letterhead memorandum to the Office of Intelligence Policy
and Review (OIPR), Department of Justice until [Redacted].
The error precluded meaningful oversight and review of [Redacted]'s
ongoing investigation by OIPR, which is contrary to the require-
ments of [Redacted] of the FCIG. ~~(S)~~

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| APPROVED: | Crim. Inv. _____ | Inspection <i>AKH/OPR</i> | Training _____ |
| | CJS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Coun. <i>AKH</i> | OPR _____ |
| Deputy Director _____ | Info. Sec. _____ | Personnel _____ | Cong. Affs. _____ |

AKH
9/28/01
myu

~~Classified by: 39645, NSA/OGC
Reason: 1.5 (c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2265

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY: 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

October 5, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2001-55."
(U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-55)
- 1 - INSD (1-278-HQ-C1229736-47 Serial 23)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

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~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2266

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 9/20/01 and titled [redacted]

(S) [redacted] Division[;] Intel-
ligence Oversight Board (IOB) Matter 2001-55. In this regard, it
has been determined that the [redacted]

(S) [redacted]

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| APPROVED: | Crim. Inv. _____ | Inspection <i>PR/INT</i> | Training _____ |
| | CJS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsil <i>AP</i> | OPR _____ |
| | Deputy Director _____ | Info. Sys. _____ | Personnel _____ |
| | | | Off. of Public & Cong. Affs. _____ |

MP
9/22

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Hofmann-IOB-2267

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

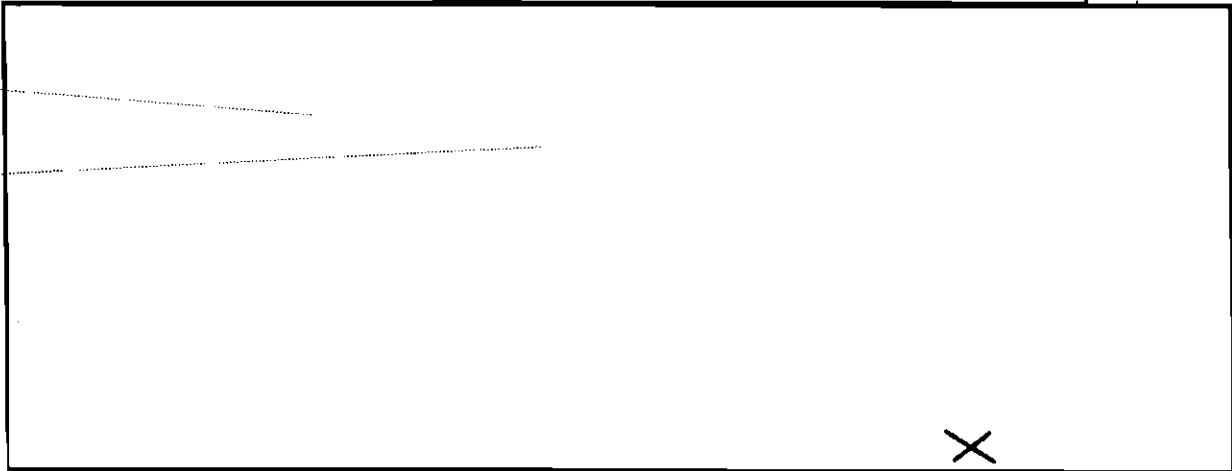
DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2001-55 (U)

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The [redacted] Division of the Federal Bureau of
Investigation (FBI) has reported that, owing to an operational
error committed by the [redacted]



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| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | CJIS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel _____ | Off. of Public & _____ |
| | Deputy Director _____ | Info. Res. _____ | Personnel _____ |
| | | Cong. Affs. _____ | |

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Classified by: 39645, NSA/OGC
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

~~SECRET~~

Hofmann-IOB-2268

DATE: 07-02-2010
CLASSIFIED BY 65179/DNH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

October 9, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division and the [redacted]

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[redacted]
IOB Matter 2001-57. (U)

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-57)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CHS _____
- Finance _____
- Info. Res. _____
- Int. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

[redacted] - INSD (278-HQ-C1229736-34 Serial 63) (IOB 2001-57)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2269

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Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 09/25/01 and captioned [redacted]

[redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-57." The EC indicates that, owing to a series of personnel transfers and the reassignment of [redacted] agents, [redacted] s second annual LHM was several months overdue when it was submitted in [redacted] s initial error was compounded by that of the [redacted] [redacted] which failed to realize that the LHM was overdue until June 2001, when a paper copy of [redacted] LHM was received by the Headquarters and forwarded to the Office of Intelligence Policy and Review (OIPR), Department of Justice. ~~181~~

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| APPROVED: | Crim. Inv. _____ | Inspection <u>DRY/WB</u> | Training _____ |
| | CJIS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| Director _____ | Gen. Counsel <u>DRP</u> | OPR _____ | Off. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Cong. Affs _____ |

- 2 -

~~SECRET~~

Hofmann-IOB-2270

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
AND THE

[REDACTED]

IOB MATTER 2001-57 (U)

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(S) Inquiry has determined that in conducting a [REDACTED] of [REDACTED] a United States Person, the Federal Bureau of Investigation (FBI) failed to meet the reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division [REDACTED] opened its investigation of the subject in [REDACTED]. Thereafter, owing to a series of personnel transfers and the re-assignment of this case to several case agents, [REDACTED] letterhead memorandum (LHM), due in [REDACTED] was not submitted until [REDACTED] when it was uploaded into the FBI's Automated Case Support (ACS) system. [REDACTED]'s initial error was compounded by that of the [REDACTED] which failed to realize that the LHM was overdue until [REDACTED] when a paper copy of [REDACTED]'s LHM was received by the Headquarters and forwarded to the Office of Intelligence Policy and Review (OIPR), Department of Justice. These errors precluded meaningful oversight and review of [REDACTED]'s ongoing investigation by OIPR, and is contrary to the requirements of [REDACTED] of the FCIG.

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| APPROVED: | Crim. Inv. _____ | Inspection <i>DR/H/W</i> | Training _____ |
| | CNS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Coun. <i>JAP</i> | Off. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Comp. Affs. _____ |

Classified by: ~~39645 NSA/OGC~~
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

~~SECRET~~

Hofmann-IOB-2271

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

October 5, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2001-58." (U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-58)
- 1 - INSD (1-278-HQ-C1229736-9 Serial 15)
- 1 - [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Inf. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public
& Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5 (c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2272

~~SECRET~~

Honorable Warren B. Rudman

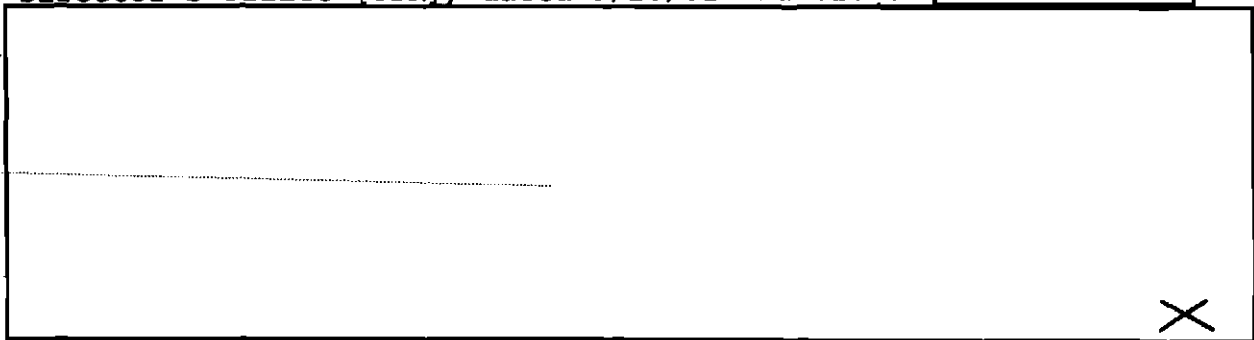
Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 9/20/01 and titled [redacted]



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| APPROVED: | Crim. Inv. _____ | Inspection <i>DRK/10/01</i> | Training _____ |
| | C.N.S. _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| Director _____ | Gen. Counsel <i>AP</i> | OPR _____ | Ext. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Org. Affs. _____ |

Handwritten initials and date: 9/22/01

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~~SECRET~~

Hofmann-IOB-2273

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2001-58 (U)

Investigation conducted by FBI Headquarters has
determined that the [redacted] Division of the FBI [redacted]

[Large redacted area]

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| Crim. Inv. | Inspector <i>[initials]</i> | Training |
| CJS | Laboratory | Off. of EEO |
| Finance | National Sec. | Affairs |
| Director | Gen. Counsel <i>[initials]</i> | Off. of Public & |
| Deputy Director | Inf. Res. | Cong. Affs. |
| | Personnel | |

DMH
9/22/0

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

[Large redacted area]

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[Redacted box]

Hofmann-IOB-2274

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DHH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2001-58 (U)

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Investigation conducted by FBI Headquarters has
determined that the [REDACTED] Division of the FBI [REDACTED]

[REDACTED]

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~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

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[REDACTED]

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[REDACTED]
Hofmann-IOB-2275

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

October 23, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Office, IOB Matter
2001-59" (U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-59)
- 2 - INSD (278-HQ-C1229736-53 Serial 94 (IOB 2001-59))

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (7)
P. Mc [redacted]

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~ Hofmann-IOB-2276

MAIL ROOM

FBI/DOJ

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 10/16/01 and titled [redacted]

[redacted]

Office[;] Intelligence Oversight Board (IOB) Matter 2001-59." Investigation has determined that, on [redacted] initiated a

[redacted] Because the [redacted] continuation of the [redacted] required that [redacted]

comply with the requirements of the FCIG. On [redacted] determined that its [redacted] had expired on [redacted] and that [redacted] had not submitted a request to [redacted]

[redacted], nor had a request to extend the [redacted] been submitted for Headquarters' approval. The FBI's administration and oversight of this case was contrary to the requirements of the FCIG. ~~(S)~~

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| APPROVED: | Crim. Inv. _____ | Inspection <i>DR</i> | Training _____ |
| | CJS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| Director <i>2</i> | Gen. Counsel <i>DR</i> | OPA _____ | Off. of Public & _____ |
| Deputy Director _____ | Info. Sys. _____ | Personnel _____ | Cong. Affs. _____ |

~~SECRET~~ Hofmann-IOB-2277

10/17

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] OFFICE
IOB MATTER 2001-59 (U)

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(S) Investigation of this IOB matter has determined that
on [redacted] initiated
a [redacted]
[redacted] who was
(and remains) a "United States person" as that term is used in
Section 101(i) of the Foreign Intelligence Surveillance Act of
1978. Thus, continuation of the [redacted] required that [redacted] comply
with the requirements of Executive Order 12863 and the Attorney
General Guidelines for FBI Foreign Intelligence Collection and
Foreign Counterintelligence Investigations (FCIG). During a file
review conducted by [redacted] it was determined
that [redacted] had expired on [redacted] and that [redacted] had
not submitted a [redacted]
[redacted] nor had a request to extend the [redacted] been
submitted for Headquarters approval. The FBI's administration
and oversight of this case was, thus, contrary to the require-
ments of the FCIG. ~~✗~~

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| APPROVED: | Crim. Inv. | Inspection | Training |
| | CJIS | Laboratory | Off. of EEO |
| | Finance | National Sec. | Affairs |
| Director | Gen. Counsl. | OPR | Off. of Public & |
| Deputy Director | Info. Res. | Personnel | Cong. Affs. |

JUL 10/10/c
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Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2278

~~SECRET~~

October 18, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division, IOB Matter 2001-60." (U)

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This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

- ① [redacted]
- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-60)
- 2 - INSD (278-HQ-C1229736-56 Serial 3) (IOB 2001-60)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.: _____
- Admin. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2279

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division, dated 10/01/01 and captioned [redacted]

[redacted]
Division[;] Intelligence Oversight Board (IOB) Matter 2001-60." In this regard, [redacted]

[redacted]

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(U)

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|------------------|--------------|---------------|------------------------------|
| APPROVED: | Crim. Inv. | Inspection | Training |
| | CJIS | Laboratory | Off. of EEO |
| Director 2 - | Finance | National Sec. | Affairs |
| Deputy Director | Gen. Counsel | OPR | Off. of Public & Cong. Affs. |
| | Info. Res. | Personnel | |

~~SECRET~~ Hofmann-IOB-2280

Handwritten: 10/25/01

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2001-60 (U)

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In August of this year, the [REDACTED] Division
[REDACTED] of the Federal Bureau of Investigation (FBI) reported

[REDACTED]

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[REDACTED]

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~~Classified by: 39645, NSA/OGC~~
~~Reason: 1.5(c)~~
~~Declassify on: K1~~

~~SECRET~~

Hofmann-IOB-2281

~~SECRET~~

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via the Office of Intelligence Policy and Review, Department of Justice, for destruction. This matter has also been reported to the FBI's Office of Professional Responsibility for action deemed appropriate. (U)

APPROVED:

| | | | |
|-----------------|--------------|---------------|-----------------|
| Director | Crim. Inv. | Inspection | Training |
| Deputy Director | CJS | Laboratory | Off. of EEO |
| | Finance | National Sec. | Affairs |
| | Gen. Counsel | OPR | Off. of PubSc & |
| | Info. Res. | Personnel | Cong. Affs. |

JWHS
10/05/00

DATE: 07-02-2010
CLASSIFIED BY 65179/DHM/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

October 10, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

(S) Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board Matter involving the [redacted]
[redacted] and the [redacted]

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[redacted] National
Security Division, IOB Matter 2001-61. (U)

(S) This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7E

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CHS _____
- Finance _____
- Info. Res. _____
- Int. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

① - INSD (1-278-HQ-C1229736-705) (1-IOB 2001-61)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

SECRET Hofmann-IOB-2283

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 09/25/01 and titled [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-61." This letter transmits to the IOB a letterhead memorandum regarding the FBI's failure to forward [redacted]'s initial 90-day and first annual LHMs concerning [redacted] a U.S. person. As a result of the error, OIPR was not advised of the status of this case for more than [redacted]. This was a violation of [redacted] of the Attorney General Guidelines. 737

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| APPROVED: | Crim. Inv. _____ | Inspection <i>DRY/MLH</i> | Training _____ | |
| | CNS _____ | Laboratory _____ | Off. of EEO _____ | |
| | Finance _____ | National Sec. _____ | Affairs _____ | |
| | Director _____ | Gen. Coun. <i>AP</i> | OPR _____ | Off. of Public & _____ |
| | Deputy Director _____ | Info. Res. _____ | Personnel _____ | Comp. Affs. _____ |

JUL 7/29/01
mqd

~~Classified by: 39645, NSA/OGC~~
~~Reason: 1.5(c)~~
~~Declassify on: X1~~

~~SECRET~~ Hofmann-IOB-2284

DATE: 07-02-2010
CLASSIFIED BY: 65I79/DMH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
INVOLVING THE [REDACTED]
(S) AND THE [REDACTED]

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[REDACTED]

(S) IOB MATTER 2001-61 ~~107~~

(S) Inquiry has determined that in conducting a [REDACTED] of [REDACTED] a U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, review of the FBI's Automated Case Support system by [REDACTED] has determined that, as required by the FCIG, the [REDACTED] Division submitted its initial 90-day letterhead memorandum (LHM) and its first annual LHM concerning the subject via electronic communications dated [REDACTED] respectively. On [REDACTED] when [REDACTED] [REDACTED] LHM was received and forwarded by [REDACTED] to the [REDACTED] [REDACTED] for further submission to the Office of Intelligence Policy and Review (OIPR), Department of Justice, the [REDACTED] advised [REDACTED] that its records did not show receipt of either of the LHMs previously submitted by [REDACTED]. The fact that the LHMs were not received by the [REDACTED] remains unexplained. As a result of the delayed submission, however, OIPR was not advised of the status of [REDACTED]'s ongoing investigation for more than [REDACTED]. This clearly is contrary to the requirements of the FCIG, and will be the subject of further inquiry by the FBI's Office of Professional Responsibility.

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Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: ~~SECRET~~

Handwritten: 9/29/06

APPROVED:
SECRET
Director _____
Deputy Director _____
Crim. Inv. _____
CJIS _____
Finance _____
Gen. Counsel _____
Info. Sys. _____
Inspection *DRK/hjt* _____
Laboratory _____
National Sec. _____
OPR _____
Personnel _____
Training _____
Off. of EEO _____
Affairs _____
Off. of Public & _____
Cong. Affs. _____

(S) [REDACTED]
(S) ¹ (S) FBI Headquarters' oversight of this case was transferred from the [REDACTED] to the [REDACTED] on [REDACTED]

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Hofmann-IOB-2285

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

October 10, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board Matter Involving the [redacted]

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[redacted] FBI Headquarters, IOB
Matter 2001-62. (U)

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - INSD (1-278-HQ-C1229736-705) (1-IOB 2001-62)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CIJS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.3(C)
Declassify on: X1~~

~~SECRET~~ Hofmann-IOB-2286

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division, dated 09/25/01 and titled [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-62." The EC indicates that, on [redacted] the [redacted] Division prepared and submitted an appropriate 90-day LHM setting forth the basis for its [redacted] of [redacted] a U.S. person. The LHM was received by the [redacted] [redacted] It, however, was not forwarded as required, and the reason for the error remains essentially unexplained. [redacted] oversight of the case was transferred to [redacted] which learned of the initial reporting error when [redacted]'s first annual LHM was received and properly submitted, via the [redacted] to the Office of Intelligence Policy and Review (OIPR), DOJ. As a result of the delayed reporting, OIPR was not advised of the status of [redacted]'s ongoing investigation of a U.S. person for more than [redacted] which is contrary to the reporting requirements prescribed by the Attorney General. ~~(S)~~

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Classified by: 39645, NSA/OGC
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~ Hofmann-IOB-2287

~~SECRET~~
APPROVED: _____
Crim. Inv. _____ Inspection *DRY/WH*
C.I.S. _____ Laboratory _____
Finance _____ National Sec. _____
Director _____ Gea. Coun. _____ OPR _____
Deputy Director _____ Info. Res. _____ Personnel _____ Coag. Affs. _____

UW:
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DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
INVOLVING THE [REDACTED]

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FBI HEADQUARTERS
IOB MATTER 2001-62 ~~(S)~~

(S) Inquiry has determined that in conducting a [REDACTED] of [REDACTED] a U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, as required by the FCIG, on [REDACTED] Division [REDACTED] prepared and submitted to FBI Headquarters an appropriate 90-day letterhead memorandum (LHM) setting forth the basis for its [REDACTED] of the subject. The LHM was received by the [REDACTED] in early [REDACTED]

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(S) but was not forwarded to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ), as required. [REDACTED] responsibility for the case was transferred to the [REDACTED]

(S) When this unit received [REDACTED] s first annual LHM and forwarded it for submission to OIPR in [REDACTED] s initial reporting error was discovered. As a result of the delayed reporting, OIPR was not advised of the status of [REDACTED] s ongoing investigation of a U.S. person for more than [REDACTED]. This is contrary to the reporting requirements prescribed by the Attorney General, and will be addressed by the FBI's Office of Professional Responsibility.

APPROVED:

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|-----------------|---------------|------------------------------|
| Crim. Inv. | Inspection | Training |
| CIS | Laboratory | Off. of EEO |
| Finance | National Sec. | Affairs |
| Director | Gen. Coun. | Off. of Public & Cong. Affs. |
| Deputy Director | Info. Res. | Personnel |

9/29/01
mjw

Classified by: ~~39645 NSA/OGC~~
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

~~SECRET~~ Hofmann-IOB-2288

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY: 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

October 17, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2001-63."
(U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-63)
- 1 - INSD (1-278-HQ-C1229736-26 Serial 19)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

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~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~ Hofmann-IOB-2289

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division to OGC and the Director's Office (OPR), dated 10/04/01 and titled [redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-63." In this regard, on [redacted]

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(S)

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| APPROVED: | Crim. Inv. | Inspection | Training |
| | C.N.S. | Laboratory | Off. of EEO |
| | Finance | National Sec. | Affairs |
| Director | Gen. Coun. | OPR | Off. of Public & |
| Deputy Director | Info. Res. | Personnel | Cong. Affs. |

JUN 10/06/01

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2001-63 (U)

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Inquiry has determined that the Federal Bureau of
Investigation (FBI) inadvertently conducted a form of [REDACTED]

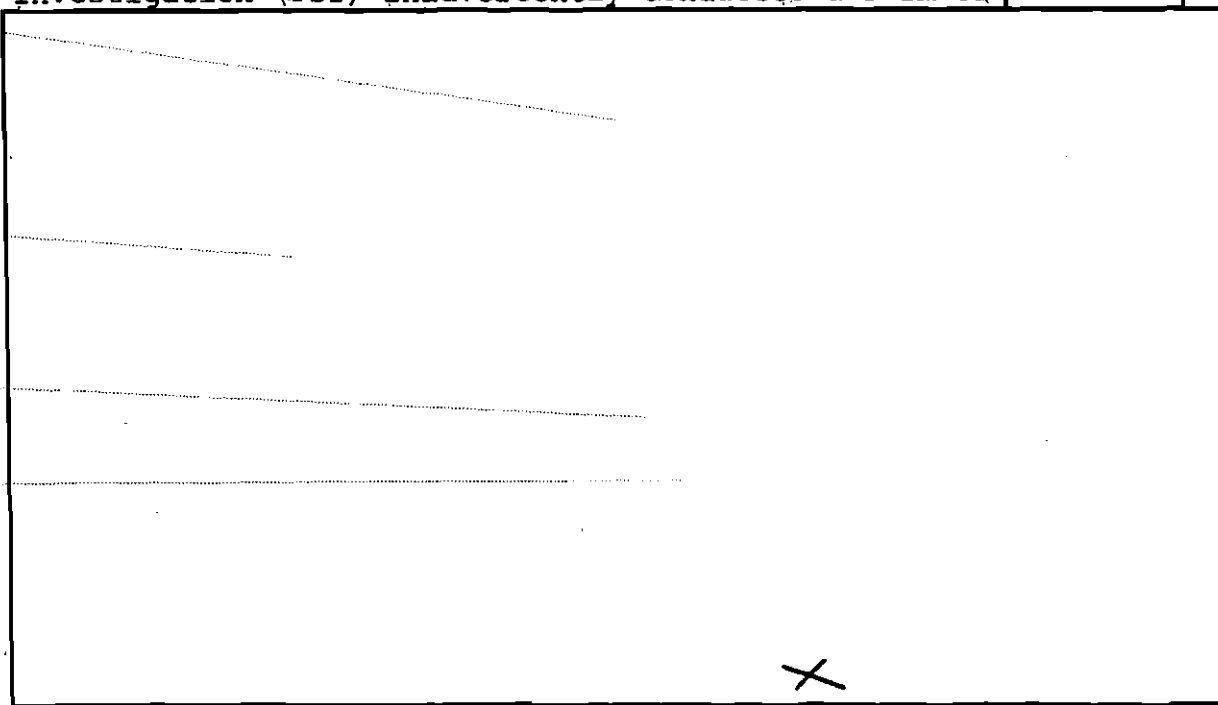
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~~Classified by: 39643, NSA/OGC~~
~~Reason: 1.5(c)~~
~~Declassify on: X1 Hofmann-IOB-2291~~

~~SECRET~~

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| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | C.I.S. _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| Director _____ | Gen. Counsel _____ | OPR _____ | Off. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Cong. Affs. _____ |

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10/06/01
mp

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

October 23, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board Matter Involving the [redacted]

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[redacted] FBI Headquarters, IOB
Matter 2001-64. ~~(S)~~

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - INSD (1-278-HQ-C1229736-705 Serial 67) (1-IOB 2001-64)
- 1 - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public
& Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~ Hofmann-IOB-2292

~~SECRET~~

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division, dated 10/11/01 and titled [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-64." The EC indicates that, in [redacted] Division prepared and submitted an appropriate 90-day LHM setting forth the basis for its full investigation of [redacted] a U.S. person. The LHM was received by the [redacted] in [redacted]

[redacted] The LHM was not forwarded as required, however, and the reason for the error remains essentially unexplained. In [redacted]

[redacted] oversight of the case was transferred to [redacted] which learned of the initial reporting error when [redacted] s first annual LHM was received and submitted, via the [redacted]

[redacted] to the Office of Intelligence Policy and Review (OIPR), DOJ. As a result of the delayed reporting, OIPR was not advised of the status of [redacted] s ongoing investigation of a U.S. person for more than [redacted] which is contrary to the reporting requirements prescribed by the Attorney General. ~~(S)~~

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APPROVED:

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| Crim. Inv. | Inspection | Training |
| CJIS | Laboratory | Off. of EEO |
| Finance | National Sec. | Affairs |
| Director | Gen. Coun. | Off. of Public & |
| Deputy Director | 3046 Reg. | Cong. Affs. |
| | NSA | Personnel |

~~Classified by~~ 3046 Reg. NSA/OC
~~Reason:~~ 1.5(c)
~~Declassify on:~~ X1

~~SECRET~~ Hofmann-IOB-2293

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
INVOLVING THE [REDACTED]

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FBI HEADQUARTERS
IOB MATTER 2001-64 (U)

(S)

Inquiry has determined that in conducting a [REDACTED] of [REDACTED] a U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, as required by the FCIG, in [REDACTED] Division [REDACTED] prepared and submitted to FBI Headquarters an appropriate 90-day letterhead memorandum (LHM) setting forth the basis for its full investigation of the subject. The LHM was received by the [REDACTED] but was not

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forwarded to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ), as required. In [REDACTED] responsibility for the case was transferred to the [REDACTED]

(S)

(S)

[REDACTED] When this unit received [REDACTED] a first annual LHM and forwarded it for submission to OIPR in [REDACTED] [REDACTED] s initial reporting error was discovered. As a result of the delayed reporting, OIPR was not advised of the status of [REDACTED] s ongoing investigation of a U.S. person for more than [REDACTED]. This is contrary to the reporting requirements prescribed by the Attorney General, and will be addressed by the FBI's Office of Professional Responsibility.

| | | | |
|-----------------------|--------------------|---------------------|------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | CIS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| Director _____ | Gen. Counsel _____ | OPR _____ | Off. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Cong. Affs. _____ |

10/16/10

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2294

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

November 30, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2001-67."
(U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

1 - Mr. Parkinson

1 - Mr. [redacted]

1 - Mr. [redacted]

1 - INSP (1-278-HQ-C1229736-37) (1-IOB 2001-67)

1 - Jwms:jwms (5)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~ Hofmann-IOB-2295

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated [redacted] and titled [redacted]

[redacted] Division(;) Intelligence Oversight Board (IOB) Matter 2001-67." In this regard, on [redacted]

(S)

[Large redacted block]

The error must be reported to the IOB.

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DM

11/19/01

APPROVED:

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|-----------------------|---------------------------------|-----------------------|------------------------|
| Director _____ | Crim. Inv. _____ | Inspection <u>(3)</u> | Training _____ |
| Deputy Director _____ | CJS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Gen. Counsel <u>(Signature)</u> | OPR _____ | Off. of Public & _____ |
| | Int. Res. _____ | Personnel _____ | Cong. Affs. _____ |

~~SECRET~~ Hofmann-IOB-2296

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

b2
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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2001-67 (U)

(S) Investigation by the Federal Bureau of Investigation
has determined that the [REDACTED] Division conducted an

(S) [REDACTED]

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[REDACTED] The matter has been reported to the
FBI's Office of Professional Responsibility for action deemed
appropriate. ~~(S)~~

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| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | C.I.S. _____ | Laboratory _____ | Off. of EED _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Coun. _____ | Off. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Cong. Affs. _____ |

JUL 11/14/0

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2297

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

November 28, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2001-68."
(U)

b2
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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-68)
- 2 - INSD (1-278-HQ-C1229736-26 Serial 21)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public
& Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (6)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~ Hofmann-IOB-2298

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 11/15/01 and titled [redacted]

[redacted] Division[?] Intelligence Oversight Board (IOB) Matter 2001-68." In this regard, [redacted]

[Large redacted area]

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APPROVED:

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|--------------|---------------|------------------|
| Crim. Inv. | Inspection | Training |
| CNS | Laboratory | Off. of EEO |
| Finance | National Sec. | Affairs |
| Director | OPR | Off. of Public & |
| Gen. Counsel | Personnel | Cong. Affs. |

Normal IOB 2295

11/15/01

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

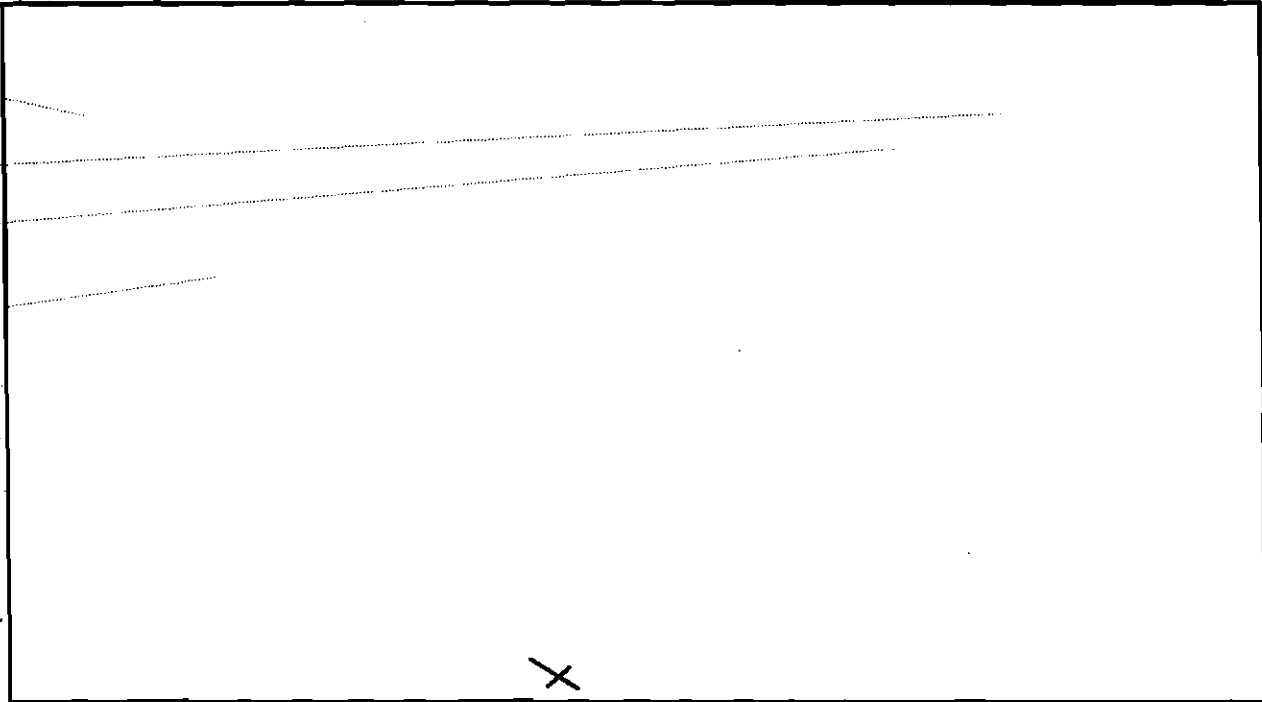
DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2001-68 (U)

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b7E

Inquiry has determined that the Federal Bureau of
Investigation (FBI) conducted a form of [REDACTED]



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Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1

11/16/0

~~SECRET~~

APPROVED:

| | | | |
|-----------------|--------------|---------------|------------------|
| Director | Crim. Inv. | Inspection | Training |
| Deputy Director | CJIS | Laboratory | Off. of EEO |
| | Finance | National Sec. | Affairs |
| | Gen. Counsel | OPR | Off. of Public & |
| | Personnel | | Coop. Affs. |

Hofmann IOB-2300

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

February 14, 2002

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2001-69."
(U)

b2
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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-69)
- 2 - INSD (I-278-HQ-C1229736-43 Serial 3)

JAW:jaw (6)

(1) Ma [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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~~Classified by: 39645, NSA/OGC.
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2301

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division to OGC and the Director's Office [OPR], dated [redacted] and titled [redacted] Intelligence Oversight Board Matter 2001-69." In this regard, the [redacted] Division has reported that in [redacted]

(S)

[redacted]

(S)

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as the legal authority to request the information. At the time the correspondence was sent, no legal authority existed for the Acting SAC to issue a National Security Letter. ~~(S)~~

| | | | |
|-----------------|------------|--|------------------------------|
| APPROVED: | Crim. Inv. | Inspection <input checked="" type="checkbox"/> | Training |
| | CJS | Laboratory | Off. of EEO |
| | Finance | National Sec. | Admin. |
| | Director | Gen. Counsel <i>RIP</i> | Off. of Public & Cong. Affs. |
| Deputy Director | Info. Res. | Personnel | |

B/MW

NSLU: mw

~~SECRET~~

Hofmann-IOB-2302

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY: 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2001-69 (U)

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The Federal Bureau of Investigation (FBI) has
determined the [REDACTED] Division [REDACTED]

[REDACTED]

(S)

(S)

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[REDACTED] as the legal authority to request
the information. The letters were signed by the Acting Special
Agent in Charge who, at that time, did not have legal authority
to issue National Security Letters. This matter has been
reported to the FBI's Office of Professional Responsibility for
action deemed appropriate. ~~NSL~~

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

B/MW

APPROVED: _____
Crim. Inv. _____ Inspection _____ Training _____
CJS: Hofmann IOB-2303 Off. of EEO _____
Finance _____ Gen. Sec. _____ Aff. Aff. _____
Director _____ Gen. Counsel _____ Off. of Public & _____
Deputy Director _____ Info. Sys. _____ Com. Affs. _____

NSL: MW

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DNH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

January 16, 2002

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2001-71." (U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
 - 1 - Mr. [redacted]
 - 1 - Mr. [redacted]
 - 1 - OPR (IOB 2001-71)
 - 1 - INSD (1-278-HQ-C1229736-29 Serial 14)
- JAW:jaw (6)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5 (c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2304

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 12/07/01 and titled [redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-71." In this regard, INSD has determined that as a consequence of various misdirected communications to Headquarters and the lack of appropriate field office supervision, the [redacted] initiated by the [redacted] Division in [redacted] on [redacted] [redacted] presumed U.S. persons, were continued for approximately [redacted] without the required Headquarters' approval. This is a violation of the Attorney General Guidelines. (S)

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APPROVED:

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|-----------------|---------------|------------------|
| Crim. Inv. | Inspection | Training |
| C.I.S. | Laboratory | Off. of EEO |
| Finance | National Sec. | Affairs |
| Director | Gen. Counsel | Off. of Public & |
| Deputy Director | Info. Res. | Coag. Affs. |

JMS
12/10/01

~~SECRET~~

Hofmann-IOB-2305

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2001-71 (U)

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Investigation of this IOB matter has determined that on
[redacted] the [redacted] Division [redacted] initiated [redacted]
[redacted] on [redacted]

(S)

Both subjects are presumed to be "United States persons" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978, and, thus, continuation of the [redacted] required that [redacted] comply with the requirements of Executive Order 12863 and the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the Inspection Division, FBI Headquarters, has determined that as a consequence of various misdirected communications to Headquarters and the lack of appropriate field office supervision, the [redacted] initiated in [redacted] were continued for approximately [redacted] without the required Headquarters' approval. The FBI's administration and oversight of this case was contrary to the requirements of the FCIG. (S)

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APPROVED:

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| Crim. Inv. | Inspection | Training |
| CJS | Laboratory | Off. of EEO |
| Finance | National Sec. | Affairs |
| Director | Gen. Counsel | Off. of Public & |
| Deputy Director | Info. Sys. | Cong. Affs. |
| | Personnel | |

JMB
12/10/0

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

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[redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

January 16, 2002

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2001-72."
(U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
 - 1 - Ms. [redacted]
 - 1 - Mr. [redacted]
 - 1 - OPR (IOB 2001-68)
 - 1 - INSD (1-278-HQ-C1229736-26 Serial 21)
- JAW:jaw (6)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: XI~~

~~SECRET~~ Hofmann-IOB-2307

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 12/14/01 and titled [redacted]

(S) [redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-72." In this regard, on [redacted]

[redacted]

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| APPROVED: | Crim. Inv. _____ | Inspector <u>J</u> | Training _____ |
| | CNS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| Director _____ | Gen. Counsel <u>LR</u> | OPR _____ | Off. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Comp. Affs. _____ |

MW

~~SECRET~~

Hofmann-IOB-2308

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

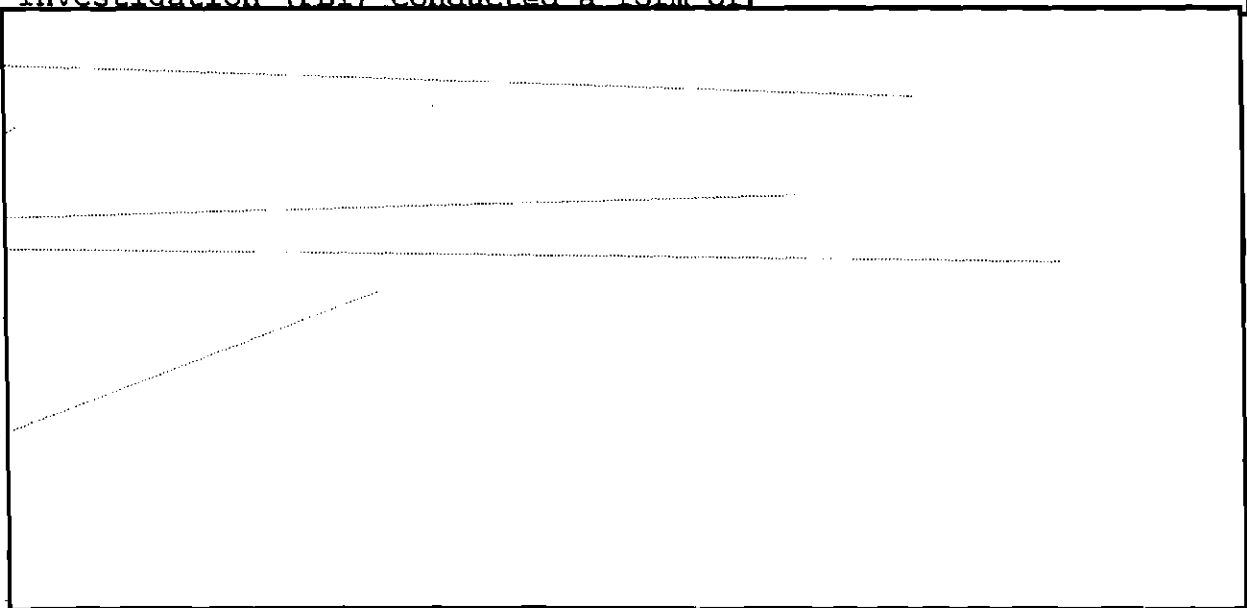
DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2001-72 (U)

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Inquiry has determined that the Federal Bureau of
Investigation (FBI) conducted a form of [REDACTED]



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[REDACTED] No investigative actions
were taken based upon the recordings made in error. ~~TSK~~

APPROVED:

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|-----------------|---------------|------------------|
| Crim. Inv. | Inspection | Training |
| C.I.S. | Laboratory | Off. of EEO |
| Finance | National Sec. | Affairs |
| Director | Off. | Off. of Public & |
| Deputy Director | Personnel | Cong. Affs. |

~~Classified by: 39675, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

MW
JWS
12/26/01



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~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

February 14, 2002

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Field Office, IOB Matter 2002-2."
(U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Ms. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002-2)
- 2 - INSD (1-278-HQ-C1229736-53 Serial 97)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public
& Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW: jaw (6)

[redacted]

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2310

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 01/09/02 and titled "[redacted]; [redacted] Field Office [redacted] Intelligence Oversight Board (IOB) Matter 2002-2." In this regard, on [redacted], [redacted]

[Large redacted block]

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The unauthorized surveillance must be reported to the IOB. ~~by~~

WME
1/11/02

APPROVED:

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| Crim. Inv. | Inspection | Training |
| CJIS | Laboratory | Off. of EEO |
| Finance | National Sec. | Affairs |
| Gen. Counsel | OPR | Off. of Public & |
| Dep. Dir. of | Personnel | Cong. Affs. |

~~Standard~~ Info. Res. 2511
 Deputy Director Hoffmann

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE ([redacted])
IOB MATTER 2002-2. (U)

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Review of this matter has determined that the FBI

[Large redacted area]

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[redacted] Once the error was realized, the unauthorized data was appropriately sequestered by [redacted] for eventual destruction. No investigative action was taken based upon the improperly obtained data. ~~AT~~

| | | | |
|-----------------------|--------------------|---------------------|------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | C.I.S. _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel _____ | Off. of Public & _____ |
| Deputy Director _____ | Intell. Res. _____ | Personnel _____ | Cong. Affs. _____ |

1/11/10

~~Classified by: 39645, NSA/OGC
Reason: 1.5 (c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2312

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY: 65179/DH/p1j/lcw
REASON: 1.4(b)
DECLASSIFY ON: 07-02-2035

March 12, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2002-3." (U)

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b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR
- 2 - INSD

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (6)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2313

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

John P. O'Connor
Acting Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 02/13/02 and titled "[redacted] [redacted] [redacted] Division [redacted] Intelligence Oversight Board (IOB) Matter 2002-3." In this regard, the [redacted] Division (" [redacted] ") reported opening a [redacted] on [redacted] on [redacted]. Because the subject is a United States person, continuation of the investigation required that [redacted] comply with the 90-day and annual reporting requirements of the Attorney General Guidelines. [redacted]

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[redacted]

approximately [redacted] after it was due - a violation of the Attorney General Guidelines which must be reported to the IOB.

(S)

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|-----------------------|--------------------|---------------------|------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | C.I.S. _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| Director _____ | Gen. Counsel _____ | OPR _____ | Off. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Cong. Affs. _____ |

~~SECRET~~

Hofmann-IOB-2314

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2002-3 (U)

b2
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Inquiry has determined that in conducting a [REDACTED]
[REDACTED] on [REDACTED] a U.S. person, the Federal
Bureau of Investigation (FBI) failed to meet the annual reporting
requirements prescribed in [REDACTED] of the Attorney General
Guidelines for FBI Foreign Intelligence Collection and Foreign
Counterintelligence Investigations (FCIG). In this regard, the
[REDACTED] Division (" [REDACTED] ") opened its [REDACTED] of
the subject on [REDACTED]. [REDACTED]

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[REDACTED]

[REDACTED] of investigation to the Office
of Intelligence Policy and Review (OIPR), Department of Justice,
approximately [REDACTED] after it was due. As a result, FBI
Headquarters and OIPR were precluded from exercising oversight
and control of this investigation, which is contrary to the
requirements of [REDACTED] of the FCIG. ~~(S)~~

APPROVED:

| | | |
|-----------------|---------------|------------------|
| Crim. Inv. | Inspection | Training |
| CJS | Laboratory | Off. of EEO |
| Finance | National Sec. | Affairs |
| Director | Gen. Couns. | Off. of Public & |
| Deputy Director | Adm. Res. | Personnel |
| | | Cong. Affs. |

4445
2/27/08

~~Classified by: 39645, NSA/OGC~~
~~Reason: 1.5(c)~~
~~Declassify on: X1~~

~~SECRET~~

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DNH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

March 12, 2002

BY COURIER.

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2002-4."
(U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Ms. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002-4)
- 2 - INSD

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5 (c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2316

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

John P. O'Connor
Acting Assistant Director
Inspection Division

~~(S)~~ NOTE: This matter was referred to OGC by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 02/13/02 and titled "[redacted]; [redacted];

[redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2002-4." In this regard, [redacted] has reported opening a [redacted] on [redacted] on [redacted]. Because the subject was (and remains) a "United States person" as that term is used in Section 101(i) in FISA, continuation of the investigation required that [redacted] comply with the requirements of Executive Order 12863 and the FCIG. Due to administrative error on the part of the assigned case agent, [redacted] failed to submit a 90-day LHM to OIPR, DOJ. As a result of the error, the FBI's initiation of a [redacted] involving a "U.S. person" was not reported until [redacted] when [redacted]'s first annual LHM was received by FBI Headquarters and forwarded as required. The matter is required to be reported to the IOB. ~~(S)~~

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|-----------------------|--------------------|---------------------|------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | C.I.S. _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| Director _____ | Gen. Counsel _____ | OPR _____ | Off. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Corp. Affs. _____ |

- 2 -

~~SECRET~~

Hofmann-IOB-2317

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2002-4 (U)

b2
b7E

Investigation of this matter has determined that in conducting a [REDACTED] of [REDACTED], the Federal Bureau of Investigation (FBI) failed to meet the initial (90-day) reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division ("[REDACTED]") opened a [REDACTED] on [REDACTED] on [REDACTED]. Because the subject was (and remains) a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA), continuation of the investigation required that [REDACTED] comply with the requirements of Executive Order 12863 and the FCIG. Due to administrative error on the part of the assigned case agent, [REDACTED] failed to submit a 90-day letterhead memorandum (LHM) to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ). As a result of the error, the FBI's initiation of a [REDACTED] involving a "U.S. person" was not reported to OIPR until [REDACTED] when [REDACTED]'s first annual LHM was received by FBI Headquarters and forwarded as required. ~~(S)~~

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|-----------------------|----------------------------|--|------------------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection <i>[Signature]</i> ^{11/3/16} | Training _____ |
| | CNS _____ | Laboratory _____ | Off. of EEO _____ |
| Director _____ | Finance <i>[Signature]</i> | National Sec. _____ | Affairs _____ |
| Deputy Director _____ | Gen. Counsel _____ | OPR _____ | Off. of Public & Cong. Affs. _____ |
| | Info. Sys. _____ | Personnel _____ | Comp. Affs. _____ |

VMS
2/20/0

Classified by: 39645, NSA/OGC
Reason: ~~1.5 (c)~~
Declassify on: ~~X1~~

~~SECRET~~

Hofmann-IOB-2318

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035



March 12, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division, IOB Matter 2002-5." (U)

b2
b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002-5)
- 2 - INSD

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.: _____
- Admin. Serv. _____
- Crim. Inv. _____
- Ident. _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (6)

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2319

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel.

John P. O'Connor
Acting Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 02/13/02 and titled "[redacted] [redacted] [redacted] Division [redacted] Intelligence Oversight Board (IOB) Matter 2002-5." The referenced EC indicates that the [redacted] Division (" [redacted] ") opened a [redacted] on [redacted] on [redacted]. When the investigation was opened initially in [redacted] [redacted] on [redacted] and that fact was discovered by the [redacted] Division on [redacted] At that point, continuation of the investigation required that [redacted] comply with the 90-day and annual reporting requirements of the FCIG. [redacted] has reported that as a consequence of the heavy demands placed on divisional resources [redacted] [redacted] the required 90-day LHM was submitted approximately [redacted] late, thus necessitating a report of this matter to the IOB. (X)

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APPROVED:

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|-----------------|---------------|------------------|
| Crim. Inv. | Inspection | Training |
| CJIS | Laboratory | Off. of EEO |
| Finance | National Sec. | Affairs |
| Director | OPR | Off. of Public & |
| Deputy Director | Personnel | Cong. Affs. |

[Handwritten initials and date 3/6]

- 2 -

~~SECRET~~

Hofmann-IOB-2320

DATE: 07-07-2010
CLASSIFIED BY 61579DMH/lcw/plj
REASON: 1.4 (c)
DECLASSIFY ON: 07-07-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2002-5 (U)

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Inquiry has determined that in conducting a [redacted]
[redacted] on [redacted], a
U.S. person¹, the Federal Bureau of Investigation (FBI) failed to
comply with the initial reporting requirements prescribed in
[redacted] of the Attorney General Guidelines for FBI Foreign
Intelligence Collection and Foreign Counterintelligence
Investigations (FCIG). In this regard, when this investigation
was opened initially by the [redacted] Division (" [redacted] ") in [redacted]
[redacted] Section
101(i) of the Foreign Intelligence Surveillance Act of 1978. The
[redacted]
on [redacted] and that fact was discovered by the [redacted] Division
on [redacted]. At that point, continuation of the investigation
required that [redacted] comply with the 90-day and annual reporting
requirements of the FCIG with regard to U.S. persons. [redacted]
has reported that due to the heavy demands placed on divisional
resources as a consequence of [redacted]
[redacted] its 90-day initial letterhead memorandum was
submitted approximately [redacted] late. As a result of the
error, FBI Headquarters and the Office of Intelligence Policy and
Review, Department of Justice, were precluded from exercising
oversight and control of this investigation, which is contrary to
the requirements of [redacted] of the FCIG. ~~SI~~

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b7E

Classified by: 39645, NSA/OGC
Reason: ~~1.5(c)~~
Declassify on: ~~XI~~

APPROVED:
Crim. Inv. _____
C.I.S. _____
Finance _____
Director _____
Deputy Director _____
Inspection _____
Laboratory _____
National Sec. _____
OPR _____
Personnel _____
Training _____
Off. of EEO _____
Affairs _____
Off. of Public & _____
Cong. Affs. _____

√ME
2/27/02

(U) ~~(S)~~ A "United States person" is defined in Section 101(i) of the
Foreign Intelligence Surveillance Act (FISA) (codified at 50 U.S.C. § 1801 et
seq.) as "a citizen of the United States [or] an alien lawfully admitted for
permanent residence (as defined in section 101(a) (20) of the Immigration and
Naturalization Act)" See also [redacted] of the Attorney General
Guidelines for FBI Foreign Intelligence Collection and Foreign Counter-
intelligence Investigations. [redacted]

b2
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[redacted]

Hoffmann-IOB-2321

~~SECRET~~



U.S. Department of Justice

Federal Bureau of Investigation

~~SECRET~~

DECLASSIFIED BY 65179/DMH/plj/lcw
ON 07-02-2018

Washington, D. C. 20535-0001
May 28, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division and [redacted]
[redacted], [redacted], IOB Matter 2002-6."
(U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

Classified by: 39645 NSA/OGC
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

~~SECRET~~

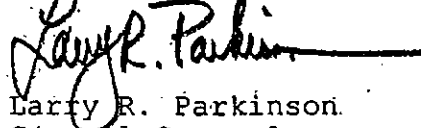
Hofmann-IOB-2322

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience.

Sincerely,



Larry R. Parkinson
General Counsel



John P. O'Connor
Acting Assistant Director
Inspection Division

- 1 - The Honorable John D. Ashcroft
Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. H. Marshall Jarrett
Counsel, Office of Professional Responsibility
U.S. Department of Justice
Room 4304

~~SECRET~~

Hofmann-IOB-2323

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[redacted] DIVISION AND
[redacted]
[redacted]

IOB MATTER 2002-6 (U)

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Investigation has determined that, as a consequence
of technical error, the [redacted] Division (" [redacted] ")
conducted an [redacted]

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(U) [redacted] during the period between [redacted] and
[redacted]

~~(S)~~
On [redacted], [redacted]

[redacted]

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[redacted]

On or about [redacted] it was determined by
[redacted], in consultation with [redacted] personnel, that the

[redacted]

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(U) [redacted] Once the error was realized, appro-
priate action was taken to sequester the unauthorized material
for eventual destruction. The error was also promptly reported
to [redacted]

~~Classified by: 39645, NSA/OGC~~
~~Reason: 1.5(c)~~
~~Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2324

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

May 31, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] and
[redacted],
FBI Headquarters, IOB Matter 2002-17." (U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002-11)
- 2 - INSD (1-278-HQ-1373042-2)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2325

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[redacted] AND
[redacted]
[redacted] DIVISION, FBI HEADQUARTERS
IOB MATTER 2002-17 (U)

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Investigation has determined that in conducting a
[redacted] of [redacted], a U.S.
person¹, the Federal Bureau of Investigation (FBI) failed to meet
the 90-day and first annual reporting requirements prescribed in
[redacted] of the Attorney General Guidelines for FBI Foreign
Intelligence Collection and Foreign Counterintelligence
Investigations (FCIG). In this regard, review of this matter
has determined that the [redacted] Division ([redacted]) opened its
[redacted] on the subject corporation on [redacted].
Continuation of the investigation required that [redacted] and [redacted]
[redacted] (initially, [redacted]
[redacted] and later [redacted] comply with
the requirements of Executive Order 12863 and the FCIG. The 90-
day letterhead memorandum (LHM) was not submitted, and the annual
LHM, although submitted, was not forwarded to the Office of
Intelligence Policy and Review (OIPR), Department of Justice.
These errors went undetected until [redacted] when the
[redacted] LHM was due and properly submitted. As a result
of the delayed reporting, however, OIPR was not advised of the
status of this ongoing investigation for approximately [redacted].
The delayed reporting has been reported to the FBI's Office of
Professional Responsibility.

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|-----------------------|-------------------|---------------------|----------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | CJIS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel _____ | Off. of Public Affs. _____ |
| Deputy Director _____ | Info. Mgmt. _____ | Personnel _____ | Comp. Affs. _____ |

~~Classified by: 39645, NSA/OGC~~
~~Reason: 1.5(c)~~
~~Declassify on: X1~~

June
5/29/02

~~SECRET~~

¹ (U) The FCIG define a "United States person" to include "a corporation which is incorporated in the United States, except for a corporation directed and controlled by a foreign government or government." The exception did not apply here, and thus 90-day and annual reports were required.

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

August 30, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted]

[redacted] Division, FBI Headquarters, IOB Matter 2002-30."

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Wainstein
- ① - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002-30)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2328

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein
General Counsel

Kevin L. Perkins
Acting Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from INSD to the Director's Office and OGC, dated 07/24/02 and titled "[redacted] (sic) [redacted] [redacted] [redacted] [redacted] [redacted] [redacted] [redacted] IOB 2002 30.4. In this regard, INSD investigation determined that [redacted] failed to forward to the Office of Intelligence Policy and Review (OIPR), DOJ, [redacted] s first annual letterhead memorandum (LHM) concerning [redacted] s ongoing [redacted] of [redacted]. As a result of the error, OIPR was not advised of the status of this investigation for nearly [redacted]. This is contrary to the requirements of the Attorney General's Guidelines, and thus must be reported to the IOB.

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JWS
8/14/02

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|-----------------------|------------------|-----------------------|------------------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection <u>B</u> | Training _____ |
| | C.I.S. _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel <u>B</u> | OPR _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Off. of Public & Cong. Affs. _____ |

~~SECRET~~

Hofmann-IOB-2329

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER,

[REDACTED]

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[REDACTED]

FBI HEADQUARTERS
IOB MATTER 2002-30 (U)

Inquiry has determined that in conducting a [REDACTED]

[REDACTED] on [REDACTED]

[REDACTED] the Federal Bureau of Investigation (FBI) failed to
comply with the annual reporting requirements prescribed in
[REDACTED] of the Attorney General Guidelines for FBI Foreign
Intelligence Collection and Foreign Counterintelligence Investi-
gations (FCIG). In this regard, the [REDACTED] Division ([REDACTED])
opened a [REDACTED] on the subject on [REDACTED].
Because the subject was (and remains) a "United States person" as
that term is used in Section 101(i) of the Foreign Intelligence
Surveillance Act of 1978, continuation of the investigation
required that [REDACTED] and [REDACTED]

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b7E

[REDACTED] comply with the reporting requirements of Executive Order
12863 and the FCIG. Due to an administrative error, [REDACTED] failed
to forward [REDACTED]'s first annual letterhead memorandum reporting
the status of this case, due in [REDACTED] to the Office of
Intelligence Policy and Review (OIPR), Department of Justice.¹
As a result of the error, OIPR was not advised of the status of
this ongoing investigation for approximately [REDACTED]. The
matter has been reported to the FBI's Office of Professional
Responsibility. ~~TS~~

Classified by: 39645, NSA/OGC

Reason: ~~1.5(c)~~

Declassify **APPROVED**

| | | |
|-----------------|---------------|------------------------------|
| Gen. Inv. | Inspection | Training |
| CJS | Laboratory | Off. of EEO |
| Finance | National Sec. | Off. of Public Affs. |
| Gen. Counsel | OPR | Off. of Public & Cong. Affs. |
| Deputy Director | Info. Res. | Personnel |

NSLU 7/2

¹ [REDACTED] advised the FBI's Inspection Division that it forwarded the
delinquent LHM to the National Security Law Unit (NSLU), Office of the General
Counsel, on [REDACTED]. NSLU has no record of its receipt.

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Hofmann-IOB-2330

September 22, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division, IOB Matter 2002-31." (U)

b2
b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

- 1 - Mr. Wainstein
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002-31)
- 1 - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- Ident. _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~
Hofmann-IOB-2331

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein
General Counsel

Lynné A. Hunt
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from INSD to the Director's Office and OGC, dated 07/25/02 and titled "[redacted] [redacted] [redacted] Division [redacted] [redacted] IOB 2002 31." In this regard, INSD investigation has determined that the SAC [redacted] improperly extended a [redacted] involving a United States person for [redacted] beyond the period permitted by the Attorney General Guidelines. When the error was discovered, it was immediately reported to Headquarters. Nevertheless, because the [redacted] was continued without proper authority in a matter involving a United States person, the matter must be reported to the IOB.

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JWS.
9/24/02

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|-----------------------|------------------|------------------------|-------------------|
| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | CJIS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel <i>HW</i> | OPR _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | |

~~SECRET~~

Hofmann-IOB-2332

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/icw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2002-31 (U)

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b7E

Inquiry has determined that in conducting a [redacted]
[redacted] on [redacted] a United States person,
the Federal Bureau of Investigation (FBI) failed to comply with
the [redacted] extension requirements set forth in [redacted] of
the Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations (FCIG).
In this regard, the [redacted] Division ([redacted]) opened its [redacted] on
the subject on [redacted]. The FCIG permit Special Agents
in Charge (SACs) of Field Divisions to authorize extensions of
[redacted] for periods up to one year when justified by facts or infor-
mation obtained during the course of the inquiry. Continuation
of a [redacted] beyond one year requires FBI Headquarters approval.
During a file review conducted by [redacted] on [redacted]
it was determined that, as a consequence of administrative error,
the [redacted] in this case had been extended for 90 days by the SAC
[redacted] on [redacted], which was beyond the one-year period
permitted by the FCIG. When the error was discovered on [redacted]
[redacted] appropriate corrective action was taken that day by
[redacted] to request Headquarters' authorization to extend the [redacted]
which was granted. Nonetheless, because this [redacted] was continued
[redacted] without proper authority in a matter involving a United
States person, a report of the error is warranted. ~~(S)~~

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Classified by: ~~39645, NSA/OGC~~
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

NSC
8/5/02

SECRET
APPROVED: Crim. Inv. _____ Inspection 2 Training _____
CAS _____ Laboratory _____ Off. of EEO _____
Finance _____ National Sec. _____ Affairs _____
Director _____ Gen. Counsel W OPR _____ Off. of Public & _____
Deputy Director _____ Info. Res. _____ Personnel _____ Cong. Affs. _____

(U)

(S) A "United States person" is defined in Section 101(i) of the
Foreign Intelligence Surveillance Act (FISA) (codified at 50 U.S.C. § 1801 et
seq.) as "a citizen of the United States [or] an alien lawfully admitted for
permanent residence (as defined in Section 101(a)(20) of the Immigration and
Naturalization Act) See also [redacted] of the FCIG.

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b7E

Hofmann-IOB-2333

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

September 22, 2002.

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2002-35." (U)

b2
b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Wainstein
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002-35)
- 1 - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CHS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2334

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein
General Counsel

Lynne A. Hunt
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from INSD to the Director's Office and OGC, dated 08/22/02 and titled [redacted] [redacted] [redacted] [redacted] Division, IOB 2002 35". In this regard, INSD determined that the [redacted] Division initiated a [redacted] on [redacted] a U.S. person, in [redacted]. The [redacted] was converted to a [redacted] on [redacted]. Because the subject is a U.S. person, continuation of the investigation required that [redacted] comply with the 90-day and annual reporting requirements prescribed in the FCIG. Owing to the inexperience of those assigned this case, [redacted] failed to submit to the Office of Intelligence Policy and Review, DOJ, the letterhead memoranda required. The error must be reported to the IOB.

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JLH
9/04/02

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|-----------------------|------------------|------------------------|-------------------|
| APPROVED: | Crim. Inv. _____ | Inspection <u>2</u> | Training _____ |
| | CJS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel <u>lw</u> | OPR _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Cong. Affs. _____ |

B

9/4/02

~~SECRET~~

Hofmann-IOB-2335

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER,
[REDACTED] DIVISION
IOB MATTER 2002-35 (U)

b2
b7E

Inquiry has determined that in conducting a [REDACTED]
[REDACTED] on [REDACTED] the Federal Bureau of
Investigation (FBI) failed to comply with the 90-day and annual
reporting requirements prescribed in [REDACTED] of the Attorney
General Guidelines for FBI Foreign Intelligence Collection and
Foreign Counterintelligence Investigations (FCIG). In this
regard, on [REDACTED], the [REDACTED] Division ([REDACTED])
converted a [REDACTED] concerning the subject to a [REDACTED]
[REDACTED]. Because the subject was (and remains) a
"United States person" as that term is used in Section 101(i) of
the Foreign Intelligence Surveillance Act of 1978, continuation
of the investigation required that [REDACTED] comply with the
reporting requirements set forth in [REDACTED] of the FCIG.
Owing to the inexperience of the personnel assigned to this case,
[REDACTED] failed to submit to the Office of Intelligence Policy and
Review (OIPR), Department of Justice (DOJ), the 90-day and annual
letterhead memoranda required in an ongoing [REDACTED]
involving a United States person. The errors went undetected by
[REDACTED] until a closing report was submitted to FBI Headquarters
on [REDACTED]. As a result, OIPR was not advised of the status
of this investigation, and, thus, was precluded from exercising
meaningful oversight and review of the matter while the subject
was under investigation. The errors have been reported to the
FBI's Office of Professional Responsibility. (PR)

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JMS
9/05/02

| | | | |
|-----------------------|------------------|-------------------------|-------------------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | CJIS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel <u>100</u> | OPR _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Off. of Public. & Cong. Affs. _____ |

Classified by: 39645, NSA/OGC
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

[Handwritten signature]
9/5/02

~~SECRET~~

Hofmann IOB-2336

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

September 22, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2002-36." (U)

b2
b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Wainstein
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002-36)
- 1 - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2337

MAIL ROOM Q

FBI/DOJ

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER,
[REDACTED] DIVISION
IOB MATTER 2002-36 (U)

b2
b7E

~~SECRET~~
Inquiry has determined that in conducting a [REDACTED]
[REDACTED] on [REDACTED] the Federal Bureau of Investigation
(FBI) failed to comply with the 90-day and annual reporting
requirements prescribed in [REDACTED] of the Attorney General
Guidelines for FBI Foreign Intelligence Collection and Foreign
Counterintelligence Investigations (FCIG). In this regard, on
[REDACTED], the [REDACTED] Division (" [REDACTED] ") converted a
[REDACTED] concerning the subject to a [REDACTED]
[REDACTED]. Because the subject was (and remains) a "United
States person" as that term is used in Section 101(i) of the
Foreign Intelligence Surveillance Act of 1978, continuation of
the investigation required that [REDACTED] comply with the reporting
requirements set forth in [REDACTED] of the FCIG. Owing to the
inexperience of the personnel assigned to this case, [REDACTED]
failed to submit to the Office of Intelligence Policy and Review
(OIPR), Department of Justice (DOJ), the 90-day and annual
letterhead memoranda required in an ongoing [REDACTED]
involving a United States person. The errors went undetected by
[REDACTED] until a closing report was submitted to FBI Headquarters
on [REDACTED]. As a result, OIPR was not advised of the status
of this investigation, and, thus, was precluded from exercising
meaningful oversight and review of the matter while the subject
was under investigation. The errors have been reported to the
FBI's Office of Professional Responsibility. ~~SECRET~~

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VUMS
9/05/02

| | | | |
|------------------|-----------------------|------------------------------------|-------------------|
| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | C.I.S. _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel <i>[initials]</i> | OIPR _____ |
| | Deputy Director _____ | Info. Res. _____ | Personnel _____ |
| | | Off. of Public & Cong. Affs. _____ | |

Classified by: 39645 NSA/OGC
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

[Handwritten initials]
9/12/02

~~SECRET~~

Hofmann-IOB-2339

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DNH/plj/lcw
REASON: 1.4 (c, d)
DECLASSIFY ON: 07-02-2035

October 7, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C..

Dear General Scowcroft:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2002-37."
(U)

b2
b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Wainstein
- 1 - Mr. Szady
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002-37)
- 1 - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Serv. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of GEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2340

MAIL ROOM

FBI/DOJ

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein
General Counsel

Lynne A. Hunt
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from INSD to the Director's Office and OGC, dated 09/04/02 and titled

[redacted] [redacted] [redacted]
Division [redacted] IOB 2002 37." The case stems from an [redacted]
[redacted]
[redacted]
[redacted]. The FBI had ended coverage on [redacted]
[redacted] ended on [redacted]

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None of the contents of the coverage has ever been reviewed. Nonetheless, because the contents remain unknown, the data collected must be presumed to be those of a U.S. person, thus requiring a report of the unauthorized coverage to the IOB.

VHM
9/15/02

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|-----------------------|------------------------|---------------------|------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection <u>✓</u> | Training _____ |
| | CJIS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| Director _____ | Gen. Counsel <u>lw</u> | OPR _____ | Off. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Coop. Affs. _____ |

~~SECRET~~
Hofmann IOB-2341
9/15/02

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c, d)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER,
[REDACTED] DIVISION
IOB MATTER 2002-37 (U)

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The [REDACTED] Division ([REDACTED]) has
reported that, owing to an equipment malfunction on [REDACTED]

[REDACTED]
[REDACTED]
[REDACTED]

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[REDACTED]

[REDACTED] of the subject
ended on [REDACTED] and [REDACTED] had closed its [REDACTED]
[REDACTED] of the subject on [REDACTED]

A total of [REDACTED]

[REDACTED]
[REDACTED] The nature of the
error was immediately appreciated, however, and [REDACTED]
[REDACTED]

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Instead, the data were sequestered and forwarded to FBI
Headquarters for submission to the Office of Intelligence Policy
and Review, Department of Justice, for eventual destruction.

Classified by: ~~39645 NSA/OGC~~
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

~~SECRET~~

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~~SECRET~~

(U) Because the contents of the recordings remain unknown, the data collected without authority are presumed to be those of a United States person², thus requiring a report of these events to the IOB. ~~(S)~~

JMS
9/15/02

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|-----------------------|------------------|-----------------------|------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | CJIS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel <u>W</u> | Off. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Cong. Affs. _____ |

mt
9/15/02

(U) ~~(S)~~ A "United States person" is defined in Section 101(i) of FISA as "a citizen of the United States [or] an alien lawfully admitted for permanent residence (as defined in Section 101(a) (20) of the Immigration and Naturalization Act)" (See also [redacted] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counter-intelligence Investigations.) Under circumstances such as those presented here, the [redacted]

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[redacted]

~~SECRET~~

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

October 7, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2002-38." (U)

b2
b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Wainstein
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002-38)
- ① - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Adm. Serv. _____
- Crim. Inv. _____
- CJS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2344

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein
General Counsel

Lynne A. Hunt
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from INSD to the Director's Office and OGC, dated 09/05/02 and titled

[redacted] [redacted] [redacted]
Division [redacted] IOB 2002 38. [redacted]
[redacted]
[redacted]
[redacted]
[redacted]
[redacted]
[redacted]

reportable matter.

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JAW
9/16/02

| | | | |
|-----------------------|------------------|-----------------------|-------------------|
| APPROVED: | Crim. Inv. _____ | Inspection <u>2</u> | Training _____ |
| | CAS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel <u>W</u> | OPR _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | |

[Handwritten signature]
9/16/02

~~SECRET~~

Hofmann-IOB-2345

DATE: 07-02-2010
CLASSIFIED BY: 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2002-38 (U)

b2
b7E

Inquiry has determined that the Federal Bureau of
Investigation (FBI) inadvertently conducted a form of [REDACTED]
[REDACTED] in violation of Title 50, United States Code,
Section 1805. In this regard, [REDACTED]

[REDACTED]

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[REDACTED] Nonetheless, the data
collection was unauthorized, necessitating this report of the
error to the Intelligence Oversight Board. ~~(S)~~

Classified by: 39645, NSA/OGC
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

WMS
9/18/02

~~SECRET~~

APPROVED:

| | | | | |
|-----------------|--------------|---------------|---|------------------|
| Director | Crim. Inv. | Inspection | 2 | Training |
| Deputy Director | CJIS | Laboratory | | Off. of EEO |
| | Finance | National Sec. | | Affairs |
| | Gen. Counsel | OPR | | Off. of Public & |
| | Info. Res. | Personnel | | Conq. Affs. |

October 31, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division, IOB Matter 2002-40." (U)

b2
b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

- 1 - Mr. Wainstein
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002-40)
- ① - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- CISS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2347

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein
General Counsel

Lynne A. Hunt
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from INSD to the Director's Office and OGC, dated 09/23/02 and titled "[redacted] [redacted] [redacted] Division [redacted] IOB 2002-40."

[Large redacted area]

b2
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b7E

WWS
10/03/02

| | | | |
|-----------------------|------------------|-------------------------|------------------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection _____ | Training _____ |
| | CJIS _____ | Laboratory _____ | Off. of EEQ _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel <i>WWS</i> | OPR _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Off. of Public & Cong. Affs. _____ |

~~SECRET~~

Hofmann-IOB-2348

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035.

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2002-40 (U)

b2
b7E

~~X~~ Inquiry has determined that the [REDACTED] Division of the Federal Bureau of Investigation (FBI) failed to determine the correct [REDACTED] of its intended target [REDACTED] a U.S. person, which resulted in the [REDACTED] of unknown persons on [REDACTED]. In this regard, [REDACTED]

[REDACTED]

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[REDACTED]. Coverage was immediately terminated. It subsequently was determined that during the period between the submission of [REDACTED]'s request to FBI Headquarters for authority to [REDACTED] of the subject and the time that coverage was authorized [REDACTED], the [REDACTED] in question had [REDACTED]. As soon as the error was discovered, all [REDACTED] related to that [REDACTED] were collected, sequestered, and subsequently forwarded to FBI Headquarters for submission [REDACTED] and eventual destruction. No investigative actions were taken based upon the recordings made in error. ~~(S)~~

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

NET/IOB

APPROVED: Crim. Inv. _____ Inspection 2 Training _____
CJIS _____ Laboratory _____ Off. of EEO _____
Finance _____ National Sec. _____ Affairs _____
Director _____ Gen. Counsel 10 OPR _____ Off. of Public & _____
Deputy Director _____ Info. Res. _____ Personnel _____ Cong. Affs. _____

October 04, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2002-41."
(U)

b2
b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Wainstein
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002 41)
- 1 - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public
& Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2350

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein
General Counsel

Lynne A. Hunt
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from INSD to the Director's Office and OGC, dated 09/23/02 and titled "[redacted]

[redacted] [redacted] [redacted] Division [redacted] IOB 2002 41." [redacted]

b2
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b7E

[redacted] As soon as the error was realized, the data was properly sequestered for eventual destruction.

WHL
10/04/02

| | | | |
|-----------------------|-------------------------|---------------------|------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection <u>2</u> | Training _____ |
| | CJS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| Director _____ | Gen. Counsel <i>WHL</i> | OPR _____ | Off. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Cong. Affs. _____ |

~~SECRET~~

Hofmann-IOB-2351

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2002-41 (U)

b2
b7E

Investigation has determined that, as a consequence
of technical errors, on [REDACTED] the [REDACTED]
Division of the Federal Bureau of Investigation (FBI) initiated
an unintended, but nevertheless [REDACTED]

[REDACTED]

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b7E

~~(S)~~

~~(S)~~

[REDACTED] The error was also promptly reported [REDACTED].

~~(S)~~

James
10/04/02

| | | | |
|------------------|-----------------------|------------------------|-----------------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection <u>✓</u> | Training _____ |
| | C.I.S. _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| | Director _____ | Gen. Counsel <u>kw</u> | OPR _____ |
| | Deputy Director _____ | Info. Res. _____ | Personnel _____ |
| | | | Off. of Public & Cong. Affs _____ |

10/8/02

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2352

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

October 04, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2002-42." (U)

b2
b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Wainstein
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002 42)
- ① - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.: _____
- Admin. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2353

MAIL ROOM ☐

FBI/DOJ

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein
General Counsel

Lynne A. Hunt
Assistant Director
Inspection Division

NOTE: This case was referred to OGC by EC from INSD to the Director's Office and OGC, dated 09/23/02 and titled "[redacted] [redacted] [redacted] (sic) [redacted] [redacted] IOB 2002 42." In this instance, the [redacted] Division assumed, incorrectly, that the subject of its [redacted] was a "non-U.S. person" as that term is used in FCIG. As a result, [redacted] failed to submit 90-day LHM, as required. The error went undetected until [redacted] when [redacted] submitted an annual LHM, which [redacted] concluded upon review did not provide a sufficient basis for [redacted] s [redacted]. The investigation was therefore ordered closed, and [redacted] s administrative errors were reported as potential IOB matters.

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APPROVED:

| | | | |
|-----------------|--------------|---------------|------------------|
| Director | Crim. Inv. | Inspection | Training |
| Deputy Director | CJIS | Laboratory | Off. of EEO |
| | Finance | National Sec. | Affairs |
| | Gen. Counsel | OPR | Off. of Public & |
| | Info. Sys. | Personnel | Cong. Affs. |

VLW
10/07/02

- 2 -

~~SECRET~~

Hofmann-IOB-2354

*MLW
10/16/02*

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER,
[REDACTED] DIVISION
IOB MATTER 2002-42 (U)

b2
b7E

~~(S)~~ Inquiry has determined that in conducting a [REDACTED]
[REDACTED] on [REDACTED], a "United States person",
the [REDACTED] Division (" [REDACTED] ") of Federal Bureau of Investigation
(FBI) failed to comply with the 90-day reporting requirements
prescribed in [REDACTED] of the Attorney General Guidelines
for FBI Foreign Intelligence Collection and Foreign Counter-
intelligence Investigations (FCIG). ~~(S)~~

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When this investigation was opened on [REDACTED],
[REDACTED] assumed, incorrectly², that the [REDACTED] was a
"non-United States person" as that term is used in [REDACTED]
[REDACTED]³. As a result, [REDACTED] failed to submit a 90-day letter-
head memorandum (LHM) setting forth the basis for the initiation
of its investigation, as required by [REDACTED] of the FCIG.
These errors went undetected until [REDACTED] when [REDACTED]

b2
b7E

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

(U) ~~(S)~~ A "United States person" is defined [REDACTED]
[REDACTED] as "a citizen of the United States, an alien lawfully admitted
for permanent residence (as defined in [REDACTED] of the Immigration
and Naturalization Act) . . . or a corporation which is incorporated in the
United States" (Emphasis added.) See also [REDACTED] of the
FCIG.

b2
b7E

(U) [REDACTED]

³ (U) See [REDACTED] FCIG.

b2
b7E

~~SECRET~~

submitted its first report concerning this investigation, an annual LHM, which FBI Headquarters determined upon review did not provide a sufficient basis for a [redacted]. Consequently, [redacted]'s investigation was ordered closed. Nevertheless, as a result of [redacted]'s initial administrative errors, neither FBI Headquarters nor the Office of Intelligence Policy and Review, Department of Justice, were properly advised of the status of [redacted]'s ongoing investigation, and, thus, were precluded from exercising meaningful oversight and review of this case. The errors have been reported to the FBI's Office of Professional Responsibility. ~~(S)~~

(U)

b2
b7E

VMS
10/07/02

| | | | |
|------------------|------------|---------------|------------------|
| APPROVED: | Crim. Inv. | Inspection | Training |
| | CNS | Laboratory | Off. of EEO |
| | Finance | National Sec. | Affairs |
| | Director | Gen. Counsel | Off. of Public & |
| Deputy Director | Info. Res. | Personnel | Cong. Affs. |

10/10/02

~~SECRET~~

Hofmann-IOB-2356

October 31, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division, IOB Matter 2002-47:" (U)

b2
b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

- 1 - Mr. Wainstein
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002 47)
- 1 - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of GEOA _____
- Director's Office _____

~~Derived from : G-3
Declassify on : X1~~

~~SECRET~~

Hofmann-IOB-2357

MAIL ROOM

FBI/DOJ

SECRET

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Kenneth L. Wainstein
General Counsel

Lynne A. Hunt
Assistant Director
Inspection Division

NOTE: This case was referred to OGC by EC from INSD to the Director's Office and OGC, dated 10/04/02 and titled "[redacted] [redacted] [redacted] Division [redacted] IOB 2002-47." In this instance, as a consequence of [redacted]

[redacted]

[redacted], the overrun is reportable to the IOB.

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b7E

WWS
10/10/02

| | | | |
|-----------------------|-----------------------|---------------------|------------------------|
| APPROVED: | Crim. Inv. _____ | Inspection <u>2</u> | Training _____ |
| | CJIS _____ | Laboratory _____ | Off. of EEO _____ |
| | Finance _____ | National Sec. _____ | Affairs _____ |
| Director _____ | Gen. Counsel <u>W</u> | OPR _____ | Off. of Public & _____ |
| Deputy Director _____ | Info. Res. _____ | Personnel _____ | Coop. Affs _____ |

WWS
10/11/02

- 2 -

SECRET

Hofmann-IOB-2358

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DNH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2002-47 (U)

b2
b7E

On [redacted]

[redacted]
[redacted]
[redacted] involved, coverage did not actually
[redacted]. As soon the error was realized on
[redacted] appropriate action was immediately taken to
sequester the unauthorized material for eventual destruction.
None of the contents of the unauthorized data was ever [redacted]

b1
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b7C
b7E

[redacted] The error was also promptly
reported to [redacted]

[redacted]

b6
b7C

| | | | |
|------------------|------------|---------------|------------------|
| APPROVED: | Crim. Inv. | Inspection | Training |
| | C.I.S. | Laboratory | Off. of EEO |
| | Finance | National Sec. | Affairs |
| | Director | Gen. Counsel | Off. of Public & |
| Deputy Director | Info. Res. | Personnel | Cong. Affs. |

~~Derived from : G-3
Declassify on: X1~~

~~SECRET~~

December 3, 2002

BY COURIER

General Brent Scowcroft (USAF Retired)
Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear General Scowcroft:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division and the [redacted] [redacted] Division, IOB Matter 2002-51." (U)

b2
b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

- 1 - Mr. Wainstein
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2002 51)
- 1 - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.: _____
- Admin. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EROA _____
- Director's Office _____

Derived from : G-3
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2360

~~SECRET~~

General Brent Scowcroft (USAF Retired)

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Patrick W. Kelley
Deputy General Counsel

WMS

APPROVED:

| | | | | | |
|-----------------|-------|---------------|-----------|----------------|-------|
| Crim. Inv. | _____ | Inspection | _____ | Training | _____ |
| CJIS | _____ | Laboratory | _____ | Off. of EEO | _____ |
| Finance | _____ | National Sec. | _____ | Affairs | _____ |
| Director | _____ | Gen. Counsel | <i>PK</i> | Off. of Public | _____ |
| Deputy Director | _____ | Info. Res. | _____ | Personnel | _____ |
| | | | | Cong. Affs | _____ |

~~SECRET~~

Hofmann-IOB-2361

DATE: 07-07-2010
CLASSIFIED BY 65179DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-07-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION AND THE
[redacted] DIVISION
IOB MATTER 2002-51 (U)

b2
b7E

Investigation of this matter has determined that
in conducting a [redacted] of [redacted]
the Federal Bureau of Investigation (FBI) failed to meet the
annual reporting requirements prescribed in [redacted] of,
the Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations (FCIG).
In this regard, on [redacted] the [redacted] Division
(" [redacted] ") opened a [redacted] on the
subject which subsequently was converted to a [redacted]
on [redacted], closed once, and then reopened as a [redacted]
[redacted] on [redacted]. Because the subject was
(and remains) a "United States person" as that term is used in
Section 101(i) of the Foreign Intelligence Surveillance Act of
1978 (FISA), continuation of the investigation required that
[redacted] and the [redacted]
Division, FBI Headquarters, comply with the reporting require-
ments of Executive Order 12863 and the FCIG. Due to apparent
administrative error on the part of the assigned case agent,
[redacted]'s required annual letterhead memorandum was
submitted [redacted] late to the Office of Intelligence Policy
and Review (OIPR), Department of Justice. The error went
undetected by [redacted]. As a result,
OIPR was precluded from conducting meaningful oversight and
review of an [redacted] of a U.S. person during
the period in question. The matter has been reported to the
FBI's Office of Professional Responsibility. (S)

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.: _____
- Admin. Serv. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

APPROVED:

Crim. Inv. _____ Inspection _____ Training _____
C.I.S. _____ Laboratory _____ Off. of EEO _____
Finance _____ National Sec. _____ Affairs _____
Gen. Counsel _____ OPR _____ Off. of Public & _____
Info. Res. _____ Personnel _____ Cong. Affs. _____

JMM
6/21/10

Derived from [redacted] 6-3
Declassify on: XI

~~SECRET~~

Hofmann-IOB-2362