

	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2
(U) <	FIELD OFFICE IOB MATTER 2007-1558 (U)	Ъ7Е
3 4 (
	(S) During a	b2
	of a U.S. person, issued an NSL under requested	b7 E
	to a requested.	
	<u></u>	•
•		
		•
. `		
` .	(U) In this case, an error was committed by when	b2 b7E
	it requested in its NSL.	
٠,	does not allow the FBI to request such	
	information.	
٠.٠	(U) has been instructed to redact from all	. b2 . b7E
•	documents any that it received due to the	:
1.5	NSL	

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IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 45 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2008
DATE OF REPORT TO DEPARTMENT	/AGENCY: 04/24/2007
DATE REPORT SUBMITTED TO OTHE	BR ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code C FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	Citation)
Section 2.4(b) unconsent Section 2.4(c) physical s Section 2.4(d) physical s Section 2.5 AG approval Section 2.6 assistance to Section 2.7 contracting Section 2.9 undisclosed g Section 2.10 human expension 2.11 prohibition Section 2.12 indirect par	ast intrusive collection ronic surveillance w/in US ted physical search w/in US surveillance of USP w/in US surveillance of USP abroad I w/in US or against USP abroad law enforcement participation erimentation on assassination
OTHER EXECUTIVE ORDER ((SPECIFY) 1 SAP (EO 12958; as amended)
	IDING/MEMORANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	



(ח)	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1559 (U)	. b2 b71
	of a U.S. person, issued an NSL under to a requested	b2 b7E
		<i>y</i> . · · ·
	(U) In this case, an error was committed by when it requested in its NSL. The does not allow the FBI to request such information.	b2 b7I
	(U) has been instructed to redact from all documents any that it received due to the NSL.	b2 b7I

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IOB SUMMARY SHEET

Name of Department or Agency: FE	CY Quarterly Report: 4년2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2003
DATE OF REPORT TO DEPARTME	
DATE REPORT SUBMITTED TO O	THER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Co FISA (50 U.S.C. 186 X Other (U.S.C. citation	01)
Section 2.4 preamble Section 2.4(a) CIA e Section 2.4(b) uncor Section 2.4(c) physi Section 2.5 AG appr Section 2.6 assistance Section 2.7 contracte Section 2.9 undisclot Section 2.10 human Section 2.11 prohibit Section 2.12 indirect	e: least intrusive collection e: least intrusive collection electronic surveillance w/in US asented physical search w/in US cal surveillance of USP w/in US cal surveillance of USP abroad roval w/in US or against USP abroad ce to law enforcement ing sed participation experimentation ition on assassination
OTHER EXECUTIVE ORD Creation of unautho Other (EO citation:	rized SAP (EO 12958, as amended)
PRESIDENTIAL DIRECTIVE COVERT ACTION Other (Presidential)	FINDING/MEMORANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION	
ATT A TOTAL OF THE STATE OF THE	



}	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1536 (U)
, of a	(S) During a a U.S. person. lissued an NSL under b to a requested
. :	
. <u>it</u> :	(U) In this case, an error was committed by when requested in its NSL. does not allow the FBI to request such
info	ormation.
ئے۔	(U) has been instructed to redact from all ments any that it received due to the



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IOB SUMMARY SHEET

Name of Department or Agency: FBI . CY Quarterly Report: 45 7007	•
DATE OF INCIDENT: DATE OF REPORT TO IOB: //3//wo	, ,
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/24/2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	,
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting. Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION	
Other (Presidential Directive citation:) DEPARTMENT/AGENCY ACTION: *DATE OF FINAL DISPOSITION:	•

(U)



INTELLIG <u>ENCE OVERSIG</u> HT BOARD (IOB) MATTER FIELD <u>OFFICE</u>	b2 b7E
IOB MATTER 2007-1569 (U)	٠, ٠,
	•
During a	•
of a U.S. person, issued a National Security Letter	
(NSL) under to a	<i>.</i> .
requested	
To wood the Way the	b2 · ·
In response to the NSL, the about the	b7E
<u> </u>	
target that was not requested in the NSL. forwarded	, .
the to a analyst in the	
at FBI Headquarters. The	·
analyst subsequently returned the to	•
	•
	. b2
(U) In this case, an error was committed by when	b7E
it disseminated theby sending it to	()
(II) has been instructed to contact the	,
has been instructed to contact the and ask whether the should be	
returned or destroyed, with appropriate documentation to the	
	b2
	b7E
prepared by the analyst. If any over-produced	- · - ·
information was included, then has been instructed to	
redact it from all ACS and hard copies of the report.	. .



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*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 4th 7007
DATE OF INCIDENT:	Date of report to iob: 1/3/2001
DATE OF REPORT TO DEPARTMENT/AGENC	Y: 04/27/2007
DATE REPORT SUBMITTED TO OTHER ENTI	TY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemin Section 2.4 preamble: least intrus Section 2.4(a) CIA electronic sur Section 2.4(b) unconsented physi Section 2.4(c) physical surveillan Section 2.4(d) physical surveillan Section 2.5 AG approval w/in US Section 2.6 assistance to law enformation Section 2.7 contracting Section 2.9 undisclosed participal Section 2.10 human experimental Section 2.11 prohibition on assas Section 2.12 indirect participation AG Approved EO 12333 Guideli	ive collection veillance w/in US cal search w/in US ce of USP w/in US ce of USP abroad or against USP abroad orcement tion ion
OTHER EXECUTIVE ORDER (SPECIF Creation of unauthorized SAP (E Other (EO citation:	
PRESIDENTIAL DIRECTIVE (SPECIF) COVERT ACTION FINDING/M Other (Presidential Directive cital DEPARTMENT/AGENCY ACTION:	IEMORANDUM OF NOTIFICATION



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J)	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2
•	FIELD OFFICE	b7E
	IOB MATTER 2007-1887- (U)	
	(S) During an authorized	
	of a U.S. person, sent a National Security	
	Letter (NSL) to a seeking	b2
	In response	b7E
	to the NSL, the	
	report, which included information that was not requested in the	.,
	NSL. subsequently inserted information from the	
•	regarding into a	,
	letterhead memorandum (LHM) that was sent to other government	
	agencies.	
		, 1- O
	(U) In this case, an error was committed by when it	. b2 b7E
•	compounded the third party error by disseminating the over-	
	produced information to other government agencies.	4, 1
	(U) has been instructed to contact the	
	and ask whether the should be	,b2
	returned or destroyed, with appropriate documentation to the	b7E
	file. has also been instructed to contact the other	
	government agencies that received the LHM and instruct them to	<i>:</i> , ,
	purge the over-produced information from their files.	· • ·



ALL FBI INFORMATION.CONTAINED HEREIN IS UNCLASSIFIED DATE 07-08-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 4 7007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2003
DATE OF REPORT TO DEPARTMENT/AGEN	ICY: 03/14/2007
DATE REPORT SUBMITTED TO OTHER EN	ITTY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation FISA (50 U.S.C. 1801) X Other (U.S.C. citation:)
EXECUTIVE ORDER 12333: Section 2.3 collection & dissement of the section of the	usive collection urveillance w/in US vsical search w/in US ance of USP w/in US ance of USP abroad US or against USP abroad aforcement cation assination
OTHER EXECUTIVE ORDER (SPECION Creation of unauthorized SAP) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECION FINDING	(EO 12958, as amended)
Other (Presidential Directive ci	
*DATE OF FINAL DISPOSITION:	



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(U) INTELLIGENCE OVER	SIGHT BOARD (IOB) MATTER FIELD OFFICE 2007-1575 (U)
U.S. person, issue National Security Lett	ed a cer (NSL) to a requested
	(Emphasis in original.)
	b2 b7E
All of the	un-redacted information on the
was either	information under
or	information under
(U) In this case, an err	ror was committed by the case agent
when he/she requested a	for an authorized b2
investiga	ation that did not have an
.[
(U) Because certification under both	NSL included the proper for the b2
information produced by the	b7E
has not been instructed to reinformation that it received	

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED IOB SUMMARY SHEET DATE 07-08-2010 BY 65179/DMH/PLJ/sdb CY Quarterly Report: 4th 7,007 Name of Department or Agency: DATE OF REPORT TO IOB: 1/3/LDCS DATE OF INCIDENT: . DATE OF REPORT TO DEPARTMENT/AGENCY: 04/23/2007 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: **EXECUTIVE ORDER 12333:** Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5. AG approval w/in US or against USP abroad: -Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (EO citation:

PRESIDENTIAL DIRECTIVE (SPECIFY)

b2

Other (Presidential Directive citation:
DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

	SIFIED BY 65179/DMH/PLJ/sdb 08-2010
(U)	OF CREE
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE b7E
[U.S. person, issued an NSL under to b7E requested
	(U) In this case, an error was committed by when b7E it requested in its NSL. The does not allow the FBI
	(U) has been instructed to redact from all b7E documents any account numbers that it received due to the

Hofmann-IOB-3589.



DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

b2 b7E

Name of Department	t or Agency: FBI	•	CY Quarterly Rep	port: 4H 7	2017
DATE OF INCIDER	NT:		DATE OF REPO	RT TO IOB:	1/4/2003
DATE OF REPORT	TO DEPARTMEN	T/AGENCY: 04/24	/2007		
DATE REPORT SU	BMITTED TO OTI	HER ENTITY (i.e., I	oJ, Congress, Civi	il Liberties Off	fice):
POSSIBLE VIOLAT	TION OF:	• .		,	,
FI	(United States Code SA (50 U.S.C. 1801 her (U.S.C. citation)			َ بند.
Se	ction 2.4 preamble: ction 2.4(a) CIA election 2.4(b) unconsection 2.4(c) physica ction 2.5 AG approction 2.6 assistance ction 2.7 contracting ction 2.9 undisclose ction 2.10 human exction 2.11 prohibitic ction 2.12 indirect p	g d participation operimentation on on assassination	ion w/in US w/in US w/in US abroad t USP abroad	ection:	
PRESIDEN	her (EO citation: ITIAL DIRECTIVE OVERT ACTION F her (Presidential Di	zed SAP (EO 12958,) L (SPECIFY) INDING/MEMORA		FICATION	

While

future. (U)

ON 07-08-2010 (\mathbf{U}) SECRET//20171620 b2 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER .b7F FIELD OFFICE IOB MATTER 2007-3057 (U) The Federal Bureau of Investigation ("FBI") <u>determined that while conducting a </u> of a United States person, the FBI b2Field Office b7E seemingly requested more information than specifically authorized under the specifically On 6/1/2007, prepared and issued a national security letter (NSL) for consistent with However, in addition to the NSL, b2 appended an "Attachment" document listing categories of b7E information, with the following guidance to In response overproduction was discovered by the Case Agent when reviewing the results; they were segregated from the investigative portion of the case file to avoid compounding an overproduction concerns. It is noted that the additional information provided is consistent with which is authorized to be acquired by the FBI under As such, has been advised to issue a curative NSL enabling the return of this relevant information to the case file. (U)

> Derived from: G-3 Declassify on: 10/20/2017

been advised to rely on the model NSLs prepared by FBI, Office of General Counsel, to assist in preventing similar issues in the

only recently been discovered in limited instances,

has been advised to stop the practice.

"Attachment" to

Hofmann-IOB-3591

has further

DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET 2007-3057

Name of Department or Agency: FBI	CY Quarterly Report: 4 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: (/3/2008
DATE OF REPORT TO DEPARTMENT	C/AGENCY: 07/26/2007
DATE REPORT SUBMITTED TO OTH	ER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code FISA (50 U.S.C. 1801) X Other (U.S.C. citation	
Section 2.4 preamble: le Section 2.4(a) CIA elec Section 2.4(b) unconser Section 2.4(c) physical Section 2.4(d) physical Section 2.5 AG approva Section 2.6 assistance to Section 2.7 contracting Section 2.9 undisclosed Section 2.10 human exp Section 2.11 prohibition Section 2.12 indirect pa	tronic surveillance w/in US nted physical search w/in US surveillance of USP w/in US surveillance of USP abroad al w/in US or against USP abroad to law enforcement participation to on assassination principation
OTHER EXECUTIVE ORDER	d SAP (EO 12958, as amended)
	NDING/MEMORANDUM OF NOTIFICATION

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	Secre.			,
(U) INTEL	LIGENCE OVERSIG	HT BOARD MATTE	žR ·	•
7=1	FIELD) OFFICE		•
•	IOB MATTER 200'	7-1705 (U) ·		,
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(S) A			<u>vas in</u> itiaț	ed on
a U.S. person		on_	The	was
	with an			
		, ,		٠
(S) On	aurin	g the authoriz	zed berrod	OT .
investigation, the conduct	ise agent sough	r and received		
Conducti		<u> </u>	See	الــــــــــــــــــــــــــــــــــــ
				
, IMAG	initiated on		i. Due to	22
oversight, however,		ontinued for a		
after the case was a		e on	After.	days ,
discovering the error				A.TPM O
as a potential IOB m	atter	10, 201101101	ic macter c	O NOLLO
		• • •		
As the	e case involved	l a lapsed	NSLB's ana	ilvsis
focused upon the inve	estigative tool	s available ur	nder	
as set fort!	ı in <u>The Attorn</u>	ev General!s G	Juidelines	for
. FBI National Security	/ Investigation	s and Foreign	Intelliger	ice
Collection (NSIG). e	fective 10/31/	2003 After	concluding	
]
·				<u></u>
that the matter was :	reportable. Th	is memorandum	therefore	

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IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 4/13 7007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 10/2007 1/31/2008 b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY: 05/15/	2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., D	OoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: Other (U.S.C. citation: Section 2.3 collection & dissemination of U.S. Section 2.4 preamble: least intrusive collect Section 2.4(a) CIA electronic surveillance of Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.5 AG approval w/in US or agains Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.12 indirect participation X AG Approved EO 12333 Guidelines (Guide	ion w/in US w/in US w/in US abroad t USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, and Other (EO citation:)	ás amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAL Other (Presidential Directive citation:	NDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Notification to IOB *DATE OF FINAL DISPOSITION:	

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•		b2 b7 E
	IOB MATTER 2007-2209NSL (U)	<i>.</i> , , , ,
	2007, the reported a	b2 b7E
	potential IOB error in conjunction with its of a United States Person.	
[U]	On January 13, 2005, issued a proper National Security Letter ("NSL") fo a	
	the target of an authorized b2	
	investigation In addition to responsive documents, the provided records starting from	
	did not sequester this overproduction until	
	after when it was discovered during the NSL audit	• • •
	(U) Pursuant to the July 31, 2007 instructions from the IOB,	•
		b2
	party error, even if the data was subsequently sequestered.	b7Е
.,,	(U) has been instructed to contact the	```
`	and ask whether the unintentionally	
	acquired information should be returned or destroyed with appropriate documentation to the file. If deems the	
	information to be relevant, it has the option to be	, .,
	Issue a curative NSL requesting theinformation, b7E	•
	provided the investigation remains open. However, the information should remain sequestered with the Chief Division	
	Counsel ("CDC") until either a new NSL is served or the	
	is destroyed or returned.	•



IOB SUMMARY SHEET

Name of Departm	ent or Agency:	FBI	CY Qua	rterly Report:	4th zoo) 7
DATE OF INCID	ENT:]	DATEC	F REPORT TO	IOB: 1/3	1/2008
DATE OF REPO	RT TO DEPART	MENT/AGENCY	08/22/07		•	
DATE REPORT	SUBMITTED TO	O OTHER ENTITY	(i.e., DoJ, Congr	ess, Civil Libert	ies Office):	
POSSIBLE VIOL	ATION OF:				* * *	
,	TE (United States FISA (50 U.S.C. Other (U.S.C. ci	. 1801)	·	1	•••••••••••••••••••••••••••••••••••••••	
EXECU	Section 2.4 prea Section 2.4(a) C Section 2.4(b) us Section-2.4(c) pl	ection & dissemina mble: least intrusiv IA electronic surve nconsented physica hysical surveillance	e collection sillance w/in US al search w/in US of USP w/in US			
	Section 2.5 AG Section 2.6 assis Section 2.7 cont Section 2.9 undi	hysical surveillance approval w/in US o stance to law enford racting isclosed participation man experimentation	or against USP abr cement on	oad		
	Section 2.11 pro Section 2.12 ind	phibition on assassi birect participation O 12333 Guideline	nation			
OTHER		RDER (SPECIFY) uthorized SAP (EO on:)		ed)		
PRESID	COVERT ACT	CTIVE (SPECIFY) ON FINDING/ME tial Directive citation	MORANDUM O	È NOTIFICATI	ON	
DEPARTMENT	ÁGENCY ACTI	ON: Return or des	troy			
*DATE OF FINA	L DISPOSITIO	N: ,			•	``,

Hofmann-IOB-3596.

(U)	\
1 - 1	\ .

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-832 (U)
(S) During an
of a U.S. person. sent a National Security Letter (NSL) to a seeking
b2
requested b7
In response to the NSL, the
produced a which included
Information that was not requested in the NSL. subsequently summarized the in an EC that was uploaded onto ACS.
b2
(U) In this case, an error was committed by when it b7E
compounded the third party error by uploading the over-produced information onto ACS.
THIOTHIGUION ONLO ACS.
(U) has been instructed to permanently charge-out
from ACS the EC summarizing the and destroy any
hard copies of the EC. has also been instructed to
contact the and ask whether the
should be returned or destroyed, with appropriate
documentation to the file.



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IOB SUMMARY SHEET

Name of Department or Agency: FBI CY Quarter	ly Report: UB 2007
DATE OF INCIDENT: DATE OF I	report to Job: 1/31/200
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/09/2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress	, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name as	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF N Other (Presidential Directive citation:	OTIFICATION
DEPARTMENT/AGENCY ACTION: *DATE OF FINAL DISPOSITION:	

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(11)	. THIBITIERCE OVERSIGHT BOARD (TOB) MATTER	o2 ;
, , ,	IOB MATTER 2007-1833 (U)	
•	TOD MATTER 2007-1853(U)	•
	During an	•
_	of a U.S. person, the Division sent a	
•	National Security Letter (NSL) to al	_b2 1b7E
į	seeking	D/E
, , ,	provided a In response,	·
(U)	provided a	
171	Based on the information contained in the	
l	, including the subject's issued	bZ b7E
	a subsequent NSL to a	
•	/III Th this case, an error on the part of the	
	resulted in the unintentional acquisition of	
	information. In response to a proper and legal NSL requesting	b2
`	, the	b7E
• •	However,	
	compounded the error by using the subject's	
	in a subsequent NSL.	
	(U) It should be noted, however, that would	
	have issued the subsequent NSL regardless of the information	b2 ' b7E
	obtained via the first NSL and only used the subject's	2
	to narrow the scope of the second NSL, Moreover, the	• • • •
	was subsequently provided by the financial	
, ,	in response to the second NSL.	
	did not unload the	•
	into any FBI database has been advised to contact the	
	to determine whether the overproduction	b2
	should be returned or destroyed.	. b7E
.* *		
٠		

Hofmann-IOB-3599

SECRET//2017#129

Declassify on: 11/29/2017

IOB SUMMARY SHEET

Name of Department or Agency: FBI · CY Quarterly Report: 1st	25408 C/15 2007
	IOB: 12/ 31/2007 1/31/2003
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/16/2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberti	es Office): N/A
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info	
Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:))N
DEPARTMENT/AGENCY ACTION: Field office did not upload information and advis determine if it should be returned or destroyed. *DATE OF FINAL DISPOSITION: 11/29/2007	sed to contact b7E

DATE: 07-08-2010

CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c, d)

DECLASSIFY ON: 07-08-2035

SECRET//20171231

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION, IOB MATTER 2007-1115(U)

b2 b7<u>E</u>

4 6		. b2
	(U) The Federal Bureau of Investigation ("FBI") has	b 2
	determined that in conducting a on unknown	b7E
	non-US Persons, the FBI failed to submit the required notice to	
	the Office of Intelligence Policy and Review (OIPR).	
	On opened a	
	on unknown members of	
	The Attorney General guidelines at the time did not require	
	Division Offices to notify the OIPR of non-US person	•
	investigations. However, pursuant to The Attorney General!s	
		b1
	Guidelines for FBI National Security Investigations and Foreign	,b2
•	Intelligence Collection (NSIG), which took effect on October 31,	, b6
	2003, this investigation requires OIPR notification. FBI	b7c
	Headquarters provided interim implementing guidance providing	b7E
	Divisions two months to report on all	•
	open opened prior to	
`		•
	(S) A review of this casefile on	
	revealed that failed to properly notify OIPR. When the	
	mistake was realized,immediately drafted a report in order	•
	to comply with case reporting requirements. In light of the fact	
	that OIPR was not notified of the investigation until more than	` .
•	after the requirement, OGC concludes that this delay	b2 ,
	in filing the notice was sufficiently great so as to deprive OIPR	b7E
٦.	of the opportunity to provide oversight of the investigation	

Derived from: C 3
Declassify on: 12/31/2017

Thus, the matter is being reported to the IOB.



ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE: 07-08-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 12 7007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: December 31, 2007 //3//2003
DATE OF REPORT TO DEPARTMENT/AGENCY: April 1,	2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., Do	J, Congress, Civil Liberties Office): b2 b7E
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation)	
FISA (50 U.S.C. 1801) Other (U.S.C. citation:)	
EXECUTIVE ORDER 12333:	
Section 2.3 collection & dissemination of U	SP info
Section 2.4 preamble: least intrusive collecti	
Section 2.4(a) CIA electronic surveillance w	/in US
Section 2.4(b) unconsented physical search	w/in US
Section 2.4(c) physical surveillance of USP	w/in US
Section 2.4(d) physical surveillance of USP	abroad
Section 2.5 AG approval w/in US or against	USP abroad
Section 2.6 assistance to law enforcement	
Section 2.7 contracting	
Section 2.9 undisclosed participation	
Section 2.10 human experimentation	
Section 2.11 prohibition on assassination	
Section 2.12 indirect participation	
X AG Approved EO 12333 Guidelines (Guide	lines name and section:
OTHER EXECUTIVE ORDER (SPECIFY)	
. Creation of unauthorized SAP (EO 12958, a	s amended)
Other (EO citation:	
. PRESIDENTIAL DIRECTIVE (SPECIFY)	
COVERT ACTION FINDING/MEMORAN	IDI IM OF NOTIFICATION
Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION: Reported to the IOB	
*DATE OF FINAL DISPOSITION:	

DATE: 07-08-2010 . SLASSIFIED BY 65179/DMH/PLJ/sdb REASON: 1.4 (c. d) ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

REASON: 1.4 (c. d) DECLASSIFY ON: 07-08-2035 /20171116 b2 (S) · b7E INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION, IOB MATTER 2007-1132 (U) The Federal Bureau of Investigation ("FBI") has (S) determined that in conducting a investigation of a non-United States person, the FBI failed to submit notice to the Office of Intelligence Policy and Review (OIPR). (U) In this regard opened a h1 on the subject on b2 · due to his investigate the subject's b7E employment at The Attorney General FBI Foreign Intelligence Collection and Foreign Intelligence Investigations in place in December 2002, when this investigation was opened, did not require the field to file notice of investigations opened on non-United States persons. However, the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), dated October 31, 2003 provides that "In addition to the notice concerning the initiation of investigations required Interim Policy Guidance reflecting the NSIG was sent to FBI Divisions in 2003 instructing them to report all investigations opened prior to 10/31/2003 without regard to the status of the subject. failed to provide any notice about this investigation. (S) In this case 'did not provide the required In light of the fact that OIPR did not receive notification of this investigation at all during the time it was open, OGC concludes that this lack of notice deprived OIPR of the opportunity to provide oversight of the investigation. Thus, the

matter is being reported to the IOB. (U)

Hofmann-IOB-3603

SECRET//20171116

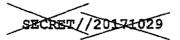
Derived from: G-3
Declassify on: 11/16/2017.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-08-2010 BY 65179/DMH/PLJ/sdb

*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 4th 7007
DATE OF INCIDENT: 1 March 2004	DATE OF REPORT TO IOB: 1/3//2007
DATE OF REPORT TO DEPARTMENT/AGENCY: 4/3/20	007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection.	•-
Section 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US	w/in US h w/in US P w/in US
Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting	st USP abroad
Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	
	mev General Guidelines, FBI National Security b2 b71
OTHER EXECUTIVE ORDER (SPECIFY Creation of unauthorized SAP (EO Other (EO citation:)	
PRESIDENTIAL DIRECTIVE (SPECIFY COVERT ACTION FINDING/ME	MORANDUM OF NOTIFICATION
Other (Presidential Directive citation) DEPARTMENT/AGENCY ACTION:	on:)
DEFACTMENT/AGENCY ACTION:	



INTELLIGENCE OVERSIGHT BÓARD (IOB) MATTER b2 FIELD OFFICE b7E IOB MATTER 2007-3376 (U) (U) The _____ Field Office of the Federal Bureau of Investigation has reported an error in conjunction with an investigation of that division. In this regard, an NSL sought b7E response included that had been requested. These records had been uploaded into an FBI. database (ACS), but were subsequently deleted. But because they were uploaded, this matter must be reported. (U) This matter has been reported to the FBI's Inspection Division for appropriate action. Derived from : G-3

Declassify on: October 29, 2017

SECRET//20171029

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-08-2010 BY 65179/DMH/PLJ/sdb

*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency: FBI	CY Quarterly Report: 45 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2003
DATE OF REPORT TO DEPARTMENT/AGENCY: 9/11/2	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of U Section 2.4 preamble: least intrusive collection	tion
Section 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US	n w/in US P w/in US
Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting	st USP abroad
Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958,	
Other (EO citation:)	as anicidedy
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	NDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	



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Ļ	U	Į

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION, IOB MATTER 2.007-1134(U)

b2 b7E

The Federal Bureau of Investigation ("FBI") has determined that during a of a non-U.S. person, b7E the FBI failed to submit the required ten day opening notice and did not provide the annual LHMs to the Office of Intelligence Policy and Review (OIPR).

instituted a

The Attorney General's Guidelines for FBI
National Security Investigations and Foreign Intelligence
Collection (NSIG) instituted on 10/31/2003 required all
investigations to be reported to OIPR, without distinction about
United States Person status.

.b2 \$ b7E

b7E

or an annual LHM before this case closed. As such, OIPR never had oversight of this investigation.

(U)

 (\mathbf{U})

of the NSIG,

n light of

the fact that OIPR was not notified of this investigation at all during the time it was open, OGC concludes that this lack of notice deprived OIPR of the opportunity to provide oversight of the investigation. Thus, the matter is being reported to the IOB.

Hofmann-IOB-3607

Derived from: G-3
Declassify on: 12/17/2017

SECRET//20171217

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-08-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

b2

b7E

Name of Department	or Agency: FBI	CY Quarterly Report: リキ zooマ	
DATE OF INCIDEN	r:	DATE OF REPORT TO IOB: December 31, 2005	7
DATE OF REPORT	TO DEPARTMENT/AGENC		
DATE REPORT SUI	BMITTED TO OTHER ENTI	TY (i.e., DoJ, Congress, Civil Liberties Office):	
POSSIBLE VIOLAT	ION OF:		
FIS	United States Code Citation) SA (50 U.S.C. 1801) her (U.S.C. citation:		
Sec. Sec. Sec. Sec. Sec. Sec. Sec. Sec.	E ORDER 12333: ction 2.3 collection & dissemiction 2.4 preamble: least intruction 2.4(a) CIA electronic suction 2.4(b) unconsented physicion 2.4(c) physical surveillaction 2.4(d) physical surveillaction 2.5 AG approval w/in Uction 2.6 assistance to law entition 2.7 contracting ction 2.9 undisclosed participation 2.10 human experimentation 2.11 prohibition on assaction 2.12 indirect participation	sive collection rveillance w/in US sical search w/in US nce of USP w/in US nce of USP abroad S or against USP abroad forcement ation ation ssination	
OTHER EX Cr Ot PRESIDEN CO	ECUTIVE ORDER (SPECIF cation of unauthorized SAP () her (EO citation: TIAL DIRECTIVE (SPECIF	30 12958, as amended) Y) MEMORANDUM OF NOTIFICATION	
DEPARTMENT/AG	ENCY ACTION: Reported to	the IOB	

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-		

(U) <	INTELLIGEN <u>CE OVE</u> RSIGHT BOARD MATTER	b2
	DIVISION	b7
	OB MATTERS4 2007-3133 and 2007-3423 (U)	• •
(S) On	05/23/2 <u>007 and</u> 08/27/20 <u>07 the F</u> ederal Bureau of	
Investigation		
	elligence Oversight Board (IOB) violations involving	
the late noti	fication of two to	b2 b7E
	t of Justice's Office of Intelligence Policy and	DIE.
Review (OIPR)		1
Nex	opened the following	
	oberied_rue_tollowing[
(U) ·		:
100	1	
•	In order to comply with the NSIG	, -
,	interim guidance on should have submitted a summary LHM to FBIHQ for OIPR on	
	However, this summary was not	ь2
•	provided until	b7E
	Also, no Annual LHMs were submitted for	
• • • • • • • • • • • • • • • • • • • •		
•		• '
•		,
	In order to comply with the NSIG	
	interim guidance onshould have	
(U)	submitted a summary LHM to FBIHQ for OIPR on	 Than
101	However, this summary was not	b2 b7E
• • • • • • • • • • • • • • • • • • • •	provided until	
	Also, no Annual LHMs were submitted for	
. `		.b2 .b7E
. `	Prior to this change, the	•
·	did not have to adhere to the Attorney General's	• •
	rity Guidelines because they were considered	,
criminal inve	stigations.	
	Derived From: G-3	
•	Declassify On: 12/04/2017	
	SPSPRT//201723904	,
	The state of the s	
•		
	Hofmann-IOB-3609	

During this same time period, the <u>NSIG</u> was implemented, and on 12/23/2003 the FBIHQ's Counterterrorism and Counterintelligence Investigations Divisions issued interim guidance providing instruction to the field offices on its notice requirements to DOJ/OIPR. According to the interim guidance, national security cases that were open prior to October 31, 2003 were to submit an LHM summary to FBIHQ for transmittal to OIPR by

b2 b7E

Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003 (NSIG), a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field of a preliminary or full investigation." After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General.

, b2 b7

Furthermore, the <u>NSIG</u> provides in pertinent part that in addition to providing OIPR notice of the initiation of the investigation, the FBI shall notify OIPR the Criminal Division at the end of each year a full investigation continues. <u>NSIG</u>,

The FBI reports that failure to notify OIPR of the investigations in a timely manner "substantially impaired" their oversight of this case. Also, failed to provide annual summaries of the investigations in Here, notification to OIPR of the was not until more than after they had been converted from

SECRET//20171204

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report:	UB Z	20 }	
DATE OF INCIDENT:	PATE OF REPORT TO IOB:			b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY:	05/23/2007 & 08/27/2007			
DATE REPORT SUBMITTED TO OTHER ENTITY	(i.e., DoJ, Congress, Civil Lib	erties Off	ice):	,
POSSIBLE VIOLATION OF:	•			-
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)		• • • • •		
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination Section 2.4 preamble: least intrusive Section 2.4(a) CIA electronic surveil Section 2.4(b) unconsented physical Section 2.4(c) physical surveillance of Section 2.5 AG approval w/in US or Section 2.6 assistance to law enforced Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassina Section 2.12 indirect participation X AG Approved EO 12333 Guidelines	collection lance w/in US search w/in US of USP w/in US of USP abroad against USP abroad ment	1:		b2 b7E
OTHER EXECUTIVE ORDER (SPECIFY) Greation of unauthorized SAP (EO 1 Other (EO citation:		; ,,,,,		
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEM Other (Presidential Directive citation		TION		
DEPARTMENT/AGENCY ACTION:		•		,
*DATE OF FINIAL INCOMPITIONS				

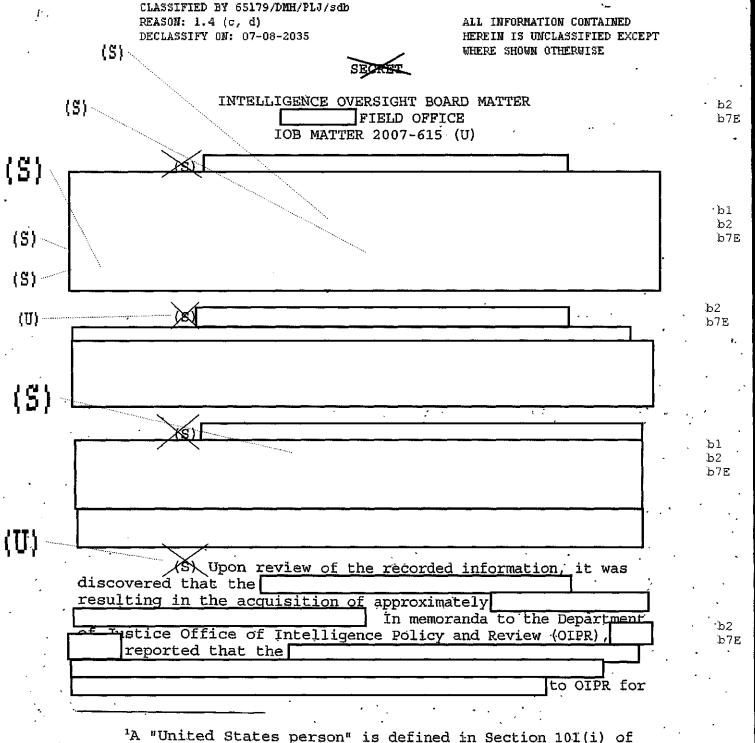
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-3158 (U) S) During an authorized	b2 , b7
	Security Letter (NSL) to a seeking	/ b2
		.b7E
	response to the NSL, the produced a	
	which included information that was not requested	;
٠	in the NSL. subsequently referenced information in an "annual summary"	
L	electronic communication to FBI Headquarters.	•
		. '. '
	(U) In this case, an error was committed by when it	b2 .
	compounded the third party error by uploading onto Automated Case. Support (ACS) information regarding the target's	b7E
	information.	•
•	(U) has been instructed to charge-out from ACS the EC that contains the above-described information. has	
	also been instructed to contact the and	b2
•	ask whether the should be returned or	b7E
	destroyed, with appropriate documentation to the file.	



IOB SUMMARY SHEET

b2 b7**E**

Name of Department or Agency: FBI	CY Quarterly Report: 46 2007
DATE OF INCIDENT:	DATE OF REPORT TO LOB: 1/31/2008
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/19	0/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	Col. Commune Civil I thanking Office)
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,)	703, Colligress, Civil Liberties Office).
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801)	
X Other (U.S.C. citation: _	
Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines (Guideli	etion w/in US n w/in US P w/in ÚŜ P abroad st USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, Other (EO citation:	as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION	



DATE: 07-08-2010

¹A "United States person" is defined in Section 101(i) of the Foreign Intelligence Surveillance Act (FISA), 50 U.S.C. § 1801, et seq., as "a citizen of the United States, an alien lawfully admitted for permanent residence (as defined in section 101(a) (20) of Title 8), an unincorporated association a substantial number of members which are citizens of the United States or aliens lawfully admitted for permanent residence, or a corporation which is incorporated in the United States, but does not include a corporation or an association which is a foreign power. . " See also Section I.C of the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG) (2003).

sequestering. See Memorandums to
OIPR, from
dated

S) This case thus involves a primary error and a
compounding error. The primary error occurred when an

I This error was compounded by the apparent

NSLB has opined that this incident is reportable.

b2 b7E

b2

bó b7C b7E

NSLB has opined that this incident is reportable. Appropriate notifications have been made to OIPR, and this memorandum is being provided to the IOB for reporting purposes.

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY	Y Quarterly Report: 44	6007	
DATE OF INCIDENT:	DATE OF REPORT		1/31/2008	b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENC	Y: 12/14/2006		`.	
DATE REPORT SUBMITTED TO OTHER ENTI	TY (i.e., DoJ, Congres	s, Civil Liberties Office):	N/A	
			,	
POSSIBLE VIOLATION OF:				
STATUTE (United States Code Citation) X EISA (50 U.S.C. 1801)	•		:	
Other (U.S.C. citation: <u>18 U.S.C.</u>	. § 2709)			
EXECUTIVE ORDER 13333:	4,00			
Section 2.3 collection & dissemin				
Section 2.4 preamble: least intrus				
Section 2.4(a) CIA electronic sur			· · ·	• • • •
Section 2.4(b) unconsented physical Section 2.4(c) physical surveillan Section 2.4(d) physical surveillan Section 2.5 AG approval w/in US				
Section 2.4(c) physical surveillan				
Section 2.4(d) physical surveillan				
Section 2.5 AG approval w/in US		d .		
Section 2.6 assistance to law enformation 2.7 contracting Section 2.9 undisclosed participat	preement			;
Section 2.7 contracting		• .		•
Section 2.9 undisclosed participal		•		
Section 2.10 human experimental Section 2.11 prohibition on assas				
		,		
Section 2.12 indirect participation AG Approved EO 12333 Guideli				•
AG Approved EO 12533 Guiden	ties (Ontdettiles tiatile	aug sectión. ———)		· · ·
OTHER EXECUTIVE ORDER (SPECIF	·ν ·			
Creation of unauthorized SAP (E				
Other (EO citation:)	O 12730, as amendey)			
Ouler (EO chation)				. 1
PRESIDENTIAL DIRECTIVE (SPECIFY COVERT ACTION FINDING/M Other (Presidential Directive cita	TEMORANDUM OF	NOTIFICATION		
DEPARTMENT/AGENCY ACTION: The FBI's	Office of the General C	: Counsel (OGC) advised		b2 b5
				b 7E

*DATE OF FINAL DISPOSITION: 10/28/2007

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	_	-	_

	INTELLIGENCE OVERSIGHT BOARD MATTER	b2
(U).	DIVISION	. b7E
3 - (IOB MATTER 2007-3040 (U)	
	. *\	
	(S) An electronic communication (EC) from the Federal	b2
	Bureau of Investigation (FBI), Division	b7E
<u>`</u> .	, dated 07/19/2007, reported a potential	•
HHV	Intelligence Oversight Board (IOB) violation involving the late	• •
ነዋረ 📐	notification of initiation of a to	
	OIPR.	
•	(S) On on a non-U.S.	
_	person target. The expired on the SAC extended	
•	the case on and FBI HQ extended the on	
	No investigative activity not authorized under a	
•	occurred during the lapse periods of	b2
-	On the case was closed. No	b7E
	EC or LHM was submitted to FBI HQ in order to notify the	, , , , ,
JTT4	Départment of Justice Office of Intelligence Policy Review (OIPR)	• • •
(U) /	until more than Subsequently, on	
	submitted an LHM to QIPR notifying them	
•	of the continuation of the lafter the	-
. •	As provided in of The Attorney General's	
•	Guidelines for FBI National Security Investigations and Foreign	
•	Intelligence Collection (NSIG), effective 10/31/2003 (NSIG), a	b2
	"field office shall notify FBI Headquarters [FBIHQ] within ten	b7E
	working days of the initiation by the field of a preliminary or	
•	full investigation." After receiving the notice from the field	
•	office, FBIHQ shall provide notice to the Office of Intelligence	
	Policy and Review (OIPR) and to the Criminal Division. OIPR	
١,	shall then notify the Attorney General and Deputy Attorney	
	General. "	
/TT\		
.Է Ա ∤ ີ	The FBI reports that failure to notify OIPR of the	•
,	investigation in a timely manner "substantially impaired" their	
	oversight of this case. Here, an opening EC and LHM was never	.b2 . .b7E
	submitted to FBIHO to provide OIPR notice of the until more	.D / E
	thanafter it had been opened.	
•		
		•
` ,		
•	8ECREY//20171108	

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 07-08-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 44 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 10/2007 1/31/2009 b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY: 07/19	●
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical sear Section 2.4(c) physical surveillance of U. Section 2.4(d) physical surveillance of U. Section 2.5 AG approval w/m US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	ection e w/in US ch w/in US SP w/in US SP abroad nst USP abroad
X AG Approved EO 12333 Guidelines (Gu	idelines name and section b2 b7E
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 1295) Other (EO citation:	3, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMOR Other (Presidential Directive citation:	ANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	

*DATE OF FINAL DISPOSITION:

(U) <	SECRET//20171112	
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-925NSL (U)	.b2 .b71
/TT3 ."	the Federal Bureau of Investigation (FBI), Field Office, reported that a on a non-United States Person was initiated on and closed on and closed on an annual content of the states of	b2 b71
(U) . · · .	memorandum. S As provided in of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31,	. b2 . b7E
	2003, a "field office shall notify FBI Headquarters (FBIHQ) within ten working days of the initiation by the field of a Within ten working days of receipt of notice from the field, FBIHQ shall provide notice of the investigation to OIPR and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General.	
(U) —	of the opening of as outlined above. In this case,	b2
	which may have led to believe that notification was unnecessary. It is FBI policy, however, to report the matter to the IOB if there is no notification over the entire duration of the investigation, regardless of how short that might be.	b7E
	chac might be.	
. , .	(U) Derived From: G-3 Declaseify On: 2017/11/12	•
	SECRET//20171112	

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 4th Q 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2008 b2
DATE OF REPORT TO DEPARTMENT/AGENCY: April 5, 2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Cong	ress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP informs Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name	road b2
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amend Other (EO citation:	ed)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM O Other (Presidential Directive citation:)	F NOTIFICATION
DEPARTMENT/AGENCY ACTION: Notified the field office of the	<u>NSIG</u> requirements.
*DATE OF FINAL DISPOSITION: November 12, 2007	

DATE: 07-08-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

DECLASSIFY ON: 07-08-2035

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

b2

(S)

SECRET//2017#121

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

	FIELD OFFICE	b7E
	IOB MATTER 2007-917-NSL (U)	
(S)<		
Ini	The Federal Bureau of Investigation ("FBI") has	•
1	determined that in conducting an	
	the Field Office issued a subscriber NSL in	
	response to which returned information about an	
	to which the subject and the	, b1
(S) <	information was uploaded into a database. In this regard, on or	. b2
The state of the s	about opened a investigation.	b6 ·.
	who is not a "United States person" as that term is used in	b7C
.	During the course of this	b7Е ;
	investigation, an NSL dated 01/29/2003 was issued pursuant to the	
-		
-	for subscriber information. returned information	٠
٠	responsive to the request but, in addition, provided information	
` ,	as to an	` · · ·
	All of the information was uploaded into a database. The	
·	By EC	
	dated 04/05/2007, the potential IOB violation was reported. (S)	
	The IOB has instructed OGC to report matters in which	b2
_	information error is uploaded into a database. Thus, this situation is being reported to the IOB. (U)	b7E
. `	database. Thus, this situation is being reported to the IOB. (U)	

Derived from: G-3
Declassify on: 11/21/2017

SECRET//20171121

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC	વર્ષિક Q CY Quarterly Report: 2007
DATE OF INCIDENT: 01/29/03 (issuance of NSLs	DATE OF REPORT TO IOB:12/30/07
DATE OF REPORT TO DEPARTMENT/AGENCY: 0	1/31/2008
DATE REPORT SUBMITTED TO OTHER ENTITY ((i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: 18 USC 2709)	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination Section 2.4 preamble; least intrusive of	
Section 2.4(a) CIA electronic surveill Section 2.4(b) unconsented physical s Section 2.4(c) physical surveillance o	ance w/in US earch w/in US f USP w/in US
Section 2.4(d) physical surveillance of Section 2.5 AG approval w/in US or a Section 2.6 assistance to law enforcer Section 2.7 contracting	against USP abroad
Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassina Section 2.12 indirect participation	tion
AG Approved EO 12333 Guidelines	(Guidelines name and section:)
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12) Other (EO citation:)	2958, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEM Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION: Advised field the uploaded must be purged; that SSN/DOB/driver's license number should remain seque	should be destroyed or returned to

*DATE OF FINAL DISPOSITION:

(U)



b2 b7E INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-3117 (U) The Federal Bureau of Investigation ("FBI") has b2 determined that while conducting a b7E of a United States person, the FBI Field Office seemingly requested more information than specifically authorized under the prepared and issued a national security letter (NSL) for consistent with However, in addition to the NSL. appended an "Attachment" document listing categories h2 information, with the following guidance to <u>In respo</u>nse, provided information. They also supplied information that pre-dated the date range provided in the NSL. The overproduction was discovered by the Case Agent when reviewing the results; they were segregated from the investigative portion of the case file to avoid compounding an overproduction concerns. This information will be destroyed or returned to as it is not relevant to the on-going investigation. Of concern here is "Attachment" to has been advised to stop the NSL. h2 practice and further encouraged to consult the model NSLs b7E prepared by FBI, Office of General Counsel, to assist in preventing similar issues in the future. (U) Derived from: G-3 Declassify on: 10/20/2017

*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET 2007-3117

b2 b7E

Name of Department or Agency: FBI	CY Quarterly Report: 口帖 Zoo 子
DATE OF INCIDENT:	Date of report to 10B: 1/31/200 g
DATE OF REPORT TO DEPARTMENT/AGENCY: 07	/26/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e	e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	•
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillant Section 2.4(b) unconsented physical seases Section 2.4(c) physical surveillance of Usection 2.4(d) physical surveillance of Usection 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (G	llection ce w/in US urch w/in US USP w/in US USP abroad ainst USP abroad inf
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 129) Other (EO citation:	
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMO Other (Presidential Directive citation:	RANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	• ,



DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-08-2010

O O O O O O O O O O O O O O O O O O O	INTELLIGENCE OVERSIGHT BOARD (10B) MATTER FIELD OFFICE 10B MATTER 2007-1609 (U)	b2 b7
	of a non-U.S nerson sent a National	•
,	Security Letter (NSL) to a seeking both	
`	In response to the NSL,	
L	which included information	•
	that was not requested in the NSL. Subsequently, 'inserted some of the over-produced information in particular	•
	documents that were uploaded and/or used for investigative	b2
	purposes. First. included an from the	b7E
	in a	7
•	NSL that was served on the	
•	target's Next. drafted a request for an extension of in which it identified the	
	at which the target had the with the	
٠.	Finally, again mentioned in a request	•
•	for a the at which the target had the	
	with the	
•	(II) In this case as a second of the latest	
	(U) In this case, an error was committed by when it compounded the third party error by uploading and using for	b2
•	investigative purposes some of the over-produced information in	.b7E
	the	•
	(U) Given that ultimately discovered via the	
	NSL the target's and the status of the target's has not been instructed to redact the	
	over-produced information from the NSL, the request for	b7E
	extension of or the request for a	
	However, has been instructed to	
	contact the and ask whether the full	,
Į	should be returned or destroyed, with appropriate	
	documentation to the file.	•



IOB SUMMARY SHEET

b2 b7**E**

Name of Department or Agency:	FBI CY Quarterly Report: 45 7007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2003
DATE OF REPORT TO DEPART	MENT/AGENCY: 03/19/2007
DATE REPORT SUBMITTED TO	OOTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States FISA (50 U.S.C. X Other (U.S.C. cit	1801)
Section 2.4 pream Section 2.4(a) CI Section 2.4(b) un Section 2.4(c) ph	ction & dissemination of USP info nble: least-intrusive collection IA electronic surveillance w/in US aconsented physical search w/in US sysical surveillance of USP w/in US
Section 2.5 AG a Section 2.6 assist Section 2.7 contr Section 2.9 undis	nysical surveillance of USP abroad approval w/in US or against USP abroad tance to law enforcement acting sclosed participation nan experimentation
Section 2.11 prob Section 2.12 indi	hibition on assassination.
OTHER EXECUTIVE OF Creation of unauf Other (EO citation)	thorized SAP (EO 12958, as amended)
	TIVE (SPECIFY) ON FINDING/MEMORANDUM OF NOTIFICATION lal Directive citation:
DEPARTMENT/AGENCY ACTIO	ON:
*DATE OF FINAL DISPOSITION	vi:



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ON 07-08-2010

(U)	INTELLIGENC <u>È OVER</u> SIGHT BOARD (IOB) MATTER	b2
1 - 1	FIELD OFFICE	b7E
•	IOB MATTER 2007-802 (U)	•
		•
	(S) During a of a .	
	non-U.S. person, issued two National Security Letters (NSL)	
	under	•
	The NSLs requested	
		b2 b7E
	Although only cited	D/E
	it also requested	
	at which the target has or had	
	accounts. In response to the NSLs, each	
	produced a that included information not	,
	requested in the NSL. drafted and uploaded two (2)	
	separate ECs summarizing the information in the	
	, L	· b2
•	(U) In this case,committed an error when it uploaded	b7E
•	onto ACS some of the over-produced information from each	
	Such handling of over-produced information is	
•	considered compounding a third party error, which must be	, ,
	reported to the IOB.	
•		
	(U) has been instructed to contact the	
٠	and ask whether each respective	b2
٠٠,	should be returned or destroyed, with appropriate	b7E
*	documentation to the appropriate file. has been informed	•
	that it may, if it chooses, keep copies of the	
	provided that all information beyond	,
	information in the copies is	•
•	redacted.	•



DATE OF FINAL DISPOSITION:

IOB SUMMÁRY SHEET

b2 . b7E

Name of Department or Agency: FBI	CY Quarterly Report: 4 7007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2005
DATE OF REPORT TO DEPARTMENT/AGENCY:	03/13/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination Section 2.4 preamble: least intrusive c Section 2.4(a) CIA electronic surveilla Section 2.4(b) unconsented physical surveillance of Section 2.4(d) physical surveillance of Section 2.5 AG approval w/in US or a Section 2.6 assistance to law enforcem Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassinat Section 2.12 indirect participation AG Approved EO 12333 Guidelines (ollection ance w/in US earch w/in US TUSP w/in US TUSP abroad gainst USP abroad nent
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12 Other (EO citation:	958, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEM Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION:	

SEC	
	ALEST D

I)	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-799- (U)	b2 b7E
	Of a non-U.S. person, issued a National Security Letter (NSL) under to a The NSL requested In response to the NSL,	b2 b7E
	the provided the that were associated with the target of the investigation, information that was not requested in the NSL. did not upload, disseminate, or use for investigative purposes this over-produced information.	
(U) -	(S) Subsequently, issued two (2) additional NSLs under to two (2) other requested produced	· ·
	response to the NSLs. later sent from	b2 b7E
	the into the NSLs, which were uploaded onto Acs.	•
	(U) In this case,committed an error when it use for investigative purposes some of the over-produced information. Such handling of over-produced information is considered	b2
,	compounding a third party error, which must be reported to the IOB. (U) has been instructed to contact all	, b7E
	and ask whether the over-produced information received from each of them, respectively, should be returned or destroyed, with appropriate documentation to the file has	b2
· .	also been instructed that it may, if it chooses, keep copies of the materials, including the from the provided that all over-produced information in	b7E
•	the copies is redacted.	



IOB SUMMARY SHEET

b2 b7**E**

Name of Department or Agency: FBI	CY Quarterly Report: 4th Q 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/09
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/	13/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.	, DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Gu	ection e w/in US ch w/in US SP w/in US SP abroad nst USP abroad t
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 1295) Other (EO citation:)	8, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMOR Other (Presidential Directive citation:	ANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	



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(U)	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-3380 (U)	b2 , b7E
	(S) During a of a non-U.S. person, issued to a a National Security Letter (NSL) under requesting In response to the NSL, the produced that included information not requested by the NSL. subsequently inserted information from the regarding the target's in a Letterhead Memorandum (LHM) that was uploaded onto ACS. has	b2 b7E
	permanently charged out of ACS the LHM and has sequestered hard copies of the LHM with its Chief Division Counsel (CDC).	1. 1. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3.
	(U) In this case, an error was committed by when it uploaded some of the over-produced information. Such handling of over-produced information is considered compounding a third party error, which must be reported to the IOB.	b2 b7E
		b2 b7E
· ,	should be returned or destroyed, with appropriate documentation to the file. Finally, has been informed that it may, if it chooses, keep a copy of the provided that all over-produced information in the copy is redacted.	



*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency: FBI	CY Quarterly Report:	44 2007
DATE OF INCIDENT:	DATE OF REPORT TO	O IOB: 1/31/30 03
DATE OF REPORT TO DEPARTMENT/AGENCY: 09/26		·
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	DoJ, Congress, Civil Libe	erties Office):
POSSIBLE VIOLATION OF:	·	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:		<i>.</i>
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of User Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance of Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USE Section 2.4(d) physical surveillance of USE Section 2.5 AG approval w/in US or against Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines (Guidelines)	tion w/in US w/in US P w/in US P abroad st USP abroad	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:		LIOM
DEPARTMENT/AGENCY ACTION:		

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	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1966 (U) S During a of a	b2 b7F
	non-U.S. person, issued two (2) National Security Letters (NSL) under to two (2) respectively. The first NSL requested In response to this NSL, the	b2 b7E
	produced a regarding the target of the investigation. uploaded, disseminated, and used for investigative purposes some of the over-produced information from the	
(U) -	The second NSL issued by requested about an In response to this NSL, the provided information pertaining to did not upload, disseminate, or use for investigative purposes any of this over-produced information.	b2 . b7E .
	(U) In this case, an error was committed by when it uploaded, disseminated, and use for investigative purposes some of the over-produced information. Such handling of over-produced information is considered compounding a third party error, which must be reported to the IOB.	b2 b7E
	(U) has been instructed to redact from any ECs on ACS and any hard copies of ECs in the investigative file any over-produced information from the has also been instructed to retrieve all copies of any documents containing over-produced information that it disseminated outside the Finally, has been instructed to contact both	b2 - b7E
	and ask whether the original and the information pertaining to the should be returned or destroyed, with appropriate documentation to the file.	,



*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency: FBI CY Quarterly Report: 4 2007
DATE OF INCIDENT: (two incidents DATE OF REPORT TO IOB: 1/31/200 %
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/20/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination
Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:)
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)
DEPARTMENT/AGENCY ACTION:



(U) <

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1537 (U)	b2 b7 E
non-U.S. person, issued an NSL under	
	b2 b7E
(U) In this case, an error was committed by when it requested "in its NSL. does not allow the FBI to request such information.	b2 b7E
(U) has been instructed to redact from all documents any account numbers that it received due to the NSL.	b2 b7E



ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-08-2010 BY 65179/DMH/PLJ/sdb IOB SUMMARY SHEET HEREIN IS UNCLASSIFIED

b2 b7E

Name of Department or Agency: FBI CY Quarterly Report: 4th 2207
DATE OF INCIDENT: DATE OF REPORT TO IOB: \(\frac{\frac{1}{2}}{2}\)/200
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/24/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:
DEPARTMENT/AGENCY ACTION:
*DATE OF PINAL DISPOSITION:



	INTELLIG <u>ENCE OVERSIG</u> HT BOARD (IOB) MATTER FIELD OFFICE	b2 b7
\U\	IOB MATTER 2007-1560- (U)	
Ĺ	of a non-U.S. person, issued an NSL under to a requested	b2 b7E
:	(U) In this case, an error was committed by when it requested in its NSL. The	b2 b7
	does not allow the FBI	
'	to request such information.	1_
	(U) has been instructed to redact from all documents any account numbers that it received due to the	,d



IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency:	FBI	CY Quarterly Report:	44	2007
DATE OF INCIDENT:		DATE OF REPORT T	O IOB:	1/31/100
DATE OF REPORT TO DEPART	MENT/AGENCY: 04/24	/2007	•	
DATE REPORT SUBMITTED TO	O OTHER ENTITY (i.e., D	oJ, Congress, Civil Lib	erties Off	· ice):
POSSIBLE VIOLATION OF:				
Section 2.4 prear Section 2.4(a) Cl Section 2.4(b) un Section 2,4(c) ph Section 2.4(d) ph Section 2.5 AG a	ation: 2333: ction & dissemination of Unble: least intrusive collect A electronic surveillance vaconsented physical search hysical surveillance of USP hysical surveillance of USP approyal w/in US or against tance to law enforcement	ion v/in US w/in US w/in US abroad		Ь
Section 2.12 indi		lines name and section	·	
OTHER EXECUTIVE Of Creation of unaution Other (EO citation	thorized SAP (EO 12958, a	as amended)		
	TIVE (SPECIFY) ON FINDING/MEMORAI ial Directive citation:		TION .	:
DEPARTMENT/AGENCY ACTI	ON:			
*DATE OF FINAL DISPOSITION	· .	• • • •	•	

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(∐) √	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE	b2 b7E
101	IOB MATTER 2007-1534 (U)	•
`.	non-U.S. person, issued an NSL under requested	b2 . b7E
L	n pequesceu	
		,
,	(U) In this case, an error was committed by when it requested in its NSL.	b2 . b7E
· ·	does not allow the FBI to request such information.	, ,
•	(U) has been instructed to redact from all	b2 1b7E
	documents any account numbers that it received due to the NSL.	,



IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency;	FBI CY	Quarterly Report: 44 2	1007
DATE OF INCIDENT:	DA	TE OF REPORT TO IOB:	1/31/200
DATE OF REPORT TO DEPART	MENT/AGENCY: 04/24/200	7	•
DATE REPORT SUBMITTED TO	O OTHER ENTITY (i.e., DoJ, (Congress, Civii Liberties Of	fice):
POSSIBLE VIOLATION OF:			•.
STATUTE (United States FISA (50 U.S.C. X Other (U.S.C. ci	. 1801)	· ,	
Section 2.4 pread Section 2.4(a) Consection 2.4(b) under Section 2.4(c) plus Section 2.4(d) plus Section 2.5 AG Section 2.6 assists Section 2.7 contents Section 2.9 undia Section 2.10 hurus Section 2.11 pro Section 2.12 ind	ection & dissemination of USP in mble: least intrusive collection IA electronic surveillance w/in Inconsented physical search w/in mysical surveillance of USP w/in mysical surveillance of USP abroapproval w/in US or against USI tance to law enforcement racting sclosed participation	US US 1 US oad P abroad	
Other (EO citation PRESIDENTIAL DIRECTION COVERT ACTION COVERT ACT	on:	M OF NOTIFICATION .	
*DATE OF FINAL DISPOSITIO	N:		•



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Ų	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-2439 (U)	b2 b7E
•	(S) During an authorized	<i>.</i> · •
	Security Letter (NSL) to a seeking both	
	Scenify Better (NSB) to all seeking Both	b2 b7E
	The produced a	
	in response to the NSL. summarized	
	portions of the in an EC, including the	
		•
	uploaded the EC onto Automated Case Support (ACS). Upon discovering the over-production, charged-out	•,
	the serial containing the summary of the also sequestered the and the file copy of the summary with its Chief Division Counsel (CDC).	
	(U) In this case, an error was committed by when it uploaded the over-produced information onto ACS.	b2 b7E
	(U) has been instructed either to destroy the EC containing the over-produced information or maintain a copy of the EC with the over-produced information redacted.	1
	has also been informed that it may maintain a copy of the	_
	provided that it redacts the information not	•
	requested by the NSL. Finally, has been instructed	
	to contact the and ask whether the	b2
	original should be returned or destroyed, with	b7E
	cappropriate documentation to the file	



IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency:	FBI	CY Quarterly Report: 45 20	oo7-
DATE OF INCIDENT:		DATE OF REPORT TO IOB:	1/31/2008
DATE OF REPORT TO DEPART	TMENT/AGENCY: 04/16	/2007	
DATE REPORT SUBMITTED TO	O OTHER ENTITY (i.e., I	OoJ, Congress, Civil Liberties Of	fice):
POSSIBLE VIOLATION OF:	•		
STATUTE (United States FISA (50 U.S.C. X Other (U.S.C. ci	. 1801)		ь. Б
Section 2.4 preasured Section 2.4(a) Consection 2.4(b) under Section 2.4(c) plus Section 2.4(d) plus Section 2.5 AG Section 2.7 contents Section 2.9 undia Section 2.10 hur Section 2.11 pro Section 2.12 ind	ection & dissemination of Umble: least intrusive collect IA electronic surveillance vnconsented physical search hysical surveillance of USP hysical surveillance of USP approval w/in US or agains stance to law enforcement racting sclosed participation man experimentation phibition on assassination	ion w/in US w/in US w/in US abroad t USP abroad	
	PRDER (SPECIFY) athorized SAP (EO 12958, on:)	as amended)	
		NDUM OF NOTIFICATION	
DEPARTMENT/AGENCY ACTI	ION:		
*DATE OF FINAL DISPOSITIO	N:	•	

•	SECRET//20171228	b2
(U)	INTELLIGENCE OVERSIGHT BOARD MATTERS	b7E
	DIVISION	•
	IOB MATTERS 2007-3429 and 2007-3111 (U)	•
	On 05/14/2007 and 06/21/2007 respectively, The Federal	ъ2
	Bureau of Investigation (FBI), Division reported two potential Intelligence Oversight Board (IOB)	Ъ7Е
,	violations involving the late notification of	•
•	and to	
	OLPR.	b2
		b7E
(U) <		,
101	Guidelines for FBI National Security Investigations and Foreign	
	Intelligence Collection (NSIG), effective 10/31/2003,	b2
	did not forward notice of this to FBIHO nor otherwise notify FBIHO of its existence until sending a	b7E
•	notice of its On	
	to finish some administrative matters,	
	but again failed to provide proper notice of its opening prior to finally closing the again on	
(U) ~		
•	opened a opened a	
	on a non U.S. person. The initial 10-day LHM, due on was not submitted until	•
	approximate	
	As provided in of NSIG a	b2 b7E
(U)		. ~
,	After receiving the notice from the field	
	office, FBIHQ shall provide notice to the Office of Intelligence	
•	Policy and Review (OIPR) and to the Criminal Division. OIPR	
•	shall then notify the Attorney General and Deputy Attorney General.	•
(U) -	(S) The FBI reports that failure to notify OIPR of the investigations in a timely manner "substantially impaired" their	
	oversight of these cases. Here, a 10-day initial notification	b2 b7E
	LHM was either never submitted or provided more than	
	after the was opened.	•
		·
,	(S) Derived From: G-3	
	Declassify On: 12/28/2017	
*	SECRET 120171278	
	Windstall Land of the Control of the	
	Hofmann-IOR-3643	

*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report:	
DATE OF INCIDENT:	DATE OF REPORT TO IOB:	b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY: 5/14/0	7 and 6/21/07	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	OoJ, Congress, Civil Liberties Office):	
POSSIBLE VIOLATION OF:	,	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: Section 2.3 collection & dissemination of U.S. Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance of Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.5 AG approval w/in US or agains Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination	cion v/in US v/in US v/in US abroad	
Section 2.12 indirect participation X AG Approved EO 12333 Guidelines (Guide	elines name and section	b2 b7E
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, of the Control of Unique Cont	as amended)	,
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAL Other (Presidential Directive citation:	NDUM OF NOTIFICATION	,
DEPARTMENT/AGENCY ACTION:		
;	•	

SECRET//20171217

	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2
	FIELD DIVISION	b7
	IOB MATTER 2007-1159(U)	
JTT	.	
(U)	(U) The Federal Bureau of Investigation ("FBI") has	b2 b7
. 1 - 1	accelmined that in conducting a) Q.
	of a non-United States Person, as that term is used in Section	
	of the Foreign Intelligence Surveillance Act of 1978	
•	it collected information on aunrelated	
*	to an authorized investigation.	
•	731 Palament de debie demontération de en about	
• .	(S) Relevant to this investigation, on or about	b2
	02/25/2005, a National Security Letter issued by	b7E
	requesting the	20
	was prepared and approved in accordance with	:
,	accordance with	
•		· .
,		
٠	In response, provided only the	
	requested by the FBI. After reviewing the	
(U)	return information concluded that the request for	•
141	this was a mistake. Several in the	b2
· .	requested in the NSL made different from any other	b7E
	affiliated with the target. As such, this	
	and the accompanying records requested by the FBI were not	
	associated with an authorized investigation then	
,	reported this matter as a potential IOB violation.	
-		
	(II) permits	,
	to be gathered pursuant to a	
	national security letter. In this instance, however, an NSL was	
	issued for son that such that FBI presumably received information from that	
, ,	was not relevant to an investigation. Since this was an error by	b2
,	the FBI, although apparently unintentional, it is reportable to	b7E
	the IOB. The information will be destroyed or returned to the	
	carrier at their discretion.	
_ ,		•
	Derived from: G-3	
	Declassify on: 12/17/2017	
		•
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SECRET//20171217

IOB SUMMARY SHEET

DATE OF REPORT TO DEPARTMENT/AGENCY: 3/14/2007 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	Name of Department or Agency:	FBI	CY Quarterly Report: 4	7 200 3
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation) EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.5 assistance to law enforcement Section 2.7 contracting Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purged information	DATE OF INCIDENT: 2/25/20	005	Date of report to I	OB: 1/31/2008
POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation. EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP wim US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.5 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.11 prohibition on assassination Section 2.12 indirect participation Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (BO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purged information	DATE OF REPORT TO DEPART	IMENT/AGENCY: 3/14/20	007	
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PTSA (50 U.S.C. 1801) X Other (U.S.C. citation: EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.5 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purged information	POSSIBLE VIOLATION OF:	•	• • • • • • • • • • • • • • • • • • • •	·
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Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG, approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purged information				• `
Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purged information				` •.
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Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purged information				
Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purged information	Section 2.9 und	sciosed participation		
Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purged information	Section 2.10 hui	man experimentation		
AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purged information				•
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purged information				
Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purged information	AG Approved E	O 12333 Guidelines (Guide	linės name and section:	
Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purged information	OTHER EXECUTIVE C	ORDER (SPECIFY)		
Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:) DEPARTMENT/AGENCY ACTION: Purged information			s amended)	•
COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:) DEPARTMENT/AGENCY ACTION: Purged information			`.	
COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:) DEPARTMENT/AGENCY ACTION: Purged information	PRESIDENTIAL DIREC	TIVE (SPECIEV)		
Other (Presidential Directive citation:) DEPARTMENT/AGENCY ACTION: Purged information			DUM OF NOTIFICATIO	N .
*DATE OF FINAL DISPOSITION	DEPARTMENT/AGENCÝ ACT	ION: Purged information		
	*DATE OF FINAL DISPOSITIO	N·	·	

DATE: 07-08-2010

CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

DECLASSIFY ON: 07-08-2035

•	SECRET //201 71119	b2 b7E
(U) 🔍	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	.D/E
***	FIELD DIVISION	
	IOB MATTER 2007-1387 (U)	•
	202 12111211 2007 2507 (5)	` `
	(S) The Federal Bureau of Investigation ("FBI") has	b2 b7E
determine	that in conducting a of a non-	
	ates Person, " as that term is used in Section 101(i) of	•
the <u>Forei</u>	Intelligence Surveillance Act of 1978 (FISA), it	
	information on aunrelated to an	
authorized	d investigation.	
• .		3.0
	(U) On 02/12/2007, a National Security Letter (NSL)	b2 b7Е
issued to		.011
prepared	and approved in accordance with	;
brebarea s	approved in accordance with	1
·	However, the FBI later	, %
discovered	upon receiving results that in the	
	typographical error. The FBI recognized this error and	•
	ly sequestered those records and reported this matter as	
a potentia	al IOB violation. These records were not, analyzed,	·.
used, or w	uploaded into any FBI database.	, ,
		: .
	(U) permits	. b2 b7E
	to be gathered pursuant to a national	
security		•
FRI precu	on such that the mably received from the	
	not relevant to the investigation. Since this was an	•
error by	the FBI, although apparently unintentional, it is	
reportable	e to the IOB.	<i>:</i> -
	•	
•		,
	Derived from: G-3	

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ALL INFORMATION CONTAINED . HEREIN IS UNCLASSIFIED DATE 07-08-2010 BY 65179/DMH/PLJ/sdb IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency: FBI	CY Quarterly Report: 45 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2003
DATE OF REPORT TO DEPARTMENT/AGENCY	: 4/10/2007
DATE REPORT SUBMITTED TO OTHER ENTITY	Y (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & disseminat Section 2.4 preamble: least intrusive Section 2.4(a) CIA electronic surve	e collection
Section 2.4(b) unconsented physical Section 2.4(c) physical surveillance Section 2.4(d) physical surveillance Section 2.5 AG approval w/in US o	l search w/in US of USP w/in US of USP abroad
Section 2.6 assistance to law enforce Section 2.7 contracting Section 2:9 undisclosed participation	ement
Section 2.10 human experimentatio Section 2.11 prohibition on assassi Section 2.12 indirect participation AG Approved EO 12333 Guideline	nation
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO Other (EO citation:	12958, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/ME Other (Presidential Directive citation	MORANDUM OF NOTIFICATION in:)
DEPARTMENT/AGENCY ACTION:	
Destroyed information.	
*DATE OF FINAL DISPOSITION:	

	SECRET//20171231	
	$(U)_{\uparrow}$	•
	INTELLIGENCE <u>OVERSIGHT BOARD</u> (IOB) MATTER	
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2 b7E
	IOB MATTERS AS REFERENCED BELOW (U)	.D/E
	ASY In Tuly and Assessed of 2007 the Endowel Disease of	
	In July and August of 2007, the Federal Bureau of Investigation reported twenty-six	b2
	instances where they failed to notify headquarters of	b7E
•	investigations being conducted against non-	
!	United States persons.	
(U) -		
101		:.
		b2 ,
		b7E
	Subsequent to the	·
L_	reclassification, all such cases became subject to the Attorney	
	General's Guidelines for FBI National Security Investigations and	•
	Foreign Intelligence Collection (NSIG).	
	Rock of the transfer of the first transfer o	
(U)	opened prior to the December 1, 2003 reclassification that put it	
	under the purview of the <u>NSIG</u> , and each was tardy in providing	b2 .
,	notification to FBIHQ as mandated by the NSIG	b7E
	(initial notification) andand(annual	
	notification) by no less than three years. We note that OIPR	•
•	may have been aware of these investigations through other	
,	information. However, taken collectively a lack of timely notifications of non-US person counterterrorism investigations	
	conducted in impaired oversight by the Department of	
	Justice Office of Intelligence Policy and Review.	
		b2
	(U) is now cognizant and fully aware of the reporting.	b7E
	requirements under <u>NSIG</u> .	
,		,
		•
	Derived from: 0-3	
•	Declassify on: 12/31/2017	•
•	Declarately off.	
		-
	SECRET//20191231	
1		•
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IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 44 7007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2003 b2 b7
DATE OF REPORT TO DEPARTMENT/AGENCY: July a	nd August 2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	OoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other EXECUTIVE ORDER 13333:	
Section 2.3 collection & dissemination of U Section 2.4 preamble: least intrusive collect Section 2.4(a) CIA electronic surveillance v Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.5 AG approval w/in US or agains Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation.	tion v/in US w/in US v/in US abroad
AG Approved EO 12333 Guidelines OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, X Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY)	b7E
COVERT ACTION FINDING/MEMORAL Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Training to ensure und *DATE OF FINAL DISPOSITION:	

U.S. Department of Justice

SECRET Federal Bureau of Investigation

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-08-2010

Office of the General Counsel

Washington, D.C. 20535

February 7, 2008

BY COURIER

Stephen Friedman, Chairman Intelligence Oversight Board Room 5020 New Executive Office Building 725 17th Street, N.W. Washington, D.C. 20503

Dear Mr. Friedman:

Pursuant to Executive Order 12863 and your updated procedures dated April 17, 2007, the Office of the General Counsel, Federal Bureau of Investigation (FBI), submits the enclosed reports of violations to the Intelligence Oversight Board (IOB) for the period of October 2007 through December 2007.

In March 2007, the United States Department of Justice, Office of the Inspector General, released a comprehensive report reviewing the FBI's use of National Security Letters (NSLs). Following the Inspector General's report, the FBI conducted a 10 percent audit of all national security cases by the FBI. As a result of this audit, the FBI's Office of the General Counsel received a significant number of additional reports of potential IOB matters.

In addition to auditing 10 percent of national security cases, the FBI conducted an audit of all counterintelligence investigations from 2002 through 2006 where the FBI issued National Security Letters pursuant to the Fair Credit Reporting Act, 15 U.S.C. § 1681 (FCRA). The purpose of the audit was to determine whether were improperly requested or received pursuant to FCRA. Again, as a result of this audit, the FBI's Office of the General Counsel received an increased number of potential IOB matters.

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Derived From: G-3
Declassified On: 02/07/2018

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Hofmann-IOB-3651

b2 b7E Finally, during this time, the FBI's Office of the General Counsel received reports of potential IOB matters that were not generated as a result of either of the two audits mentioned above. Rather, they were reported to the Office of the General Counsel under the regular reporting requirements.

Many of the matters that have been received by the FBI's Office of the General Counsel pursuant to these audits have already been reported to the IOB during the Second and Third Quarters of 2007. Based upon the review of the potential IOB matters that have been referred to the FBI's Office of the General Counsel, and applying the reporting requirements established by the IOB, for the Fourth Quarter of 2007, it was determined that 123 matters are reportable to the IOB. Of these, 72 pertain to United States persons and 51 pertain to non-United States persons. The remaining potential IOB matters for 2007 are being carefully reviewed by the Office of the General Counsel to determine whether they meet the IOB's reporting standards, and if so, will be reported to the IOB as part of successive quarterly reports.

Please do not hesitate to contact me or Associate General Counsel if you have any questions regarding these IOB matters.

Sincerely,

Julie F. Thomas
Deputy General Counsel
National Security Law Branch

- 1 The Honorable Michael B. Mukasey Attorney General United States Department of Justice Room 5111
- 2 Mr. Matt Olsen
 Deputy Assistant Attorney General
 National Security Division
 United States Department of Justice
 Room 2200 C

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b6 b7c

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DATE: 07-06-2010

CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT

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WHERE SHOWN OTHERWISE

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTERS

b7E

FIELD OFFICE

IOB MATTERS 2007-1962-NSL, 2007-973-NSL, 2007-974-NSL (U)

The Federal Bureau of Investigation ("FRI") has determined that in [who is a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 180 <u>1978</u> (FISA), the FBI Field Office issued two NSLs that improperly were signed by an acting SAC. In this regard, an was initiated on or about two NSLs were issued under At the time, legal advice had been issued by OGC suggesting that under certain circumstances, an acting SAC could sign an NSL. In or around February, 2003, the Department of Justice opined Applying that quidance to these NSLs, OGC opines Therefore, this matter is being reported to

Derived from: 6-3
Declassify on: 09/28/2017

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IOB SUMMARY SHEET

Name of Department or Agency: FBI OG	GC CY Quarterly Report: 2007	·
DATE OF INCIDENT: 07/10//02 (issuar	ance of NSLs) DATE OF REPORT TO	IOB:09/30707
DATE OF REPORT TO DEPARTMENT/A	GENCY: 03/30/07	·
DATE REPORT SUBMITTED TO OTHER	RENTITY (i.e., DoJ, Congress, Civil Liberties C	Office):
POSSIBLE VIOLATION OF:		
STATUTE (United States Code Cit FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	itation)	b2 'b7E
Section 2.4 preamble: leas Section 2.4(a) CIA electro Section 2.4(b) unconsente Section 2.4(c) physical sur Section 2.4(d) physical sur Section 2.5 AG approval v Section 2.6 assistance to li Section 2.7 contracting Section 2.9 undisclosed particles Section 2.10 human exper Section 2.11 prohibition o Section 2.12 indirect partic	onic surveillance w/in US ed physical search w/in US urveillance of USP w/in US urveillance of USP abroad w/in US or against USP abroad law enforcement earticipation rimentation on assassination	
Other (EO citation:	SAP (EO 12958, as amended)	
PRESIDENTIAL DIRECTIVE (SP COVERT ACTION FIND Other (Presidential Direction)	DING/MEMORANDUM OF NOTIFICATION	
DEPARTMENT/AGENCY ACTION: Advis	sed field that matter is being renorted to IOB; th	at information obtained by

*DATE OF FINAL DISPOSITION:

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 DIVISION b7E IOB MATTER 2007-2033 (U)	•
By electronic communication dated ; the field office advised that a was initiated by on a U.S. person. As part of the investigation of the referenced target, drafted an NSL and the requisite EC requesting believed to be used by the target. However, due to a transcriber error, the NSL contained a that was not related to the investigation. The incorrect NSL was then responded by related to the account that matched the improper information has been sequestered by	b2 b7E b2 b7E
In this case, the NSL was issued for and that was incorrectly transcribed, such that the FBI presumably information that was not relevant to the investigation. Because this was an error by the FBI, that resulted in the collection of information that was not relevant to an authorized investigation, although unintentional, it is reportable to the IOB. must purge all information that was improperly collected from all FBI databases and all hard copies must be sequestered and destroyed.	b2 b7E b2 b7E
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION By electronic communication dated field office advised that a on a U.S. person. As part of the investigation of the referenced target, drafted an NSL and the requisite EC requesting to be used by the target. However, due to a transcriber error, the NSL contained a that was not related to the investigation. The incorrect NSL was then responded by related to the account that matched the responded by related to the account that matched the investigation has been sequestered by In this case, the NSL was issued for and and information that was not relevant to the investigation. Because this was an error by the FBI, that resulted in the collection of information that was not relevant to an authorized investigation, although unintentional, it is reportable to the IOB. must purge all information that was improperly collected from all FBI databases and all hard

Derived from: G-3
Declassify on: 08/02/2017

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IOH SUMMARY SHEET

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STA	TUTE (United State	•			•	• •	,•	•
-	PISA (50 U.S.C.	. 1801)			•		•	
•	X other (v.s.c.	citation		1 .				
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EXE	CUTIVE ORDER 12333				an 1 -		• •	
	Section 1.3	collection.	•			• • • •		·
	Section 2.4	preamble: le	st intrusi	ve colle	ction			
	Saction 7.4(a)	CEA electron	le surveill	ance w/i	n US	a file		
	Section 2.4(b)	unconsented ;	hysical so	arch w/1	n US			•
•	Section 2.4(c)			, ,				
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	Section 2.4.(d)	physical sur					ं १८ शुंद उ	•
•	Section 2.5	.AG approval	//in US or	against	USP ab	road	History .	
	Section 2.6	assistance to	o law enfor	Cament			25.31. 11.39な.~	4
3.50	Section 2.7	contracting	girt der Agent	1 C 36	. 4.44	1444		Ü
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PRE	SIDENTIAL DIRECTIV	/E (SPECIFY)			· Training		gar Garley	
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	G44mm 12mm 12mm							·

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	of a U.S. pe		sent a National	⊒ L Security	
Letter (NS)	L) under the			1	
	to a				b2
and	of	-	at which the ta	arget had	b7E
	In response to	the NSL, the			
produced a		, notwiths	tanding that no	such	
	requested. Upo	n receipt of	the		
	afted an EC summ				
a	nd their corresp	onding openin	g dates that app	peared in	
the	T	his EC was up	loaded onto ACS.		
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	n, this case, an			when it	b7E
	ne over-produced	linformation	from the		•
onte	ACS.	•	,		
(U)	——————————————————————————————————————	4	Anna Anna Anna Anna Anna Anna Anna Anna		
(0)			immediately sec		•
produced in	nformation from	the report	nts containing a		3.0
			has also information from		b2 -b7E
and upload	ed records incl	uding the FC	<u>summar</u> izing the	report and	D / 13
any other	documents on ACS	Finally		instructed	
to contact			and ask whether		
_		d be returned	or destroyed, w	vith	
appropriate				· 	

THEREIN IS UNCLASSIFIED DATE 07-06-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT:	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY	C: 04/18/2007
DATE REPORT SUBMITTED TO OTHER ENTIT	Y (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: EXECUTIVE ORDER 12333: Section 2.3 collection & dissemina Section 2.4 preamble: least intrusived section 2.4(a) CIA electronic surved section 2.4(b) unconsented physical section 2.4(c) physical surveillanced section 2.4(d) physical surveillanced section 2.5 AG approval w/in US of Section 2.6 assistance to law enforced section 2.7 contracting section 2.9 undisclosed participation Section 2.10 human experimentation section 2.11 prohibition on assassis	e collection cillance w/in US al search w/in US c of USP w/in US c of USP abroad or against USP abroad cement
Section 2.12 indirect participation AG Approved EO 12333 Guideline	es (Guidelines name and section:
OTHER EXECUTIVE ORDER (SPECIFY Creation of unauthorized SAP (EO Other (EO citation:)	
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/ME Other (Presidential Directive citation	MORANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	•
*DATE OF FINAL DISPOSITION:	• .

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E DIVISION IOB MATTER 2007-1641 (U) (U) On 02/27/2007, drafted a National Security Letter (NSL) and the requisite EC requesting for that were in contact b7E with the target of a Due to a typographical error, one of the included in the NSL was not related to the investigation. forwarded the NSL to the Division for service on the b2 served the NSL, obtained records from the b7E and forwarded them to The EC that prepared to accompany the records included the information for the is a presumed U.S. person.) The EC was uploaded onto ACS. (U) On , the Case Agent reviewed the information provided by and discovered the typographical error. hard copies of the records from the have been b2 b7E sequestered with the and EC containing the permanently charged out of ACS. has indicated that it will coordinate with to ensure that all copies of the will be sequestered or permanently charged out, as appropriate. In this case, the NSL was issued for b2 that was incorrectly b7E transcribed. As a result, the FBI received information from the that was not relevant to the investigation, i.e., relating to a person not connected to the investigation. Since this was an error by the FBI, although unintentional, it is reportable to the IOB. will contact the _____and arrange for either the return or the destruction of the non-relevant b2 $\underline{\text{infor}}$ mation with the appropriate documentation to the file. lacksquareb7E will also confirm that all of the non-relevant information is removed from all FBI databases.

b2 b7E

IOB SUMMARY SHEET

Name of Department or Agency:	FBI .	CY Quarterly Report:
DATE OF INCIDENT:	02/27/2007	DATE OF REPORT TO 10B:
DATE OF REPORT TO DEPART	IMENT/AGENCY:	04/25/2007
DATE REPORT SUBMITTED T	O OTHER ENTITY (i.e., i	oJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	•	·.
Section 2.4 prea Section 2.4(a) C Section 2.4(b) u Section 2.4(c) pi Section 2.5 AG Section 2.6 assis Section 2.7 cont Section 2.9 undi Section 2.10 hur Section 2.11 pro Section 2.12 ind	. 1801) station: 3333: section & dissemination of United States and States a	ion w/in US w/in US w/in US abroad t USP abroad
	PRDER (SPECIFY) uthorized SAP (EO 12958, on:)	as amended)
	CTIVE (SPECIFY) ON FINDING/MEMORA tial Directive citation:	NDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACT	ON:	
*DATE OF FINAL DISPOSITIO	N.	•

DATE: 07-06-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

DECLASSIFY ON: 07-06-2035

INTELLIGENCE	OVERSIGHT	BOARD	(IOB)	MATTER	b2
The same of the sa		FIELD	OFFICE	}	b7E
IOB	MATTER 20	07-888	3-NSL (U	Γ)	

The Federal Bureau of Investigation ("FBI") has	
determined that in conducting an and	
the FBI Field Office issued	•
an NSL to a that requested information that was not	, .
properly available through that NSL. In this regard, a	
, non-United States persons, was	
initiated on and was closed on . During	b 1
the course of the investigation, an NSL dated was	b2
issued. pursuant to the	b6
	b7C
Records	b7E
as to and were clearly	
beyond the bounds of the statute, which allows the FBI to	
obtain records. Further,	, -
the date of the NSL predates the date of the opening of the	
investigation by suggesting, although not	
definitively, that the NSL was issued before the investigation	•
was open. This would also be a violation of which	`
requires that the NSL be relevant to an authorized	
investigation. These errors were discovered during the course	
of the March 2007 NSL inspection. This matter was reported by	b2
by EC dated 04/09/2007, and is being reported to	b7E
the IOB. The information was not uploaded and since has been	v
destroyed. (S)	

Derived from: G Declassify on: 09/28/2017

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IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC CY Quarterly Report: 2007	•
DATE OF INCIDENT: 03/04/04 (issuance of NSL) DATE OF REPORT TO IOB:09/30/07	
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	b2 b7E
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	
AG Approved EO 12333 Guidelines (Guidelines name and section:) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)	
DEPARTMENT/AGENCY ACTION: Advised field of reporting matter to IOB. has already been destroyed.	Ē,

*DATE OF FINAL DISPOSITION:

SECRET//20170907 (\mathbf{U}) INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER **b**2 DIVISION IOB MATTERS 2007-920NSL AND 2007-921NSL (U). " b7E Electronic communications (EC) from the Federal Bureau b2 of Investigation (FBI), Division both dated b7E 04/05/2007, reported potential Intelligence Oversight Board (IOB) (U) violations involving National Security Letters (NSL). (z) On drafted NSLs seeking, respectively, and a for a U.S. Person who was the subject of an b2 authorized investigation. The retained copies of the NSLs in the b7E file are not signed, and the cover ECs appear to have been initialed by the acting Special Agent in Charge (SAC). believes that the acting SAC likely signed the NSLs. Pursuant to section 505 of the USA PATRIOT Act (P.L. . 107-56), the Director delegated authority to sign National Security Letters to the SACs of the various field offices on 11/09/2001. At that time, OGC took the position that **b**2 **b**5 b7E Therefore, these matters are reportable under Section 2.4 of Executive Order 12863.

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Declassify on:

IOB SUMMARY SHEET

Name of Depart	ment or Agency: FBI	CY Quarterly Report: .	
DATE OF INCI	DENT:	DATE OF REPORT TO IOB:	
DATE OF REPO	ORT TO DEPARTMENT/AGENCY: 04/05/2007		•
DATE REPORT	I SUBMITTED TO OTHER ENTITY (i.e., DoJ, Con	gress, Civil Liberties Office):	
POSSIBLE VIO	DLATION OF:		
STATU	JTE (United States Code Citation) FISA (50 U.S.C. 1801)		b2 b7E
X		(IOBs 2007-920, 921)	•
	Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP ab Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines nat	road	
OTHE	R EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amend Other (EO citation:)	led)	
PRESH	DENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM (Other (Presidential Directive citation:)	OF NOTIFICATION	· •
DEPARTMENT	T/AGENCY ACTION: 06/06/2007		
*DATE OF FIN	AT DISPOSITIONS Pures information obtained	b5	

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER .b2 DIVISION b7E IOB MATTER 2007-1200NSL (U)

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Declassify on: 20170924

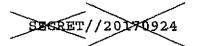
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IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT:	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/28	8/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	•
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation]
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines (ction w/in US h w/in US P w/in US P abroad est USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, Other (EO citation:)	as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COYERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	ANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Purge the	information from all FBI files.



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 DIVISION b7E TOB MATTER 2007-1202NSL (II)

10B MATTER 2007-1202NSL (U)	
(U) An electronic communication (EC) from the Federal Bureau of Investigation (FBI), Division , dated 04/02/2007, reported a potential Intelligence Oversight (U) Board (IOB) violation involving a National Security Letter (NSL).	b2 b7E
on properly prepared and issued a NSL requesting and and for a associated with a U.S. Person who was the subject of an authorized investigation. The NSL requested such records for the time period of the date of the NSL. Responsive records were provided.	b2 b7E
(U) During the March 2007 by the Inspection Division, it was discovered that, due to its the provided information seemingly beyond the scope of the NSL in that it pre-dated by approximately the time period specified in the NSL. This, however, was not an over- production and therefore not even a potential IOB violation because the FBI was entitled to have all records which the provided consistent with its	Ъ2 Ъ7Е
(U) Nevertheless, upon learning of the inspector's finding, the case agent issued a new NSL to the on 04/02/2007. This new NSL stated that it was "being issued to provide legal process for the time period from the start of the to " The new NSL further stated that "[n]o action is required" by the in response to the request.	.b2 .b7E
(U) When the case agent issued the new NSL, the FBI's investigation of the subject had closed approximately one week earlier. Thus, this new NSL was issued in violation of the and the Attorney General Guidelines for National Security Investigations and Foreign Intelligence Collection (NSIG) because it was not relevant to an authorized investigation. See A "curative" NSL such as this may not be issued for a closed case.	b2 b7E
Derived from: G-3 Declassify on: 20170924 SECRET//20170924	

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(U) Based upon discussions with the ______ Chief
Division Counsel, OGC does not believe that the case agent b2
intentionally committed an IOB violation in issuing the new NSL.

To the contrary, OGC believes that the case agent was attempting in good faith to correct the ______ mistake, of which she had just become aware per the NSL audit.

Nevertheless, because this new NSL was issued in violation of the and the NSIG, in accordance with the reporting requirements of Section 2.4 of Executive Order 12863, the error is a reportable matter under Section 2.4 of Executive Order 12863.

page 2

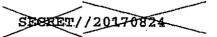
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IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT:	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/02	2/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	·
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of V Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USI Section 2.5 AG approval w/in US or against Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	ation w/in US n w/in US P w/in US P abroad st USP abroad
AG Approved ÉO 12333 Guidelines (Guid OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Purge the	as amended)
*D. (TE OF PRINT PARTY)	

(U)



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2
OFFICE b7E
IOB MATTER 2007-2021

	The Attorney General's Guidelines for FBI National	
	Security Investigations and Foreign Intelligence Collection	
	(NSIG) sets out the investigative techniques which are authorized	b2
	in the conduct of a	b7E
	The NSIG specifically states conducting	
	physical surveillance is authorized during a or a	
•		
(U)		
• •	(S) On June 14, 2007, the office reported that a	t- O
	had been initiated on a U.S.	b2 b7E
	Person on , and was later extended until	3571
	On learned that the	
	requested the	
	office to	
	However, it was not until after the	b2
	had expired, that	b7E
j	in	
	provided the information obtained by to in	٠,
·	an electronic communication dated .	

Derived from: G-3
Declassify on: 20170824

SECRET//20170824

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 2007	
DATE OF INCIDENT:	DATE OF REPORT TO IOB:	b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY: June 14, 2007	,	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Cong	gress, Civil Liberties Office):	
POSSIBLE VIOLATION OF:		· .
STATUTE (United States Code Citation) FISA (SO U.S.C. 1801) Other (U.S.C. citation:)		
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP ab Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation		
AG Approved EO 12333 Guidelines OTHER EXECUTIVE ORDER (SPECIFY)		b2 b7E
Creation of unauthorized SAP (EO 12958, as amend Other (EO citation:	ed)	. ,
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM COUNTY (Presidential Directive citation:)	OF NOTIFICATION	
DEPARTMENT/AGENCY ACTION:		•
*DATE OF FINAL DISPOSITION:		



(U) 🛴	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2	
**	FIELD OFFICE b7E	
	IOB MATTER 2007-1604	
	As provided in the <u>Attorney General's Guidelines for</u> FBI National Security Investigations and Foreign Intelligence	
	Collection (NSIG), effective October 31, 2003, the FBI is	
•	authorized to conduct physical surveillance of a subject only	b2
	during the conduct of an authorized or a subject only	b7E
	. Under. the FBI is	
	authorized to use National Security letters only during the	
	conduct of an authorized .	
_	(U) On the Field Office	
, I	reported that a	b2
	of a United States person was initiated on . The	b7E
	expired on On thewas	
•	extended until when it expired again. On	
	the was extended until	٠,
	Consequently, there were two periods in which the authority lapsed: to : and :	b2
	**************************************	b7E
	to During the first lapsed period, to no unauthorized investigative activity	
	occurred. However, during the second lapse, from	
	to , investigative activity, authorized only during	•
	a or took place. First National Security letters were	
,	issued on and second.	b2
,		b7E
,	Because these	
•	activities were in direct violation of the NSIG, we are reporting	
	this matter for your review.	•
		1.0
		b2 b7E
	and databases any information obtained pursuant to any NSL that is not supported by an authorized investigation.	
	is not supported by an authorized investigation.	-
٠.		
		•
-	Derived from: G-3	
٠.	Declassify on: 20170913 Hofmann-IOB-3672	•

SECRET//20170913

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 2007
DATE OF INCIDENTS:	DATE OF REPORT TO IOB: b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY: March 26, 2007	7 t
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Con	gress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (SO U.S.C. 1801) Other (U.S.C. citation: EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP ab Section 2.6 assistance to law enforcement Section 2.7 contracting	
Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines	b2 b7E
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amend Other (EO citation:)	ied)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM (Other (Presidential Directive citation:)	OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION.	

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		-
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# INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 FIELD OFFICE b7E IOB MATTER 2007-1851

(U) 🔍 🛴		
***************************************	(U) By electronic communication (EC) dated March 20, 2007, the Field Office reported a potential IOB error in conjunction with a	b2 b7E
	initiated a  on which was extended on and closed on No EC or LHM was submitted to FBI HQ in order to notify the Department of Justice Office of Intelligence Policy Review (OIPR) until or more than after the investigation had been closed.	b2 b7I
(U) —	As provided inof <u>The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG)</u> , effective 10/31/2003 ( <u>NSIG</u> ), a "field office shall notify FBI Headquarters [FBIHO] within ten working days of the initiation by	p
(U) <	" After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (QIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General.	٠
	The FBI reports this error for it appears that OIPR's oversight ability was "substantially impaired" in these circumstances. Here, an opening EC and LHM were never submitted to FBIHQ and the Department of Justice was never notified of the until more than after it had closed.	. · b2 b7E
,	Derived From: G-3 Declassify On: 08/24/2017	,

### IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report; 2007
DATE OF INCIDENT:	DATE OF REPORT TO 10B:
DATE OF REPORT TO DEPARTMENT/AGENCY: March	20, 2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	oJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	•
STATUTE (United States Code Citation) FISA (SO U.S.C. 1801) Other (U.S.C. citation:)	
EXECUTIVE ORDER 13333:  Section 2.3 collection & dissemination of Usection 2.4 preamble: least intrusive collect Section 2.4(a) CIA electronic surveillance version 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.5 AG approval w/in US or agains Section 2.6 assistance to law enforcement Section 2.7 contracting  Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation  AG Approved EO 12333 Guidelines (Guidelines)	ion v/in US w/in US w/in US abroad t USP abroad
OTHER EXECUTIVE ORDER (SPECIFY)  Creation of unauthorized SAP (EO 12958, Other (EO citation:	as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY)  COVERT ACTION FINDING/MEMORAL  Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION: *DATE OF FINAL DISPOSITION:	

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SÈ	RET/	/2017	1925	
/				_

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER  DIVISION  IOB MATTER 2007-1213NSL (U)	•
investigation of a U.S. person, the Division sent a National Security Letter (NSL) requesting and under the Through an administrative oversight, failed to include the paragraph	. b2 . b7E
certifying that the information requested is relevant to a national security investigation, as required by law.	•
(U) was advised to contact the to determine whether the records should be returned or destroyed, with appropriate documentation to the file. was also told to remove all records from any FBI database(s):	b2 b7E

Derived From: 0-3
Declassify On: 09/15/2017

Hofmann-IOB-3676

SECRET//20170915

*DATE OF FINAL DISPOSITION: 09/17/2007

### IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency: FBI CY Quarterly Report: 4thQ2007
DATE OF INCIDENT: DATE OF REPORT TO IOB: 09/30/2007
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/30/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A
POSSIBLE VIOLATION OF:
STATUTE (United States Code Citation)  FISA (50 U.S.C. 1801)  X Other (U.S.C. citation:  EXECUTIVE ORDER 12333:  Section 2.3 collection & dissemination of USP info  Section 2.4 preamble: least intrusive collection  Section 2.4(a) CIA electronic surveillance w/in US  Section 2.4(b) unconsented physical search w/in US  Section 2.4(c) physical surveillance of USP w/in US  Section 2.4(d) physical surveillance of USP abroad  Section 2.5 AG approval w/in US or against USP abroad  Section 2.6 assistance to law enforcement  Section 2.7 contracting  Section 2.9 undisclosed participation  Section 2.11 prohibition on assassination  Section 2.12 indirect participation  AG Approved EO 12333 Guidelines (Guidelines name and section:
OTHER EXECUTIVE ORDER (SPECIFY)  Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:)
PRESIDENTIAL DIRECTIVE (SPECIFY)  COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)  DEPARTMENT/AGENCY ACTION: Field office advised to destroy records and purge from FBI databases.

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-06-2010

	IOB MATTER 2007-2135 (U)	
	(U) By electronic communication ("EC") dated 07/18/2007, the Division reported a potential IOB error in conjunction with its current of a United States Person.	b2 b7E
ប)	Security Letter (NSL) to a pertaining to a U.S. person who is the target of an authorized investigation. The NSL requested for the period beginning  The NSL was served on the by the Division forwarded the s response.  forwarded the s response to on transmittal EC did not contain the content of the s response.	. b2 b7E
,	(U) The s response contained transactional data from a time period far greater than the time frame requested in the NSL. On uploaded into ACS an EC which included analysis of the data provided by the including analysis of the time period beyond the scope of the NSL. Similar analysis was disseminated to the	b2 b7E
-	(U) Pursuant to the 07/31/2007 instructions from the IOB, the FBI is reporting this matter because the uploading and dissemination of the over-produced information arguably constitutes a compounding of the third party error by the	b2 b7E
	(U) has been instructed to immediately sequester the over-produced information and charge-out the EC and containing the over-produced information. If considers the over-produced information relevant to its investigation, it will cure the over-production by issuing to the another NSL with a time period that encompasses the relevant information.  would then un-sequester the information and charge-in the EC.	Ъ2 Ъ7Е
	(U) If deems the over-produced information not relevant to its investigation, it will contact the and ask whether the over-produced information should be returned or destroyed, with appropriate documentation to the file would then permanently charge-out the EC with the over-produced information.	b2 b7E

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

### IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency:	CY Quarterly Report:
DATE OF INCIDENT:	DATE OF REPORT TO IOB.
DATE OF REPORT TO DEPARTMENT/AGENCY: 07/18	8/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	, DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATIÓN OF:	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-06-2010 BY 65179/DMH/PLJ/sdb
STATUTE (United States Code Citation)  FISA (50 U.S.C. 1801)  X Other (U.S.C. citation:	
EXECUTIVE ORDER 13333:  Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical sear Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Gu	ection.  e w/in US  ch w/in US  SP w/in US  SP abroad  inst USP abroad  t
OTHER EXECUTIVE ORDER (SPECIFY)  Creation of unauthorized SAP (EO 12958  Other (EO citation:	8, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY)  COVERT ACTION FINDING/MEMOR Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2	
FIELD OFFICE b7E	
IOB MATTER 2007-788 (U)	
(U) In in support of an authorized	
investigation of a U.S. person, the	•
Division sent a National Security Letter (NSL) to a	•
seeking a pursuant	
	b2 b7E
response, the provided the following	يثاثلث
information: (1); (2)	•
; (3) (to include:	•
and (4)	•
(XXX) XX-0-10	
(U) Upon receipt of the the	
case agent put the information into an electronic	
	2.
Additionally, generated two NSLs based on information by improperly acquired from the	7E
would have received the information used to generate the	,
additional NSLs through the authorized used of other	•
investigative techniques. Accordingly, has not been	
directed to delete this information from its files.	
warpoodd to delete this informacion from its files.	
(U) Upon learning that the .was improperly	•
obtained, permanently removed the NSL and the	
information received from	• •
files and segmestered the information with the	52
Division Counsel (CDC). Federal Bureau of Investigation,	57E
Headquarters has directed to: (1) redact all	
information from that is not authorized by	
prior to returning the report to the.	
case agent; (2) remove all information not authorized by	
from all FBI databases; and	
(3) appropriately document the case file.	
	•
(U) In this case, an error was committed by the case agent	
when s/he requested a pursuant to	'n2
tor an authorized	b2 b7E
investigation that did not have an	

b2

*DATE OF FINAL DISPOSITION:

### **IOB SUMMARY SHEET**

b2 b7E

Name of Department or Agency: Federal Bureau of Investigation CY Quarterly Report:		
DATE OF INCIDENT DATE OF REPORT TO 10B:		
DATE OF REPORT TO DEPARTMENT/AGENCY: March 12, 2007		
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties	Office):	
POSSIBLE VIOLATION OF:		•
STATUTE (United States Code Citation)  FISA (50 U.S.C. 1801)  X Other (U.S.C. citation:		
EXECUTIVE ORDER 12333:  Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:		· 
OTHER EXECUTIVE ORDER (SPECIFY)  Creation of unauthorized SAP (EO 12958, as amended)  Other.(EO citation:)  PRESIDENTIAL DIRECTIVE (SPECIFY)  COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION  Other (Presidential Directive citation:)	~	
DEPARTMENT/AGENCY ACTION: Report to IOB. Redact unauthorized information are information from all FBI databases.	nd purge unau	thorized

(U) On or about . in support of an authorized	
of a U.S. person,	b2
the rederal Bureau of Investigation (FBI), Office of General	b71
Counsel, Nation Security Law Branch (NSLB) prepared and approved,	•
on behalf of the Division ( , a	
National Security Letter served on a	
seeking a pursuant to the	
In response, the	
provided a	
(U) When the case agent received the	•
s/he shredded the because of	1. 0
1ts lack of usefulness to the investigation. At the time the	b2 b7 <b>E</b>
case agent shredded the, s/he did not know the	m/E
receipt of the was a potential IOB matter.	
FBI Headquarters has directed to remove any unauthorized	
information from all FBI databases, with appropriate	
documentation to the case file.	
/TT) To 47-1	b2
(U) In this case, an error was committed by the former	b7E
Deputy General Counsel for NSLB when he requested a	D/E
pursuant to in support of an	
authorized investigation that did not have an	•

### IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency: Federal Bureau of Investigation CY Quarterly Report:
DATE OF INCIDENT: On or abou DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: April 2, 2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:
STATUTE (United States Code Citation)  FISA (50 U.S.C. 1801)  X Other (U.S.C. citation)  EXECUTIVE ORDER 12333:  Section 2.3 collection & dissemination of USP info  Section 2.4 preamble: least intrusive collection  Section 2.4(a) CIA electronic surveillance w/in US  Section 2.4(b) unconsented physical search w/in US  Section 2.4(c) physical surveillance of USP w/in US  Section 2.4(d) physical surveillance of USP abroad  Section 2.5 AG approval w/in US or against USP abroad  Section 2.6 assistance to law enforcement  Section 2.7 contracting  Section 2.9 undisclosed participation  Section 2.10 human experimentation  Section 2.11 prohibition on assassination  Section 2.12 indirect participation  AG Approved EO 12333 Guidelines (Guidelines name and section:
OTHER EXECUTIVE ORDER (SPECIFY)  Creation of unauthorized SAP (EO 12958, as amended)  Other (EO citation:)
PRESIDENTIAL DIRECTIVE (SPECIFY)  COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)
DEPARTMENT/AGENCY ACTION: Report as IOB. Purge unauthorized information from all FBI databases

*DATE OF FINAL DISPOSITION:

· INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2	•
FIELD OFFICE	·.
IOB MATTER 2007-1097 (U)	•
	•
(U) In in support of an authorized	
investigation of a U.S. person, the	h-O
Division (' ) sent a National Security Letter	. b2 b7E
(NSL) to a seeking a	
pursuant to the	
the provided	
the requested	•
(U) Upon learning the request of this was	
(U) Upon learning the request of this was not in compliance with the statute, the case	
agent removed the from the investigative file	•
and the Chief Division Counsel sequestered the	
Federal Bureau of Investigation,	, b2
Headquarters has directed to remove any	Ъ7Е.
unauthorized information from all FBI databases and to redact the	
so that only information authorized by statute. is retained, with appropriate	
statute, is retained, with appropriate documentation to the case file.	•
documentation to the case life.	,
(U) In this case, an error was committed by the case agent	٠.
(U) In this case, an error was committed by the case agent when s/he requested a pursuant to	. b2
	. b2 b7E

b2 b7E

b2 b7E

### IOB SUMMARY SHEET

Name of Department or Agency: Federal Bureau of Investigation CY Quarterly Report:	
DATE OF INCIDENT DATE OF REPORT TO 10B:	
DATE OF REPORT TO DEPARTMENT/AGENCY: April 3, 2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation)  FISA (50 U.S.C. 1801)  X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333:  Section 2:3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US	. ,
Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement	٠
Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	
AG Approved EO 12333 Guidelines (Guidelines name and section:	) ·
OTHER EXECUTIVE ORDER (SPECIFY)  Creation of unauthorized SAP (EO 12958, as amended)  Other (EO citation:)	•
PRESIDENTIAL DIRECTIVE (SPECIFY)  COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION: Report to IOB. Redact unauthorized information and purge unauthorized information and purge unauthorized information from all FBI databases.	orized

*DATE OF FINAL DISPOSITION:

# INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE 10B MATTER 2007-2406 FCRA (U) b2 b7E

· · · · · · · · · · · · · · · · · · ·
(U) In in support of an authorized
investigation of a U.S. person, the
Division ( ) sent a National Security Letter (NSL) to a b2
seeking a pursuant
to the In
response, the provided a
<del></del>
(U) Upon receipt of the the
case agent placed it in a 1A investigation file envelope and
never uploaded any of the information in any b2
FBI database. Upon learning that the was b2
improperly obtained, the case agent sealed the
and sequestered it in the Chief Division Counsel's
(CDC) safe. Federal Bureau of Investigation, Headquarters has
directed CDC to redact all information from the
that is not authorized by
prior to returning the report to the case agent and to
appropriately document the case file.
(U) In this case, an error was committed by the case agent
when s/he requested a pursuant to b2
for an authorized by
investigation that did not have an

*DATE OF FINAL DISPOSITION:

## IOB SUMMARY SHEET

Name of Department or Agency: Federal Bureau of Investigation CY Quarterly Report:
DATE OF INCIDENT: On or about DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: March 9, 2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:
STATUTE (United States Code Citation)  FISA (50 U.S.C. 1801)  X Other (U.S.C. citation:  EXECUTIVE ORDER 12333:  Section 2.3 collection & dissemination of USP info  Section 2.4 preamble: least intrusive collection  Section 2.4(a) CIA electronic surveillance w/in US  Section 2.4(b) unconsented physical search w/in US  Section 2.4(c) physical surveillance of USP w/in US  Section 2.4(d) physical surveillance of USP abroad  Section 2.5 AG approval w/in US or against USP abroad  Section 2.6 assistance to law enforcement  Section 2.7 contracting  Section 2.9 undisclosed participation  Section 2.10 human experimentation  Section 2.11 prohibition on assassination  Section 2.12 indirect participation  AG Approved EO 12333 Guidelines (Guidelines name and section:
OTHER EXECUTIVE ORDER (SPECIFY)  Creation of unauthorized SAP (EO 12958, as amended).  Other (EO citation:)
PRESIDENTIAL DIRECTIVE (SPECIFY)  COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION  Other (Presidential Directive citation: )
DEPARTMENT/AGENCY ACTION: Report to IOB. Redact unauthorized information.

Hofmann-IOB-3687

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER  FIELD OFFICE  IOB MATTER 2007-903 (U)	.b2 .b7f
	•
(U) In in support of an authorized	
investigation of a U.S. person, the	
, , , , , , , , , , , , , , , , , , , ,	b2
to the seeking a pursuant	b7E
response, the provided a	,
	•
•	
(U) Upon receipt of the	
discovered it was not authorized to acquire a	
in support of a investigation. As a result,	b2 b7E
returned the to the	.D / <b>E</b> i
agency for destruction. When this matter occurred in	
believed that because it did not use the information	
contained in the and because it returned the	
to the for	
destruction it did not need to report this as a potential IOB	b2 b7E
matter. The Federal Bureau of Investigation Headquarters has	. D.E
directed to purge all of the	
information that may be contained in any FBI database and to	
appropriately document the case file.	
(II) In this area on a second the day has been also to	
(U) In this case, an error was committed by the case agent when s/he requested a pursuant to	b2
when s/he requested a pursuant to for an authorized	b7E
investigation that did not have an	

### IOB SUMMARY SHEET

Name of Department or Agency: Federal Bureau of Investigation CY Quarterly Report:
DATE OF INCIDENT: DATE OF REPORT TO 10B:
DATE OF REPORT TO DEPARTMENT/AGENCY: April 9, 2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:
STATUTE (United States Code Citation)  FISA (50 U.S.C. 1801)  X Other (U.S.C. citation:
EXECUTIVE ORDER 12333:  Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation
Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation
AG Approved EO 12333 Guidelines (Guidelines name and section:)
OTHER EXECUTIVE ORDER (SPECIFY)  Creation of unauthorized SAP (EO 12958, as amended)  Other (EO citation:)
PRESIDENTIAL DIRECTIVE (SPECIFY)  COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION  Other (Presidential Directive citation:)
DEPARTMENT/AGENCY ACTION: Report to 10B. Purge unauthorized information from all FBI databases *DATE OF FINAL DISPOSITION:

Hofmann-IÒB-3689

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	
FIELD OFFICE	b2
IOB MATTER 2007-784 (Case #1) (U)	b7E
	•
(U) In in support of an authorized	•
of a U.S. person, the	
Division ( ) sent a National Security Letter (NSL) to a	<b>b</b> 2
seeking a pursuant	b7E
to the In	
response, the provided a	
• '	
(U) Upon receipt of the the	
case agent placed it in a 1A investigation file envelope and	
	•
never uploaded any of the information in any	
FBI database. Upon learning that the was	b2
improperly obtained, the case agent sealed the	b7E
and sequestered it in the Chief Division Counsel's	
(CDC) safe. Federal Bureau of Investigation, Headquarters has	•
directed CDC to redact all information from the	
that is not authorized by	
prior to returning the report to the case agent and to	•
appropriately document the case file.	•
appropriately document the case fire.	
(II) To blod - years	
(U) In this case, an error was committed by the case agent	b2
when s/he requested a pursuant to	b7E
for an authorized	
investigation that did not have an	•

# ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-06-2010 BY 65179/DMH/PLJ/sdb - IOB SUMMARY SHEET

*DATE OF FINAL DISPOSITION:

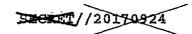
Name of Department or Agency: Federal Bureau of Investigation CY Quarterly Report:
DATE OF INCIDENT: On or about DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: March 9, 2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:
STATUTE (United States Code Citation)  FISA (50 U.S.C. 1801)  X Other (U.S.C. citation
EXECUTIVE ORDER 12333:  Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) ClA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:
OTHER EXECUTIVE ORDER (SPECIFY)  Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:)
PRESIDENTIAL DIRECTIVE (SPECIFY)  COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:  DEPARTMENTAL SERVICE ACTION A DEPARTMENT OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Report to IOB. Redact unauthorized information.

DATE: 07-06-2010

CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

DECLASSIFY ON: 07-06-2035-



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HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

b1 b2 b6 b7C b7E

<b>(%</b>	INTELLIGENCE	OVERSIG	HT BOAR	D (IOB)	MATTER	b2
2-4	* Andrew San Comment		FIELD C	FFICE		b7E
,	IOB	MATTER :	2007-114	11-NSL	(U)	

**XX** 

The Federal Bureau of Investigation ("FBI") has
determined that in conducting an investigation
the FBI field office issued
an NSL under that apparently was not
accompanied by an EC, such that the NSL would not have been
the subject of statutorily-required congressional reporting.
In this regard, an investigation a
United States person, was initiated on On or about
07/08/2003, an NSL for
07/08/2003, an NSL for was issued to further the investigation. While the
was issued to further the investigation. While the
was issued to further the investigation. While the NSL was properly issued, there apparently was no EC that was
was issued to further the investigation. While the NSL was properly issued, there apparently was no EC that was issued in support of it. A review of the file has determined
was issued to further the investigation. While the NSL was properly issued, there apparently was no EC that was issued in support of it. A review of the file has determined that there was adequate predication for the NSL; however,

Derived from: G-3
Declassify on: 09/24/2017

SECRET//20170924

### IOB SUMMARY SHEET

Name of Department or Agency:	FBI OGC	CY Quarterly Report: 2007		
DATE OF INCIDENT: 07/08/0	3 (issuance of NSL)	DATE OF REPORT TO IOB:09/30/07		
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/03/07				
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):				
POSSIBLE VIOLATION OF:		•		
STATUTE (United States FISA (50 U.S.C	1801)			
Section 2.4 preasured Section 2.4(a) Construction 2.4(b) under Section 2.4(c) plus Section 2.4(d) plus Section 2.5 AG and Section 2.7 contruction 2.9 undia Section 2.10 human Section 2.12 industrials.	ection & dissemination of Usemble: least intrusive collection. IA electronic surveillance we acconsented physical search versical surveillance of USP approval win US or against stance to law enforcement racting sclosed participation man experimentation hibition on assassination	on /in US w/in US w/in US abroad USP abroad		
	RDER (SPECIFY) thorized SAP (EO 12958, a	s amended)		
PRESIDENTIAL DIRECTIVE (SPECIFY)  COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:  DEPARTMENT/AGENCY ACTION: Advised field of report to IOB				
*DATE OF FINAL DISPOSITION:				

Hofmann-IOB-3693

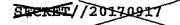
DATE: 07-06-2010

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REASON: 1.4 (c)

DECLASSIFY ON: 07-06-2035

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	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E	
0	IOB MATTER 2007-898-NSL (U)	
•	TOP MATTER 2007-030-NSD (O)	
	Who Redown? Danner of Translation (HDDTN) has	
	The Federal Bureau of Investigation ("FBI") has	
-	determined that in conducting an investigation	
ı	the Field Office issued an NSL	b1
	in response to which the returned for a	b2
<b>X</b>	period of time beyond the time period requested and the	ьб ь7с
	information was uploaded into a database. In this regard, on	b7E
į	or about opened an investigation of	
	who is a "United States person" as	
	that term is used in Section 101(i) of the Foreign	•
	Intelligence Surveillance Act of 1978 (FISA), to investigate	
	information or allegations of	
	The investigation was subsequently changed to a	
	case. During the course of this	
	investigation, an NSL dated 08/24/2005 was issued pursuant to	<b>1</b> -0
	the	b2 b7E
	returned	-10 1 L
	information responsive to the request but, in addition,	;
	provided for in the NSL	
	for an additional few weeks beyond the time period requested.	•
	The information was uploaded into a database. By EC dated	
•	04/10/2007, the potential IOB violation was reported. (\$)	
	, , , , Essimona as management and the formation of the first of the f	. •
	The IOB has instructed OGC to report matters in	
	which information is	Ъ2 Ъ7Е
	uploaded into a database. Thus, this situation is being	.D / III
	reported to the TOR (II)	

Derived from: 0-3 Declassify on: 09/17/2017

SECRET//20170917

### IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC	CY Quarterly Report: 2007
DATE OF INCIDENT: 08/24/05 (issuance of NSL)	DATE OF REPORT TO IOB:09/30/07
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/0	07
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	OoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation)  FISA (50 U.S.C. 1801)  X Other (U.S.C. citation:)	
Section 2.3 collection & dissemination of U Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance of Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USF Section 2.4(d) physical surveillance of USF Section 2.5 AG approval w/in US or against Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines (Gui	tion- w/in US w/in US Pabroad at USP abroad
OTHER EXECUTIVE ORDER (SPECIFY)  Creation of unauthorized SAP (EO 12958, Other (EO citation:)  PRESIDENTIAL DIRECTIVE (SPECIFY)  COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	as amended)  NDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Advised field to purge	database; return or destroy naterial b2
*DATE OF FINAL DISPOSITION:	

* . DATE - 07-06-2010

CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

DECLASSIFY ON: 07-06-2035

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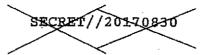
# SECRET//20170928

	_ <b>∞</b>	٠,	
•			
	THE EDUTION OF THE PART OF THE PART NOTE		
	AND FIELD OFFICES		
	IOB MATTER 2007-937-NSL (U)		
	The Federal Bureau of Investigation ("FBI") has	-, ·	
	determined that in conducting an investigation	j	4
	the Field Office issued an NSL,		b1
<b>X</b> )	in response to which it received information beyond the		b2
PX.	parameters of the request. Subsequently, the		b4 b6
	material was entered into a database by theField		b7C
	Office . In this regard, on or about	•	b7E
	opened an investigation a		
	"United States person" as that term is used in Section 101(i)		
٠	of the Foreign Intelligence Surveillance Act of 1978 (FISA).		
	During the course of this investigation, issued an		
	NSL dated The subject moved to and		
٠.	the Field Office opened a case. When the	٠,	b2
٠,	information from the NSL was received by it		b7E
	forwarded the information to	.*	
	uploaded the information into a database. The information		
	included several weeks of information outside the parameters		
	of the NSL. has been instructed to destroy or		X.
	return the and remove it		•
	from the database. has already destroyed the		•
	material. (3)		٠
	The TOP has instructed odd to report matters in		
	The IOB has instructed OGC to report matters in which information error is	b2	
	uploaded into a database. Thus, this situation is being	b7E	
	reported to the IOB. (U)		
	reported to the lob.(b)	•	
•			, ,
•	Derived from: G-3		•

SPERST//20170928

# IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC	CY Quarterly Repo	ort: 2007	
DATE OF INCIDENT: ssuance	e of NSL) DATE OF REPORT	TO IOB:09/30/07	
DATE OF REPORT TO DEPARTMENT/AGEN	ICY: 04/04/07		
DATE REPORT SUBMITTED TO OTHER EN	FITY (i.e., DoJ, Congress, Civil Lil	berties Office):	,
POSSIBLE VIOLATION OF:		4,	
STATUTE (United States Code Citation FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	n) )		·
EXECUTIVE ORDER 13333:  Section 2.3 collection & disser  Section 2.4 preamble: least int  Section 2.4(a) CIA electronic section 2.4(b) unconsented ph	trusive collection surveillance w/in US sysical search w/in US		
Section 2.4(c) physical surveil Section 2.4(d) physical surveil Section 2.5 AG approval w/in Section 2.6 assistance to law e Section 2.7 contracting	llance of USP abroad US or against USP abroad enforcement		
Section 2.9 undisclosed particles Section 2.10 human experiment Section 2.11 prohibition on assection 2.12 indirect participate AG Approved EO 12333 Guid	ntation sassination	on:)	
OTHER EXECUTIVE ORDER (SPECI Creation of unauthorized SAP Other (EO citation:			
PRESIDENTIAL DIRECTIVE (SPECI  COVERT ACTION FINDING Other (Presidential Directive of	MEMORANDUM OF NOTIFICA	ATION	
DEPARTMENT/AGENCY ACTION: Advised f	ield to purge database and destroy	information	b2 b7E
*DATE OF FINAL DISPOSITION:			•



INTELLIGENCE OVERSIGHT	BOARD (IOB) MATTER	b2
	FIELD OFFICE.	b7E
IOB MATTER 2	007-1395 (℧)	
•	•	٠

	The Federal Bureau of Investigation ("FBI") has	
	determined that in conducting a investigation	b2
	of a United States person, the FBI Field Office	b7E
	received from a that	2772
	included outside the date range requested in the	
	National Security Letter (NSL). Before the were	•
	sequestered and redacted, the results were uploaded into an FBI	
	database. (U)	
U) 🔻		
	In this regard on investigation of the beauty	
بني	In this regard, an investigation of the target a	•
	United States person, was initiated on or about On	
	11/13/2006, San Francisco properly prepared and issued an NSL	
	requesting from for a	
	associated with the target. However, in its	
	response, because the	b2
	began in also provided records for	b7E
	The complete results; from outside the	
	date range requested in the NSL, were uploaded into an FBI	·
JATE	database. (S)	-
(U)		
•	The information was discovered about two	
	months after being received when was	b2
	being conducted to further this investigation. The information	b7E
	has been sequestered with the CDC, purged from the database, and	
	have been redacted from the records	
•	which were then returned to the case file (S)	, , ,
-		. *
	In this case corresponding to the	
	included outside the date	•
	range requested by the NSL. The NSL results were immediately	b2
	uploaded before could be redacted and sequestered.	b7E
	As such, this is being reported to the IOB. It is noted,	
	however, that may be acquired by the FBI	
•	with an additional NSL if is determined to be a	
	relevant period of time to this investigation. (U)	
	<del>-</del>	

Derived from: G-3
Declassify on: 08/30/2017

SECRET//20170830

# 10B SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT	DATE OF REPORT TO TOB:
DATE OF REPORT TO DEPARTMENT/AGENCY:	4/6/2007
DATE REPORT SUBMITTED TO OTHER ENTITY	(i.e., DoJ, Congress, Civil Liberties Office): b2 b7E
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Cit FISA (50 U.S.C. 1801)	ation)
X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333:Section:2.3 collection	n & dissemination of USP info
Section 2.4 preamble:	least intrusive collection
Section 2.4(a) CIA elect	ronic surveillance w/in US
Section 2.4(b) unconsent	ed physical search w/in US
Section 2.4(c) physical	survoillance of USP w/in US
Section 2.4(d) physical	surveillance of USP abroad
Section 1.5 AG approv	al w/in US or against USP abroad
Section 2.6 assistance	a to law enforcement
Section 2.7 . contracti	ng
Section 2.9 undisclos	ed participation
•	erimentation
<del></del>	on on assassination
•	participation.
- · · · · · · · · · · · · · · · · ·	ELINES (Guideline name and section:
<del></del> -	
OTHER EXECUTIVE ORDER (SPECIFY)	SAF (EO 12958, as amended)
Other (RO citation:	
PRESIDENTIAL DIRECTIVE (SPECIFY COVERT ACTION FINDING/MEN	) ORANDŮH OF HOTIFICATION
Other (Presidential Direc	tive citation:);
DEPARTMENT/AGENCY ACTION: Information direction.	purged and destroyed or returned to per their b2

# INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1872(U)

. b2 . b7E

(U)

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-06-2010

	The Federal Bureau of Investigation ("FBI") has determined that in conducting a investigation of a United States person, the FBI Field Office issued an NSL to a which had the which resulted in the production of information that was not relevant to the investigation.	b2 b7E
ע)	In this regard, an investigation of the target a United States person, was initiated on or about in order to	•
, · 	ON OCCODER 12, 2004, an NSL was issued pursuant to the	
		b2 b7E
·.`	. However, the NSL issued by the FBI contained a had had . This appears to be an inadvertent	
	typographical error, as the internal document authorizing the issuance of the NSL contained the	.,.
	The mistake was discovered upon an FBI Headquarters directed audit. The material was then sequestered and is being purged from the database. The materials will either be returned or destroyed. Inasmuch as the information returned was necessarily not relevant to an authorized investigation, there was a violation, albeit,	b2 b7E
	unintentional, of the Therefore, this matter is being reported to the IOB. (U)	

Hofmann-IOB-3700

Derived from: G-3
Declassify on: 08/30/2017

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## IOB SUMMARY SHEET

Name of Department or Agency: FBI CY Quarterly Report:	
DATE OF INCIDENT: October 12, 2004  DATE OF REPORT TO IOB:	•• •
DATE OF REPORT TO DEPARTMENT/AGENCY: April 4, 2007	•
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	
POSSIBLE VIOLATION OF: ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED	,
STATUTE (United States Code Citation) DATE 07-06-2010 BY 65179/DMH/PLJ/sdb	b2
X Other (V.S.C. citations	b7E
EXECUTIVE ORDER 12333:	
Section 1.4 preamble: least intrusive collection	
Section 2.4(a) CIA electronic surveillance w/in US	
Section 2.4(b) unconsented physical search w/in US	
Section 2:4(c) physical surveillance of USP w/in US	
section 2.4(d) physical nurveillance of USP abroad	
Section 2.5 .AG approval w/in US or against USP abroad	•
Section 2.6 assistance to law enforcement	
Section 2.7 . contracting	
Section 2.9 undisclosed participation	•
Section 2.10 human experimentation	, • •
Section 2.11 prohibition on assassination	
Section 2.12 indirect participation.	,
. AG APPROVED EO 12333 GUIDELINES (Guideline name and section:	
OTHER EXECUTIVE ORDER (SPECIFY)	
Creation of unauthorized SAF (kO 17958, as amended)  Other (RO citation:)	
PRESIDENTIAL DIRECTIVE (SPECIFY)  COVERT ACTION FINDING/MEMORANDUM OF MOTIFICATION	
Other (Presidential Directive citation:	
Information purged and destroyed or returned to	b2 b7E
request. *DATE OF FINAL DISPOSITION:	

ď	2	
'n	7	1

# INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-2221NSL (U)

7 <b>7</b> l	(U) By electronic communication ("EC") dated August 23,	b2
U) 🔍 👚	2007, the Division reported a potential IOB	b7E
La company	error in conjunction with its of a United	•
	States Person.	
		•
	In a National Security Letter (NSL) dated April 6,	
	2006, requested for a U.S. Person	b2
	who was the target of an authorized	b7E
	investigation. In addition to	
	TANCOCT GOCTON: IN AUGUSTON ECT	
[ <b>U</b> ]		
<b>     </b>		
	(A) 0 7-1-10 0007 [	•
	(S) On July 12, 2007, properly prepared for	
	issuance by the Office a National Security Letter	
	(NSL) to a in furtherance of an	b2 b7E
	authorized <u>investigation</u> . The NSL requested	D/E
•	for associated with	•
•	the target, but returned in for those	
	forwarded the results to via an EC with	
	attachmentsimmediately upon reviewing the EC and	
	return, recognized the extracted it and	
	sequestered it with the Chief Division Counsel (CDC).	
	(U) Pursuant to the July 31, 2007 instructions from the IOB,	
	the FBI is reporting this matter because the uploading of the	b2
	over-produced information constitutes a compounding of the third	. b7E
	party error, even if the data was subsequently	
	extracted and sequestered.	•
	, , , , , , , , , , , , , , , , , , ,	, ,
`	(U) has been instructed to and	•
	ask whether the unintentionally acquired information should be	•
	returned or destroyed, with appropriate documentation to the	` b2
,	file. If deems the information to be	b7E
	relevant, has the option to issue a curative NSL	
		• •
	investigation remains open. However, the information should	•
	remain sequestered with the CDC until either a new NSL is served	
	or theis destroyed or returned.	

### IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT: 04/06/06	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 08/23/0	מס
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., D	oJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation)  FISA (50 U.S.C. 1801)  X Other (U.S.C. citation:  EXECUTIVE ORDER 13333:	
Section 2.3 collection & dissemination of U Section 2.4 preamble: least intrusive collect Section 2.4(a) CIA electronic surveillance w Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.5 AG approval w/in US or against Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines	ion v/in US w/in US w/in US abroad
OTHER EXECUTIVE ORDER (SPECIFY)  Creation of unauthorized SAP (EO 12958, a Other (EO citation:).  PRESIDENTIAL DIRECTIVE (SPECIFY)	as amended)
COVERT ACTION FINDING/MEMORAN Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION: Return or destroy	
*DATE OF FINAL DISPOSITION:	-

# INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION TOB MATTER 2007-2218NSL (U)

b7E

